

Study of the  $B^0 \rightarrow D^{*-} \ell^+ \nu$   
with the  
Partial Reconstruction Technique

University of Ferrara / INFN Ferrara  
Dottorato di Ricerca in Fisica Ciclo XVII  
Mirco Andreotti

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- ✗ Measurement of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$  from *BABAR* data
- ✗  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$  as first step for the evaluation of  $|V_{cb}|$

## Outline

- ✓ The *BABAR* Experiment
- ✓  $|V_{cb}|$  CKM matrix element and its extraction
- ✓ Existing measurements of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$
- ✓ Extraction of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$
- ✓ Semileptonic selection
- ✓ Partial reconstruction of  $B^0 \rightarrow D^* \ell \nu$
- ✓ Results for  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$

## The BABAR Experiment - PEP II

✓ 9 GeV  $e^-$  head-on 3.1 GeV  $e^+$

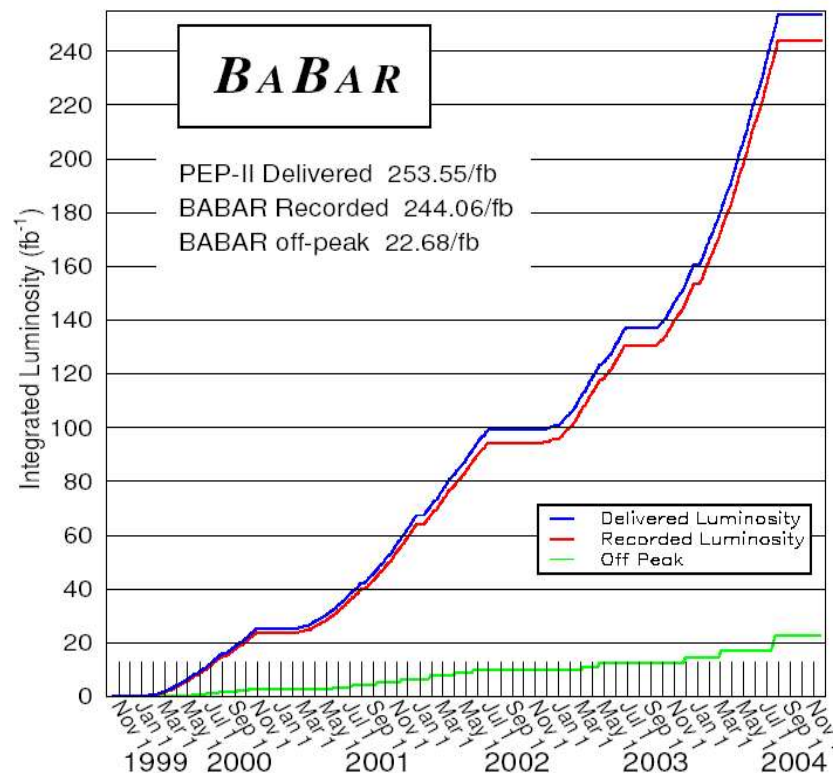
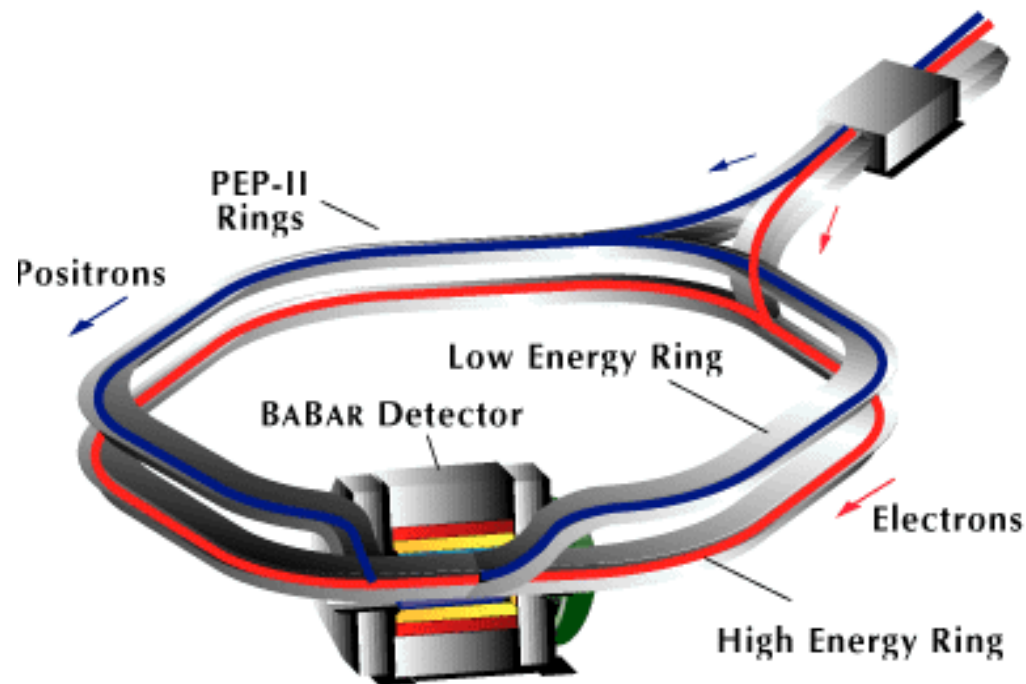
$\Rightarrow E_{\text{CM}} = 10.58 \text{ GeV} = Y(4S) \text{ mass}$

-  $Y(4S) \rightarrow \bar{B}B$   $\frac{1}{4}$  of all  $Y(4S)$  decays

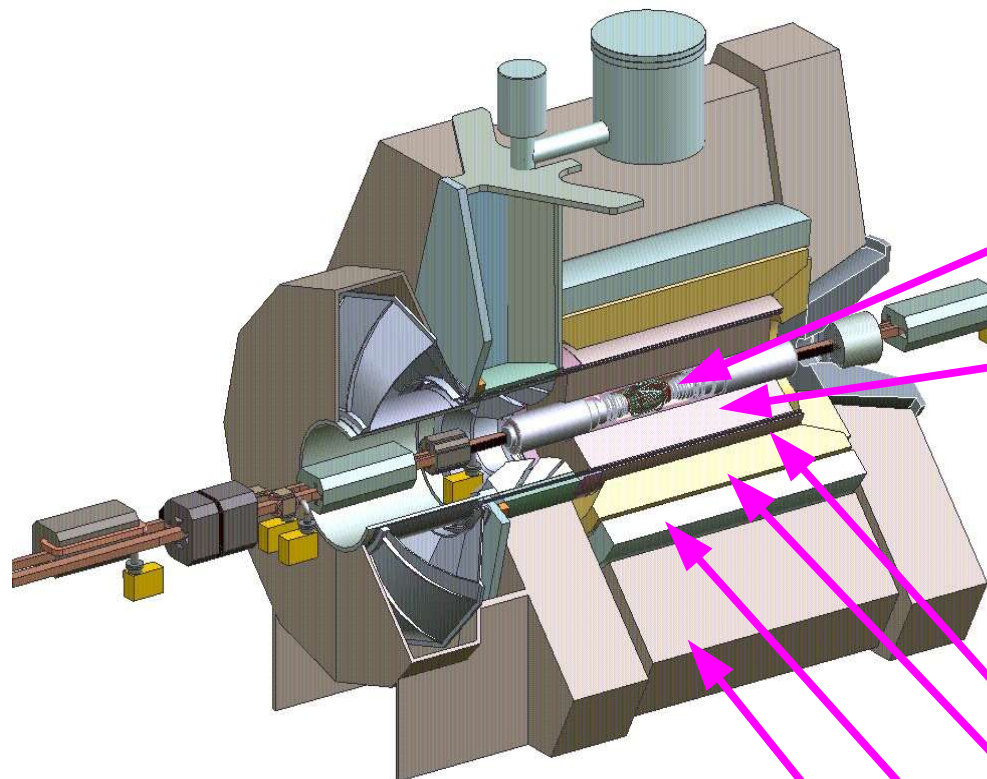
-  $e^+ e^- \rightarrow \bar{q}q$   $\frac{3}{4}$  continuum background

✓  $\beta\gamma=0.56$  allows to measure B decay time

✓ Peak lumi =  $9.2 \times 10^{33} / \text{cm}^2 / \text{s}$  gives a  $\bar{B}B$  production rate of 10 Hz



## The BABAR Detector



### Tracking system:

→ **SVT**: - measurement of B decay vertices

-  $p_T < 120$  MeV (charged)

→ **DCH**: - measurement of  $p_T$  from

curvature of charged particle in 1.5 T magnetic field for  $p_T > 120$  MeV

- PID for low  $p$  by  $dE/dx$

→ **DIRC**:  $\pi/K$  discrimination

→ **EMC**: - detection of  $\gamma$  and  $e^\pm$  with  $20 \text{ MeV} < E < 4 \text{ GeV}$   
- electron/hadron separation

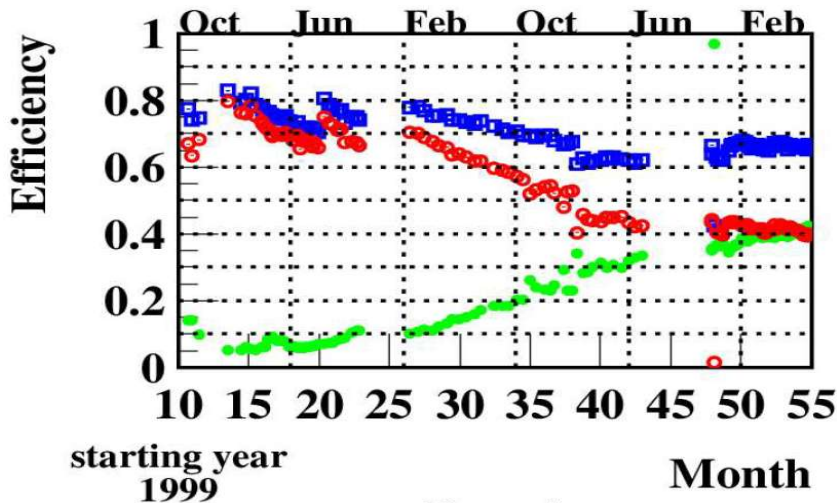
→ **Magnet**: superconducting solenoid provides an axial magnetic field of 1.5 T

→ **IFR**: - muon and neutral hadron ID ( $K_L^0$ )

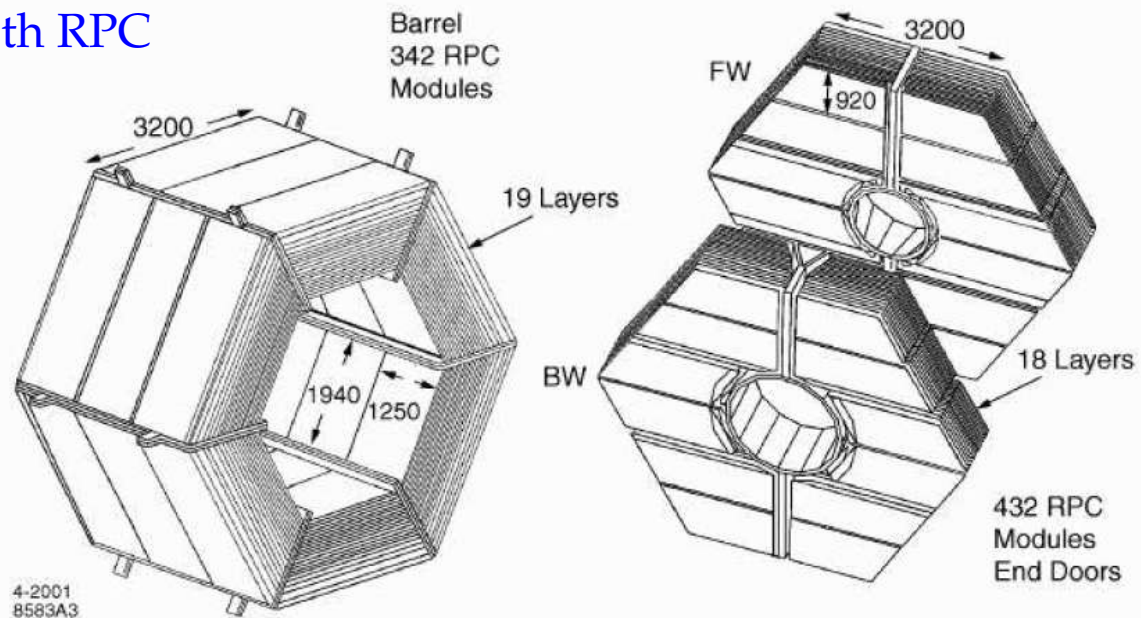
- instrumented iron yoke for the magnetic flux return

# The BABAR Detector – Upgrade of muon detector

- Initial design: IFR was instrumented with RPC
- Decreasing of efficiency:



**Barrel**



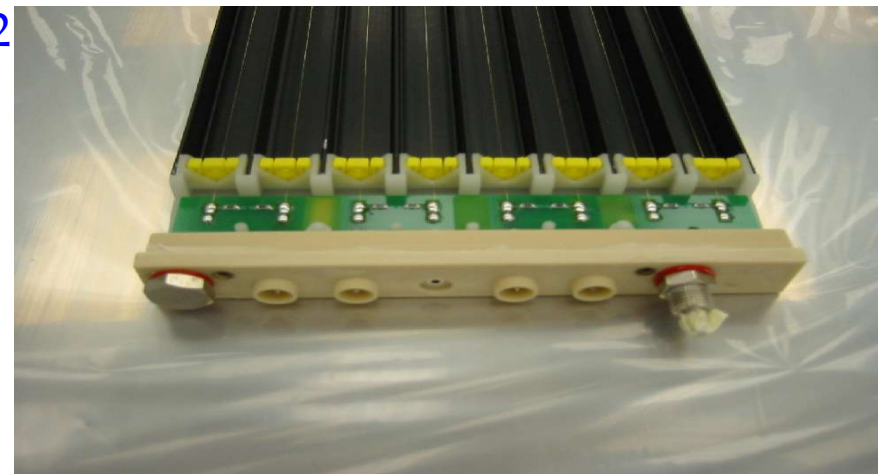
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- Upgrade of muon detector:

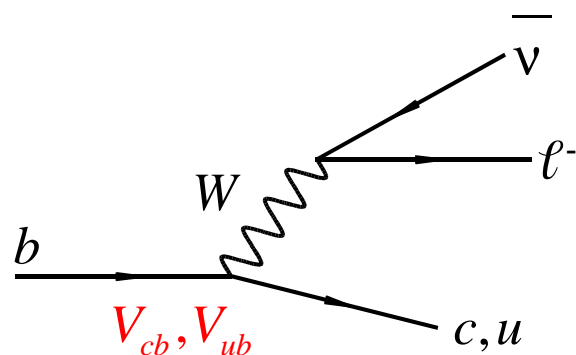
- ✗ Forward endcap: replacements with new RPCs in 2002

- ✗ Barrel: replacement of RPCs with LSTs (two sextants in 2004, the other 4 sextants in 2006)

➔ Muon ID is fundamental for this analysis, then data with new muon detector will be used for a future update of this analysis.



$|V_{cb}|$  CKM matrix element

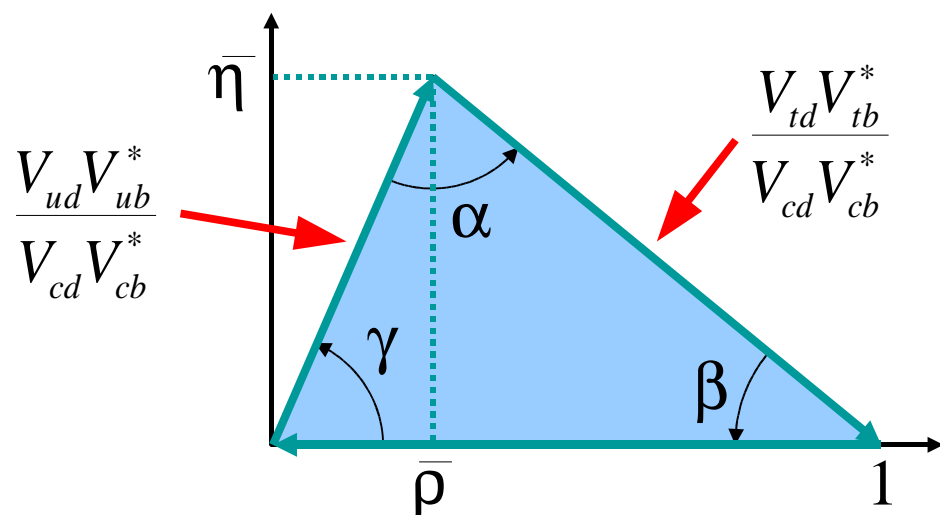


$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- $V_{ub}$  and  $V_{cb}$  are fundamental in order to confirm the unitary relation:

$$V_{\text{CKM}}^\dagger V_{\text{CKM}} = 1 \quad \Rightarrow \quad V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

- The unitary relation can be expressed in complex plane by the known triangle:



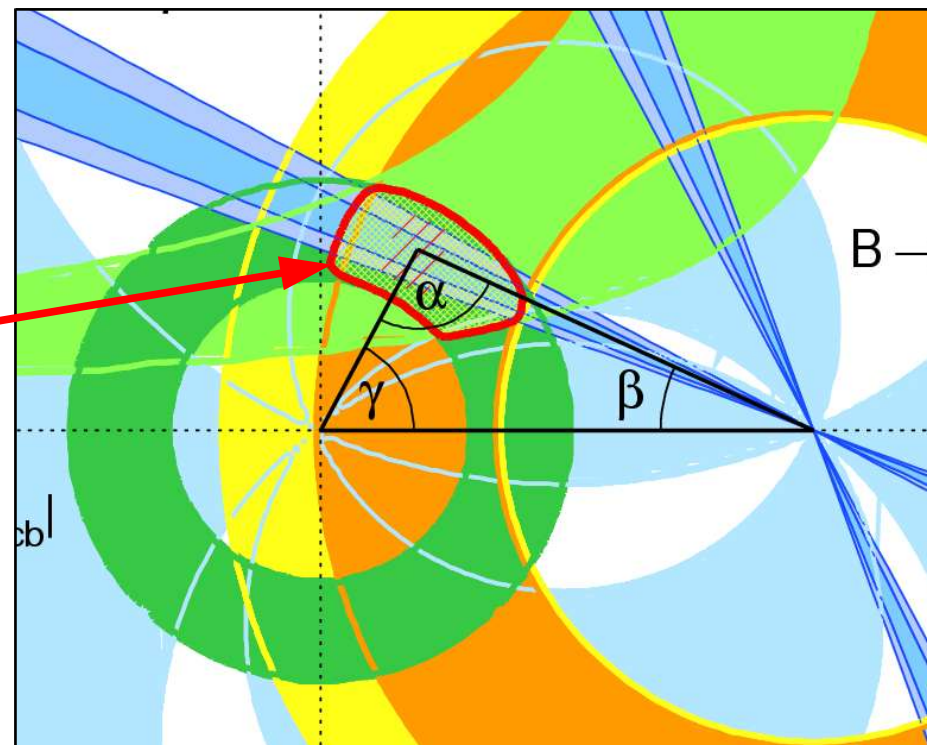
$$\alpha = \arg \left( -\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right)$$

$$\beta = \arg \left( -\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right)$$

$$\gamma = \arg \left( -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right)$$

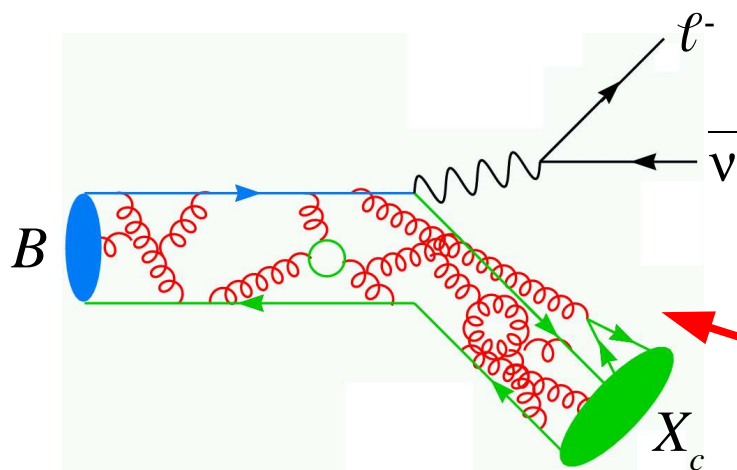
$|V_{cb}|$  CKM matrix element

- ✗ If the Standard Model is true the triangle has to be closed.
- ✗ More precise measurements of  $V_{ub}$  and  $V_{cb}$  are needed for the evaluation of their ratio in order to reduce the current uncertainties



- ✗  $V_{cb}$  can be evaluated from semileptonic B decays

➔ decoupling strong and weak interaction



Hadronic current is parametrized in terms of form factors

## $|V_{cb}|$ extraction from differential BR

- Heavy Quark Effective Theory (HQET) gives a “simple” relation for differential branching ratio of  $B^0 \rightarrow D^* \ell \nu$

$$\frac{d\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell)}{dw} = \frac{G_F^2}{48\pi^3} \tau_B |V_{cb}|^2 \Phi(w) \mathcal{F}^2(w)$$

Phase space factor  $\Phi(w)$

Form factor  $\mathcal{F}^2(w)$

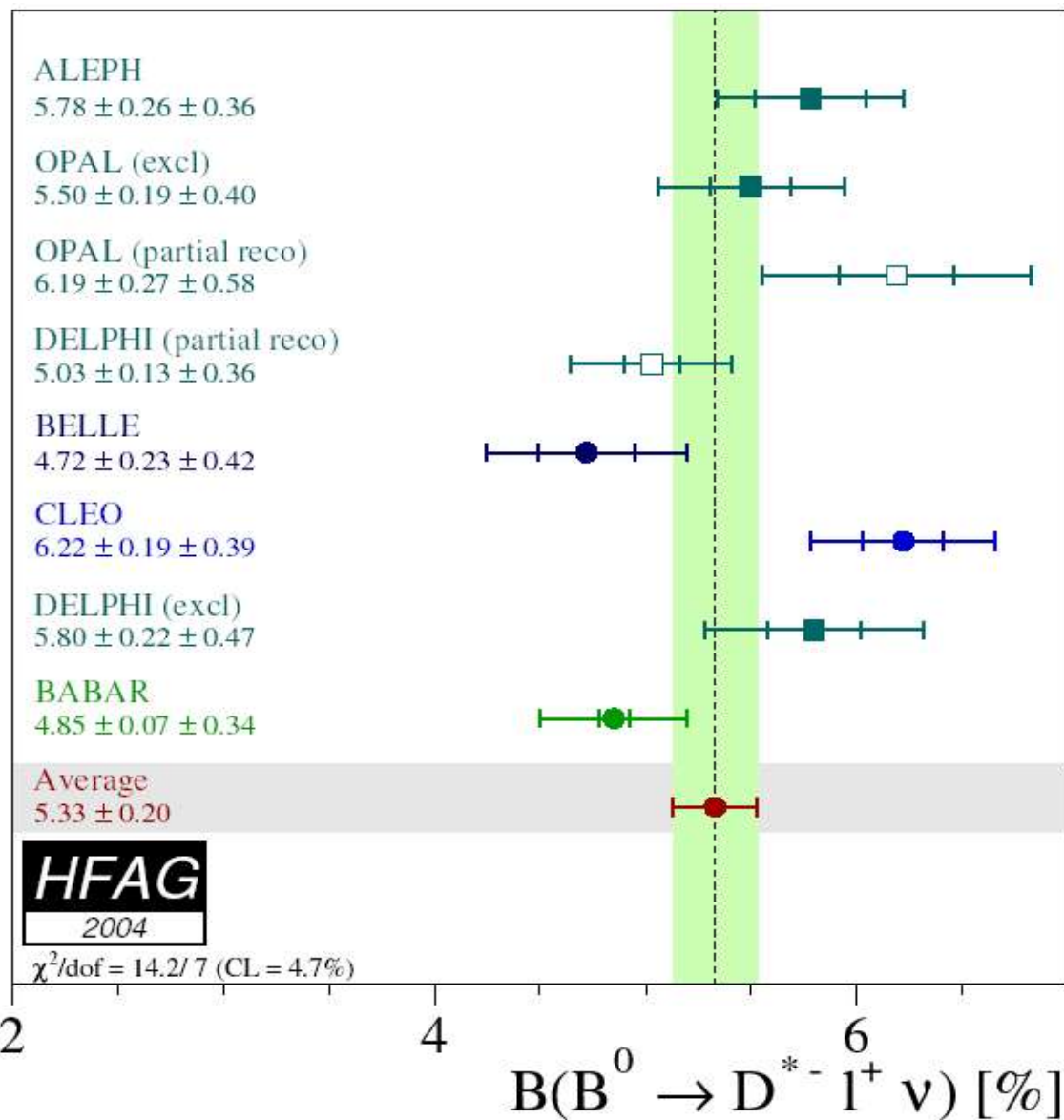
$w = \gamma_{D^*}$  in  $B^0$  rest frame

- The form factor can be evaluated at  $w=1$ , and in the limit  $m_b, m_c \rightarrow \infty$   $\mathcal{F}(1) \approx 1$

- $|V_{cb}|$  can be evaluated extrapolating the product  $|V_{cb}| \mathcal{F}(w)$  as a function of  $w$

➤ A first step in order to measure  $|V_{cb}|$  is the measurements of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$

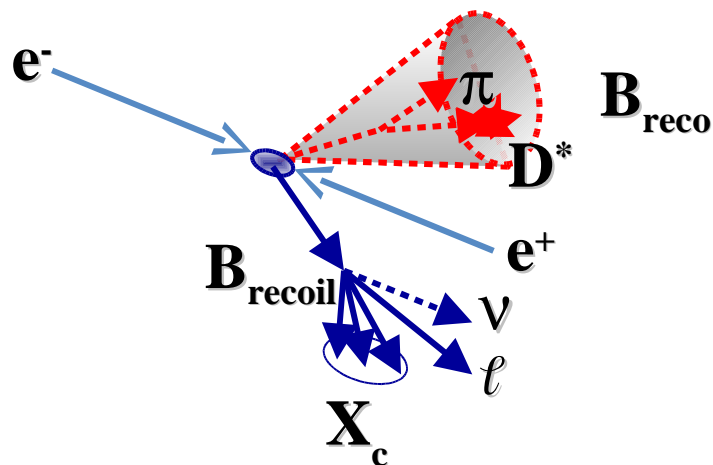


Existing measurements of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$ 


- $\chi/\text{dof}$  ( $\sim 2$ ) of the 8 existing measurements of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$  is marginal.
- A new measurement of the branching ratio by using a different approach is an important cross-check of the existing measurements.
- BaBar measured  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$  from an exclusive selection.
- The uncertainty on BaBar measurement is dominated by systematic effects, mainly due to the exclusive reconstruction of the final state.

⇒
The partial reco of  $D^*$  on the recoil of fully reconstructed B

## Analysis on the recoil of fully reconstructed B



- × Full reconstruction of one B:
  - ✓ Low efficiency  $\Rightarrow$  low available statistics  $\Rightarrow$  available data increase
  - ✓ Clean samples with one B
  - ✓ Clean separation between decay products of the two B mesons
  - ✓ Low background contamination on the recoil
  
- × Partial reconstruction on the recoil of the fully reconstructed B:
  - ✓ Less systematic effects
  - ✓ More efficient than full  $D^0$  reconstruction

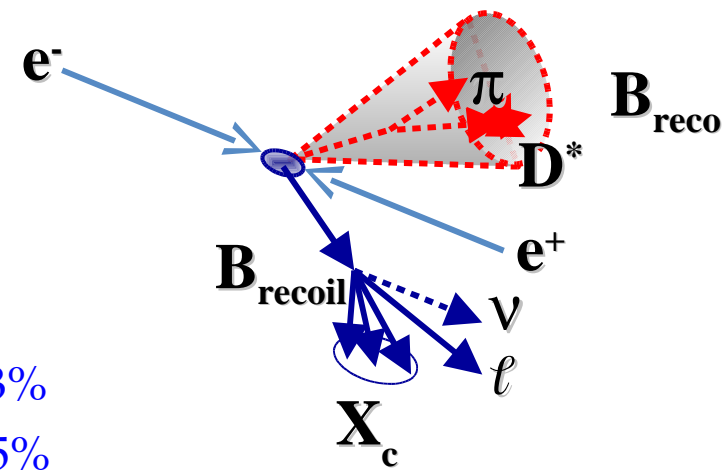
## One fully reconstructed B

- ✗ One  $B$  is reconstructed by looking at its hadronic decays (about 1000 decay modes) and its flavor is determined.
- ✗ The kinematic consistency checked with two variables:

$$m_{ES} = \sqrt{\frac{s}{4} - \vec{p}_B^2} = m_B$$

$$\Delta E = E_B - \frac{\sqrt{s}}{2} = 0$$

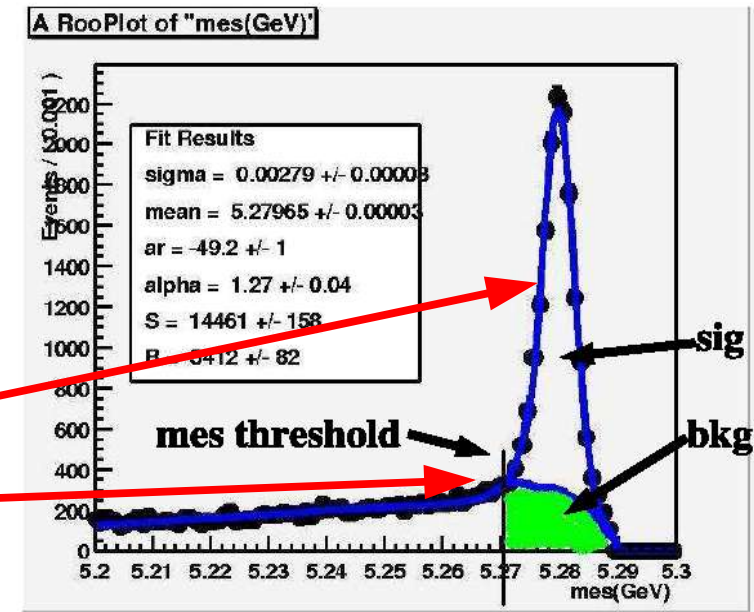
- ✗ Efficiency for  $B^0$  is 0.3%
- ✗ Efficiency for  $B^+$  is 0.5%



✗ Fit on  $m_{ES}$  variables is used for subtraction of background:

- ✓ Continuum background ( $c\bar{c}$  and  $uds$ )
- ✓ Combinatorial background in  $B$  decays

- ✗ Crystal-Ball function for signal events
- ✗ Argus function for background events



# Measurement of $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$ with the partially reconstructed $D^*$

- Partial reconstruction technique is useful to reconstruct  $B^0 \rightarrow D^* \ell \nu$  with  $D^* \rightarrow D^0 \pi^+$

$$\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell) = \underbrace{\frac{\mathcal{B}(B^0 \rightarrow X \ell \nu)}{\mathcal{B}(D^{*+} \rightarrow D^0 \pi^+)}}_{\text{From PDG}} \times \underbrace{\frac{N_{sel}^{D^* \ell \nu}}{N_{sel}^{SL, B^0}}}_{\text{Efficiencies of selections}} \times \underbrace{\left( \frac{1}{\epsilon^{cut}} \times \frac{\epsilon_{SL}^{Breco, SL}}{\epsilon_{sig}^{Breco, SL}} \right)}_{\text{Efficiencies of selections}}$$

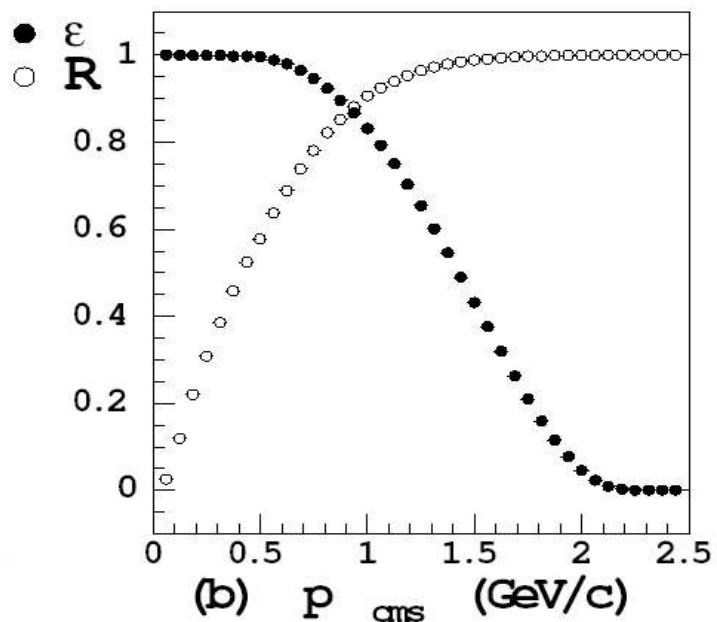
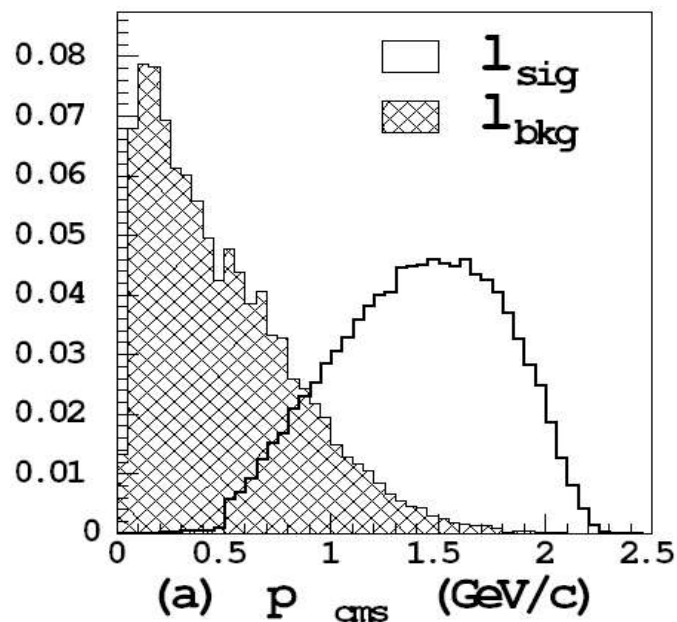
$$\frac{N_{sel}^{D^* \ell \nu}}{N_{sel}^{SL, B^0}} \rightarrow \begin{array}{l}
 \times \text{Number of signal events: } B^0 \rightarrow D^{*+} \ell^- \nu \\
 \quad \hookrightarrow D^0 \pi^+ \\
 \times \text{Partial reconstruction of } D^*
 \end{array}$$

$$\times \text{Number of semileptonic events: } B^0 \rightarrow X \ell \nu \quad \times \text{Semileptonic selection}$$

# Semileptonic selection - Lepton reconstruction

✗ The lepton from a semileptonic  $B$  decay has to be discriminated from other leptons:

➔  $p_{cms} > 1 \text{ GeV}$



✗ The lepton identification use information from all detectors:


- ✓ Efficiency electrons ID  $\approx 95\%$
- ✓ Efficiency muons ID  $\approx 60\text{-}70\%$

## Semileptonic selection

× Semileptonic  $B^0$  decays are selected with these cuts:

- $$\left\{ \begin{array}{l} 1. P_{cms}^\ell > 1 \text{ GeV.} \\ 2. B_{charge}^{reco} = 0. \\ 3. \text{ correlation between } B \text{ flavor and lepton charge } (B^0 - \ell^+ \text{ or } \bar{B}^0 - \ell^-). \end{array} \right.$$

× Selected SL sample compositions:

- |   |  |   |
|---|--|---|
| <ul style="list-style-type: none"> <li>✓ <math>B^0</math> semileptonic decays</li> <li>✓ Continuum background (<math>\bar{c}c</math> and <math>uds</math>)</li> <li>✓ Combinatorial background in <math>B</math> decays</li> <li>✓ <math>B^+</math> reconstructed as <math>B^0</math></li> <li>✓ Wrong lepton</li> <li>✓ Fake lepton</li> </ul> |        | <ul style="list-style-type: none"> <li>× <math>B^0</math> semileptonic events</li> </ul>  |
| $\left. \begin{array}{l} \text{Continuum background } (\bar{c}c \text{ and } uds) \\ \text{Combinatorial background in } B \text{ decays} \end{array} \right\}$   | $\left. \begin{array}{l} \text{Wrong lepton} \\ \text{Fake lepton} \end{array} \right\}$ | <ul style="list-style-type: none"> <li>× subtracted performing <math>m_{ES}</math> fit.</li> <li>× Taken into account in a Monte Carlo factor:</li> </ul> |
| $K^{SL} = 1.100 \pm 0.004(stat_{mc})$   |  |   |

$$\Rightarrow N_{sel}^{SL, B^0} = \frac{N_{sel}^{SL}}{K^{SL}}$$

## Semileptonic selection

- ✓ On  $210.5 \text{ fb}^{-1}$  of real data:

$$N_{sel}^{SL, B^0} = 21504 \pm 202(stat_{dat}) \pm 80(stat_{mc})$$

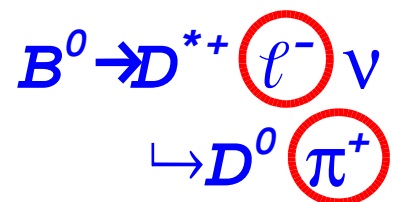
- ✓ Semileptonic selection eff on signal events calculated from Monte Carlo :

$$\epsilon_{sig}^{Breco, SL} = (2.668 \pm 0.017(stat_{mc})) \times 10^{-3}$$

- ✓ Semileptonic selection eff on SL events calculated from Monte Carlo :

$$\epsilon_{SL}^{Breco, SL} = (2.470 \pm 0.010(stat_{mc})) \times 10^{-3}$$

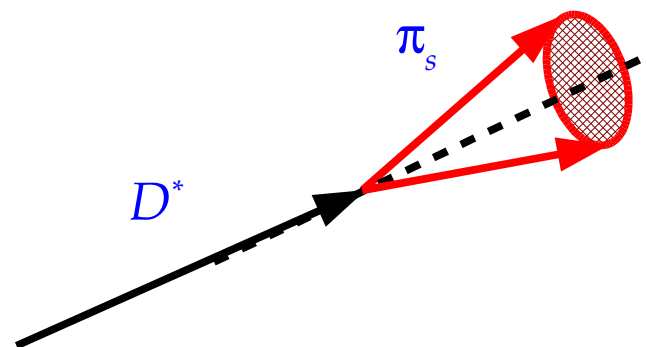
$$\epsilon_{sig}^{Breco, SL} / \epsilon_{SL}^{Breco, SL} = 1.08 \pm 0.001(stat_{mc}) \text{ near 1 as expected.}$$

Partial reconstruction of the  $B^0 \rightarrow D^* \ell \nu$  decay


$\rightarrow B^0 \rightarrow D^* \ell \nu$  decay is reconstructed using only the lepton and the soft pion from  $D^*$

- The special kinematics of the decay  $D^* \rightarrow D^0 \pi^+$  allows to reconstruct  $D^*$  using only  $\pi$  information.  $\mathbf{p}_\pi \Rightarrow \mathbf{p}_{D^*}$

$$M_{D^*} = M_{D^0} + M_\pi + \underline{5 \text{ MeV}} \quad \Rightarrow \quad \beta_\pi \approx \beta_{D^*}$$

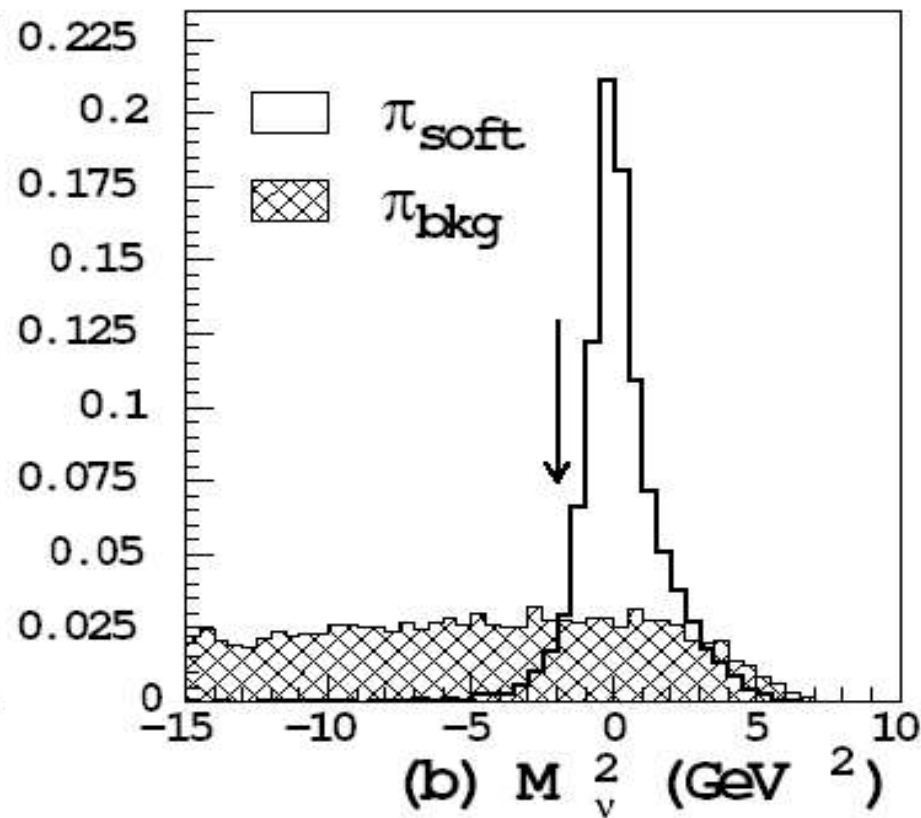
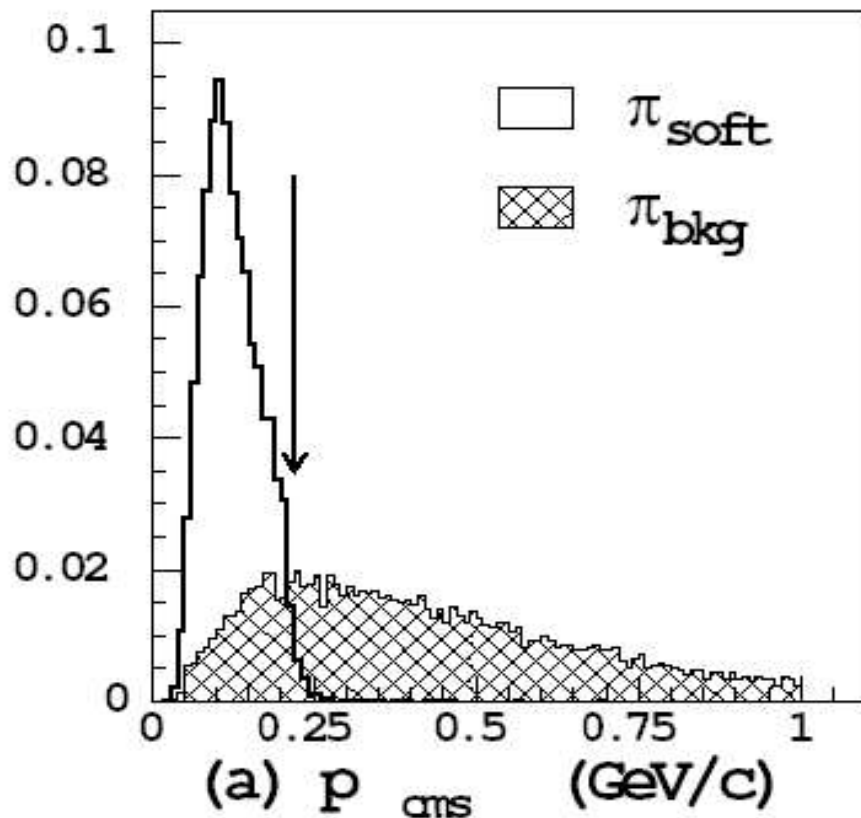




## Discriminating variables for $B^0 \rightarrow D^* \ell \nu$ selection

✗ Soft pion  $p_{cms}$

✗ Squared mass of neutrino:  $M_\nu^2$



➤ Best cuts are those values corresponding

to the max of the ratio:

$$SB = \frac{N_{sel}^{Sig}}{\sqrt{N_{sel}^{Sig} + N_{sel}^{bkg}}}$$

⇒

$$50 \text{ MeV} \leq p_{cms} \leq 220 \text{ MeV}$$

$$M_\nu^2 \geq -2.0 \text{ GeV}^2$$

Selection of  $B^0 \rightarrow D^{*+} \ell^- \nu$  events

$$\hookrightarrow D^0 \pi^+$$

1.  $B_{charge}^{reco} = 0$ .
2. Correlation between  $B$  flavor and charge of lepton ( $B^0 - \ell^+$  or  $\overline{B}^0 - \ell^-$ ).
3.  $P_{cms}^\ell > 1$  GeV.
- ④ Correct charge correlation between lepton and soft pion ( $\ell^+ - \pi^-$  or  $\ell^- - \pi^+$ ).
5. If more than one pion is found, choose the one with minimum  $p_{cms}^\pi$ .
6.  $50 \text{ MeV} \leq p_{cms}^\pi \leq 220 \text{ MeV}$ .
- ⑦  $M_\nu^2 \geq -2.0 \text{ GeV}^2$ .

✗ Requests 4 and 7 are also used “reversed” for background estimation:

→  $N^{\alpha,\beta}$  where  $\alpha =$    
 ↗ RS (right sign correlation)   
 ↘ WS (wrong sign correlation)

$\beta =$    
 ↗ 2 for  $M_\nu^2 \geq -2$    
 ↘ 5 for  $M_\nu^2 \leq -5$

Selection of  $B^0 \rightarrow D^{*+} \ell^- \nu$  events

$$\hookrightarrow D^0 \pi^+$$

× Composition of the selected sample  $N_{sel}^{RS,2}$  :

- |  |          |               |
|--|----------|---------------|
| 1. $D^{*-} \ell^+ \nu_\ell$ events with $D^{*+} \rightarrow D^0 \pi^+$ and $\pi_{sel} = \pi_s$ ( $\sim 87\%$ )       | } Signal | → $\sim 48\%$ |
| 2. $D^{*-} \ell^+ \nu_\ell$ events with $D^{*+} \rightarrow D^0 \pi^+$ and $\pi_{sel} = \pi_{wrong}$ ( $\sim 13\%$ ) |          |               |
| 3. $D^{*-} \ell^+ \nu_\ell$ with $D^{*+} \rightarrow D^+ \pi^0$ with a charged pion reconstructed as soft pion       |          | → $\sim 4\%$  |
| 4. Physical background: all semileptonic and non-semileptonic $B$ events   |          | → $\sim 20\%$ |
| 5. Continuum background ( $c\bar{c}$ and $uds$ )   | }        | → $\sim 28\%$ |
| 6. Combinatorial background in $B$ decays  |          |               |

⇒ Background subtraction has to be performed

## Background subtraction

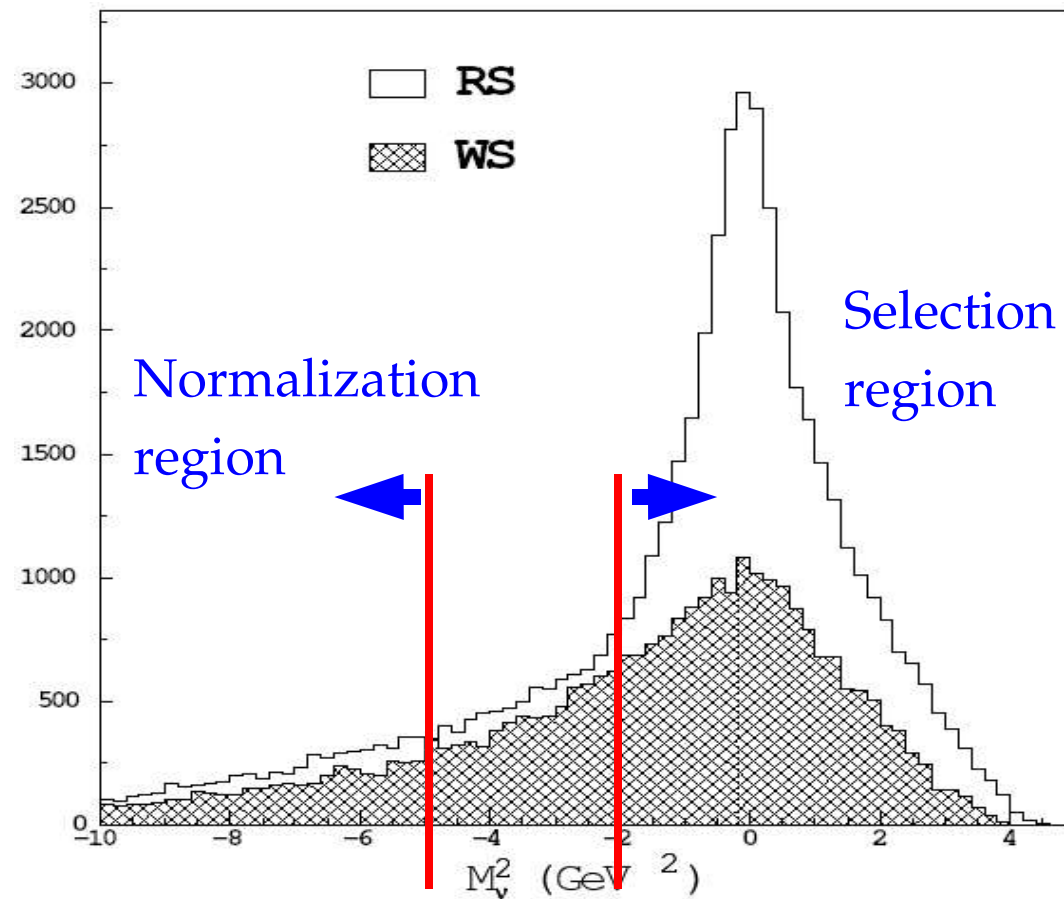
✗ (5,6) continuum and combinatorial backgrounds are subtracted performing an  $m_{ES}$  fit as done for the semileptonic selection.

✗ (4) Physical backgrounds are evaluated assuming:

➔ The number of physical background events **RS** events at  $M_\nu^2 > -2$  are extrapolated from the events **WS** at  $M_\nu^2 > -2$  normalized to the ratio **RS/WS** at  $M_\nu^2 < -5$ :

$$NF^5 \equiv \frac{N^{RS,5}}{N^{WS,5}}$$

$$N_{bkg,calc}^{RS,2} = NF^5 \times N_{sel}^{WS,2} + MC_{corr}$$



✓ Monte Carlo corrections take into account deviations from the extrapolation.

Background subtraction -  $MC_{corr}$ 

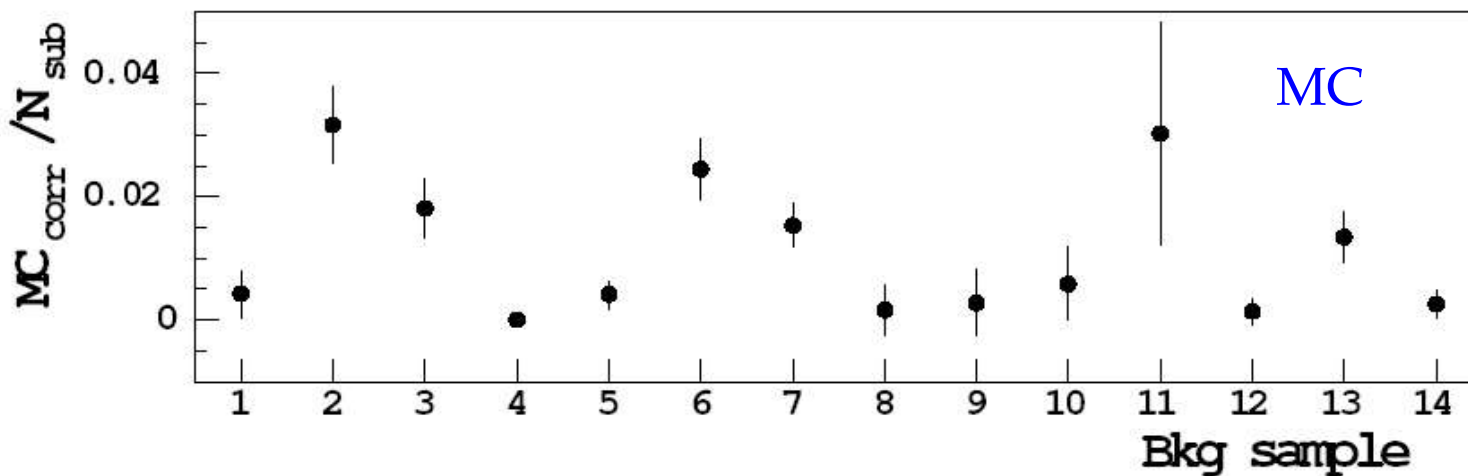
✗  $MC_{corr}$  are studied in Monte Carlo looking at 14 background samples:

- 7 backgrounds from  $B^0$
- 7 backgrounds from  $B^+$

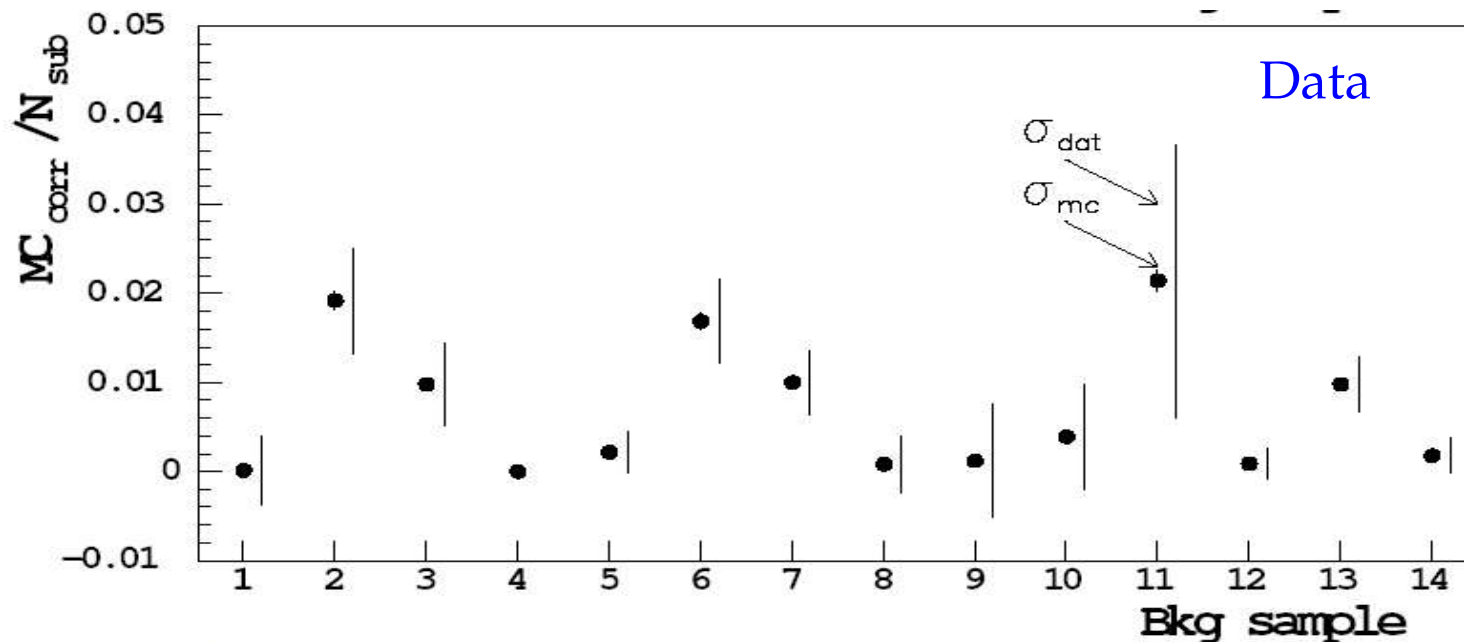
Type of decay	Index for decays from $B^0$	Index for decays from $B^+$
Non-SL	1	8
Other SL	2	9
$D^0, D^+ \ell \nu$	3	10
$D^{*0} \ell \nu$	4	11
$D_2^* \ell \nu$	5	12
$D_1 \ell \nu$	6	13
other $D \ell \nu$	7	14

Background subtraction –  $MC_{corr}$  on Monte Carlo

$\blacktriangleright$   $MC_{corr}$  relative contribution at  $N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2}$



$\blacktriangleright$  Tot on MC 16%



$\blacktriangleright$  Tot on data 14%

## Signal selection - Background subtraction corrections

✗ The background subtraction cuts also a few on signal events

⇒ a Monte Carlo correction factor is applied on final calculation:

$$K_{MC}^{dat} = 0.835 \pm 0.019(stat_{data}) \pm 0.006(stat_{mc})$$

### Signal calculation

✗ In summary the number of signal events is calculated with:

$$N_{sel}^{D^* \ell \nu} = \frac{1}{K_{MC}} \times \left( N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2} - MC_{corr} \right)$$

$$N_{sel,data}^{RS,2} = 4748 \pm 301(stat_{dat}) \pm 117(stat_{mc})$$

✗ Selection efficiency from Monte Carlo :

$$\epsilon^{cut} = \frac{N_{sig}^{RS,2}}{N_{sig}^{Breco,SL}} = \frac{20877 \pm 174}{36529 \pm 236} = 0.572 \pm 0.006(stat_{mc})$$

## Systematic uncertainties

1. Uncertainties on used branching ratio (from PDG2004):  $\mathcal{B}(B^0 \rightarrow X \ell \nu) = (10.5 \pm 0.8)\%$   
 $\mathcal{B}(D^{*+} \rightarrow D^0 \pi^+) = (67.7 \pm 0.5)\%$
2. Limited Monte Carlo statistics  $\Rightarrow$  statistical errors from MC
3. Uncertainties from  $MC_{\text{corr}}$ : Monte Carlo does not reproduce exactly the real data

- 3a. Limited knowledge of semileptonic B decays in  $D^{**}$  states:  $\mathcal{B}(B \rightarrow D_2^* \ell \nu)$   
 $\mathcal{B}(B \rightarrow D_1 \ell \nu)$   
 $\mathcal{B}(\text{other } B \rightarrow D^{**} \ell \nu)$

singles BR is not known, only their sum is known  $\mathcal{B}_{\text{tot}} = 0.027$ , then systematic is obtained with a random variation of each single BR between 0 and the total mantaining constants the sum.

- 3b. Limited knowledge of other B decays:  $\mathcal{B}(B \rightarrow \text{other})$   
 a random variation of 100% is used to evaluate the systematic



## Systematic uncertainties

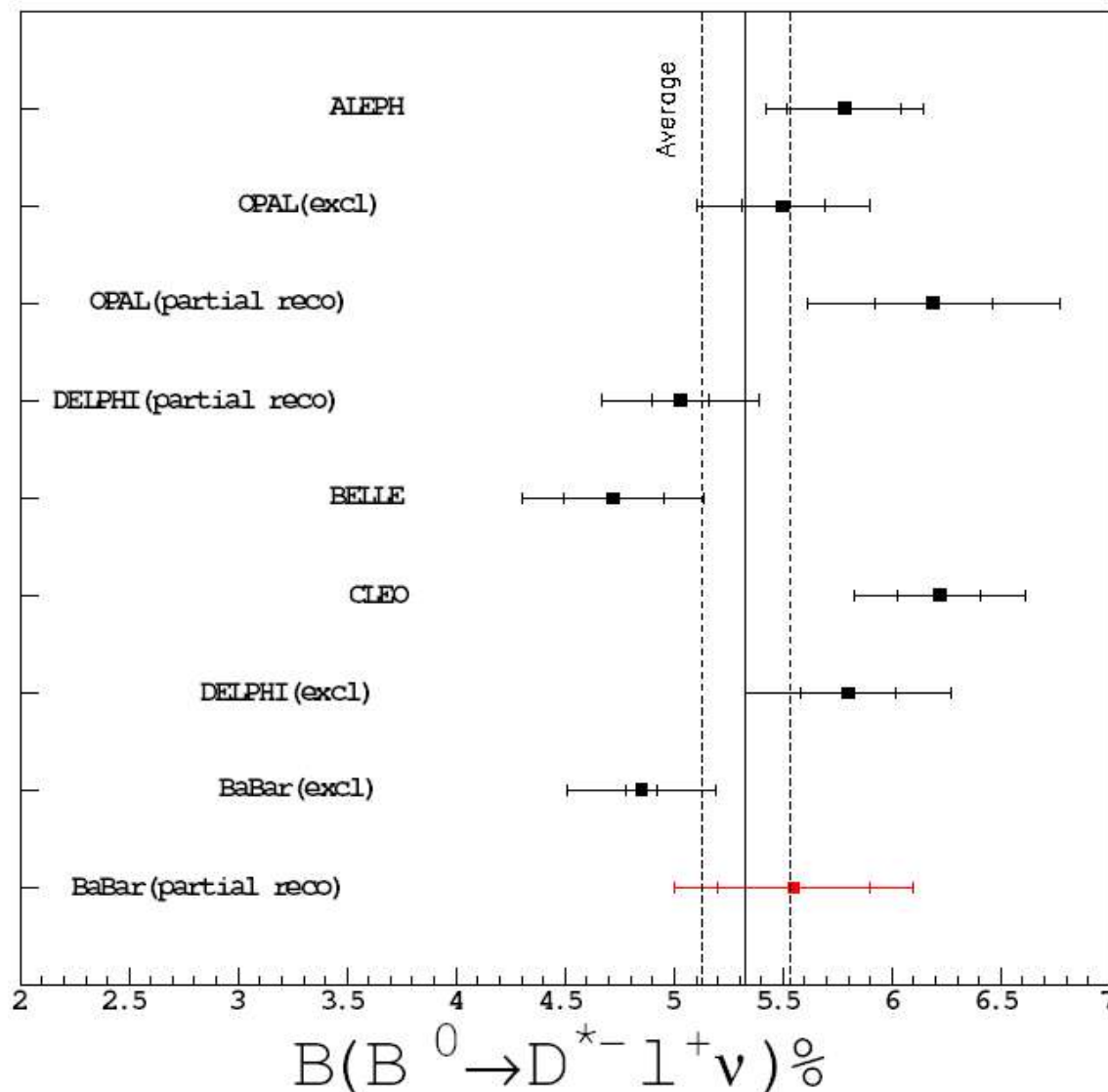
4. systematic effects on ratio between efficiencies of the full reconstruction of one B  
 $\Rightarrow$  taken from  $V_{ub}$  analysis
5. lepton tracking  $\Rightarrow$  wrong reconstruction probability of 1.3%
6. lepton identification  $\Rightarrow$  wrong identification probability of 3%
7. lepton misidentification  $\Rightarrow$  wrong misidentification probability of 15%
8. soft pion detection  $\Rightarrow$  wrong soft pion reconstruction probability of 2.6%
9. systematic effects from  $m_{ES}$  fit  $\Rightarrow$  fit with Gaussian instead of a Crystal-Ball function

## Uncertainties

Error contribution	$\sigma_B \times 10^{-2}$	$\frac{\sigma_B}{B} \%$
data statistics	0.35	6.3
1. uncertainties on $\mathcal{B}(B^0 \rightarrow X \ell \nu)$ and $\mathcal{B}(D^{*+} \rightarrow D^0 \pi^+)$	0.42	7.7
2. Monte Carlo statistics	0.16	2.8
3a. unknown $\mathcal{B}(B \rightarrow D_2^* \ell \nu)$ , $\mathcal{B}(B \rightarrow D_1 \ell \nu)$ and $\mathcal{B}(\text{other } B \rightarrow D^{**} \ell \nu)$	0.18	3.3
3b. unknown $\mathcal{B}(B \rightarrow \text{hadrons})$	0.0033	0.06
4. Fully reconstructed $B$	0.17	3.0
5. Lepton tracking	0.043	0.8
6. Lepton ID	0.11	2.1
7. Lepton misID	0.047	0.8
8. soft pion reconstruction	0.13	2.3
9. $m_{ES}$ fit	0.0062	0.11
total systematics	0.55	9.9
total error	0.65	11.7

Measured value of  $\mathcal{B}(B^0 \rightarrow D^* \ell \nu)$ 

$$\mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell) = (5.55 \pm 0.35(\text{stat}_{\text{dat}}) \pm 0.55(\text{syst})) \times 10^{-2}$$



$$\mathcal{B}_{\text{ave}} = 5.33 \pm 0.20$$

## Conclusions

- ✗ The measured value of the branching ratio results to be comparable with the world average.
- ✗ As preliminary measurement the signal events have been extracted from semileptonic  $B^0$  decays, then the branching ratio is calculated normalizing with  $\mathcal{B}(B^0 \rightarrow X \ell \nu)$ .
- ✗ The systematic uncertainties have been evaluated in a simple and conservative way.

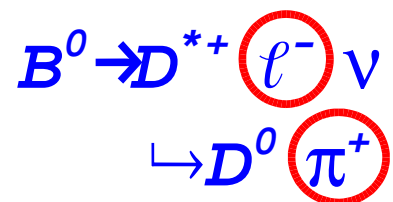
## Outlook

- ✓ Extract signal events from all semileptonic decays  $B \rightarrow X \ell \nu$  and not only from  $B^0$  : the branching ratio will be calculated normalizing with  $\mathcal{B}(B \rightarrow X \ell \nu)$ , which is known better than  $\mathcal{B}(B^0 \rightarrow X \ell \nu)$  , this change will reduce the associated systematic from 7.7% to 3%.
- ✓ More Monte Carlo events are now available, allowing a reduction of the statistical errors from the simulation.
- ✓ The others systematic uncertainties have to be evaluated in more detail.

.....

- ✓ Partial reconstruction 1-4
- ✓ Ratio SB 5-6
- ✓ Comparison of ratio  $N/\epsilon$  between the two signal definitions 7
- ✓  $M_{\nu}^2$  distribution of background samples 8-9
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Partial reconstruction of the  $B^0 \rightarrow D^* \ell \nu$  decay



$\rightarrow B^0 \rightarrow D^* \ell \nu$  decay is reconstructed using only the lepton and the soft pion from  $D^*$

- ✓ Squared mass of the neutrino is one of the useful variables for signal reconstruction:

$$M_\nu^2 = p_\nu^2 \quad p_\nu = p_B - p_{D^*} - p_l$$

- ✓ where  $p_B = p_\gamma - p_{\bar{B}}$

- ✓  $p_l$  is known from lepton reconstruction.

- ✓ While the special kinematics of the decay  $D^* \rightarrow D^0 \pi^+$  allows to reconstruct  $D^*$  using only  $\pi$  informations.

$$p_\pi \Rightarrow p_{D^*}$$

Partial reconstruction of the  $B^0 \rightarrow D^* \ell \nu$  decay

$$\begin{aligned}
 B^0 &\rightarrow D^{*+} (\ell^-) \nu & \checkmark M_{D^*} &= 2010 \text{ MeV} \\
 &\hookrightarrow D^0 (\pi^+) & \checkmark M_{D^0} &= 1865 \text{ MeV}
 \end{aligned}
 \Rightarrow E_{\pi_s}^* = \frac{m_{D^*}^2 - m_{D^0}^2 + m_{\pi}^2}{2m_{D^*}} = 145 \text{ MeV}$$

✓ From  $D^*$  rest frame to Lab frame:

$$\checkmark D^* \begin{pmatrix} E_{D^*}^{lab} \\ \vec{p}_{D^*}^{lab} \end{pmatrix} = \begin{pmatrix} \gamma_{D^*} & \gamma_{D^*} \beta_{D^*} \\ \gamma_{D^*} \beta_{D^*} & \gamma_{D^*} \end{pmatrix} \begin{pmatrix} m_{D^*} \\ \vec{0} \end{pmatrix}$$

to be calculated
 $\approx$  known

Boost of  $D^*$  can be evaluated from soft pion

$$\checkmark \pi_s \begin{pmatrix} E_{\pi_s}^{lab} \\ \vec{p}_{\pi_s}^{lab} \end{pmatrix} = \begin{pmatrix} \gamma_{D^*} & \gamma_{D^*} \beta_{D^*} \\ \gamma_{D^*} \beta_{D^*} & \gamma_{D^*} \end{pmatrix} \begin{pmatrix} E_{\pi_s}^* \\ \vec{p}_{\pi_s}^* \end{pmatrix}$$

detected
 $\approx$  known



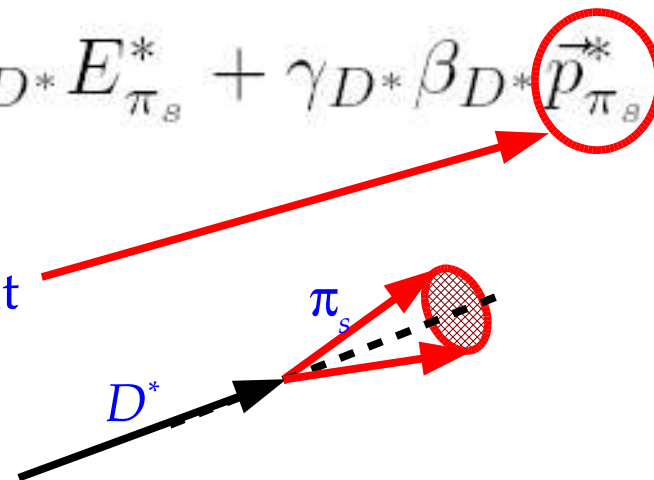
Partial reconstruction of the  $B^0 \rightarrow D^* \ell \nu$  decay

✓ Boost of  $D^*$  can be evaluated solving

$$E_{\pi_s}^{lab} = \gamma_{D^*} E_{\pi_s}^* + \gamma_{D^*} \beta_{D^*} \vec{p}_{\pi_s}^*$$

✓ Relative direction between  $\pi_s$  and  $D^*$  is not known, but

✓ in Lab (also CMS) frame the soft pion will be emitted in a restricted cone around  $D^*$  direction



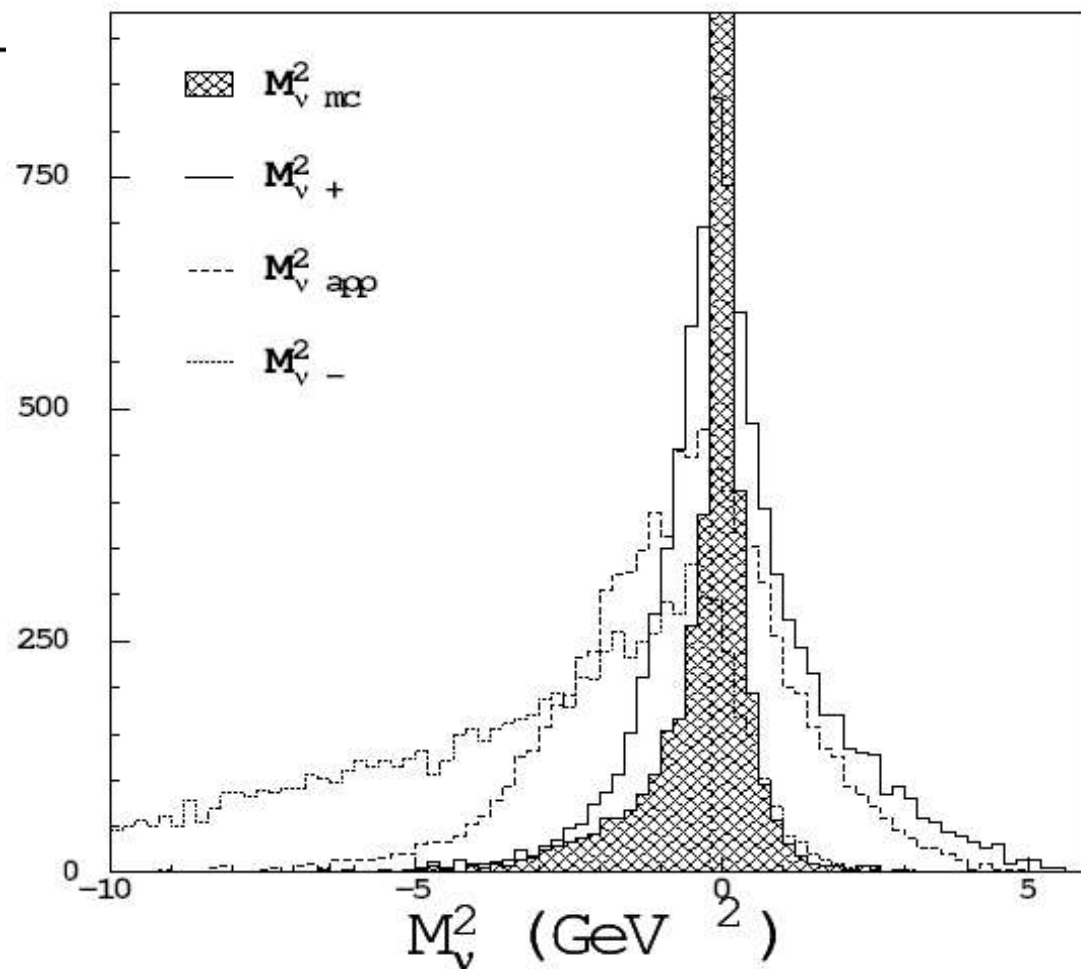
⇒ Two approximation can be used for boost calculation

<p>➤ First approx: <math>D^* // \pi</math></p>	$\beta_{D^*}^{\pm} = \frac{E_{\pi_s}^{lab}  \vec{p}_{\pi_s}^{lab}  \pm E_{\pi_s}^*  \vec{p}_{\pi_s}^* }{E_{\pi_s}^{*2} +  \vec{p}_{\pi_s}^{lab} ^2}$	}	$M_v^{2+}$
<p>➤ Second approx: <math>D^* // \pi</math> and <math>p_{\pi} = 0</math></p>	$\gamma_{D^*}^{app} = \frac{E_{\pi_s}^{lab}}{E_{\pi_s}^*}$		$M_v^{2-}$
			$M_v^{2app}$

Partial reconstruction of the  $B^0 \rightarrow D^* \ell \nu$  decay

Solution type	Fraction (%) with best $M_{\nu reco}^2$ between three solutions	Fraction (%) with best $M_{\nu reco}^2$ between two solutions $\pm$
$M_{\nu det}^{2+}$	$53.1 \pm 0.8$	$74.7 \pm 0.9$
$M_{\nu det}^{2-}$	$18.8 \pm 0.5$	$25.3 \pm 0.5$
$M_{\nu det}^{2App}$	$28.1 \pm 0.6$	

$\Rightarrow$  The best solution is the positive one:  $M_{\nu}^{2+}$



Ratio SB for the two signal definitions.

1.  $D^{*-} \ell^+ \nu_\ell$  events with  $D^{*+} \rightarrow D^0 \pi^+$  and  $\pi_{sel} = \pi_s$   $\rightarrow N_1$

2.  $D^{*-} \ell^+ \nu_\ell$  events with  $D^{*+} \rightarrow D^0 \pi^+$  and  $\pi_{sel} = \pi_{wrong}$   $\rightarrow N_2$

$\rightarrow$  2 definitions for the number of signal events:

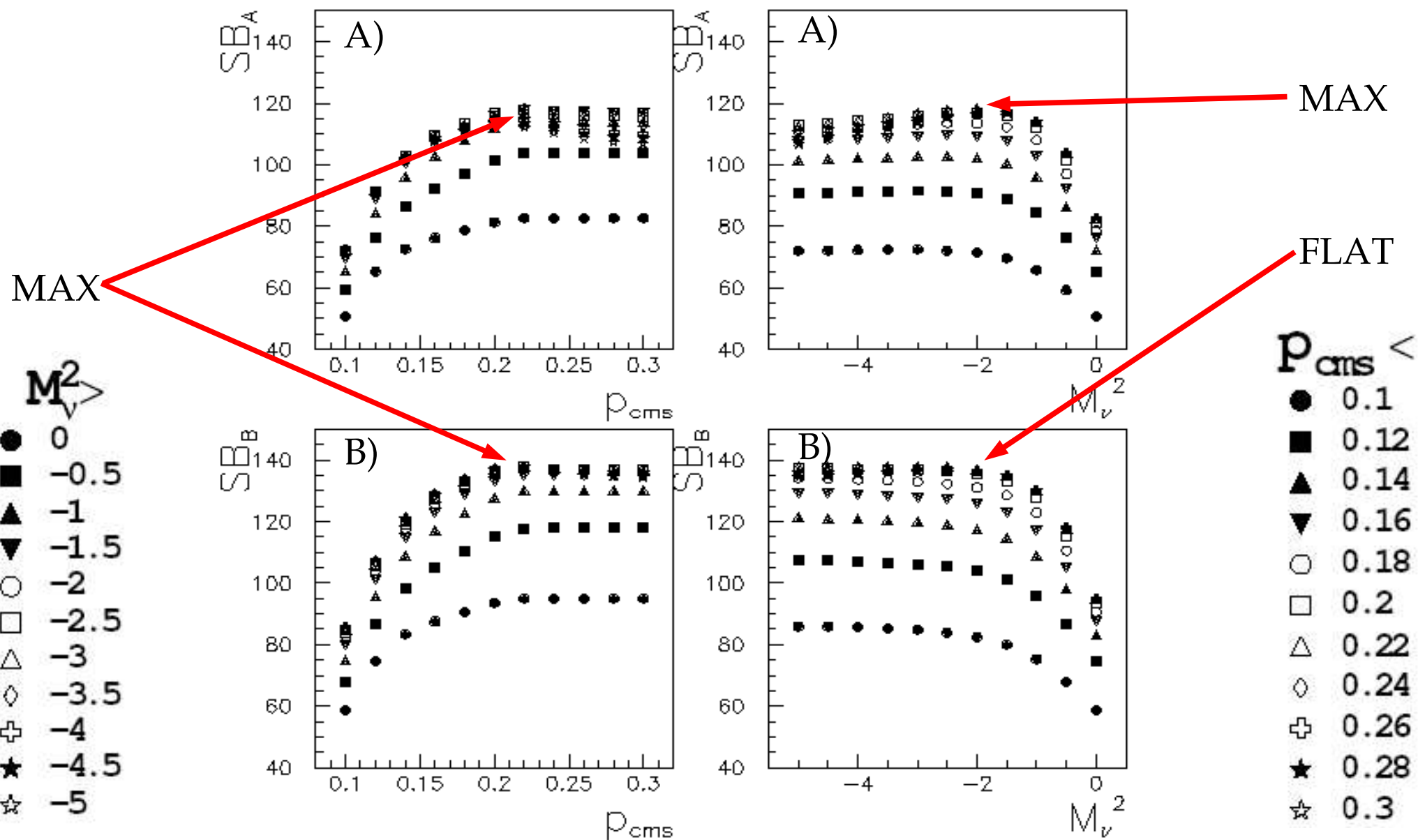
A)  $N_A = N_1$

B)  $N_B = N_1 + N_2$

A) The ratio SB has maximum value for  $p_{cms} = 220$  MeV and for  $M_v^2 = -2.0$

B) The ratio SB has maximum value for  $p_{cms} = 220$  MeV, while for  $M_v^2$  the ratio is approximately constant from -2.0 to -5.0. At low values of  $M_v^2$  the background contamination becomes high, then the lower value -2.0 is better concerning background contamination and it also corresponds to the maximum of the first definition.

Ratio SB for the two signal definitions.



Comparison of ratio  $N/\epsilon$  between the two signal definitions.

× Efficiencies

$$N_A \Rightarrow \epsilon^{cut} = \frac{N_{sig}^{RS,2}}{N_{sig}^{Breco,SL}} = \frac{20877 \pm 174}{36529 \pm 236} = 0.572 \pm 0.006(stat_{mc})$$

$$N_B \Rightarrow \epsilon^{cut, \pi_s} = \frac{N_{sig(\pi_s)}^{RS,2}}{N_{sig}^{Breco,SL}} = \frac{18122 \pm 149}{36529 \pm 236} = 0.496 \pm 0.005(stat_{mc})$$

× Numbers of selected events

$$N_A \Rightarrow N_{sel,data}^{RS,2} = 4748 \pm 301(stat_{dat}) \pm 117(stat_{mc})$$

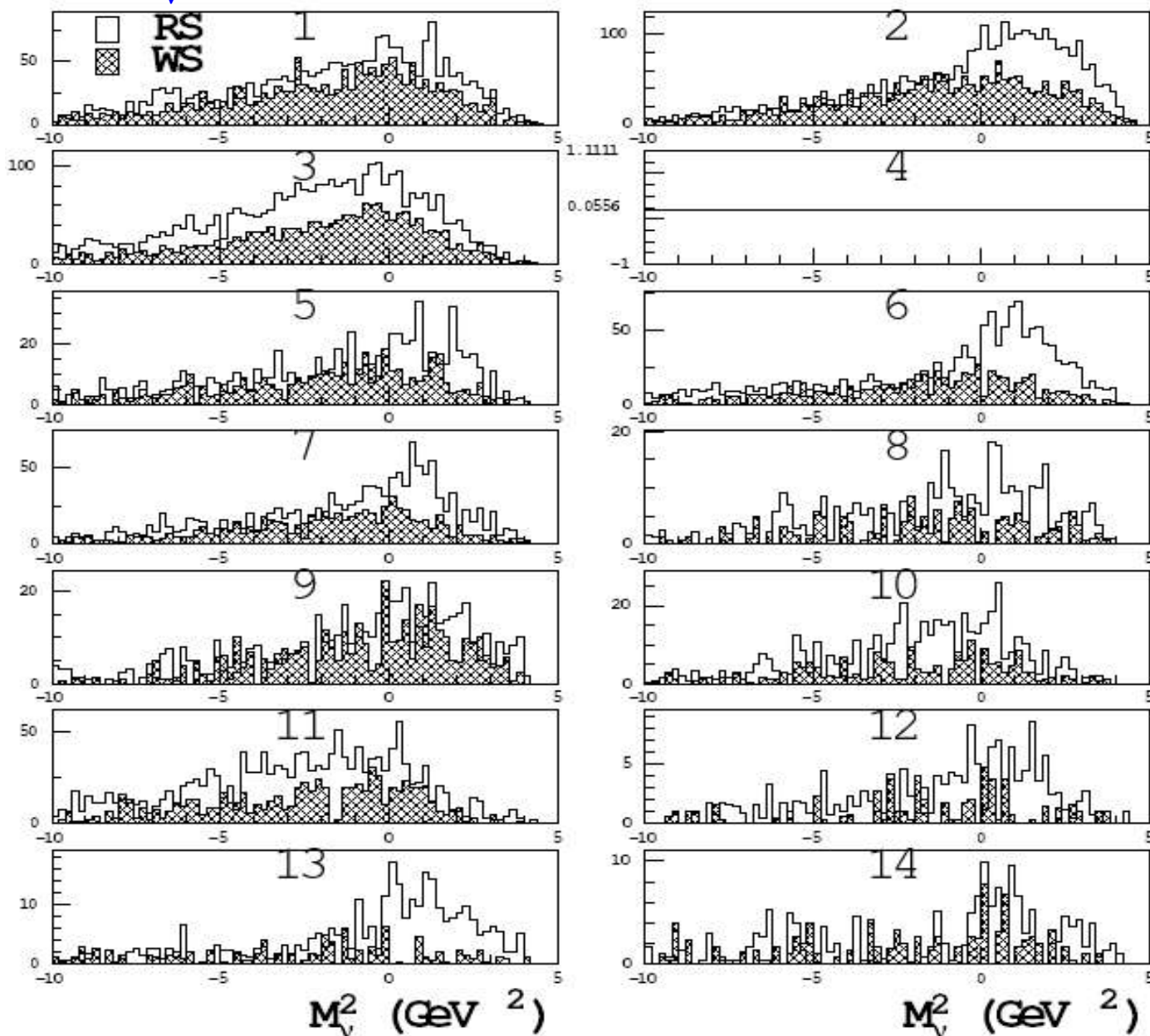
$$N_B \Rightarrow N_{sel(\pi_s),data}^{RS,2} = 4118 \pm 241(stat_{dat}) \pm 135(stat_{mc})$$

× Ratio between numbers of events corrected by efficiencies

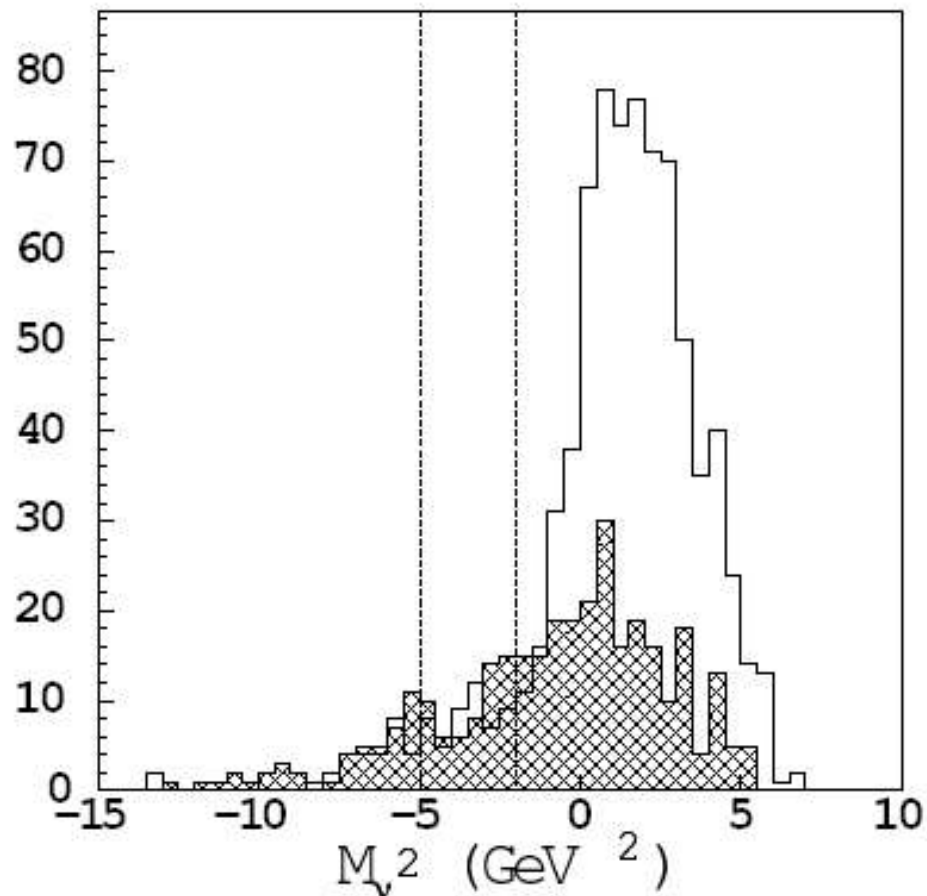
$$N_A \Rightarrow \frac{N_{sig}^{RS,2} / \epsilon^{cut}}{N_{sig(\pi_s)}^{RS,2} / \epsilon^{cut, \pi_s}} = 1$$

$$N_B \Rightarrow$$

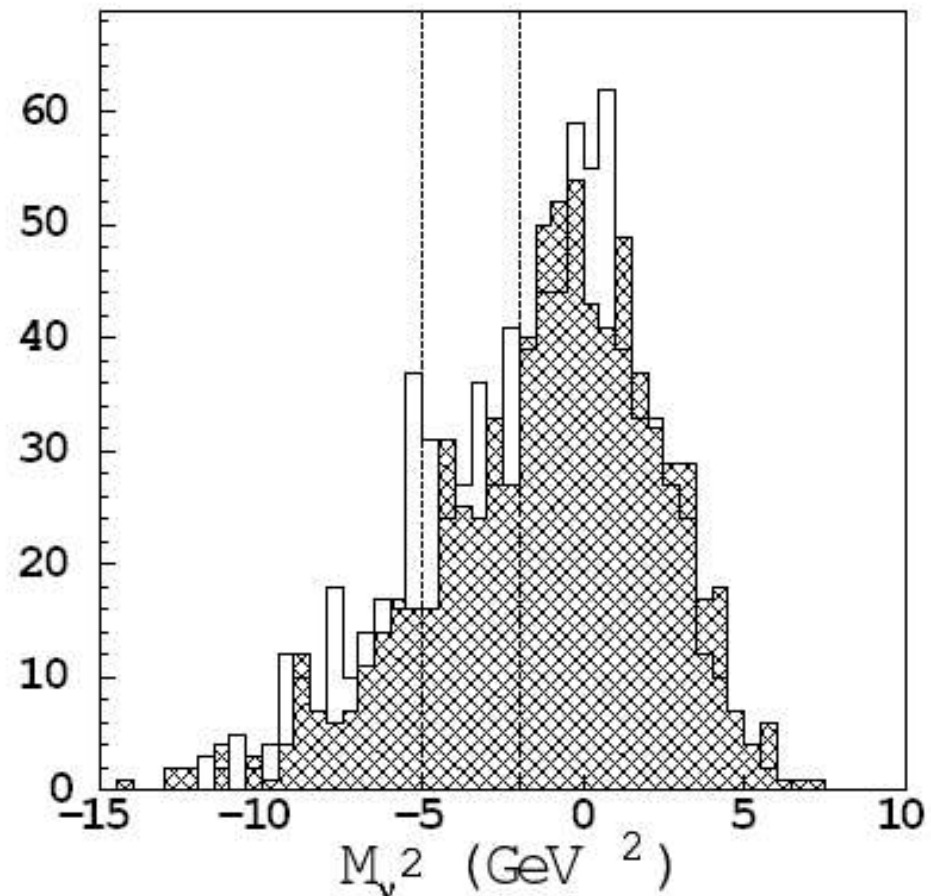
$M_{\nu}^2$  distribution of background samples



# $M_\nu^2$ distribution of background samples



(a)



(b)

Figure A.1:  $M_\nu^2$  distribution for RS (white area) and WS (cross-hatched are) for a peaking background sample in (a) and for a non-peaking background sample in (b).

## Background subtraction

✗ (5,6) continuum and combinatorial backgrounds are subtracted performing an  $m_{ES}$  fit as done for the semileptonic selection.

✗ (4) Physical backgrounds are evaluated assuming:

$$NF^5 \equiv \frac{N^{RS,5}}{N^{WS,5}} = \frac{N^{RS,2}}{N^{WS,2}} = NF^2$$

➔ the number of background events are calculated normalizing the selected **WS events at  $M_v^2 > -2$**  with the ratio between

**RS and WS at  $M_v^2 < -5$**  and applying some corrections:

$$N_{bkg,calc}^{RS,2} = NF^5 \times N_{sel}^{WS,2} + MC_{corr}$$

$$MC_{corr} = \sum_{i, i \neq sig, D^+} (NF_i^2 - NF^5) N_i^{WS,2}$$

$$NF_i^2 = N_i^{RS,2} / N_i^{WS,2}$$

Monte Carlo corrections take into account deviation from initial RS/WS assumption.



Background subtraction -  $MC_{corr}$

- ✗  $MC_{corr}$  are studied in Monte Carlo looking at 14 background samples:
  - 7 backgrounds from  $B^0$
  - 7 backgrounds from  $B^+$

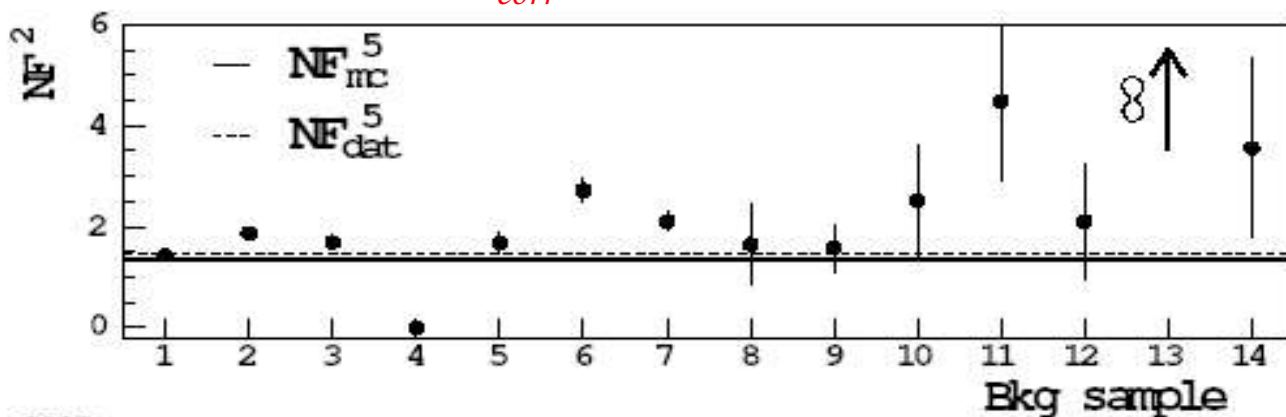
Type of decay	Index for decays from $B^0$	Index for decays from $B^+$
Non-SL	1	8
Other SL	2	9
$D^0, D^+ \ell \nu$	3	10
$D^{*0} \ell \nu$	4	11
$D_2^* \ell \nu$	5	12
$D_1 \ell \nu$	6	13
other $D \ell \nu$	7	14

- ✗ On real data  $MC_{corr}$  has to be rescaled with luminosity as follow:

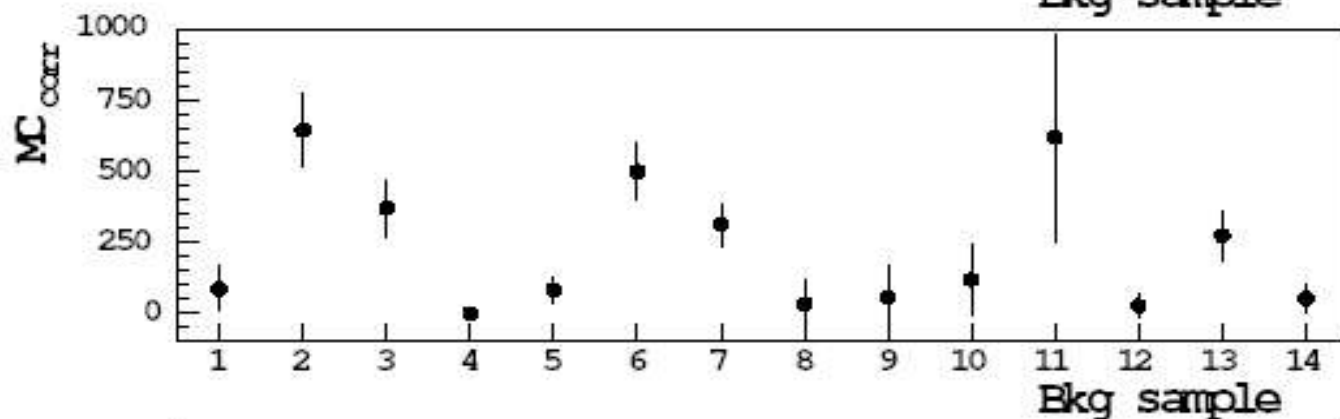
$$\begin{aligned}
 MC_{corr}^{data} &= \frac{\mathcal{L}_{data}}{\mathcal{L}_{MC}^{B^0}} \sum_{B^0 bkg} (NF_i^2 - NF^5) N_i^{WS,2} \\
 &+ \frac{\mathcal{L}_{data}}{\mathcal{L}_{MC}^{B^+}} \sum_{B^+ bkg} (NF_i^2 - NF^5) N_i^{WS,2}
 \end{aligned}$$

Background subtraction –  $MC_{corr}$  on Monte Carlo

➤  $NF^2$  on Monte Carlo

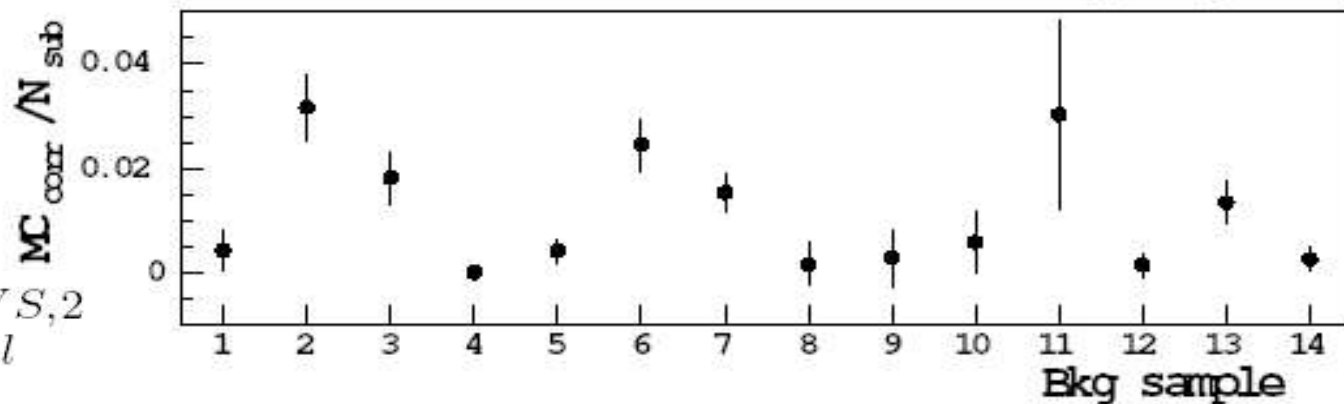


➤  $MC_{corr}$  on Monte Carlo



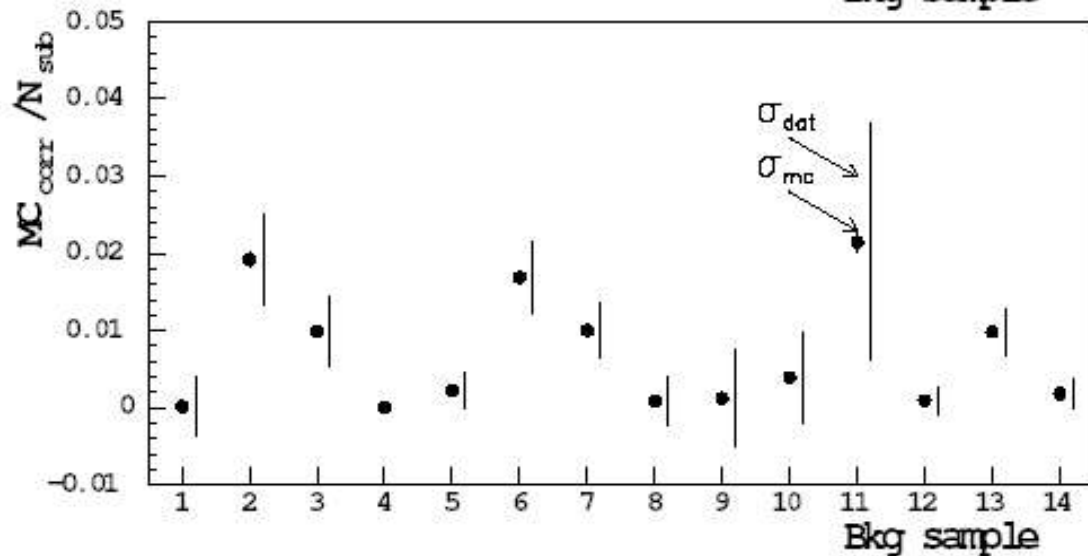
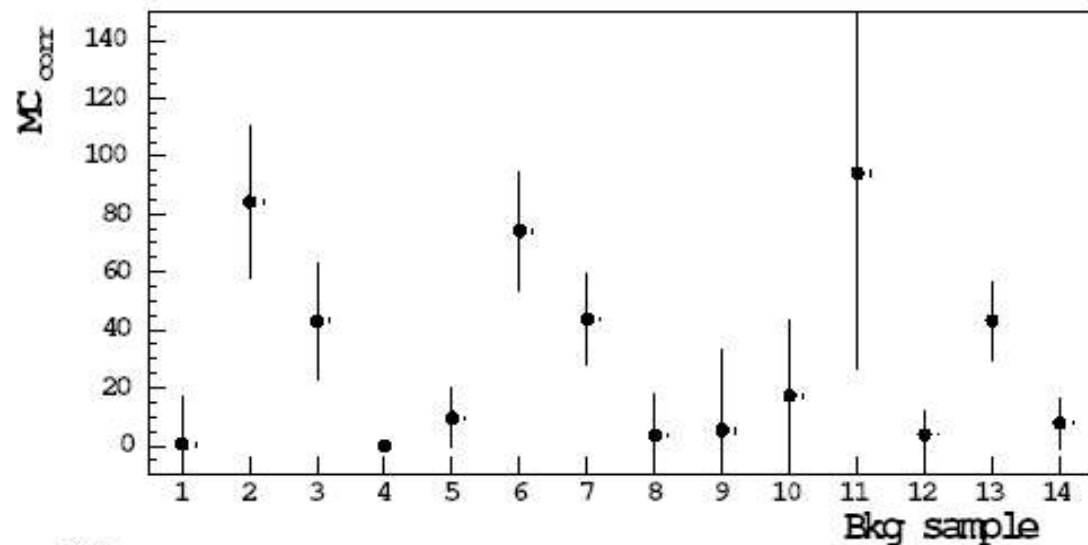
➤  $MC_{corr}$  relative contribution at

$$N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2}$$



Background subtraction –  $MC_{corr}$  on Data

➤  $MC_{corr}$  on Data



➤  $MC_{corr}$  relative contribution at

$$N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2}$$

Signal selection - Background subtraction

$$NF_{mc}^5 = 1.33 \pm 0.04$$

$$NF_{dat}^5 = 1.43 \pm 0.11$$

$$MC_{corr}^{mc} = 3176 \pm 478 \quad \triangleright 16\%$$

$$MC_{corr}^{dat} = 431 \pm 46(stat_{dat}) \pm 93(stat_{mc}) \triangleright 14\%$$

on  $N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2}$

## Signal selection - Background subtraction corrections

× The background subtraction also operates on signal events:

- 1) Subtracts a fraction of signal events  $= NF^5 \times N_{sig}^{WS,2}$  (the selected soft pion is from bkg)
- 2) Subtracts a fraction of signal events with  $D^{*+} \rightarrow D^+ \pi^0 = NF^5 \times N_{sig(D^+)}^{WS,2}$
- 3) Does not subtract a fraction of signal events (RS,2) with  $D^{*+} \rightarrow D^+ \pi^0$

→ Signal events obtained by  $\left( N_{sel}^{RS,2} - NF^5 \times N_{sel}^{WS,2} - MC_{corr} \right)$   
has to be divided by a Monte Carlo correction factor:

$$K_{MC} = \left( 1 - NF^5 \times \left( K_{sig}^{WS/RS,2} + K_{sig(D^+)}^{WS/RS,2} \right) + K_{sig(D^+)}^{RS/RS,2} \right)$$

$$1) \quad K_{sig}^{WS/RS,2} = \frac{N_{sig}^{WS,2}}{N_{sig}^{RS,2}} = 0.123 \pm 0.003$$

$$K_{MC}^{MC} = 0.852 \pm 0.009(stat_{mc})$$

$$2) \quad K_{D^+/sig}^{WS/RS,2} = \frac{N_{D^+}^{WS,2}}{N_{sig}^{RS,2}} = 0.051 \pm 0.002$$

$$K_{MC}^{dat} = 0.835 \pm 0.019(stat_{data}) \pm 0.006(stat_{mc})$$

$$3) \quad K_{D^+/sig}^{RS/RS,2} = \frac{N_{D^+}^{RS,2}}{N_{sig}^{RS,2}} = 0.084 \pm 0.003$$

## Systematic uncertainties

- uncertainties coming from  $MC_{corr}$ :
  - $\sigma_{3a}$ : limited knowledge of semileptonic  $B$  decays in higher  $D$  states  $\mathcal{B}(B \rightarrow D_2^* l \nu)$ ,  $\mathcal{B}(B \rightarrow D_1 l \nu)$  and  $\mathcal{B}(\text{other } B \rightarrow D^{**} l \nu)$ ,

Gen value on MC

$$\mathcal{B}(B \rightarrow D_2^* l \nu) = 0.0037$$

$$\mathcal{B}(B \rightarrow D_1 l \nu) = 0.0056$$

$$\mathcal{B}(\text{other } B \rightarrow D^{**} l \nu) = 0.0177$$

Variation for syst determination:

each branching ratio has been varied randomly between 0 and the total  $\mathcal{B}(B \rightarrow D^{**} l \nu) = 0.027$  maintaining the sum equal the total.

## Semileptonic selection

✗ Other backgrounds are taken into account with a Monte Carlo correction factor:

$$N_{sel}^{SL, B^0} = \frac{N_{sel}^{SL}}{K^{SL}} = \frac{N_{sel}^{SL}}{1 + K_{B^+} + K_{lw} + K_{lfake}}$$

➤  $B^+$  reco as  $B^0$

$$K_{B^+} = \frac{N_{sel}^{SL, B^+}}{N_{sel}^{SL, B^0}} = (3.6 \pm 0.4)\%$$

➤ Wrong lep

$$K_{lw} = \frac{N_{sel}^{SL, lw}}{N_{sel}^{SL, B^0}} = (0.16 \pm 0.02)\%$$

➤ Fake lep

$$K_{lfake} = \frac{N_{sel}^{SL, lfake}}{N_{sel}^{SL, B^0}} = (6.21 \pm 0.12)\%$$

$$K^{SL} = 1.100 \pm 0.004(stat_{mc})$$

## Crystal-Ball function

$$m_{ES} > m - \sigma \cdot a : \frac{1}{N} \frac{dN}{dm_{ES}} = \frac{1}{\sqrt{2\pi}\sigma} \cdot e^{-\frac{(m_{ES}-m)^2}{2\sigma^2}}$$

$$m_{ES} < m - \sigma \cdot a : \frac{1}{N} \frac{dN}{dm_{ES}} = \frac{1}{\sqrt{2\pi}\sigma} \cdot \left(\frac{n}{a}\right)^n \cdot e^{-\frac{a^2}{2}} \cdot \frac{1}{\left(\frac{(m_{ES}-m)}{\sigma} + \frac{n}{a} - a\right)^n}$$

where  $m$  is the peak position,  $\sigma$  is the width of the Gaussian distribution,  $a$  determines the crossover point from the Gaussian distribution to the power law tail distribution and  $n$  is a parameter describing the tail: smaller values generate a longer tail. The tail of this function accounts for energy losses in the shower of reconstructed  $\pi^0$  mesons, thus the tail of the distribution depends on the reconstructed  $B$  decay mode and in particular on the number of  $\pi^0$  present in it. The maximum total number of floating parameters in the  $m_{ES}$  fit is seven: two terms are for the Argus function, while the remaining five ( $N$ ,  $m$ ,  $\sigma$ ,  $n$  and  $a$ ) refer to the Crystal Ball function.



## Argus function

$$\frac{1}{N} \frac{dN}{dm_{ES}} = x \times \sqrt{1 - x^2} \times e^{-\xi(1-x^2)}$$

where  $x = m_{ES}/m_{max}$  and the shape parameter  $\xi$  is determined from a fit. The endpoint of the Argus curve,  $m_{max}(=5.29 \text{ GeV})$ , is fixed in the fit, since it depends only on the beam energy. The Argus function provides a good parametrization of both continuum ( $c\bar{c}$  and  $uds$ ) and combinatoric background from  $b\bar{b}$  events.

Statistical and total errors of the measured branching ratio

