

# Category Theory

Claudia Menini

December 2, 2014

# Contents

<b>Contents</b>	<b>2</b>
<b>1 Categories and Functors</b>	<b>3</b>
<b>2 Yoneda Lemma</b>	<b>15</b>
<b>3 Abelian categories</b>	<b>21</b>
3.1 Kernel . . . . .	21
3.2 Products, Coproducts and Biproducts . . . . .	33
3.3 Exact sequences . . . . .	39
<b>4 Limits and Colimits</b>	<b>46</b>
4.1 Limits . . . . .	46
4.2 Colimits . . . . .	55
<b>5 Adjoint functors</b>	<b>65</b>
5.1 Some results on equalizers and coequalizers . . . . .	82
<b>6 MONADS</b>	<b>88</b>
6.1 Contractible (co)equalizers . . . . .	88
6.2 Monads . . . . .	92
6.3 On Beck's Theorem . . . . .	97
6.4 Johnstone for Monads . . . . .	110
6.5 The comparison functor for monads . . . . .	115
6.6 BECK1 for Monads . . . . .	135
6.7 Grothendieck . . . . .	139
<b>Bibliography</b>	<b>140</b>

# Chapter 1

## Categories and Functors

**Definition 1.1.** A category  $\mathcal{C}$  consists of:

- 1) a class of objects denoted by  $\text{Ob}(\mathcal{C})$ .
- 2) for every  $C_1, C_2 \in \text{Ob}(\mathcal{C})$  a set  $\text{Hom}_{\mathcal{C}}(C_1, C_2)$ , called the set of morphisms from  $C_1$  to  $C_2$
- 3) for every  $C_1, C_2, C_3 \in \text{Ob}(\mathcal{C})$  there is a map

$$\begin{array}{ccc} \circ : \text{Hom}_{\mathcal{C}}(C_1, C_2) \times \text{Hom}_{\mathcal{C}}(C_2, C_3) & \longrightarrow & \text{Hom}_{\mathcal{C}}(C_1, C_3) \\ (f, g) & \longmapsto & g \circ f \text{ called the composite of } g \text{ and } f \end{array}$$

satisfying the following conditions:

- 1) if  $(C_1, C_2) \neq (C_3, C_4)$ ,  $\text{Hom}_{\mathcal{C}}(C_1, C_2) \cap \text{Hom}_{\mathcal{C}}(C_3, C_4) = \emptyset$ ;
- 2) if  $h \in \text{Hom}_{\mathcal{C}}(C_3, C_4)$ ,  $h \circ (g \circ f) = (h \circ g) \circ f$ ;
- 3) for every  $C \in \text{Ob}(\mathcal{C})$ , there exists  $\text{Id}_C \in \text{Hom}_{\mathcal{C}}(C, C)$  such that for every  $f \in \text{Hom}_{\mathcal{C}}(C, C')$ ,  $f \circ \text{Id}_C = f = \text{Id}_{C'} \circ f$ .

We also write  $f : C_1 \rightarrow C_2$  or  $C_1 \xrightarrow{f} C_2$  instead of  $f \in \text{Hom}_{\mathcal{C}}(C_1, C_2)$ .

Moreover if  $C \in \text{Ob}(\mathcal{C})$ , we will simply write  $C \in \mathcal{C}$ .

**Example 1.2.** Sets, together with functions between sets, form the category *Sets*. For every algebraic structure you can consider its category: take sets endowed with that algebraic structure as objects and take morphisms between two objects as morphisms. In this way, you obtain the category of groups, *Grps*, of rings, *Rings*, of right  $R$ -modules, *Mod- $R$*  and so on.

**Definition 1.3.** A category is called *small* if the class of its objects is a set; discrete if, given two objects  $C_1, C_2$ , if  $C_1 = C_2$  then  $\text{Hom}_{\mathcal{C}}(C_1, C_2) = \{\text{Id}_{C_1}\}$ , if  $C_1 \neq C_2$  then  $\text{Hom}_{\mathcal{C}}(C_1, C_2) = \emptyset$ . Let  $\mathcal{C}$  be a category.

The opposite category of a category  $\mathcal{C}$  is the category  $\mathcal{C}^{\text{op}}$  where  $\text{Ob}(\mathcal{C}^{\text{op}}) = \text{Ob}(\mathcal{C})$  and  $\text{Hom}_{\mathcal{C}^{\text{op}}}(C_1, C_2) = \text{Hom}_{\mathcal{C}}(C_2, C_1)$ .

**Definition 1.4.** A subcategory  $\mathcal{D}$  of a category  $\mathcal{C}$  is a category such that  $\text{Ob}(\mathcal{D}) \subseteq \text{Ob}(\mathcal{C})$  and for every  $D_1, D_2 \in \mathcal{D}$ ,  $\text{Hom}_{\mathcal{D}}(D_1, D_2) \subseteq \text{Hom}_{\mathcal{C}}(D_1, D_2)$ . When the inclusion is an equality,  $\mathcal{D}$  is called full subcategory of  $\mathcal{C}$ .

**Definition 1.5.** Let  $\mathcal{C}$  be a category. A morphism  $C_1 \xrightarrow{f} C_2$  is an isomorphism if there exists a morphism  $C_2 \xrightarrow{g} C_1$  such that  $f \circ g = \text{Id}_{C_2}$  and  $g \circ f = \text{Id}_{C_1}$ .

**Remark 1.6.** Let  $f : C_1 \rightarrow C_2$  be an isomorphism in a category  $\mathcal{C}$  and let  $g, g' : C_2 \rightarrow C_1$  be such that  $f \circ g = \text{Id}_{C_2} = f \circ g'$  and  $g \circ f = \text{Id}_{C_1} = g' \circ f$ . Then we have

$$g' = g' \circ \text{Id}_{C_2} = g' \circ (f \circ g) = (g' \circ f) \circ g = \text{Id}_{C_1} \circ g = g.$$

Hence there exists a **unique morphism**  $g : C_2 \rightarrow C_1$  be such that  $f \circ g = \text{Id}_{C_2}$  and  $g \circ f = \text{Id}_{C_1}$ . This unique morphism will be denoted by  $f^{-1}$ .

**Definition 1.7.** Let  $A, B \in \mathcal{C}$  and  $f : A \rightarrow B$ , then

- $f$  is a monomorphism if, for every  $g_1, g_2 : C \rightarrow A$  such that  $f \circ g_1 = f \circ g_2$ , we have  $g_1 = g_2$ ;
- $f$  is an epimorphism if, for every  $g_1, g_2 : B \rightarrow C$  such that  $g_1 \circ f = g_2 \circ f$ , we have  $g_1 = g_2$ .

**Proposition 1.8.** Let  $A, B \in \mathcal{C}$  and let  $f : A \rightarrow B$ . If  $f$  is an isomorphism then  $f$  is a monomorphism and an epimorphism.

*Proof.* Since  $f$  is an isomorphism, there exists a morphism  $f^{-1}$  which is a two-sided inverse of  $f$ . First we prove that  $f$  is a monomorphism. Let  $g_1, g_2 : C \rightarrow A$  be a morphism such that  $f \circ g_1 = f \circ g_2$ . Then, by composing to the left with  $f^{-1}$  we get  $f^{-1} \circ f \circ g_1 = f^{-1} \circ f \circ g_2$  and thus  $g_1 = g_2$ , i.e.  $f$  is a monomorphism. Now we want to prove that  $f$  is an epimorphism. Let  $g_1, g_2 : B \rightarrow C$  such that  $g_1 \circ f = g_2 \circ f$ . By composing to the right with  $f^{-1}$  we get  $g_1 \circ f \circ f^{-1} = g_2 \circ f \circ f^{-1}$  from which follows  $g_1 = g_2$ , i.e.  $f$  is an epimorphism.  $\square$

**Exercise 1.9.** Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be morphisms in a category  $\mathcal{C}$ . Then

- if both  $f$  and  $g$  are monomorphisms, also  $g \circ f$  is a monomorphism;
- if both  $f$  and  $g$  are epimorphisms, also  $g \circ f$  is an epimorphism.

**Remark 1.10.** The converse of Proposition 1.8 doesn't hold in general, such as in the case of the inclusion  $\mathbb{Z} \rightarrow \mathbb{Q}$  in the category of rings. In fact, let  $\mathcal{C}$  be the category of rings, let

$$i : \mathbb{Z} \rightarrow \mathbb{Q}$$

be the canonical inclusion and let  $h_1, h_2 : \mathbb{Q} \rightarrow A$  be such that

$$\mathbb{Z} \xrightarrow{i} \mathbb{Q} \begin{array}{c} \xrightarrow{h_1} \\ \xrightarrow{h_2} \end{array} A$$

$h_1 \circ i = h_2 \circ i$ . We will prove that  $h_1 = h_2$ . Let  $m \in \mathbb{Z}$  and let  $n \in \mathbb{N}$ ,  $n \neq 0$ . Since  $h_j$  is a morphism of rings for  $j = 1, 2$ , we have that

$$\begin{aligned} 1_A &= h_j(1) = h_j\left(\frac{n}{n}\right) = h_j(n)h_j\left(\frac{1}{n}\right) \text{ and also} \\ 1_A &= h_j(1) = h_j\left(\frac{n}{n}\right) = h_j\left(\frac{1}{n}\right)h_j(n) \end{aligned}$$

so that

$$h_j\left(\frac{1}{n}\right) = h_j(n)^{-1}.$$

Therefore we get

$$h_1\left(\frac{m}{n}\right) = mh_1\left(\frac{1}{n}\right) = mh_1(n)^{-1} = mh_2(n)^{-1} = mh_2\left(\frac{1}{n}\right) = h_2\left(\frac{m}{n}\right)$$

that is  $h_1 = h_2$  so that  $i$  is an epimorphism. Now, let  $g_1, g_2 : R \rightarrow \mathbb{Z}$

$$R \begin{array}{c} \xrightarrow{g_1} \\ \xrightarrow{g_2} \end{array} \mathbb{Z} \xrightarrow{i} \mathbb{Q}$$

be such that  $i \circ g_1 = i \circ g_2$ . Then  $g_1 = g_2$  i.e.  $i$  is also a monomorphism. Note that  $i$  is not an isomorphism: a non-zero group morphism

$$f : \mathbb{Q} \rightarrow \mathbb{Z}$$

does not exist since  $\mathbb{Q}$  is divisible but  $\mathbb{Z}$  is not. In fact, assume there exists a group morphism

$$f : D \rightarrow \mathbb{Z}$$

where  $D$  is divisible. By definition of divisible group, for every  $n \in \mathbb{N}$ ,  $nD = D$ . Since  $f$  is a group morphism,  $f(D) \subseteq \mathbb{Z}$  and thus  $f(D) = t\mathbb{Z}$  for some  $t \in \mathbb{N} \setminus \{0\}$ . Since  $f$  is a group morphism and  $D$  is divisible we have that

$$nf(D) = f(nD) = f(D) = t\mathbb{Z}$$

and therefore

$$nt\mathbb{Z} = t\mathbb{Z}.$$

In particular, for every  $n \in \mathbb{N}$ , there exists  $y_n \in \mathbb{Z}$  such that

$$t = nty_n.$$

For  $n = 2$  we get

$$t = 2ty_2$$

and thus

$$1 = 2y_2$$

contradiction since 2 is not invertible in  $\mathbb{Z}$ .

**Proposition 1.11.** *Let  $A$  be a ring and let  $f : L \rightarrow M$  be a morphism in  $\text{Mod-}A$ . Then*

- 1)  $f$  is injective  $\Leftrightarrow f$  is a monomorphism in  $\text{Mod-}A$ .
- 2)  $f$  is surjective  $\Leftrightarrow f$  is an epimorphism in  $\text{Mod-}A$ .
- 3)  $f$  is an isomorphism  $\Leftrightarrow f$  is an isomorphism in  $\text{Mod-}A$ .  $\Leftrightarrow f$  is both a monomorphism and an epimorphism in  $\text{Mod-}A$ .

*Proof.* 1)  $\Rightarrow$  . It is trivial.

1)  $\Leftarrow$  . Let  $x \in L$  such that  $x \neq 0$ . Let us consider the morphism in  $\text{Mod-}A$

$$h_x : A_A \rightarrow L_A \text{ defined by setting } h_x(a) = xa \text{ for every } a \in A.$$

Then

$$h_x(1) = x \neq 0 = \mathbf{0}(x)$$

where  $\mathbf{0}$  denotes the zero morphism from  $A$  to  $M$ . Since  $f$  is a monomorphism in  $\text{Mod-}A$ , we get

$$f \circ h_x \neq f \circ \mathbf{0}.$$

It is easy to see that this implies

$$(f \circ h_x)(1) \neq 0.$$

Since  $(f \circ h_x)(1) = f(x)$  we conclude.

2)  $\Rightarrow$  . It is trivial.

2)  $\Leftarrow$  . Let  $p : M \rightarrow M/\text{Im}(f)$  be the canonical projection. We have to prove that  $M = \text{Im}(f)$  i.e. that  $p = \mathbf{0}$  where  $\mathbf{0} : M \rightarrow M/\text{Im}(f)$  is the zero morphism.

Since  $p \circ f = \mathbf{0} \circ f$  and since  $f$  is an epimorphism in  $\text{Mod-}A$ , we get that  $p = \mathbf{0}$ .

3) It follows easily from 1) and 2).  $\square$

**Notations 1.12.** *Let  $A$  be a ring. In view of the foregoing, from now on*

- *an injective homomorphism  $f$  of right (left)  $A$ -modules will also be called a monomorphism. We will also say that  $f$  is mono, for short.*
- *a surjective homomorphism of right (left)  $A$ -modules will also be called an epimorphism. We will also say that  $f$  is epi, for short.*
- *a bijective homomorphism of right (left)  $A$ -modules will also be called an isomorphism. We will also say that  $f$  is iso, for short.*

**Definition 1.13.** *If  $\mathcal{C}$  is a category, then we define a category  $\mathcal{C}^{op}$  having the same objects of  $\mathcal{C}$  and setting*

$$\text{Hom}_{\mathcal{C}^{op}}(C, C') = \text{Hom}_{\mathcal{C}}(C', C), \text{ for every } C, C' \in \mathcal{C}.$$

*If  $f \in \text{Hom}_{\mathcal{C}^{op}}(C, C') = \text{Hom}_{\mathcal{C}}(C', C)$ ,  $g \in \text{Hom}_{\mathcal{C}^{op}}(C', C'') = \text{Hom}_{\mathcal{C}}(C'', C')$*

$$g \circ_{\mathcal{C}^{op}} f \stackrel{\text{def}}{=} f \circ g.$$

**Definition 1.14.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A covariant functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between  $\mathcal{C}$  and  $\mathcal{D}$  consists of

- 1) a collection of objects of  $\mathcal{D}$

$$(F(C))_{C \in \mathcal{C}}$$

- 2) a collection of morphisms in  $\mathcal{D}$

$$(F(f) : F(C_1) \longrightarrow F(C_2))_{f \in \text{Hom}_{\mathcal{C}}(C_1, C_2)} \text{ for every } C_1, C_2 \in \mathcal{C}$$

such that

$$F(\text{Id}_C) = \text{Id}_{F(C)} \text{ and } F(g \circ f) = F(g) \circ F(f)$$

for every morphism  $f \in \text{Hom}_{\mathcal{C}}(C_1, C_2)$  and  $g \in \text{Hom}_{\mathcal{C}}(C_2, C_3)$ .

**Definition 1.15.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A contravariant functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between  $\mathcal{C}$  and  $\mathcal{D}$  consists of

- 1) a collection of objects of  $\mathcal{D}$   $(F(C))_{C \in \mathcal{C}}$

- 2) a collection of morphisms in  $\mathcal{D}$

$$(F(f) : F(C_2) \longrightarrow F(C_1))_{f \in \text{Hom}_{\mathcal{C}}(C_1, C_2)} \text{ for every } C_1, C_2 \in \mathcal{C}$$

such that

$$F(\text{Id}_C) = \text{Id}_{F(C)} \text{ and } F(g \circ f) = F(f) \circ F(g).$$

for every morphism  $f \in \text{Hom}_{\mathcal{C}}(C_1, C_2)$  and  $g \in \text{Hom}_{\mathcal{C}}(C_2, C_3)$ .

**Proposition 1.16.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. A contravariant functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is exactly a covariant functor  $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$  (or  $F : \mathcal{C} \rightarrow \mathcal{D}^{\text{op}}$ ).

**Examples 1.17.**

Let  ${}_A L_R$  be an  $A$ - $R$ -bimodule. Then we can consider the following functors.

- 1) The covariant functor  $\text{Hom}_R({}_A L_R, -) : \text{Mod-}R \rightarrow \text{Mod-}A$  defined by setting

$$\text{Hom}_R({}_A L_R, -)(M_R) = \text{Hom}_R({}_A L_R, M_R) \text{ and } \text{Hom}_R({}_A L_R, -)(f) = \text{Hom}_R({}_A L_R, f)$$

for every  $M_R \in \text{Mod-}R$  and  $f$  morphism in  $\text{Mod-}R$ .

- 2) The covariant functor  $- \otimes_A {}_A L_R : \text{Mod-}A \rightarrow \text{Mod-}R$  defined by setting

$$(- \otimes_A {}_A L_R)(M_A) = M_A \otimes_A {}_A L_R \text{ and } (- \otimes_A {}_A L_R)(f) = f \otimes_A {}_A L_R$$

for every  $M_A \in \text{Mod-}A$  and  $f$  morphism in  $\text{Mod-}A$ .

3) The contravariant functor  $\text{Hom}_R(-, {}_A L_R) : \text{Mod-}R \rightarrow A\text{-Mod}$  defined by setting

$$\text{Hom}_R(-, {}_A L_R)(M_R) = \text{Hom}_R(M_R, {}_A L_R) \text{ and } \text{Hom}_R(-, {}_A L_R)(f) = \text{Hom}_R(f, {}_A L_R)$$

for every  $M_R \in \text{Mod-}R$  and  $f$  morphism in  $\text{Mod-}R$ .

**Example 1.18.** More generally, let  $\mathcal{C}$  be a category and let  $A \in \mathcal{C}$ . Let us define the functor  $h^A = \text{Hom}_{\mathcal{C}}(A, \bullet) : \mathcal{C} \rightarrow \text{Sets}$  mapping the object  $C$  to the set  $\text{Hom}_{\mathcal{C}}(A, C)$  and the morphism  $C_1 \xrightarrow{f} C_2$  to the map

$$h^A(f) = \text{Hom}_{\mathcal{C}}(A, f) : \begin{array}{ccc} \text{Hom}_{\mathcal{C}}(A, C_1) & \longrightarrow & \text{Hom}_{\mathcal{C}}(A, C_2) \\ \left( A \xrightarrow{\xi} C_1 \right) & \longmapsto & \left( A \xrightarrow{\xi} C_1 \xrightarrow{f} C_2 \right) \end{array} .$$

Then  $h^A$  is a functor. In fact:

- $h^A(\text{Id}_C)(\xi) = \text{Id}_C \circ \xi = \xi$  for every  $\xi : A \rightarrow C$  so that  $h^A(\text{Id}_C) = \text{Id}_{h^A(C)}$ ;
- $h^A(g \circ f)(\xi) = g \circ f \circ \xi = h^A(g)(f \circ \xi) = (h^A(g) \circ h^A(f))(\xi)$ , thus  $h^A(g \circ f) = h^A(g) \circ h^A(f)$ .

Similarly, we can define a contravariant functor  $h_A = \text{Hom}_{\mathcal{C}}(\bullet, A) : \mathcal{C} \rightarrow \text{Sets}$  which maps an object  $C \in \mathcal{C}$  to the set  $\text{Hom}_{\mathcal{C}}(C, A)$  and a morphism  $f : C_1 \rightarrow C_2$  to the map

$$h_A(f) = \text{Hom}_{\mathcal{C}}(f, A) : \begin{array}{ccc} \text{Hom}_{\mathcal{C}}(C_2, A) & \longrightarrow & \text{Hom}_{\mathcal{C}}(C_1, A) \\ \left( C_2 \xrightarrow{\zeta} A \right) & \longmapsto & \left( C_1 \xrightarrow{f} C_2 \xrightarrow{\zeta} A \right) \end{array} .$$

**Lemma 1.19.** Let  $F : \mathcal{C}_1 \rightarrow \mathcal{C}_2$  and  $G : \mathcal{C}_2 \rightarrow \mathcal{C}_3$ , be functors. For every  $C \in \mathcal{C}_1$  we set

$$GF(C) = G(F(C))$$

and for every morphism  $f : C_1 \rightarrow C_2$  we set

$$GF(f) = G(F(f)) .$$

This gives rise to a functor  $GF = G \circ F : \mathcal{C}_1 \rightarrow \mathcal{C}_3$  which is

- 1) covariant whenever both  $F$  and  $G$  are covariant,
- 2) covariant whenever both  $F$  and  $G$  are contravariant,
- 3) contravariant whenever  $F$  is covariant and  $G$  is contravariant,
- 4) contravariant whenever  $F$  is contravariant and  $G$  is covariant.

*Proof.* Exercise. □



**Notation 1.20.** *From now on, if not otherwise specified, the word functor will mean covariant functor.*

**Definitions 1.21.** *Given two functors  $\mathcal{C} \xrightarrow[F]{G} \mathcal{D}$ , a functorial morphism (or natural transformation)  $\alpha : F \rightarrow G$  is a collection of morphisms in  $\mathcal{D}$   $\left( F(C) \xrightarrow{\alpha_C} G(C) \right)_{C \in \mathcal{C}}$  such that, for every  $C_1 \xrightarrow{f} C_2$ ,*

$$\alpha_{C_2} \circ F(f) = G(f) \circ \alpha_{C_1}$$

*i.e. the following diagram*

$$\begin{array}{ccc} F(C_1) & \xrightarrow{\alpha_{C_1}} & G(C_1) \\ F(f) \downarrow & & \downarrow G(f) \\ F(C_2) & \xrightarrow{\alpha_{C_2}} & G(C_2) \end{array}$$

*is commutative.  $\alpha$  is called a functorial isomorphism (or natural equivalence) if, for every  $C \in \mathcal{C}$ ,  $\alpha_C$  is an isomorphism in  $\mathcal{D}$ . In this case the functors are called isomorphic and we write  $F \cong G$ .*

**Exercise 1.22.** *Let  $F, G, H : \mathcal{C} \rightarrow \mathcal{D}$  be functors and let  $\alpha : F \rightarrow G$  and  $\beta : G \rightarrow H$  be functorial morphisms. Show that the collection*

$$\beta \circ \alpha = (\beta_C \circ \alpha_C)_{C \in \mathcal{C}}$$

*is a functorial morphism from  $H$  to  $F$ .*

**Exercise 1.23.** *Let  $\alpha : F \rightarrow G$  be a functorial isomorphism. Show that the collection  $\beta = ((\alpha_C)^{-1})_{C \in \mathcal{C}}$  is a functorial isomorphism from  $G$  to  $F$ .*

**Notation 1.24.** *Let  $\alpha : F \rightarrow G$  be a functorial isomorphism. Then the functorial isomorphism  $\beta$  in Exercise 1.23 will be denoted by  $\alpha^{-1}$ .*

**Example 1.25.** *Let  $\mathcal{C}$  be a category and let  $t : A_1 \rightarrow A_2$  be a morphism in  $\mathcal{C}$ . We will define a functorial morphism  $h^t = \text{Hom}_{\mathcal{C}}(t, \bullet) : h^{A_2} = \text{Hom}_{\mathcal{C}}(A_2, \bullet) \rightarrow h^{A_1} = \text{Hom}_{\mathcal{C}}(A_1, \bullet)$  by setting, for every  $C \in \mathcal{C}$*

$$\begin{aligned} [\text{Hom}_{\mathcal{C}}(t, \bullet)]_C &= \text{Hom}_{\mathcal{C}}(t, C) : h^{A_2}(C) = \text{Hom}_{\mathcal{C}}(A_2, C) \rightarrow h^{A_1}(C) = \text{Hom}_{\mathcal{C}}(A_1, C) \\ &(a : A_2 \rightarrow C) \mapsto (a \circ t : A_1 \rightarrow C) \end{aligned}$$

*Let us check that  $\text{Hom}_{\mathcal{C}}(t, \bullet)$  is a functorial morphism. For every  $C \in \mathcal{C}$ , we will set*

$$[\text{Hom}_{\mathcal{C}}(t, \bullet)]_C = \text{Hom}_{\mathcal{C}}(t, C).$$

*Let  $f : C_1 \rightarrow C_2$  be a morphism in  $\mathcal{C}$ . We have to prove that*

$$h^{A_1}(f) \circ \text{Hom}_{\mathcal{C}}(t, C_1) = \text{Hom}_{\mathcal{C}}(t, C_2) \circ h^{A_2}(f).$$

*Let  $a \in \text{Hom}_{\mathcal{C}}(A_2, C_1)$ . We compute*

$$\begin{aligned} [h^{A_1}(f) \circ \text{Hom}_{\mathcal{C}}(t, C_1)](a) &= h^{A_1}(f)(\text{Hom}_{\mathcal{C}}(t, C_1)(a)) = h^{A_1}(f)(a \circ t) = f \circ (a \circ t) = \\ &= (f \circ a) \circ t = [h^{A_2}(f)(a)] \circ t = \text{Hom}_{\mathcal{C}}(t, C_2)(h^{A_2}(f)(a)) = [\text{Hom}_{\mathcal{C}}(t, C_2) \circ h^{A_2}(f)](a). \end{aligned}$$

**Exercise 1.26.** Let  $\mathcal{C}$  be a category and let  $t : A_1 \rightarrow A_2$  be a morphism in  $\mathcal{C}$ . Show that  $\text{Hom}_{\mathcal{C}}(t, \bullet) : h^{A_2} = \text{Hom}_{\mathcal{C}}(A_2, \bullet) \rightarrow h^{A_1} = \text{Hom}_{\mathcal{C}}(A_1, \bullet)$  is a functorial isomorphism if and only if  $t$  is an isomorphism in  $\mathcal{C}$ .

**Exercise 1.27.** Let  $\mathcal{C}$  be a category and let  $t : A_1 \rightarrow A_2$  be a morphism in  $\mathcal{C}$ . Check that  $h_t = \text{Hom}_{\mathcal{C}}(\bullet, t) : h_{A_1} \rightarrow h_{A_2}$ , defined by setting, for every  $C \in \mathcal{C}$

$$\begin{aligned} [\text{Hom}_{\mathcal{C}}(\bullet, t)]_C &= \text{Hom}_{\mathcal{C}}(C, t) : h_{A_1}(C) = \text{Hom}_{\mathcal{C}}(C, A_1) \rightarrow h_{A_2}(C) = \text{Hom}_{\mathcal{C}}(C, A_2) \\ &(a : C \rightarrow A_1) \mapsto (t \circ a : C \rightarrow A_2) \end{aligned}$$

is a functorial morphism.

**Definitions 1.28.** Let  $\mathcal{C}$  e  $\mathcal{D}$  be categories and let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Let  $C_1, C_2 \in \mathcal{C}$  and consider the map

$$\begin{aligned} F_{C_2}^{C_1} : \text{Hom}_{\mathcal{C}}(C_1, C_2) &\rightarrow \text{Hom}_{\mathcal{D}}(F(C_1), F(C_2)) \\ f &\mapsto F(f) \end{aligned}$$

The functor  $F$  is called

- faithful if  $F_{C_2}^{C_1}$  is injective for every  $C_1, C_2 \in \mathcal{C}$ ;
- full if  $F_{C_2}^{C_1}$  is surjective for every  $C_1, C_2 \in \mathcal{C}$ .

**Examples 1.29.** Let  $\mathcal{C}$  be a category and let  $A \in \mathcal{C}$ .

- The functor  $h^A = \text{Hom}_{\mathcal{C}}(A, \bullet) : \mathcal{C} \rightarrow \text{Sets}$  is faithful if and only if for every parallel pair  $C_1 \begin{matrix} \xrightarrow{f} \\ \xrightarrow{g} \end{matrix} C_2$  where  $f \neq g$  there exists  $A \xrightarrow{\xi} C_1$  such that  $f \circ \xi \neq g \circ \xi$ . In this case  $A$  is called a generator for  $\mathcal{C}$ .
- The functor  $h_A = \text{Hom}_{\mathcal{C}}(\bullet, A) : \mathcal{C} \rightarrow \text{Sets}$  is faithful if and only if for every parallel pair  $C_1 \begin{matrix} \xrightarrow{f} \\ \xrightarrow{g} \end{matrix} C_2$  where  $f \neq g$  there exists  $C_2 \xrightarrow{\chi} A$  such that  $\chi \circ f \neq \chi \circ g$ . In this case  $A$  is called a cogenerator for  $\mathcal{C}$ .

**Lemma 1.30.** Let  $T : \mathcal{C} \rightarrow \mathcal{D}$  be a functor and let  $C_1 \xrightarrow{f} C_2$  be a morphism in  $\mathcal{C}$ .

- If  $f$  is an isomorphism in  $\mathcal{C}$ , then  $T(f)$  is an isomorphism in  $\mathcal{D}$ .
- If  $T$  is a full and faithful functor and  $T(f)$  is an isomorphism in  $\mathcal{D}$ , then  $f$  is an isomorphism in  $\mathcal{C}$ .

*Proof.* If  $f$  is an isomorphism, there exists  $f^{-1}$  and we have

$$\begin{aligned} T(f) \circ T(f^{-1}) &= T(f \circ f^{-1}) = T(\text{Id}_{C_2}) = \text{Id}_{T(C_2)} \\ T(f^{-1}) \circ T(f) &= T(f^{-1} \circ f) = T(\text{Id}_{C_1}) = \text{Id}_{T(C_1)} \end{aligned}$$

so that, we get

$$T(f^{-1}) = T(f)^{-1}.$$

Assume now that  $T$  is a full and faithful functor and  $T(f)$  is an isomorphism in  $\mathcal{D}$ . Then there exists  $h = T(f)^{-1}$ . Since  $T$  is full there exists a  $g$  in  $\mathcal{C}$  such that  $h = T(g)$ . Then we have

$$T(\text{Id}_{C_1}) = \text{Id}_{T(C_1)} = h \circ T(f) = T(g) \circ T(f) = T(g \circ f).$$

Since  $T$  is faithful, we get  $\text{Id}_{C_1} = g \circ f$ . Similarly, one proves that  $f \circ g = \text{Id}_{C_2}$  and thus  $g = f^{-1}$   $\square$

**Definitions 1.31.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. We say that

- $F$  is an equivalence of categories if there is a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  such that  $FG \cong \text{Id}_{\mathcal{D}}$  and  $GF \cong \text{Id}_{\mathcal{C}}$ . In this case we also say that  $(F, G)$  is an equivalence of categories.
- $F$  is an isomorphism of categories if there is a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  such that  $FG = \text{Id}_{\mathcal{D}}$  and  $GF = \text{Id}_{\mathcal{C}}$ . In this case we also say that  $(F, G)$  is an isomorphism of categories .

**Definitions 1.32.** Two categories  $\mathcal{C}$  and  $\mathcal{D}$  are called

- equivalent if there exist functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  such that  $(F, G)$  is an equivalence of categories.
- isomorphic if there exist functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  and  $G : \mathcal{D} \rightarrow \mathcal{C}$  such that  $(F, G)$  is an isomorphism of categories

**Theorem 1.33.** Let  $T : \mathcal{C} \rightarrow \mathcal{D}$  be a functor. Then  $T$  is an equivalence of categories if and only if  $T$  is full, faithful and, for every  $D \in \mathcal{D}$ , there exist  $C \in \mathcal{C}$  and an isomorphism  $T(C) \xrightarrow{\xi_D} D$  .

*Proof.* Assume first that  $T$  is an equivalence. Then there exist a functor  $S : \mathcal{D} \rightarrow \mathcal{C}$  and functorial isomorphisms  $\alpha : ST \rightarrow \text{Id}_{\mathcal{C}}$  and  $\beta : TS \rightarrow \text{Id}_{\mathcal{D}}$ .

**$T$  is faithful.** Let  $f, f' \in \text{Hom}_{\mathcal{C}}(C_1, C_2)$  with  $T(f) = T(f')$ . Then  $ST(f) = ST(f')$ . Since  $\alpha$  is a functorial morphism we have

$$\alpha_{C_2} \circ ST(f) = f \circ \alpha_{C_1} \text{ and } \alpha_{C_2} \circ ST(f') = f' \circ \alpha_{C_1}$$

i.e. the diagram

$$\begin{array}{ccc} ST(C_1) & \xrightarrow{\alpha_{C_1}} & C_1 \\ ST(f)=ST(f') \downarrow & & f' \downarrow f \\ ST(C_2) & \xrightarrow{\alpha_{C_2}} & C_2. \end{array}$$

is commutative. Since  $\alpha$  is an isomorphism we deduce that

$$f = \alpha_{C_2} \circ ST(f) \circ \alpha_{C_1}^{-1} \stackrel{T(f)=T(f')}{=} \alpha_{C_2} \circ ST(f') \circ \alpha_{C_1}^{-1} = f'.$$

**T is full.** Let  $T(C_1) \xrightarrow{h} T(C_2)$ . We set

$$g = \alpha_{C_2} \circ S(h) \circ \alpha_{C_1}^{-1} \in \text{Hom}_{\mathcal{C}}(C_1, C_2).$$

Since  $\alpha$  is a functorial morphism we have

$$\alpha_{C_2} \circ ST(g) = g \circ \alpha_{C_1}$$

i.e. the diagram

$$\begin{array}{ccc} ST(C_1) & \xrightarrow{\alpha_{C_1}} & C_1 \\ S(h)=ST(g) \downarrow & & \downarrow g \\ ST(C_2) & \xrightarrow{\alpha_{C_2}} & C_2. \end{array}$$

is commutative. Since  $\alpha$  a functorial isomorphism, we deduce that

$$ST(g) = \alpha_{C_2}^{-1} \circ g \circ \alpha_{C_1} \stackrel{\text{def } g}{=} S(h).$$

Since  $T$  is an equivalence, so is  $S$ . Then, by the previous step, we have that  $S$  is faithful, so that we deduce that  $h = T(g)$ .

Now, for every  $D \in \mathcal{D}$  we set  $C = S(D) \in \mathcal{C}$  and  $\xi_D = \beta_D : TS(D) \rightarrow D$ .

**Conversely** assume that  $T$  is full, faithful and, for every  $D \in \mathcal{D}$ , there exists  $C \in \mathcal{C}$  and an isomorphism  $T(C) \xrightarrow{\xi_D} D$ .

**Construction of  $S: \mathcal{D} \rightarrow \mathcal{C}$ .** Let  $D \in \mathcal{D}$ , we set  $S(D) = C$ , where  $C \in \mathcal{C}$  is such that there exists an isomorphism  $T(C) \xrightarrow{\xi_D} D$ . Here we applied the Axiom of Choice. Let  $f: D_1 \rightarrow D_2$  and consider the morphism

$$f' = \xi_{D_2}^{-1} \circ f \circ \xi_{D_1} : T(C_1) \rightarrow T(C_2)$$

Since  $T$  is full, there exists a morphism  $f'' : C_1 \rightarrow C_2$  such that  $T(f'') = f'$ . Since  $T$  is faithful,  $f''$  is unique with respect to this property. Thus we set  $S(f) = f''$ . Hence  $S(f)$  is uniquely determined by

$$(1.1) \quad T(S(f)) = \xi_{D_2}^{-1} \circ f \circ \xi_{D_1}$$

**$S$  is a functor.** Let  $f: D_1 \rightarrow D_2$  and  $g: D_2 \rightarrow D_3$  be morphisms in  $\mathcal{D}$ . We have

$$T(S(f)) \stackrel{(1.1)}{=} \xi_{D_2}^{-1} \circ f \circ \xi_{D_1} \text{ and } T(S(g)) \stackrel{(1.1)}{=} \xi_{D_3}^{-1} \circ g \circ \xi_{D_2}$$

i.e. the following diagram

$$\begin{array}{ccccc} D_1 & \xrightarrow{f} & D_2 & \xrightarrow{g} & D_3 \\ \uparrow \xi_{D_1} & & \uparrow \xi_{D_2} & & \uparrow \xi_{D_3} \\ T(C_1) & \xrightarrow{TS(f)} & T(C_2) & \xrightarrow{TS(g)} & T(C_3), \end{array}$$

commutes. We deduce that

$$\begin{aligned} T(S(g) \circ S(f)) &= TS(g) \circ TS(f) = (\xi_{D_3}^{-1} \circ g \circ \xi_{D_2}) \circ (\xi_{D_2}^{-1} \circ f \circ \xi_{D_1}) = \\ &= \xi_{D_3}^{-1} \circ g \circ f \circ \xi_{D_1} \stackrel{(1.1)}{=} T(S(g \circ f)) \end{aligned}$$

so that

$$T(S(g) \circ S(f)) = T(S(g \circ f)).$$

We note that both  $S(g) \circ S(f)$  and  $S(g \circ f)$  are element of  $\text{Hom}_{\mathcal{D}}(T(C_1), T(C_2))$ . Thus, since  $T$  is faithful, we obtain that  $S(g) \circ S(f) = S(g \circ f)$ . Moreover, from

$$T(S(\text{Id}_D)) = \xi_D^{-1} \circ \text{Id}_D \circ \xi_D = \text{Id}_{T(S(D))} = T(\text{Id}_{S(D)}),$$

we deduce that  $S(\text{Id}_D) = \text{Id}_{S(D)}$ .

**Construction of  $\alpha: ST \rightarrow \text{Id}_{\mathcal{C}}$ .** For every  $C \in \mathcal{C}$  we need to construct an isomorphism  $ST(C) \xrightarrow{\alpha_C} C$ . By definition of  $ST(C)$ , there exists an isomorphism  $TST(C) \xrightarrow{\xi_{T(C)}} T(C)$ . Since  $T$  is full and faithful, there exists a unique morphism

$$ST(C) \xrightarrow{\alpha_C} C \text{ such that } \mathbf{T}(\alpha_C) = \xi_{T(C)}.$$

We will prove that  $(\alpha_C)_{C \in \mathcal{C}}$  is a functorial isomorphism.

**$\alpha$  is a functorial morphism.** We have to prove that, for every morphism  $h: C_1 \rightarrow C_2$  in  $\mathcal{C}$ ,

$$h \circ \alpha_{C_1} = \alpha_{C_2} \circ ST(h)$$

i.e. the following diagram

$$\begin{array}{ccc} ST(C_1) & \xrightarrow{\alpha_{C_1}} & C_1 \\ ST(h) \downarrow & & \downarrow h \\ ST(C_2) & \xrightarrow{\alpha_{C_2}} & C_2. \end{array}$$

is commutative. By applying  $T$ , we have

$$\begin{aligned} T(h \circ \alpha_{C_1}) &= T(h) \circ T(\alpha_{C_1}) \\ &= T(h) \circ \xi_{T(C_1)} \end{aligned}$$

and

$$\begin{aligned} T(\alpha_{C_2} \circ ST(h)) &= T(\alpha_{C_2}) \circ TST(h) \\ &= \xi_{T(C_2)} \circ TST(h). \end{aligned}$$

By definition of  $ST(h)$ , we have

$$T(ST(h)) \stackrel{(1.1)}{=} \xi_{T(C_2)}^{-1} \circ T(h) \circ \xi_{T(C_1)}$$

and thus we get

$$\begin{aligned}
 T(\alpha_{C_2} \circ ST(h)) &= \xi_{T(C_2)} \circ TST(h) \\
 &= \xi_{T(C_2)} \circ \xi_{T(C_2)}^{-1} \circ T(h) \circ \xi_{T(C_1)} \\
 &= T(h) \circ \xi_{T(C_1)} \\
 &= T(h \circ \alpha_{C_1})
 \end{aligned}$$

i.e.

$$T(h \circ \alpha_{C_1}) = T(\alpha_{C_2} \circ ST(h)).$$

Since  $T$  is faithful we conclude that  $\alpha$  is a functorial morphism.

**$\alpha$  is a functorial isomorphism.** Since  $\xi_{T(C)}$  is an isomorphism and  $\xi_{T(C)} = T(\alpha_C)$ , by applying Lemma 1.30 to the case " $f$ " =  $\alpha_C$ , we get that  $\alpha_C$  is an isomorphism.

**Construction of  $\beta: TS \rightarrow \text{Id}_{\mathcal{D}}$ .** Let us consider

$$\beta = (\xi_D)_{D \in \mathcal{D}}.$$

**$\beta$  is a functorial morphism.** Let  $f: D_1 \rightarrow D_2$  be a morphism in  $\mathcal{D}$ . By definition of  $S(f)$  we get that

$$\xi_{D_2} \circ TS(f) \stackrel{(1.1)}{=} \xi_{D_2} \circ (\xi_{D_2}^{-1} \circ f \circ \xi_{D_1}) = f \circ \xi_{D_1}$$

and hence we deduce that

$$\xi_{D_2} \circ TS(f) = f \circ \xi_{D_1}.$$

**$\beta$  is a functorial isomorphism.** Since each  $\xi_D$  is an isomorphism, we deduce that  $\beta$  is a functorial isomorphism.  $\square$

# Chapter 2

## Yoneda Lemma

**Theorem 2.1** (Yoneda Lemma). *Let  $F : \mathcal{C} \rightarrow \text{Sets}$  be a contravariant functor. Let  $A \in \mathcal{C}$  and let us consider the contravariant functor*

$$h_A = \text{Hom}_{\mathcal{C}}(\bullet, A) : \mathcal{C} \rightarrow \text{Sets}$$

*introduced in Example 1.18. Let  $\text{Hom}(h_A, F)$  be the collection of functorial morphisms from  $h_A$  to  $F$ . Set*

$$\begin{aligned} \alpha_A^F : \text{Hom}(h_A, F) &\longrightarrow F(A) \\ \left( h_A \xrightarrow{\Gamma} F \right) &\longmapsto \Gamma_A(\text{Id}_A), \end{aligned}$$

$\alpha_A^F$  is a bijection and it is natural in  $A$  and  $F$  where

- $\alpha_A^F$  natural in  $A$  means that  $\alpha_{\bullet}^F : \text{Hom}(h_{\bullet}, F) \rightarrow F$  is a functorial morphism between functors from  $\mathcal{C}$  to  $\text{Sets}$ .
- $\alpha_A^F$  natural in  $F$  means that  $\alpha_A^{\bullet} : \text{Hom}(h_A, \bullet) \rightarrow \bullet(A)$  is a functorial morphism between functors from  $\text{Hom}(\mathcal{C}, \text{Sets})$  to  $\text{Sets}$ .

*Proof. Construction of  $\beta = (\alpha_A^F)^{-1} : F(A) \longrightarrow \text{Hom}(h_A, F)$ . Let  $x \in F(A)$ . For every object  $C$  in  $\mathcal{C}$ , we set*

$$\begin{aligned} \beta(x)_C &: h_A(C) \rightarrow F(C) \\ \beta(x)_C(f) &= F(f)(x) \text{ for every } f \in h_A(C) = \text{Hom}_{\mathcal{C}}(C, A) \end{aligned}$$

$\beta(x)$  is a functorial morphism for every  $x \in F(A)$ . Let  $x \in F(A)$ . For every morphism  $g : C_1 \rightarrow C_2$  in  $\mathcal{C}$ , we have to prove that

$$F(g) \circ \beta(x)_{C_2} \stackrel{?}{=} \beta(x)_{C_1} \circ h_A(g).$$

i.e. that the following diagram

$$\begin{array}{ccc} h_A(C_2) & \xrightarrow{\beta(x)_{C_2}} & F(C_2) \\ h_A(g) \downarrow & & \downarrow F(g) \\ h_A(C_1) & \xrightarrow{\beta(x)_{C_1}} & F(C_1) \end{array}$$

commutes. Let  $f \in \text{Hom}_{\mathcal{C}}(C_2, A)$ . We compute

$$\begin{aligned} [F(g) \circ \beta(x)_{C_2}](f) &= F(g)(\beta(x)_{C_2}(f)) = \\ &= F(g)(F(f)(x)) = [F(f) \circ F(g)](x) = F(f \circ g)(x) \end{aligned}$$

and

$$\begin{aligned} [\beta(x)_{C_1} \circ h_A(g)](f) &= \beta(x)_{C_1}(h_A(g)(f)) = \\ &= \beta(x)_{C_1}(f \circ g) = F(f \circ g)(x) \end{aligned}$$

so that we get

$$[F(g) \circ \beta(x)_{C_2}](f) = [\beta(x)_{C_1} \circ h_A(g)](f)$$

Since this holds for every  $f \in \text{Hom}_{\mathcal{C}}(C_2, A)$ , we deduce that  $F(g) \circ \beta(x)_{C_2} = \beta(x)_{C_1} \circ h_A(g)$ .

$\beta \circ \alpha_{\mathbf{A}}^F = \text{Id}_{\text{Hom}(h_A, F)}$ . Let  $\Gamma : h_A \rightarrow F$  be a functorial morphism. Then for every  $f : C_1 \rightarrow C_2$  morphism  $\mathcal{C}$  we have

$$\Gamma_{C_1} \circ h_A(f) = F(f) \circ \Gamma_{C_2}.$$

In particular, for every  $f : C \rightarrow A$  we have

$$(2.1) \quad \Gamma_C \circ h_A(f) = F(f) \circ \Gamma_A.$$

Let us recall that  $h_A(f)(t) = t \circ f$  for every  $t \in \text{Hom}_{\mathcal{C}}(A, A)$ . Therefore we get

$$\begin{aligned} \Gamma_C(f) &= \Gamma_C(\text{Id}_A \circ f) = \Gamma_C(h_A(f)(\text{Id}_A)) = \\ &= [\Gamma_C \circ h_A(f)](\text{Id}_A) \stackrel{(2.1)}{=} [F(f) \circ \Gamma_A](\text{Id}_A) = F(f)(\Gamma_A(\text{Id}_A)) \end{aligned}$$

which yields

$$(2.2) \quad \Gamma_C(f) = F(f)(\Gamma_A(\text{Id}_A))$$

We have to prove that

$$\begin{aligned} (\beta \circ \alpha_A^F)(\Gamma) &\stackrel{?}{=} \text{Id}_{\text{Hom}(h_A, F)}(\Gamma) \text{ i.e.} \\ \beta(\Gamma_A(\text{Id}_A)) &\stackrel{\text{def} \alpha_A^F}{=} \beta(\alpha_A^F(\Gamma)) \stackrel{?}{=} \Gamma \text{ for every } \Gamma \in \text{Hom}(h_A, F). \end{aligned}$$

For every  $C \in \mathcal{C}$  and  $f : C \rightarrow A$ , we compute

$$\beta(\Gamma_A(\text{Id}_A))_C(f) \stackrel{\text{def} \beta}{=} F(f)(\Gamma_A(\text{Id}_A)) \stackrel{(2.2)}{=} \Gamma_C(f).$$

Hence we deduce that  $(\beta \circ \alpha_A^F)(\Gamma) = \text{Id}_{\text{Hom}(h_A, F)}(\Gamma)$ .

$\alpha_{\mathbf{A}}^F \circ \beta = \text{Id}_{F(A)}$ . Let  $x \in F(A)$ . We have

$$\alpha_A^F(\beta(x)) \stackrel{\text{def} \alpha_A^F}{=} \beta(x)_A(\text{Id}_A) \stackrel{\text{def} \beta}{=} F(\text{Id}_A)(x) \stackrel{F \text{ is a functor}}{=} \text{Id}_{F(A)}(x) = x.$$



$\alpha_A^F$  is natural in  $A$  i.e.  $\alpha_{\bullet}^F : \text{Hom}(h_{\bullet}, F) \rightarrow F$  is a functorial morphism between functors from  $\mathcal{C}$  to Sets.

First of all let us prove that  $\text{Hom}(h_{\bullet}, F)$  is a contravariant functor from  $\mathcal{C}$  to Sets. For every  $A \in \mathcal{C}$ , let us set

$$\text{Hom}(h_{\bullet}, F)(A) = \text{Hom}(h_A, F)$$

and for every  $u : A \rightarrow B$  let

$$\begin{aligned} \text{Hom}(h_{\bullet}, F)(u) &= \text{Hom}(h_u, F) : \text{Hom}(h_B, F) \rightarrow \text{Hom}(h_A, F) \\ (\Gamma : h_B \rightarrow F) &\mapsto (\Gamma \circ h_u : h_A \rightarrow F) \end{aligned}$$

where  $h_u = \text{Hom}_{\mathcal{C}}(\bullet, u) : h_A \rightarrow h_B$  was defined in Exercise 1.27 by setting, for every  $C \in \mathcal{C}$ :

$$\begin{aligned} h_{uC} &= [\text{Hom}_{\mathcal{C}}(\bullet, u)]_C = \text{Hom}_{\mathcal{C}}(C, u) : h_A(C) = \text{Hom}_{\mathcal{C}}(C, A) \rightarrow h_B(C) = \text{Hom}_{\mathcal{C}}(C, B) \\ (a : C \rightarrow A) &\mapsto (u \circ a : C \rightarrow B) \end{aligned}$$

We have

$$\text{Hom}(h_{\bullet}, F)(\text{Id}_A) = \text{Hom}(h_{\text{Id}_A}, F) = \text{Hom}(\text{Id}_{h_A}, F) = \text{Id}_{\text{Hom}(h_A, F)} = \text{Id}_{\text{Hom}(h_{\bullet}, F)(A)}.$$

Let now  $u : A \rightarrow B$  and  $v : B \rightarrow D$  be morphisms in  $\mathcal{C}$ . We have to prove that

$$\text{Hom}(h_{\bullet}, F)(v \circ u) \stackrel{?}{=} \text{Hom}(h_{\bullet}, F)(u) \circ \text{Hom}(h_{\bullet}, F)(v)$$

i.e.

$$\text{Hom}(h_{v \circ u}, F) \stackrel{?}{=} \text{Hom}(h_u, F) \circ \text{Hom}(h_v, F).$$

Let  $\Gamma \in \text{Hom}(h_D, F)$ . We compute

$$\begin{aligned} [\text{Hom}(h_u, F) \circ \text{Hom}(h_v, F)](\Gamma) &= \text{Hom}(h_u, F)[\text{Hom}(h_v, F)(\Gamma)] = \text{Hom}(h_u, F)(\Gamma \circ h_v) = \\ &= \Gamma \circ h_v \circ h_u \end{aligned}$$

Let  $C \in \mathcal{C}$ . Now for every  $a : C \rightarrow A$  we compute

$$(h_v \circ h_u)(a) = h_v(h_u(a)) = h_v(u \circ a) = v \circ (u \circ a) = (v \circ u) \circ a = h_{v \circ u}(a)$$

so that we get

$$[\text{Hom}(h_u, F) \circ \text{Hom}(h_v, F)](\Gamma) = \Gamma \circ h_{v \circ u} = \text{Hom}(h_{v \circ u}, F)(\Gamma).$$

Having established that  $\text{Hom}(h_{\bullet}, F)$  is a contravariant functor from  $\mathcal{C}$  to Sets, let us prove that  $\alpha_{\bullet}^F : \text{Hom}(h_{\bullet}, F) \rightarrow F$  is a functorial morphism. Let  $u : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . We have to prove that

$$F(u) \circ \alpha_B^F \stackrel{?}{=} \alpha_A^F \circ \text{Hom}(h_u, F)$$

i.e. that the following diagram

$$\begin{array}{ccc} \text{Hom}(h_B, F) & \xrightarrow{\alpha_B^F} & F(B) \\ \text{Hom}(h_u, F) \downarrow & & \downarrow F(u) \\ \text{Hom}(h_A, F) & \xrightarrow{\alpha_A^F} & F(A) \end{array}$$

commutes. Let  $\Gamma : h_B \rightarrow F$  be a functorial morphism. Then we have

$$F(u) \circ \Gamma_B = \Gamma_A \circ h_B(u)$$

so that we get

$$\begin{aligned} [F(u) \circ \alpha_B^F](\Gamma) &= F(u)(\alpha_B^F(\Gamma)) \stackrel{\text{def}\alpha_B^F}{=} F(u)(\Gamma_B(\text{Id}_B)) = [F(u) \circ \Gamma_B](\text{Id}_B) = \\ &= [\Gamma_A \circ h_B(u)](\text{Id}_B) = \Gamma(h_B(u)(\text{Id}_B)) = \Gamma_A(\text{Id}_B \circ u) = \Gamma_A(u) \end{aligned}$$

and

$$\begin{aligned} [\alpha_A^F \circ \text{Hom}(h_u, F)](\Gamma) &= \alpha_A^F(\text{Hom}(h_u, F)(\Gamma)) = \alpha_A^F(\Gamma \circ h_u) \\ &\stackrel{\text{def}\alpha_A^F}{=} (\Gamma \circ h_u)_A(\text{Id}_A) = \Gamma_A(h_{uA}(\text{Id}_A)) = \Gamma_A(u \circ \text{Id}_A) = \Gamma_A(u). \end{aligned}$$

$\alpha_A^F$  is natural in  $F$ . Let  $\psi : F \rightarrow G$  be a functorial morphism, we have to prove that

$$\psi_A \circ \alpha_A^F = \alpha_A^G \circ \text{Hom}(h_u, \psi)$$

i.e. that the following diagram

$$\begin{array}{ccc} \text{Hom}(h_A, F) & \xrightarrow{\alpha_A^F} & F(A) \\ \text{Hom}(h_A, \psi) \downarrow & & \downarrow \psi_A \\ \text{Hom}(h_A, G) & \xrightarrow{\alpha_A^G} & G(A). \end{array}$$

commutes. Let  $\Gamma \in \text{Hom}(h_A, F)$ , we have

$$[\psi_A \circ \alpha_A^F](\Gamma) = \psi_A(\alpha_A^F(\Gamma)) \stackrel{\text{def}\alpha_A^F}{=} \psi_A(\Gamma_A(\text{Id}_A))$$

and

$$\begin{aligned} [\alpha_A^G \circ \text{Hom}(h_u, \psi)](\Gamma) &= \alpha_A^G(\text{Hom}(h_u, \psi)(\Gamma)) = \alpha_A^G(\psi \circ \Gamma) \\ &\stackrel{\text{def}\alpha_A^G}{=} (\psi \circ \Gamma)_A(\text{Id}_A) \\ &= \psi_A(\Gamma_A(\text{Id}_A)) \end{aligned}$$

so that the diagram commutes and  $\alpha_A^F$  is natural in  $F$ .  $\square$

**Corollary 2.2.** *Let  $\mathcal{C}$  be a category and let  $A, B \in \mathcal{C}$ . The map*

$$\begin{aligned} \chi : \text{Hom}_{\mathcal{C}}(A, B) &\longrightarrow \text{Hom}(h_A, h_B) \\ t &\longmapsto h_t \end{aligned}$$

*is bijective.*

*Proof.* By Theorem 2.1 applied to  $F = h_B$ , we know that

$$\begin{aligned} \alpha_A^{h_B} : \text{Hom}(h_A, h_B) &\longrightarrow h_B(A) = \text{Hom}_{\mathcal{C}}(A, B) \\ \left( h_A \xrightarrow{\Gamma} h_B \right) &\longmapsto \Gamma_A(\text{Id}_A), \end{aligned}$$

$\alpha_A^{h_B}$  is a bijection and it is natural in  $A$  and  $B$ . For every  $t \in \text{Hom}_{\mathcal{C}}(A, B)$ , let us compute

$$\left( \alpha_A^{h_B} \circ \chi \right) (t) = \alpha_A^{h_B}(\chi(t)) = \alpha_A^{h_B}(h_t) = h_{t_A}(\text{Id}_A) = t \circ \text{Id}_A = t = \text{Id}_{\text{Hom}_{\mathcal{C}}(A, B)}(t).$$

We deduce that

$$\alpha_A^{h_B} \circ \chi = \text{Id}_{\text{Hom}_{\mathcal{C}}(A, B)}.$$

Since  $\alpha_A^{h_B}$  is bijective, we obtain that also  $\chi$  is bijective.  $\square$

**Corollary 2.3.** *Let  $t : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . Then  $t$  is an isomorphism if and only if  $h_t$  is a functorial isomorphism.*

*Proof.* Assume that  $\phi = h_t$  is a functorial isomorphism. By Corollary 2.2, there exists a morphism  $u : B \rightarrow A$  in  $\mathcal{C}$  such that

$$\phi^{-1} = h_u.$$

Using the notations of Corollary 2.2, we have

$$\begin{aligned} \chi(\text{Id}_B) &= h_{\text{Id}_B} = \text{Id}_{h_B} = \phi \circ \phi^{-1} = h_t \circ h_u = h_{t \circ u} = \chi(t \circ u) \\ \chi(\text{Id}_A) &= h_{\text{Id}_A} = \text{Id}_{h_A} = \phi^{-1} \circ \phi = h_u \circ h_t = h_{u \circ t} = \chi(u \circ t). \end{aligned}$$

In view of Corollary 2.2, we deduce that

$$\text{Id}_B = t \circ u \text{ and } \text{Id}_A = u \circ t.$$

Conversely assume that there exists  $u : B \rightarrow A$  in  $\mathcal{C}$  such that

$$\text{Id}_B = t \circ u \text{ and } \text{Id}_A = u \circ t.$$

Then, given  $f : C \rightarrow A$  and  $g : C \rightarrow B$  we have

$$(h_t \circ h_u)(f) = t \circ (u \circ f) = (t \circ u) \circ f = f$$

and

$$(h_u \circ h_t)(g) = u \circ (t \circ g) = (u \circ t) \circ g = g.$$

$\square$

**Corollary 2.4.** *Let  $A, B \in \mathcal{C}$ , then  $A \cong B$  if and only if  $h_A \cong h_B$ .*

*Proof.* Assume that  $h_A \cong h_B$ . Then there exists a functorial morphism  $\phi : h_A \rightarrow h_B$  such that  $\phi_C$  is an isomorphism for every  $C \in \mathcal{C}$ . By Corollary 2.2, there exists a morphism  $t : A \rightarrow B$  such that  $\phi = h_t$ . By Corollary 2.3 we get that  $t$  is an isomorphism. The converse follows directly from Corollary 2.2.  $\square$

In a similar way one can prove the following results.

**Theorem 2.5** (Covariant Yoneda Lemma). *Let  $F : \mathcal{C} \rightarrow \text{Sets}$  be a covariant functor. Let  $A \in \mathcal{C}$  and let us consider the covariant functor*

$$h^A = \text{Hom}_{\mathcal{C}}(A, \bullet) : \mathcal{C} \rightarrow \text{Sets}$$

*introduced in Example 1.18. Let  $\text{Hom}(h^A, F)$  be the collection of functorial morphisms from  $h^A$  to  $F$ . Set*

$$\begin{aligned} \Phi_A : F(A) &\longrightarrow \text{Hom}(h^A, F) \\ t &\longmapsto \Phi_A(t) : h^A \rightarrow F, \end{aligned}$$

where

$$\begin{aligned} \Phi_A(t)_X &: \text{Hom}_{\mathcal{C}}(A, X) \rightarrow F(X) \\ f &\longmapsto F(f)(t) \end{aligned}$$

$\Phi_A$  is a bijection and it is natural in  $A$  i.e.

$\Phi_{\bullet} : F \rightarrow \text{Hom}(h^{\bullet}, F)$  is a functorial morphism between functors from  $\mathcal{C}$  to  $\text{Sets}$ .

**Corollary 2.6.** *Let  $\mathcal{C}$  be a category and let  $A, B \in \mathcal{C}$ . The map*

$$\begin{aligned} \xi : \text{Hom}_{\mathcal{C}}(A, B) &\longrightarrow \text{Hom}(h^B, h^A) \\ t &\longmapsto h^t \end{aligned}$$

is bijective

**Corollary 2.7.** *Let  $t : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . Then  $t$  is an isomorphism if and only if  $h^t$  is a functorial isomorphism.*

**Corollary 2.8.** *Let  $A, B \in \mathcal{C}$ , then  $A \cong B$  if and only if  $h^A \cong h^B$ .*

# Chapter 3

## Abelian categories

### 3.1 Kernel

**Lemma 3.1.** *Let  $\mathcal{C}$  be a category and let  $f : A \rightarrow B$  and  $g : B \rightarrow D$  be morphisms in  $\mathcal{C}$  and let  $h = g \circ f$ . Then*

- *$f$  is a monomorphism whenever  $h$  is a monomorphism,*
- *$g$  is an epimorphism whenever  $h$  is an epimorphism.*

*Proof.* Let  $C$  be an object of  $\mathcal{C}$ ,  $\lambda_1, \lambda_2 : C \rightarrow A$  and  $\xi_1, \xi_2 : D \rightarrow C$  be morphisms in  $\mathcal{C}$ . Assume that

$$f \circ \lambda_1 = f \circ \lambda_2.$$

Then we have

$$h \circ \lambda_1 = g \circ f \circ \lambda_1 = g \circ f \circ \lambda_2 = h \circ \lambda_2.$$

We deduce that  $\lambda_1 = \lambda_2$ , whenever  $h$  is a monomorphisms.

Now, assume that  $\xi_1 \circ g = \xi_2 \circ g$ . Then we have

$$\xi_1 \circ h = \xi_1 \circ g \circ f = \xi_2 \circ g \circ f = \xi_2 \circ h$$

We deduce that  $\xi_1 = \xi_2$ , whenever  $h$  is an epimorphisms. □

**Definition 3.2.** *Let  $\mathcal{C}$  be a category. Two morphisms  $f : A \rightarrow B$  and  $f' : A' \rightarrow B$  in  $\mathcal{C}$  are called equivalent, denoted by  $f \sim f'$ , if there exists an isomorphism  $g : A \rightarrow A'$  in  $\mathcal{C}$  such that*

$$f' \circ g = f$$

*i.e. the following diagram*

$$\begin{array}{ccc} A & \xrightarrow{g} & A' \\ & \searrow f & \swarrow f' \\ & & B. \end{array}$$

*is commutative.*

**Proposition 3.3.** *In the setting of Definition 3.2 we have*

- 1) *The relation  $\sim$  is an equivalence relation whose equivalent classes will be denoted by  $[ ]$ .*
- 2) *If  $f \sim f'$  then  $f$  is a monomorphism if and only if  $f'$  is a monomorphism.*
- 3) *If  $f \sim f'$  then  $f$  is an epimorphism if and only if  $f'$  is an epimorphism.*

*Proof.* 1) it is trivial.

2) Since  $f \sim f'$  there exists an isomorphism  $g : A \rightarrow A'$  such that

$$f' \circ g = f.$$

Assume that  $f$  is a monomorphism. Then, by Proposition 1.8 and exercise 1.9,  $f' = f \circ g^{-1}$  is a monomorphism. Conversely, assume that  $f'$  is a monomorphism. Then, by Proposition 1.8 and exercise 1.9,  $f = f' \circ g$  is a monomorphism.

3) Similar to 2). □

**Definition 3.4.** *Let  $\mathcal{C}$  be a category and let  $C \in \mathcal{C}$ . A subobject of  $C$  is an equivalence class  $[i : A \rightarrow C]$  where  $i$  is a monomorphism. We will however make an abuse of notation (and language) by denoting a subobject by  $(A, i)$  where  $i : A \rightarrow C$  is some representing monomorphism.*

**Definition 3.5.** *Let  $\mathcal{C}$  be a category. Let  $h : A \rightarrow B$  and  $h' : A \rightarrow B'$  are called coequivalent, denoted by  $h \overset{\circ}{\sim} h'$ , if there exists an isomorphism  $\zeta : B \rightarrow B'$  such that*

$$h' = \zeta \circ h$$

*i.e. the following diagram*

$$\begin{array}{ccc} B & \xrightarrow{\zeta} & B' \\ & \swarrow h & \nearrow h' \\ & A & \end{array}$$

*is commutative*

**Proposition 3.6.** *In the setting of Definition 3.5 we have*

- 1) *The relation  $\overset{\circ}{\sim}$  is an equivalence relation whose equivalent classes will be denoted by  $\langle \rangle$ .*
- 2) *If  $h \overset{\circ}{\sim} h'$  then  $h$  is a monomorphism if and only if  $h'$  is a monomorphism.*
- 3) *If  $h \overset{\circ}{\sim} h'$  then  $h$  is an epimorphism if and only if  $h'$  is an epimorphism.*

*Proof.* Dual to Proposition 3.3. □

**Definition 3.7.** Let  $\mathcal{C}$  be a category and let  $C \in \mathcal{C}$ . A quotient of  $C$  is an equivalence class  $\langle p : C \rightarrow B \rangle$  where  $p$  is an epimorphism. We will however make an abuse of notation (and language) by denoting a quotient by  $(B, p)$  where  $p : C \rightarrow B$  is some representing epimorphism.

**Definitions 3.8.** Let  $\mathcal{C}$  be a category.

- An object  $X \in \mathcal{C}$  is called *initial object* of  $\mathcal{C}$  if  $|\text{Hom}_{\mathcal{C}}(X, C)| = 1$  for every  $C \in \mathcal{C}$ .
- An object  $Z \in \mathcal{C}$  is called *final object* of  $\mathcal{C}$  if  $|\text{Hom}_{\mathcal{C}}(C, Z)| = 1$  for every  $C \in \mathcal{C}$ .
- If  $X = Z$  is both initial and final object of  $\mathcal{C}$  then it is called *zero* of the category  $\mathcal{C}$ .

**Example 3.9.** In  $\text{Mod-}R$ ,  $\{0\}$  is both initial and final object.

**Example 3.10.** In  $\text{Rings}$ ,  $\mathbb{Z}$  is initial object. In fact, for any ring  $R$  there exists a unique ring morphism

$$f : \mathbb{Z} \rightarrow R$$

determined by  $f(n) = n \cdot f(1_{\mathbb{Z}}) = n \cdot 1_R$ .

**Lemma 3.11.** If an initial (final) object in a category  $\mathcal{C}$  exists, then it is unique up to isomorphism.

*Proof.* Assume that  $X, X'$  are initial objects for the category  $\mathcal{C}$ . Then, for every  $C \in \mathcal{C}$  there exists a unique morphism  $h_C : X \rightarrow C$  and a unique morphism  $k_C : X' \rightarrow C$ . In particular there exists a unique morphism  $h_{X'} : X \rightarrow X'$  and a unique morphism  $k_X : X' \rightarrow X$ . Then we get

$$\text{Id}_X = h_X \circ k_{X'} = h_X \circ h_{X'}$$

and

$$\text{Id}_{X'} = k_{X'} \circ h_X = k_{X'} \circ k_X.$$

□

**Definition 3.12.** A category  $\mathcal{C}$  is called *preadditive* if

- 1) for every  $A, B \in \mathcal{C}$ ,  $\text{Hom}_{\mathcal{C}}(A, B)$  is an abelian group whose neutral element will be denoted by  $0_B^A$  or simply by  $0$ ;
- 2) the composition of maps

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(A, B) \times \text{Hom}_{\mathcal{C}}(B, C) &\longrightarrow \text{Hom}_{\mathcal{C}}(A, C) \\ (f, g) &\mapsto g \circ f \end{aligned}$$

is a group morphism, i.e.

$$\begin{aligned} (g_1 + g_2) \circ f &= g_1 \circ f + g_2 \circ f \\ g \circ (f_1 + f_2) &= g \circ f_1 + g \circ f_2. \end{aligned}$$

**Lemma 3.13.** *Let  $\mathcal{C}$  be a preadditive category and let  $X$  be an object of  $\mathcal{C}$ . Then the following are equivalent*

- (a)  $X$  is an initial (final) object in  $\mathcal{C}$
- (b)  $\text{Hom}_{\mathcal{C}}(X, C) = \{0_C^X\}$  ( $\text{Hom}_{\mathcal{C}}(C, X) = \{0_X^C\}$ ) for every  $C \in \mathcal{C}$ .

If  $X$  is a zero object for  $\mathcal{C}$  we will write  $X = 0_{\mathcal{C}}$ .

**Lemma 3.14.** *Let  $\mathcal{C}$  be a preadditive category. Then, for every morphism  $f : A \longrightarrow B$ , we have*

$$f \circ 0_A^C = 0_B^C \text{ and } 0_C^B \circ f = 0_C^A \text{ for every } C \in \mathcal{C}$$

*Proof.* We have

$$f \circ 0_A^C = f \circ (0_A^C + 0_A^C) = f \circ 0_A^C + f \circ 0_A^C.$$

Since  $\text{Hom}_{\mathcal{C}}(C, B)$  is a group, we deduce that

$$f \circ 0_A^C = 0_B^C.$$

The other statement as an analogous proof. □

**Notation 3.15.** *Let  $\mathcal{C}$  be a preadditive category and let  $A, B \in \mathcal{C}$ . From now on, we will simply write  $0$  instead of  $0_B^A$  whenever there is no risk of confusion.*

**Proposition 3.16.** *Let  $\mathcal{C}$  be a preadditive category and let  $f : A \longrightarrow B$  be a morphism in  $\mathcal{C}$ . Then*

- 1)  $f$  is a monomorphism if and only if for every  $g : C \longrightarrow A$  such that  $f \circ g = 0$  we have  $g = 0$
- 2)  $f$  is an epimorphism if and only if for every  $h : B \longrightarrow D$  such that  $h \circ f = 0$  we have  $h = 0$ .

*Proof.* 1) Assume that  $f$  is a monomorphism and that there exists  $g$  such that  $f \circ g = 0$ . In view of Lemma 3.14, we have:

$$f \circ g = 0 = f \circ 0.$$

Since  $f$  is a monomorphism we get that  $g = 0$ . Conversely, assume that for every  $g$  such that  $f \circ g = 0$  we have  $g = 0$ . Let  $g_1, g_2$  such that  $f \circ g_1 = f \circ g_2$ . Then we have  $f \circ (g_1 - g_2) = 0$  and hence, in view of our assumptions, we get that  $g_1 - g_2 = 0$ , i.e.  $g_1 = g_2$ , so that  $f$  is a monomorphism.

2) Similar to 1). □

**Definition 3.17.** *Let  $\mathcal{C}$  be a preadditive category and let  $f : A \longrightarrow B$  be a morphism in  $\mathcal{C}$ . A kernel of  $f$ , if it exists, is a pair  $(K, k)$  where  $k : K \longrightarrow A$  satisfies:*

- 1)  $f \circ k = 0$



2) *universal property of the kernel: if  $\xi : X \longrightarrow A$  is a morphism in  $\mathcal{C}$  such that  $f \circ \xi = 0$ , there exists a morphism  $\xi' : X \longrightarrow K$  such that*

$$\xi = k \circ \xi'$$

*i.e. the following diagram*

$$\begin{array}{ccccc} K & \xrightarrow{k} & A & \xrightarrow{f} & B \\ & \swarrow \xi' & \nearrow \xi & & \\ & X & & & \end{array}$$

*is commutative. Moreover, such  $\xi'$  is unique with respect to this property.*

**Proposition 3.18.** *Let  $\mathcal{C}$  be a preadditive category. If  $(K, k)$  is a kernel of  $f : A \longrightarrow B$ , then  $k$  is a monomorphism.*

*Proof.* Let  $(K, k)$  be a kernel of  $f$ . Let  $g : X \longrightarrow K$  be a morphism such that  $k \circ g = 0$ . We have to prove that  $g = 0$ . We have

$$f \circ k \circ g \stackrel{(3.14)}{=} 0$$

so that there exists a unique  $\xi' : X \longrightarrow K$  such that  $k \circ \xi' = k \circ g$ . Since  $k \circ g = 0 = k \circ 0$  and  $\xi'$  is unique with respect to the property  $k \circ \xi' = k \circ g$ , we deduce that  $\xi' = g = 0$  and thus  $k$  is a monomorphism.  $\square$

**Proposition 3.19.** *Let  $\mathcal{C}$  be a preadditive category. Assume that  $(K, k)$  is a kernel of  $f : A \longrightarrow B$ . Then given a pair  $(K', k')$  where  $k' : K' \rightarrow A$ , we have that*

*$(K', k')$  is a kernel of  $f : A \longrightarrow B$  if and only if the morphisms  $k$  and  $k'$  are equivalent.*

*Proof.* Assume that  $(K', k')$  is a kernel of  $f : A \longrightarrow B$ . Since  $(K, k)$  is a kernel of  $f$  and  $f \circ k' = 0$ , there exists a unique morphism  $\gamma : K' \rightarrow K$  such that

$$k' = k \circ \gamma.$$

Since  $(K', k')$  is a kernel of  $f$  and  $f \circ k = 0$ , there is a unique morphism  $\gamma' : K \rightarrow K'$  such that

$$k = k' \circ \gamma'.$$

Therefore we obtain

$$k \circ \text{Id}_K = k = k' \circ \gamma' = k \circ \gamma \circ \gamma'$$

and

$$k' \circ \text{Id}_{K'} = k' = k \circ \gamma = k' \circ \gamma' \circ \gamma.$$

Since both  $(K, k)$  and  $(K', k')$  are kernels of  $f$ , by Proposition 3.18, both  $k$  and  $k'$  are monomorphisms so that we deduce that

$$\gamma \circ \gamma' = \text{Id}_K \text{ and } \gamma' \circ \gamma = \text{Id}_{K'}$$

i.e.  $k$  is equivalent to  $k'$ .

Conversely assume that  $k$  and  $k'$  are equivalent, i.e. there exists an isomorphism  $\lambda : K \rightarrow K'$  such that  $k = k' \circ \lambda$ . Since  $(K, k)$  is a kernel of  $f$ , we have

$$0 = f \circ k = f \circ k' \circ \lambda$$

and since  $\lambda$  is an isomorphism, we deduce that

$$f \circ k' = 0.$$

Now let  $\xi : X \rightarrow A$  such that  $f \circ \xi = 0$ . Then there exists a unique morphism  $\xi' : X \rightarrow K$  such that  $\xi = k \circ \xi'$ . We have to prove that there exists a morphism  $\xi'' : X \rightarrow K'$  such that  $\xi = k' \circ \xi''$  and such  $\xi''$  is unique with respect to this property.

$$\begin{array}{ccccc}
 K' & \xrightarrow{k'} & A & \xrightarrow{f} & B \\
 & \searrow \lambda & \nearrow k & & \\
 & & K & & \\
 & \nearrow \xi'' & \downarrow \xi' & & \\
 & & X & & 
 \end{array}$$

We have

$$\xi = k \circ \xi' = k \circ \text{Id}_K \circ \xi' = k \circ \lambda^{-1} \circ \lambda \circ \xi' = k' \circ \xi''$$

where  $\xi'' = \lambda \circ \xi'$ . We now have to prove that  $\xi''$  is unique. Assume that  $\overline{\xi''} : X \rightarrow K'$  is another morphism such that

$$\xi = k' \circ \overline{\xi''}.$$

Then we have

$$\xi = k' \circ \overline{\xi''} = k' \circ \text{Id}_{K'} \circ \overline{\xi''} = k' \circ \lambda \circ \lambda^{-1} \circ \overline{\xi''} = k \circ \lambda^{-1} \circ \overline{\xi''}$$

and since

$$\xi = k \circ \xi'$$

where  $\xi'$  is unique with respect to the property  $\xi = k \circ \xi'$ , we deduce that

$$\xi' = \lambda^{-1} \circ \overline{\xi''}$$

and thus

$$\xi'' = \lambda \circ \xi' = \lambda \circ \lambda^{-1} \circ \overline{\xi''} = \overline{\xi''}.$$

□

**Notation 3.20.** Let  $(K, k)$  be a kernel of a morphism  $f : A \rightarrow B$ . Then, in view of Proposition 3.19,  $k$  is a monomorphism. Hence  $k$  is a representative monomorphism of a subobject of  $A$  which will be denoted by  $\text{Ker}(f)$ . We will also write  $(K, k) = \text{Ker}(f)$  to mean that  $k$  is a representative of the equivalence class  $\text{Ker}(f)$ .

**Definition 3.21.** Let  $\mathcal{C}$  be a preadditive category and let  $f : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . A cokernel of  $f$ , if it exists, is a pair  $(Q, \chi)$  where  $\chi : B \rightarrow Q$  satisfies:

- 1)  $\chi \circ f = 0$
- 2) universal property of the cokernel: if  $\eta : B \rightarrow Y$  is a morphism in  $\mathcal{C}$  such that  $\eta \circ f = 0 = 0$ , there exists a morphism  $\eta' : Q \rightarrow Y$  such that

$$\eta = \eta' \circ \chi$$

i.e. the following diagram

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{\chi} & Q \\ & & \searrow \eta & & \swarrow \eta' \\ & & & & Y \end{array}$$

is commutative. Moreover, such  $\eta'$  is unique with respect to this property.

**Proposition 3.22.** If  $(Q, \chi)$  is a cokernel of  $f : A \rightarrow B$ , then  $\chi$  is an epimorphism.

*Proof.* Let  $g : Q \rightarrow Y$  be such that  $g \circ \chi = 0$ . We have to prove that  $g = 0$ . We have

$$g \circ \chi \circ f \stackrel{(3.14)}{=} 0$$

so that there exists a unique morphism  $\eta' : Q \rightarrow Y$  such that

$$g \circ \chi = \eta' \circ \chi.$$

Since  $g \circ \chi = 0 = 0 \circ \chi$  and  $\eta'$  is unique with respect to the property that  $g \circ \chi = \eta' \circ \chi$ , we deduce that  $\eta' = g = 0$  and thus  $\chi$  is an epimorphism.  $\square$

**Proposition 3.23.** Assume that  $(Q, \chi)$  is a cokernel of  $f : A \rightarrow B$ . Then given a pair  $(Q', \chi')$  where  $\chi' : B \rightarrow Q'$ , we have that

$(Q', \chi')$  is a cokernel of  $f : A \rightarrow B$  if and only if the morphisms  $\chi$  and  $\chi'$  are coequivalent.

*Proof.* Assume that  $(Q', \chi')$  is a cokernel of  $f$ . Since  $(Q, \chi)$  is a cokernel of  $f$  and  $\chi' \circ f = 0$ , there exists a unique morphism  $\sigma : Q \rightarrow Q'$  such that

$$\chi' = \sigma \circ \chi.$$

Since  $(Q', \chi')$  is a cokernel of  $f$  and  $\eta \circ f = 0$ , there is a unique morphism  $\sigma' : Q' \rightarrow Q$  such that

$$\chi = \sigma' \circ \chi'$$

Therefore we obtain

$$\text{Id}_Q \circ \chi = \chi = \sigma' \circ \chi' = \sigma' \circ \sigma \circ \chi$$

and

$$\text{Id}_{Q'} \circ \chi' = \chi' = \sigma \circ \chi = \sigma \circ \sigma' \circ \chi'.$$

Since both  $(Q, \chi)$  and  $(Q', \chi')$  are cokernel of  $f$ , by Proposition 3.22, both  $\chi$  and  $\chi'$  are epimorphisms so that we deduce that

$$\sigma' \circ \sigma = \text{Id}_Q \quad \text{and} \quad \sigma \circ \sigma' = \text{Id}_{Q'}$$

i.e.  $\chi$  and  $\chi'$  are equivalent morphisms.

Conversely assume that  $\chi$  and  $\chi'$  are coequivalent i.e. there exists an isomorphism  $\lambda : Q \rightarrow Q'$  such that  $\chi' = \lambda \circ \chi$ . Since  $(Q, \chi)$  is a cokernel of  $f : A \rightarrow B$ , we have

$$\chi' \circ f = \lambda \circ \chi \circ f = \lambda \circ 0 = 0.$$

Now let  $\eta : B \rightarrow Y$  such that  $\eta \circ f = 0$ . We have to prove that there exists  $\eta'' : Q' \rightarrow Y$  such that  $\eta = \eta'' \circ \chi'$  and  $\eta''$  is unique with respect to this property. Since  $(Q, \chi)$  is a cokernel of  $f$  and  $\eta \circ f = 0$ , there exists a unique  $\eta' : Q \rightarrow Y$  such that  $\eta = \eta' \circ \chi$ . We have

$$\eta = \eta' \circ \chi = \eta' \circ \text{Id}_Q \circ \chi = \eta' \circ \lambda^{-1} \circ \lambda \circ \chi = \eta' \circ \lambda^{-1} \circ \chi' = \eta'' \circ \chi'$$

where  $\eta'' = \eta' \circ \lambda^{-1}$ . We prove that such morphism  $\eta''$  is unique. Assume that there exists another morphism  $\bar{\eta}'' : Q' \rightarrow Y$  such that  $\eta = \bar{\eta}'' \circ \chi'$ . We have

$$\eta = \bar{\eta}'' \circ \chi' = \bar{\eta}'' \circ \text{Id}_{Q'} \circ \chi' = \bar{\eta}'' \circ \lambda \circ \lambda^{-1} \circ \chi' = \bar{\eta}'' \circ \lambda \circ \chi$$

and since

$$\eta = \eta' \circ \chi$$

where  $\eta'$  is unique with respect to the property  $\eta = \eta' \circ \chi$ , we deduce that

$$\eta' = \bar{\eta}'' \circ \lambda$$

and thus

$$\bar{\eta}'' = \eta' \circ \lambda^{-1} = \eta''.$$

□

**Notation 3.24.** Let  $(Q, \chi)$  be a cokernel of a morphism  $f : A \rightarrow B$ . Then, in view of Proposition 3.23,  $\chi$  is an epimorphism. Hence  $\chi$  is a representative epimorphism of a quotient of  $B$  which will be denote by  $\text{Coker}(f)$ . We will also write  $(Q, \chi) = \text{Coker}(f)$  to mean that  $\chi$  is a representative of the equivalent class  $\text{Coker}(f)$ .

**Theorem 3.25.** Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$  and let  $f : A \rightarrow B$  be a morphism in  $\mathcal{C}$ .

- 1) Then  $f$  is a monomorphism if and only if  $\text{Ker}(f) = (0_{\mathcal{C}}, 0_A^{0_{\mathcal{C}}})$ .
- 2) Then  $f$  is an epimorphism if and only if  $\text{Coker}(f) = (0_{\mathcal{C}}, 0_{0_{\mathcal{C}}}^B)$ .

*Proof.* 1) Assume that  $\text{Ker}(f)$  exists. Suppose that  $f$  is a monomorphism. Since  $\mathcal{C}$  is a preadditive category, by Lemma 3.14, we have  $f \circ 0_A^{0_C} = 0_B^{0_C}$ . Let now  $\xi : X \rightarrow A$  such that  $f \circ \xi = 0_B^X$ . Since we also have  $f \circ 0_A^X = 0_B^X = f \circ \xi$  and  $f$  is a monomorphism, we deduce that  $\xi = 0_A^X$  and hence we get

$$\xi = 0_A^X = 0_A^{0_C} \circ 0_{0_C}^X.$$

Since  $0_C$  is a final object, the unique  $\xi' : X \rightarrow 0_C$  we can choose is  $0_{0_C}^X$ . Conversely suppose that  $(0_C, 0_A^{0_C}) = \text{Ker}(f)$ . Let  $\xi : X \rightarrow A$  such that  $f \circ \xi = 0_B^X$ . Then there exists a unique morphism  $\xi' : X \rightarrow 0_C$  such that  $0_A^{0_C} \circ \xi' = \xi$ , i.e.  $\xi = 0_A^X$ .

2) Similar to 1).  $\square$

**Proposition 3.26.** *Let  $\mathcal{C}$  be a preadditive category with  $0_C$  and assume that for every morphism in  $\mathcal{C}$  there exist both kernel and cokernel. Then, if  $f : A \rightarrow B$  is a morphism in  $\mathcal{C}$  and  $(K, k) = \text{Ker}(f)$  and  $(Q, \chi) = \text{Coker}(f)$  we have*

$$1) (K, k) = \text{KerCoker}(k),$$

$$2) (Q, \chi) = \text{CokerKer}(\chi).$$

*Proof.* 1) Let us set  $(W, w) = \text{Coker}(k)$ . We have to prove that  $(K, k) = \text{Ker}(w)$ . Note that, by definition of  $w$  we have  $w \circ k = 0$ . Let  $\xi : X \rightarrow A$  a morphism such that  $w \circ \xi = 0$ . We have to prove that there exists  $\xi' : X \rightarrow K$  such that  $\xi = k \circ \xi'$  and such  $\xi'$  is unique with respect to this property.

$$\begin{array}{ccccc} K & \xrightarrow{k} & A & \xrightarrow{f} & B \\ & & \swarrow w & & \searrow f' \\ & & X & & W \end{array}$$

(Note: In the original image, there are dashed arrows from X to K labeled ξ' and from X to W labeled f'. The arrows from A to X are labeled ξ and w.)

Since  $(W, w) = \text{Coker}(k)$  and  $f \circ k = 0$ , there exists a unique morphism  $f' : W \rightarrow B$  such that  $f' \circ w = f$ , then

$$f \circ \xi = f' \circ w \circ \xi = f' \circ 0 = 0.$$

Since  $(K, k) = \text{Ker}(f)$  and  $f \circ \xi = 0$ , there exists a unique morphism  $\xi' : X \rightarrow K$  such that

$$k \circ \xi' = \xi.$$

2) Similar to 1).  $\square$

**Lemma 3.27.** *Let  $\mathcal{C}$  be a preadditive category with  $0_C$  and let  $A \xrightarrow{f} B \xrightarrow{g} C$  in  $\mathcal{C}$ .*

1) *If  $g$  is a monomorphism and  $\text{Ker}(f)$  exists, then  $\text{Ker}(f) = \text{Ker}(g \circ f)$ .*

2) *If  $f$  is an epimorphism and  $\text{Coker}(g)$  exists, then  $\text{Coker}(g) = \text{Coker}(g \circ f)$ .*

*Proof.* 1) Let  $(K, k) = \text{Ker}(f)$ . We prove that  $(K, k) = \text{Ker}(g \circ f)$ . By definition of  $k$  we have  $f \circ k = 0$  so that we get  $g \circ f \circ k = 0$ . Now, let  $\xi : X \rightarrow A$   $g \circ f \circ \xi = 0$ . Since  $g$  is a monomorphism we get that  $f \circ \xi = 0$  and hence, since  $(K, k) = \text{Ker}(f)$ , there exists a unique morphism  $\xi' : X \rightarrow K$  such that  $\xi = k \circ \xi'$ .

2) Let  $(Q, \chi) = \text{Coker}(g)$  and let us prove that  $(Q, \chi) = \text{Coker}(g \circ f)$ . By definition of  $\chi$  we have that  $0 = \chi \circ g = 0$  so that we get  $\chi \circ g \circ f = 0$ . Now let  $\eta : C \rightarrow Y$  such that  $\eta \circ g \circ f = 0$ . Since  $f$  is an epimorphism, we get that  $\eta \circ g = 0$ . Since  $(Q, \chi) = \text{Coker}(g)$ , there exists a unique  $\eta' : Q \rightarrow Y$  such that  $\eta = \eta' \circ \chi$ .  $\square$

**Lemma 3.28.** *Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ , let  $f : A \rightarrow B$  be a morphism and assume that there exist  $(K, k) = \text{Ker}(f)$  and  $(Q, \chi) = \text{Coker}(f)$ . Let  $\alpha : L \rightarrow K$  and  $\beta : Q \rightarrow P$  be isomorphisms. Then*

$$1) (L, k \circ \alpha) = \text{Ker}(f)$$

$$2) (P, \beta \circ \chi) = \text{Coker}(f).$$

*Proof.* It follows by Propositions 3.19 and 3.23.  $\square$

**Remark 3.29.** *Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ , kernels and cokernels. Let  $f : A \rightarrow B$  be a morphism in  $\mathcal{C}$  and let  $(K, k) = \text{Ker}(f)$  and  $(Q, \chi) = \text{Coker}(f)$ . Let  $(Q', \chi') = \text{Coker}(k)$  and  $(K', k') = \text{Ker}(\chi)$ :*

$$\begin{array}{ccccc} K & \xrightarrow{k} & A & \xrightarrow{f} & B & \xrightarrow{\chi} & Q \\ & & \downarrow \chi' & \searrow \rho & \uparrow k' & & \\ & & Q' & \xrightarrow{\bar{f}} & K' & & \end{array}$$

*Since  $(K', k') = \text{Ker}(\chi)$  and  $\chi \circ f = 0$ , there exists a unique morphism  $\rho : A \rightarrow K'$  such that  $k' \circ \rho = f$ ; then  $0 = f \circ k = k' \circ \rho \circ k$  and since  $k'$  is a monomorphism we have  $\rho \circ k = 0$ . As  $(Q', \chi') = \text{Coker}(k)$  there exists a unique morphism  $\bar{f} : Q' \rightarrow K'$  such that  $\bar{f} \circ \chi' = \rho$ . Finally we have*

$$f = k' \circ \rho = k' \circ \bar{f} \circ \chi'.$$

*In general,  $\bar{f}$  is not an isomorphism.*

**Definition 3.30.** *We say that a preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$ , kernels and cokernels satisfies the Ab property if, for every morphism  $f$ ,  $\bar{f}$  as in Remark 3.29 is an isomorphism.*

**Definition 3.31.** *A preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$ , kernels and cokernels satisfying the Ab property is called preabelian category.*

**Theorem 3.32.** *Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ , kernels and cokernels. Then  $\mathcal{C}$  is preabelian, i.e.  $\mathcal{C}$  satisfies the property Ab, if and only if for every morphism  $f : A \rightarrow B$  there exist a kernel  $(X, \xi)$  and a cokernel  $(X, \eta)$  such that  $f = \xi \circ \eta$ . In this case*

$$(X, \xi) = \text{KerCoker}(f) \text{ and } (X, \eta) = \text{CokerKer}(f).$$

*Proof.* Let  $(K, k) = \text{Ker}(f)$  and  $(Q, \chi) = \text{Coker}(f)$ ,  $(K', k') = \text{Ker}(\chi)$ ,  $(Q', \chi') = \text{Coker}(k)$  and  $\bar{f} : Q' \rightarrow K'$  as in Remark 3.29 so that

$$f = k' \circ \bar{f} \circ \chi'$$

i.e. the following diagram

$$\begin{array}{ccccc} K & \xrightarrow{k} & A & \xrightarrow{f} & B & \xrightarrow{\chi} & Q \\ & & \downarrow \chi' & \searrow \rho & \uparrow k' & & \\ & & Q' & \xrightarrow{\bar{f}} & K' & & \end{array}$$

is commutative.

Assume first that  $\mathcal{C}$  satisfies property Ab i.e. that  $\bar{f}$  is an isomorphism. Then, by Lemma 3.28, we have that  $(Q', \bar{f} \circ \chi') = \text{Coker}(k)$ . Thus  $f = k' \circ (\bar{f} \circ \chi')$  where  $(K', k')$  is a kernel and  $(K', \bar{f} \circ k')$  is a cokernel.

Conversely, assume that for every morphism  $f$ , there exist  $(X, \xi) = \text{Ker}(w)$  and  $(X, \eta) = \text{Coker}(\zeta)$  such that  $f = \xi \circ \eta$ . Then we can consider the following diagram

$$\begin{array}{ccccc} K & \xrightarrow{k} & A & \xrightarrow{f} & B & \xrightarrow{\chi} & Q \\ & & \downarrow \eta & & \uparrow \xi & & \\ & & X & & & & \\ & & \downarrow \alpha & & \uparrow \beta & & \\ & & Q' & \xrightarrow{\bar{f}} & K' & & \end{array}$$

Since  $\xi$  is a kernel,  $\xi$  is a monomorphism so that, by Lemma 3.27, we have

$$\text{Ker}(\eta) = \text{Ker}(\xi \circ \eta) = \text{Ker}(f) = (K, k).$$

Since  $\eta$  is a cokernel, then by Proposition 3.26 we have

$$(X, \eta) = \text{CokerKer}(\eta) = \text{Coker}(k).$$

Since  $(Q', \chi') = \text{Coker}(k)$  and cokernels are unique up to isomorphism, there exists an isomorphism  $\alpha : Q' \rightarrow X$  such that

$$\alpha \circ \chi' = \eta.$$

Since  $\eta$  is a cokernel,  $\eta$  is an epimorphism so that, by Lemma 3.27, we have

$$\text{Coker}(\xi) = \text{Coker}(\xi \circ \eta) = \text{Coker}(f) = (Q, \chi).$$

Since  $\xi$  is a kernel, by Proposition 3.26,

$$(X, \xi) = \text{KerCoker}(\xi) = \text{Ker}(\chi).$$

Since  $\text{Ker}(\chi) = (K', k')$ , then there exists an isomorphism  $\beta : X \longrightarrow K'$  such that

$$k' \circ \beta = \xi.$$

Then we have

$$f = k' \circ \bar{f} \circ \chi'$$

and

$$f = \xi \circ \eta = k' \circ \beta \circ \alpha \circ \chi'$$

and since  $k'$  is a kernel and thus a monomorphism and  $\chi'$  is a cokernel and so an epimorphism, we deduce that

$$\bar{f} = \beta \circ \alpha$$

where  $\alpha$  and  $\beta$  are isomorphism. Therefore  $\bar{f}$  is also an isomorphism.  $\square$

**Lemma 3.33.** *Consider the morphisms  $Z \xrightarrow{0_A^Z} A \xrightarrow{\text{Id}_A} A$  and  $B \xrightarrow{\text{Id}_B} B \xrightarrow{0_W^B} W$  in a preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$ , kernels and cokernels. We have*

$$(A, \text{Id}_A) = \text{Coker}(0_A^Z) \quad \text{and} \quad (B, \text{Id}_B) = \text{Ker}(0_W^B).$$

*Proof.* Clearly  $\text{Id}_A \circ 0_A^Z = 0_A^Z$ . Now, let  $\eta : A \longrightarrow Y$  such that  $\eta \circ 0_A^Z = 0_Y^Z$ . Clearly  $\eta = \eta \circ \text{Id}_A$ . Let  $\eta' : A \longrightarrow Y$  such that  $\eta = \eta' \circ \text{Id}_A$ . Then  $\eta' = \eta$ . Thus  $(A, \text{Id}_A) = \text{Coker}(0_A^Z)$ .

Clearly  $0_W^B \circ \text{Id}_B = 0_W^B$ . Let  $\lambda : X \longrightarrow B$  be a morphism such that  $0_W^B \circ \lambda = 0_W^X$ . Then, of course, we have  $\text{Id}_B \circ \lambda = \lambda$  and thus  $(B, \text{Id}_B) = \text{Ker}(0_W^B)$ .  $\square$

**Proposition 3.34.** *Let  $\mathcal{C}$  be a preabelian category and let  $f : A \longrightarrow B$ . Then*

- 1)  *$f$  is an isomorphism if and only if  $f$  is a monomorphism and an epimorphism;*
- 2)  *$f$  is a monomorphism if and only if  $(A, f) = \text{KerCoker}(f)$ ;*
- 3)  *$f$  is an epimorphism if and only if  $(B, f) = \text{CokerKer}(f)$ .*

*Proof.* 1) In view of Proposition 1.8, we already know that an isomorphism is both a monomorphism and an epimorphism .

Conversely, let  $f$  be a monomorphism and an epimorphism. Then, by Theorem 3.25, we have  $\text{Ker}(f) = (0_{\mathcal{C}}, 0_A^{0_{\mathcal{C}}})$  and  $\text{Coker}(f) = (0_{\mathcal{C}}, 0_{0_{\mathcal{C}}}^B)$ . Since by Lemma 3.33  $\text{Ker}(0_{0_{\mathcal{C}}}^B) = (B, \text{Id}_B)$  and  $\text{Coker}(0_A^{0_{\mathcal{C}}}) = (A, \text{Id}_A)$ , the decomposition of Remark 3.29 is given by  $f = \text{Id}_B \circ \bar{f} \circ \text{Id}_A = \bar{f}$  which is an isomorphism since  $\mathcal{C}$  is preabelian. Thus  $f$  is an isomorphism.

2) Assume that  $f$  is a monomorphism. By Theorem 3.32,

$$f = \xi \circ \eta.$$

where  $(X, \xi) = \text{KerCoker}(f)$  and  $(X, \eta) = \text{CokerKer}(f)$ .



Since  $f$  is a monomorphism, by Lemma 3.1, also  $\eta$  is a monomorphism. Since  $(X, \eta)$  is a cokernel, by Proposition 3.22,  $\eta$  is an epimorphism. Therefore, by 1),  $\eta$  is an isomorphism so that, in view of 1) in Lemma 3.28, we get

$$\text{KerCoker}(f) = (A, \xi \circ \eta) = (A, f).$$

Conversely if  $(A, f) = \text{KerCoker}(f)$ , then, by Proposition 3.18,  $f$  is a monomorphism

3) It is analogous to 2) and it is left as an exercise to the reader.  $\square$

**Definitions 3.35.** Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$ , kernels and cokernels

- The image of a morphism  $f$ , that will be denoted by  $\text{Im}(f)$ , is defined by setting

$$\text{Im}(f) = \text{KerCoker}(f).$$

- The coimage of a morphism  $f$ , that will be denoted by  $\text{Coim}(f)$ , is defined by setting

$$\text{Coim}(f) = \text{CokerKer}(f).$$

**Corollary 3.36.** Let  $\mathcal{C}$  be a preabelian category and let  $f : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . Then

$$\text{Im}(f) \cong \text{Coim}(f).$$

Moreover

1)  $f$  is a monomorphism if and only if  $\text{Im}(f) = (A, f)$ .

2)  $f$  is an epimorphism if and only if  $\text{Coim}(f) = (B, f)$ .

*Proof.* The first statement follows by the property Ab. 1) and 2) are obtained by applying Proposition 3.34.  $\square$

## 3.2 Products, Coproducts and Biproducts

**Definition 3.37.** Let  $(C_i)_{i \in I}$  be a family of objects in the category  $\mathcal{C}$ . A product of such a family in  $\mathcal{C}$  is an ordered pair  $(P, (\pi_i)_{i \in I})$  where

1)  $P \in \mathcal{C}$

2)  $\pi_i : P \rightarrow C_i$  is a morphism in  $\mathcal{C}$  for every  $i \in I$

3) if  $(f_i)_{i \in I}$  is a family of morphisms in  $\mathcal{C}$  where  $f_i : X \rightarrow C_i$ , then there exists a unique morphism  $f : X \rightarrow P$  such that

$$\pi_i \circ f = f_i$$

for every  $i \in I$ , i.e. the following diagrams

$$\begin{array}{ccc} & P & \\ f \nearrow & & \searrow \pi_i \\ X & \xrightarrow{f_i} & C_i. \end{array}$$

are commutative.

**Theorem 3.38.** Let  $(P, (\pi_i)_{i \in I})$  and  $(P', (\pi'_i)_{i \in I})$  be products in the category  $\mathcal{C}$  of the family  $(C_i)_{i \in I}$ . Then there exists a morphism  $\alpha : P' \rightarrow P$  such that  $\pi_i \circ \alpha = \pi'_i$  for every  $i \in I$ . Moreover this morphism is unique with respect to this property and it is an isomorphism.

*Proof.* Apply definition of product to  $(P, (\pi_i)_{i \in I})$  and " $f_i$ " =  $\pi'_i$ . Then there exists a unique morphism  $\alpha : P' \rightarrow P$  such that  $\pi_i \circ \alpha = \pi'_i$  for every  $i \in I$ . Now, apply definition of product to  $(P', (\pi'_i)_{i \in I})$  and " $f_i$ " =  $\pi_i$ . Then there exists a unique morphism  $\beta : P \rightarrow P'$  such that  $\pi'_i \circ \beta = \pi_i$ . Then we have

$$\pi_i \circ \alpha \circ \beta = \pi_i \text{ and } \pi'_i \circ \beta \circ \alpha = \pi'_i.$$

$$\begin{array}{ccccc} P & \xrightarrow{\beta} & P' & \xrightarrow{\alpha} & P \\ & \searrow \pi_i & & \swarrow \pi_i & \\ & & C_i & & \end{array} .$$

By definition of product there exists a unique morphism  $f : P \rightarrow P$  such that  $\pi_i \circ f = \pi_i$ . Since

$$\pi_i \circ \text{Id}_P = \pi_i = \pi_i \circ (\alpha \circ \beta),$$

we get  $\alpha \circ \beta = \text{Id}_P$ . Similarly, there exists a unique morphism  $f : P' \rightarrow P'$  such that  $\pi'_i \circ f = \pi'_i$ . Since

$$\pi'_i \circ \text{Id}_{P'} = \pi'_i = \pi'_i \circ (\beta \circ \alpha),$$

we deduce that  $\beta \circ \alpha = \text{Id}_{P'}$ . Therefore  $\alpha$  is an isomorphism.  $\square$

**Notation 3.39.** In the following, we denote a product of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$  by  $(\prod_{i \in I} C_i, (\pi_i)_{i \in I})$ . The unique morphism  $f$  is denoted by  $\Delta(f_i)_{i \in I}$  and it is called diagonal morphism of the family of morphisms  $(f_i)_{i \in I}$ .

**Notation 3.40.** Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$  and assume that the product  $(\prod_{i \in I} C_i, (\pi_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists. For every  $j \in I$  consider the family of morphisms  $(\delta_{ji})_{i \in I}$  where  $\delta_{ji} = \text{Id}_{C_j}$  if  $j = i$  and  $\delta_{ji} = 0_{C_i}^{C_j}$  if  $j \neq i$ . We denote by  $e_j : C_j \rightarrow \prod_{i \in I} C_i$  the diagonal morphism of the family of morphisms  $(\delta_{ji})_{i \in I}$ . This means that

$$\begin{aligned} \pi_i \circ e_j &= 0_{C_i}^{C_j} : C_j \rightarrow C_i && \text{if } i \neq j \\ \pi_i \circ e_j &= \text{Id}_{C_j} : C_j \rightarrow C_j && \text{if } i = j. \end{aligned}$$

**Proposition 3.41.** *Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$ . If the product  $(\prod_{i \in I} C_i, (\pi_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists, then every  $\pi_i$  is an epimorphism.*

*Proof.* Let us fix a  $j \in I$  and let  $g, h : C_j \rightarrow X$  be such that

$$(3.1) \quad g \circ \pi_j = h \circ \pi_j.$$

We get

$$g = g \circ \text{Id}_{C_j} = g \circ \pi_j \circ e_j \stackrel{(3.1)}{=} h \circ \pi_j \circ e_j = h \circ \text{Id}_{C_j} = h$$

and thus  $\pi_j$  is an epimorphism.  $\square$

**Exercise 3.42.** *Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$  and assume that the product  $(\prod_{i \in I} C_i, (\pi_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists. Let*

$$\alpha, \beta : X \rightarrow \prod_{i \in I} C_i$$

be morphisms in  $\mathcal{C}$ . Show that

$$\alpha = \beta \iff \pi_i \circ \alpha = \pi_i \circ \beta \text{ for every } i \in I.$$

**Definition 3.43.** *Let  $(C_j)_{j \in I}$  be a family of objects in a category  $\mathcal{C}$ . A coproduct of such a family in  $\mathcal{C}$  is an ordered pair  $(H, (\varepsilon_i)_{i \in I})$  where*

- 1)  $H \in \mathcal{C}$
- 2)  $\varepsilon_i : C_i \rightarrow H$  is a morphism in  $\mathcal{C}$  for every  $i \in I$
- 3) if  $(f_i)_{i \in I}$  is a family of morphisms in  $\mathcal{C}$  where  $f_i : C_i \rightarrow Y$ , then there exists a unique morphism  $f : H \rightarrow Y$  such that  $f \circ \varepsilon_i = f_i$  for every  $i \in I$ , i.e. the following diagrams

$$\begin{array}{ccc} & H & \\ \varepsilon_i \nearrow & & \searrow f \\ C_i & \xrightarrow{f_i} & Y. \end{array}$$

are commutative.

**Theorem 3.44.** *Let  $(H, (\varepsilon_i)_{i \in I})$  and  $(H', (\varepsilon'_i)_{i \in I})$  be coproducts of a family  $(C_i)_{i \in I}$  of objects in a category  $\mathcal{C}$ . Then there exists a morphism  $\alpha : H \rightarrow H'$  such that  $\alpha \circ \varepsilon_i = \varepsilon'_i$  for every  $i \in I$ . Moreover this morphism is unique with respect to this property and it is an isomorphism.*

*Proof.* Apply definition of coproduct to  $(H, (\varepsilon_i)_{i \in I})$  and " $f_i$ " =  $\varepsilon'_i$ . Then there exists a unique morphism  $\alpha : H \rightarrow H'$  such that  $\alpha \circ \varepsilon_i = \varepsilon'_i$  for every  $i \in I$ . Now, apply definition of coproduct to  $(H', (\varepsilon'_i)_{i \in I})$  and " $f_i$ " =  $\varepsilon_i$ . Then there exists a unique morphism  $\beta : H' \rightarrow H$  such that  $\beta \circ \varepsilon'_i = \varepsilon_i$ . Then we have

$$\beta \circ \alpha \circ \varepsilon_i = \varepsilon_i \text{ and } \alpha \circ \beta \circ \varepsilon'_i = \varepsilon'_i.$$

By definition of coproduct there exists a unique morphism  $f : H \longrightarrow H$  such that  $f \circ \varepsilon_i = \varepsilon_i$ . Since

$$\text{Id}_H \circ \varepsilon_i = \varepsilon_i = (\beta \circ \alpha) \circ \varepsilon_i,$$

we get  $\beta \circ \alpha = \text{Id}_H$ . Similarly, there exists a unique morphism  $f : H' \longrightarrow H'$  such that  $f \circ \varepsilon'_i = \varepsilon'_i$ . Since

$$\text{Id}_{H'} \circ \varepsilon'_i = \varepsilon'_i = (\alpha \circ \beta) \circ \varepsilon'_i,$$

we deduce that  $\alpha \circ \beta = \text{Id}_{H'}$ . Therefore  $\alpha$  is an isomorphism.  $\square$

**Remark 3.45.** A coproduct of a family  $(C_i)_{i \in I}$  in  $\mathcal{C}$  is a product of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}^o$ .

**Notation 3.46.** We denote by  $(\coprod_{i \in I} C_i, (\varepsilon_i)_{i \in I})$  the coproduct of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$  and by  $\nabla (f_i)_{i \in I}$  the unique morphism  $f$  and it is called codiagonal morphism.

**Notation 3.47.** Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$  with  $0_{\mathcal{C}}$  and assume that the coproduct  $(\coprod_{i \in I} C_i, (\varepsilon_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists. For every  $j \in I$  consider the family of morphisms  $(\delta_{ji})_{i \in I}$  where  $\delta_{ji} = \text{Id}_{C_j}$  if  $j = i$  and  $\delta_{ji} = 0_{C_j}^{C_i}$  if  $j \neq k$ . We denote by  $p_j : \coprod_{i \in I} C_i \longrightarrow C_j$  the codiagonal morphism of the family of morphisms  $(\delta_{ji})_{i \in I}$ . This means that

$$\begin{aligned} p_j \circ \varepsilon_i &= 0_{C_j}^{C_i} : C_i \longrightarrow C_j && \text{if } i \neq j \\ p_j \circ \varepsilon_i &= \text{Id}_{C_j} : C_j \longrightarrow C_j && \text{if } i = j. \end{aligned}$$

**Proposition 3.48.** Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$ . If the coproduct  $(\coprod_{i \in I} C_i, (\varepsilon_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists, then every  $\varepsilon_i$  is a monomorphism.

*Proof.* Let us fix a  $j \in I$  and let  $g, h : X \longrightarrow C_j$  be such that

$$(3.2) \quad \varepsilon_j \circ g = \varepsilon_j \circ h.$$

We get

$$g = \text{Id}_{C_j} \circ g = p_j \circ \varepsilon_j \circ g \stackrel{(3.2)}{=} p_j \circ \varepsilon_j \circ h = \text{Id}_{C_j} \circ h = h$$

and thus  $\varepsilon_j$  is an monomorphism.  $\square$

**Exercise 3.49.** Let  $\mathcal{C}$  be a preadditive category  $\mathcal{C}$  and assume that the coproduct  $(\coprod_{i \in I} C_i, (\varepsilon_i)_{i \in I})$  of the family  $(C_i)_{i \in I}$  exists. Let

$$\alpha, \beta : \coprod_{i \in I} C_i \rightarrow X$$

be morphisms in  $\mathcal{C}$ . Show that

$$\alpha = \beta \iff \alpha \circ \varepsilon_i = \beta \circ \varepsilon_i \text{ for every } i \in I.$$

**Definition 3.50.** Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ , let  $I = \{1, \dots, n\}$  and let  $(C_i)_{i \in I}$  be a family of objects in  $\mathcal{C}$ . A biproduct of such a family in  $\mathcal{C}$  is a triple  $(Q, (\varepsilon_i)_{i \in I}, (\pi_i)_{i \in I})$  where

$$1) Q \in \mathcal{C}$$

2)  $\varepsilon_i : C_i \longrightarrow Q$  and  $\pi_i : Q \longrightarrow C_i$  are morphisms in  $\mathcal{C}$  for every  $i \in I$  such that

$$\pi_k \circ \varepsilon_j = \delta_{jk} \quad \sum_{k \in I} \varepsilon_k \circ \pi_k = \text{Id}_Q$$

where  $\delta_{jk} = \text{Id}_{C_j}$  if  $j = k$  and  $\delta_{jk} = 0_{C_k}$  if  $j \neq k$ .

**Lemma 3.51.** Let  $(Q, (\varepsilon_i)_{i \in I}, (\pi_i)_{i \in I})$  be a biproduct of a family  $(C_i)_{i \in I}$  of objects in  $\mathcal{C}$  where  $I = \{1, \dots, n\}$ . Then  $(Q, (\varepsilon_i)_{i \in I})$  is a coproduct of the family  $(C_i)_{i \in I}$  and  $(Q, (\pi_i)_{i \in I})$  is a product of the family  $(C_i)_{i \in I}$ .

*Proof.* Let us show that  $(Q, (\varepsilon_i)_{i \in I})$  is a coproduct of the family  $(C_i)_{i \in I}$ . Let  $(f_i : C_i \rightarrow X)_{i \in I}$  be a family of morphism in  $\mathcal{C}$ .  $\square$

**Theorem 3.52.** Let  $(Q, (\varepsilon_i)_{i \in I}, (\pi_i)_{i \in I})$  and  $(Q', (\varepsilon'_i)_{i \in I}, (\pi'_i)_{i \in I})$  be biproducts of a family  $(C_i)_{i \in I}$  of objects in a preadditive category  $\mathcal{C}$  where  $I = \{1, \dots, n\}$ . Then there exists a morphism  $\alpha : Q \longrightarrow Q'$  such that

$$\alpha \circ \varepsilon_i = \varepsilon'_i \text{ for every } i \in I.$$

Moreover  $\alpha$  is unique with respect to this property, and  $\pi'_i \circ \alpha = \pi_i$  for every  $i \in I$  and  $\alpha$  is an isomorphism.

*Proof.* By Lemma 3.51, both  $(Q, (\varepsilon_i)_{i \in I})$  and  $(Q', (\varepsilon'_i)_{i \in I})$  are coproducts of the family  $(C_i)_{i \in I}$ . By Theorem 3.44, there is a morphism  $\alpha : Q \longrightarrow Q'$  such that  $\alpha \circ \varepsilon_i = \varepsilon'_i$  for every  $i \in I$ . Moreover this morphism is unique with respect to this property and it is an isomorphism. We have

$$\pi'_i \circ \alpha = \pi'_i \circ \alpha \circ \text{Id}_Q = \pi'_i \circ \alpha \circ \sum_{j \in I} \varepsilon_j \circ \pi_j = \pi'_i \circ \sum_{j \in I} \alpha \circ \varepsilon_j \circ \pi_j = \sum_{j \in I} \pi'_i \circ \varepsilon'_j \circ \pi_j = \sum_{j \in I} \delta_{ij} \circ \pi_j = \pi_i.$$

$\square$

**Notation 3.53.** Let  $I = \{1, \dots, n\}$ . In the following, we denote by  $\left( \times_{i \in I} C_i, (\varepsilon_i)_{i \in I}, (\pi_i)_{i \in I} \right)$  the biproduct of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$ .

**Theorem 3.54.** Let  $\mathcal{C}$  be a preadditive category, let  $I = \{1, \dots, n\}$  and let  $(C_i)_{i \in I}$  be a family of objects in  $\mathcal{C}$ . The following statements are equivalent:

- (a) there exists the product of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$ ;
- (b) there exists the biproduct of the  $(C_i)_{i \in I}$  family in  $\mathcal{C}$ ;

(c) there exists the coproduct of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$ .

Moreover, if one of the statements holds, every product is a biproduct, every coproduct is a biproduct and every biproduct is both product and coproduct in  $\mathcal{C}$ .

*Proof.* (a)  $\Rightarrow$  (b). Consider the family of morphisms  $(e_i)_{i \in I}$  of notation 3.40. We will prove that  $(\prod_{i \in I} C_i, (e_i)_{i \in I}, (\pi_i)_{i \in I})$  is the biproduct of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$ . By construction, we have that

$$\pi_i \circ e_j = \delta_{ij}$$

so that the first property of the biproduct holds. Now we have to prove that  $\sum_{k \in I} e_k \circ \pi_k = \text{Id}_{\prod_{i \in I} C_i}$ . In fact we have

$$\pi_i \circ \left( \sum_{k \in I} e_k \circ \pi_k \right) = \sum_{k \in I} \pi_i \circ e_k \circ \pi_k = \sum_{k \in I} \delta_{ik} \circ \pi_k = \pi_i \text{ for every } i \in I$$

Since we also have

$$\pi_i \circ \text{Id}_{\prod_{i \in I} C_i} = \pi_i, \text{ for every } i \in I,$$

by the uniqueness of the morphism  $t$  such

$$\pi_i \circ t = \pi_i, \text{ for every } i \in I,$$

that we deduce that

$$\sum_{k \in I} e_k \circ \pi_k = \text{Id}_{\prod_{i \in I} C_i}.$$

(b)  $\Rightarrow$  (a). It follows by Lemma 3.51.

(c)  $\Rightarrow$  (b). Consider the family of morphisms  $(p_i)_{i \in I}$  of notation 3.47. We will prove that  $(\prod_{i \in I} C_i, (\varepsilon_i)_{i \in I}, (p_i)_{i \in I})$  is the biproduct of the family  $(C_i)_{i \in I}$  in  $\mathcal{C}$ . By construction, we have that

$$p_j \circ \varepsilon_i = \delta_{ij}$$

so that the first property of the biproduct holds. Now we have to prove that  $\sum_{k \in I} \varepsilon_k \circ p_k = \text{Id}_{\prod_{i \in I} C_i}$ . In fact we have

$$\left( \sum_{k \in I} \varepsilon_k \circ p_k \right) \circ \varepsilon_i = \sum_{k \in I} \varepsilon_k \circ p_k \circ \varepsilon_i = \sum_{k \in I} \varepsilon_k \circ \delta_{ik} = \varepsilon_i, \text{ for every } i \in I,$$

Since we also have

$$\text{Id}_{\prod_{i \in I} C_i} \circ \varepsilon_i = \varepsilon_i, \text{ for every } i \in I,$$

by the uniqueness of the morphism  $t$  such

$$t \circ \varepsilon_i = \varepsilon_i, \text{ for every } i \in I,$$

that we deduce that

$$\sum_{k \in I} \varepsilon_k \circ p_k = \text{Id}_{\prod_{i \in I} C_i}.$$

(b)  $\Rightarrow$  (c). It follows by Lemma 3.51.  $\square$

**Definition 3.55.** An abelian category is a preabelian category where every finite family of objects has a product.

### 3.3 Exact sequences

**Definition 3.56.** Let  $\mathcal{C}$  be a preabelian category and let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be morphisms in  $\mathcal{C}$ . The sequence

$$A \xrightarrow{f} B \xrightarrow{g} C$$

is called exact if  $\text{Ker}(g) = \text{Im}(f)$ .

**Lemma 3.57.** Let  $A \xrightarrow{f} B \xrightarrow{g} C$  be an exact sequence in a preabelian category  $\mathcal{C}$ . Then

- 1)  $g \circ f = 0$ ;
- 2)  $f$  is a monomorphism  $\Leftrightarrow (A, f) = \text{Ker}(g)$ ;
- 3)  $g$  is an epimorphism  $\Leftrightarrow (C, g) = \text{Coker}(f)$ .

*Proof.* 1) Let  $(K, k) = \text{Ker}(g)$  and let  $(Q, \chi) = \text{Coker}(f)$ . Since the sequence is exact, we have

$$(K, k) = \text{Ker}(g) = \text{Im}(f) = \text{KerCoker}(f) = \text{Ker}(\chi).$$

and  $\chi \circ f \stackrel{(Q, \chi) = \text{Coker}(f)}{=} 0$  there exists a unique morphism  $\xi : A \rightarrow K$  such that  $f = k \circ \xi$  and thus

$$g \circ f = g \circ k \circ \xi \stackrel{(K, k) = \text{Ker}(g)}{=} 0 \circ \xi = 0$$

since  $(K, k) = \text{Ker}(g)$ .

2) If  $f$  is a monomorphism, by Proposition 3.34, we have  $(A, f) = \text{KerCoker}(f) = \text{Im}(f) = \text{Ker}(g)$ . The converse follows in view of Proposition 3.18.

3) If  $g$  is an epimorphism, by Proposition 3.34, we have

$$\begin{aligned} (C, g) &= \text{CokerKer}(g) = \text{CokerIm}(f) \\ &= \text{CokerKerCoker}(f) = \text{Coker}(f) \end{aligned}$$

where the last equality holds by Proposition 3.34 since  $\text{Coker}(f)$  is an epimorphism. The converse follows in view of Proposition 3.22.  $\square$

**Definition 3.58.** A sequence of morphisms

$$0_{\mathcal{C}} \rightarrow C_1 \xrightarrow{f} C \xrightarrow{g} C_2 \rightarrow 0_{\mathcal{C}}$$

in a preabelian category  $\mathcal{C}$  is called short exact if we have

- 1)  $0_{\mathcal{C}} \rightarrow C_1 \xrightarrow{f} C$  is exact, i.e.  $\text{Im}(0_{C_1}^{0_{\mathcal{C}}}) = \text{Ker}(f)$ ;
- 2)  $C_1 \xrightarrow{f} C \xrightarrow{g} C_2$  is exact, i.e.  $\text{Im}(f) = \text{Ker}(g)$ ;

3)  $C \xrightarrow{g} C_2 \rightarrow 0_C$  is exact, i.e.  $\text{Im}(g) = \text{Ker}(0_{0_C}^{C_2})$ .

**Lemma 3.59.** *Let  $\mathcal{C}$  be a preabelian category. Then  $\text{Ker}(0_B^A) = (A, \text{Id}_A)$  and  $\text{Im}(0_B^A) = (0_C, 0_B^{0_C})$ .*

*Proof.* The first equality follows by Lemma 3.33. Moreover we have

$$\text{Im}(0_B^A) = \text{KerCoker}(0_B^A) = \text{Ker}(\text{Id}_B) = (0_C, 0_B^{0_C})$$

where the second equality follows by 3.33 and the third one by Theorem 3.25  $\square$

**Lemma 3.60.** *Let  $\mathcal{C}$  be a preabelian category and let  $f : A \rightarrow B$  be a morphism in  $\mathcal{C}$ . Then the following are equivalent:*

- (a)  $f$  is an epimorphism;
- (b)  $\text{Im}(f) = \text{Ker}(0_{0_C}^B)$ ;
- (c)  $\text{Im}(f) = (B, \text{Id}_B)$ .

*Proof.* (a)  $\Rightarrow$  (b) In view of Theorem 3.25  $f$  is an epimorphism if and only if  $\text{Coker}(f) = (0_C, 0_{0_C}^B)$ . Thus if  $f$  is an epimorphism, we have  $\text{Im}(f) = \text{KerCoker}(f) = \text{Ker}(0_{0_C}^B)$ .

(b)  $\Leftrightarrow$  (c) By Lemma 3.59,  $\text{Ker}(0_{0_C}^B) = (B, \text{Id}_B)$ .

(c)  $\Rightarrow$  (a) If  $\text{Im}(f) = \text{Ker}(0_{0_C}^B)$ , we have

$$\text{Coker}(f) \stackrel{3.26}{=} \text{CokerKerCoker}(f) = \text{CokerIm}(f) = \text{Coker}(\text{Id}_B) \stackrel{3.25}{=} (0_C, 0_{0_C}^{C_2}).$$

In view of Theorem 3.25,  $f$  is an epimorphism.  $\square$

**Proposition 3.61.** *A sequence of morphisms*

$$0_C \rightarrow C_1 \xrightarrow{f} C \xrightarrow{g} C_2 \rightarrow 0_C$$

*in a preabelian category  $\mathcal{C}$  is short exact if and only if*

- 1)  $(0_C, 0_{C_1}^{0_C}) = \text{Ker}(f)$  i.e.  $f$  is a monomorphism;
- 2)  $\text{Im}(f) = \text{Ker}(g)$ ;
- 3)  $\text{Im}(g) = (C_2, \text{Id}_{C_2})$  i.e.  $g$  is an epimorphism.

*Proof.* By Lemma 3.59, we have  $\text{Im}(0_{C_1}^{0_C}) = (0_C, 0_{C_1}^{0_C})$  and  $\text{Ker}(0_{0_C}^{C_2}) = (C_2, \text{Id}_{C_2})$ . The, by Theorem 3.25  $f$  is a monomorphism if and only if  $\text{Ker}(f) = (0_C, 0_{C_1}^{0_C})$  and by Lemma 3.60  $g$  is an epimorphism if and only if  $\text{Im}(g) = (C_2, \text{Id}_{C_2})$ .  $\square$



**Proposition 3.62.** *A sequence of morphisms*

$$0_{\mathcal{C}} \rightarrow C_1 \xrightarrow{f} C \xrightarrow{g} C_2 \rightarrow 0_{\mathcal{C}}$$

*in a preabelian category  $\mathcal{C}$  is short exact if and only if*

- 1)  $(C_1, f) = \text{Ker}(g)$ ;
- 2)  $(C_2, g) = \text{Coker}(f)$ .

*Proof.* Assume that the sequence is exact. Then, by Proposition 3.61,  $f$  is mono,  $g$  is epi. Then, since the sequence  $C_1 \xrightarrow{f} C \xrightarrow{g} C_2$  is exact, by Lemma 3.57, we get  $(C_1, f) = \text{Ker}(g)$  and  $(C_2, g) = \text{Coker}(f)$ . Conversely, assume that 1) and 2) hold. Then, by Proposition 3.18,  $f$  is a monomorphism and, by Proposition 3.22,  $g$  is an epimorphism. Moreover, in view of 2)  $\text{Im}(f) = \text{KerCoker}(f) = \text{Ker}(g)$ . By Proposition 3.61, we conclude.  $\square$

**Theorem 3.63.** *Let  $0_{\mathcal{C}} \rightarrow C_1 \xrightarrow{f} C \xrightarrow{g} C_2 \rightarrow 0_{\mathcal{C}}$  be an exact sequence in an abelian category*

$$\begin{array}{ccccccc}
 0_{\mathcal{C}} & \longrightarrow & C_1 & \xrightarrow{f} & C & \xrightarrow{g} & C_2 \longrightarrow 0 \\
 & & & & \downarrow \alpha & & \\
 & & & & C_1 \times C_2 & & 
 \end{array}$$

$\begin{array}{c} \lambda \\ \swarrow \\ C_1 \end{array} \quad \begin{array}{c} \gamma \\ \swarrow \\ C \end{array} \quad \begin{array}{c} \varepsilon_1 \\ \searrow \\ C_1 \times C_2 \end{array} \quad \begin{array}{c} \pi_2 \\ \searrow \\ C_2 \end{array}$

*Then the following statements are equivalent:*

- (a) *there exists  $\lambda : C \rightarrow C_1$  such that  $\lambda \circ f = \text{Id}_{C_1}$ , i.e.  $f$  splits;*
- (b) *there exists  $\gamma : C_2 \rightarrow C$  such that  $g \circ \gamma = \text{Id}_{C_2}$ , i.e.  $g$  cosplits;*
- (c) *there exists an isomorphism  $\alpha : C \rightarrow \prod_{i \in \{1,2\}} C_i$  such that*

$$\alpha \circ f = \varepsilon_1 \quad \text{and} \quad \pi_2 \circ \alpha = g.$$

*If (a) holds, we can consider  $\alpha = \varepsilon_1 \circ \lambda + \varepsilon_2 \circ g$ . If (b) holds, we can consider  $\alpha^{-1} = f \circ \pi_1 + \gamma \circ \pi_2$ .*

*Proof.* (a)  $\Rightarrow$  (c). We set  $I = \{1, 2\}$ .

**Construction of  $\alpha$ .** Assume that  $\lambda : C \rightarrow C_1$  and  $\lambda \circ f = \text{Id}_{C_1}$ . Let

$$\alpha = \varepsilon_1 \circ \lambda + \varepsilon_2 \circ g.$$

We have

$$\alpha \circ f = \varepsilon_1 \circ \lambda \circ f + \varepsilon_2 \circ g \circ f = \varepsilon_1 \circ \text{Id}_{C_1} + \varepsilon_2 \circ 0 = \varepsilon_1$$

i.e.

$$(3.3) \quad \alpha \circ f = \varepsilon_1$$

and

$$\pi_2 \circ \alpha = \pi_2 \circ \varepsilon_1 \circ \lambda + \pi_2 \circ \varepsilon_2 \circ g = g$$

i.e.

$$(3.4) \quad \pi_2 \circ \alpha = g.$$

We also have

$$\pi_1 \circ \alpha = \pi_1 \circ \varepsilon_1 \circ \lambda + \pi_2 \circ \varepsilon_2 \circ g = \lambda$$

i.e.

$$(3.5) \quad \pi_1 \circ \alpha = \lambda.$$

**$\alpha$  is an epimorphism.** Let  $\xi : \prod_{i \in I} C_i \rightarrow X$  be a morphism such that  $\xi \circ \alpha = 0$  then

$$\xi \circ \varepsilon_1 \stackrel{(3.3)}{=} \xi \circ \alpha \circ f = 0$$

so that

$$0 = \xi \circ \alpha = \xi \circ \varepsilon_1 \circ \lambda + \xi \circ \varepsilon_2 \circ g = \xi \circ \varepsilon_2 \circ g$$

and since  $g$  is an epimorphism, we deduce that  $\xi \circ \varepsilon_2 = 0$ . Then

$$\begin{aligned} \xi &= \xi \circ \text{Id} \times_{i \in I} C_i = \xi \circ (\varepsilon_1 \circ \pi_1 + \varepsilon_2 \circ \pi_2) \\ &= \xi \circ \varepsilon_1 \circ \pi_1 + \xi \circ \varepsilon_2 \circ \pi_2 = 0_X \times_{i \in I} C_i \end{aligned}$$

i.e.  $\alpha$  is an epimorphism.

**$\alpha$  is a monomorphism.** Let  $\zeta : X \rightarrow C$  be a morphism such that  $\alpha \circ \zeta = 0$ . Then, composing with  $\pi_2$ , we have

$$0 = \pi_2 \circ \alpha \circ \zeta \stackrel{(3.4)}{=} g \circ \zeta.$$

Since the given sequence is exact, by Proposition 3.61, we have that  $(C_1, f) = \text{Ker}(g)$ . By the universal property of the kernel, there exists a unique morphism  $\eta : X \rightarrow C_1$  such that

$$f \circ \eta = \zeta$$

so that

$$0 = \alpha \circ \zeta = \alpha \circ f \circ \eta \stackrel{(3.3)}{=} \varepsilon_1 \circ \eta.$$

Since  $\varepsilon_1$  is a monomorphism, we get that  $\eta = 0$  and thus

$$\zeta = f \circ \eta = f \circ 0 = 0,$$

i.e.  $\alpha$  is a monomorphism. By Proposition 3.34, we deduce that  $\alpha$  is an isomorphism.

(b)  $\Rightarrow$  (c).

**Construction of  $\beta$ .** Assume there exists  $\gamma : C_2 \rightarrow C$  such that

$$g \circ \gamma = \text{Id}_{C_2}.$$

Let

$$\beta = f \circ \pi_1 + \gamma \circ \pi_2.$$

Then we have

$$\beta \circ \varepsilon_1 = (f \circ \pi_1 + \gamma \circ \pi_2) \circ \varepsilon_1 = f$$

and

$$\beta \circ \varepsilon_2 = (f \circ \pi_1 + \gamma \circ \pi_2) \circ \varepsilon_2 = \gamma.$$

Moreover we have

$$g \circ \beta = g \circ f \circ \pi_1 + g \circ \gamma \circ \pi_2 = 0 \circ \pi_1 + \text{Id}_{C_2} \circ \pi_2 = \pi_2$$

i.e.

$$(3.6) \quad g \circ \beta = \pi_2$$

**$\beta$  is an epimorphism.** Let  $\xi : C \rightarrow X$  be a morphism such that  $\xi \circ \beta = 0$ . We have to prove that  $\xi = 0$ . We have

$$\begin{aligned} 0 = \xi \circ \beta &= \xi \circ \beta \circ \text{Id}_{\prod_{i \in I} C_i} = \xi \circ \beta \circ (\varepsilon_1 \circ \pi_1 + \varepsilon_2 \circ \pi_2) \\ &= \xi \circ \beta \circ \varepsilon_1 \circ \pi_1 + \xi \circ \beta \circ \varepsilon_2 \circ \pi_2 \\ &= 0 \circ \varepsilon_1 \circ \pi_1 + \xi \circ \gamma \circ \pi_2 = \xi \circ \gamma \circ \pi_2 \end{aligned}$$

and since  $\pi_2$  is an epimorphism we get that

$$\xi \circ \gamma = 0.$$

Since  $g$  is an epimorphism by Lemma 3.57 we have  $(C_2, g) = \text{Coker}(f)$  so that from

$$0 = \xi \circ \beta \circ \varepsilon_1 = \xi \circ f$$

we infer there exists a unique  $\eta : C_2 \rightarrow X$  such that  $\xi = \eta \circ g$ . Then we have

$$\eta = \eta \circ \text{Id}_{C_2} = \eta \circ g \circ \gamma = \xi \circ \gamma = 0.$$

Thus

$$\xi = \eta \circ g = 0 \circ g = 0.$$

**$\beta$  is a monomorphism.** Let  $\zeta : X \rightarrow \prod_{i \in I} C_i$  be a morphism such that  $\beta \circ \zeta = 0$ , we have to prove that  $\zeta = 0_{\prod_{i \in I} C_i}^X$ . We compute

$$\pi_2 \circ \zeta = g \circ \beta \circ \zeta = g \circ 0 = 0.$$

Then we have

$$\zeta = \text{Id}_{\prod_{i \in I} C_i} \circ \zeta = (\varepsilon_1 \circ \pi_1 + \varepsilon_2 \circ \pi_2) \circ \zeta = \varepsilon_1 \circ \pi_1 \circ \zeta + \varepsilon_2 \circ \pi_2 \circ \zeta = \varepsilon_1 \circ \pi_1 \circ \zeta.$$

Moreover  $0 = \beta \circ \zeta = \beta \circ \varepsilon_1 \circ \pi_1 \circ \zeta = f \circ \pi_1 \circ \zeta$  and since  $f$  is a monomorphism we deduce that

$$\pi_1 \circ \zeta = 0.$$

Thus

$$\zeta = \varepsilon_1 \circ \pi_1 \circ \zeta = \varepsilon_1 \circ 0 = 0.$$

By Proposition 3.34, we deduce that  $\beta$  is an isomorphism. Set

$$\alpha = \beta^{-1}$$

From

$$\beta \circ \varepsilon_1 = f \text{ and } g \circ \beta \stackrel{3.6}{=} \pi_2$$

we deduce that

$$\alpha \circ f = \varepsilon_1 \text{ and } \pi_2 \circ \alpha = g.$$

(c)  $\Rightarrow$  (a). Assume that there exists an isomorphism  $\alpha : C \longrightarrow \prod_{i \in \{1,2\}} C_i$  such that

$$\alpha \circ f = \varepsilon_1 \quad \text{and} \quad \pi_2 \circ \alpha = g.$$

We set  $\lambda = \pi_1 \circ \alpha$ . Then

$$\lambda \circ f = \pi_1 \circ \alpha \circ f = \pi_1 \circ \varepsilon_1 = \text{Id}_{C_1}.$$

(c)  $\Rightarrow$  (b). Assume that there exists an isomorphism  $\alpha : C \longrightarrow \prod_{i \in \{1,2\}} C_i$  such that

$$\alpha \circ f = \varepsilon_1 \quad \text{and} \quad \pi_2 \circ \alpha = g.$$

We set  $\gamma = \alpha^{-1} \circ \varepsilon_2$ . Then we get

$$g \circ \gamma = g \circ \alpha^{-1} \circ \varepsilon_2 = \pi_2 \circ \varepsilon_2 = \text{Id}_{C_2}.$$

□

**Definition 3.64.** *If one of the conditions in Theorem 3.63 holds, we say that the exact sequence*

$$0_C \rightarrow C_1 \xrightarrow{f} C \xrightarrow{g} C_2 \rightarrow 0_C$$

splits.

**Corollary 3.65.** *The sequence*

$$0_C \rightarrow C_1 \xrightarrow{\varepsilon_1} \prod_{i \in \{1,2\}} C_i \xrightarrow{\pi_2} C_2 \rightarrow 0_C$$

is exact and splits.

*Proof.* First we prove that the sequence is exact. By Proposition 3.48 and Proposition 3.41  $\varepsilon_1$  is a monomorphism and  $\pi_2$  is an epimorphism. Thus,  $\text{Im}(\varepsilon_1) = \text{KerCoker}(\varepsilon_1) = \varepsilon_1$ . We prove that  $(C_1, \varepsilon_1) = \text{Ker}(\pi_2)$ . We already have that  $\pi_2 \circ \varepsilon_1 = 0$ . Let  $\xi : X \rightarrow \prod_{i \in \{1,2\}} C_i$  such that  $\pi_2 \circ \xi = 0$ . We have to prove that there exists  $\bar{\xi} : X \rightarrow C_1$  such that  $\xi = \varepsilon_1 \circ \bar{\xi}$ . We have

$$\xi = \text{Id}_{\prod_{i \in \{1,2\}} C_i} \circ \xi = \varepsilon_1 \circ \pi_1 \circ \xi + \varepsilon_2 \circ \pi_2 \circ \xi = \varepsilon_1 \circ \pi_1 \circ \xi.$$

Thus we set  $\bar{\xi} = \pi_1 \circ \xi$ . Assume now that there exists another morphism  $\bar{\bar{\xi}}$  such that  $\xi = \varepsilon_1 \circ \bar{\bar{\xi}}$ . Since also  $\xi = \varepsilon_1 \circ \bar{\xi}$  and  $\varepsilon_1$  is a monomorphism, we deduce that  $\bar{\bar{\xi}} = \bar{\xi}$ . In order to prove that it splits let us consider  $\lambda = \pi_1$  or  $\gamma = \varepsilon_2$ , from that we deduce  $\alpha = \text{Id}_{\prod_{i \in \{1,2\}} C_i}$ .  $\square$

# Chapter 4

## Limits and Colimits

### 4.1 Limits

**Definition 4.1.** A category is called small if the class of objects is actually a set.

**Definition 4.2.** Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor where  $\mathcal{I}$  is a small category. A cone on  $F$  is an ordered pair

$$(X, (\alpha_I)_{I \in \mathcal{I}})$$

where

- $X$  is an object of  $\mathcal{C}$
- $(\alpha_I)_{I \in \mathcal{I}}$  is a family of morphisms of  $\mathcal{C}$
- $\alpha_I : X \rightarrow F(I)$  for every  $I \in \mathcal{I}$

such that for every morphism  $I \xrightarrow{\lambda} J$  in  $\mathcal{I}$ , the following diagram is commutative

$$\begin{array}{ccc} & X & \\ \alpha_I \swarrow & & \searrow \alpha_J \\ F(I) & \xrightarrow{F(\lambda)} & F(J) \end{array} .$$

In this case the family of morphisms  $(\alpha_I)_{I \in \mathcal{I}}$  is called compatible with  $F$ .

**Definition 4.3.** Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor where  $\mathcal{I}$  is a small category. A limit (also called projective limit) of the functor  $F$  is a cone  $(X, (\alpha_I)_{I \in \mathcal{I}})$  on  $F$  satisfying the following universal property: for any cone  $(Y, (\xi_I)_{I \in \mathcal{I}})$  on  $F$ , there exists a morphism  $\xi : Y \rightarrow X$  such that, for every  $I$ , the following diagram commutes

$$\begin{array}{ccc} Y & \xrightarrow{\xi} & X \\ \xi_I \searrow & & \swarrow \alpha_I \\ & F(I) & \end{array} .$$

Moreover such  $\xi$  is unique with respect to this property.

**Proposition 4.4.** *Let  $(X, (\alpha_I)_{I \in \mathcal{I}})$  and  $(X', (\alpha'_I)_{I \in \mathcal{I}})$  be limits of  $F$ . Then there exists a unique morphism  $\alpha : X' \rightarrow X$  such that  $\alpha_I \circ \alpha = \alpha'_I$  for every  $I$ . Moreover  $\alpha$  is an isomorphism.*

*Proof.* Exercise. □

**Notation 4.5.** *In the following we denote by  $\varprojlim F$  the limit of  $F$  whenever it exists.*

**Example 4.6.** *Let  $\mathcal{I}$  be a small and discrete category (i.e.  $\text{Hom}(I, I) = \{\text{Id}_I\}$  and  $\text{Hom}(I, J) = \emptyset$  if  $I \neq J$ ). Then a functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  identifies with a family  $(C_I)_{I \in \mathcal{I}}$  of objects of  $\mathcal{C}$ . In this case a cone on  $F$  is an ordered pair  $(X, (\alpha_I)_{I \in \mathcal{I}})$  where*

$$\alpha_I : X \rightarrow C_I \text{ is a morphism in } \mathcal{C} \text{ for every } I \in \mathcal{I}.$$

Therefore, in this case,

$$\varprojlim F = \prod_{I \in \mathcal{I}} F(I)$$

**Example 4.7.** *Let  $\mathcal{I} = \{I, J, K\}$  with morphisms  $u_K^I : I \rightarrow K$  and  $u_K^J : J \rightarrow K$  and the identity maps. Then a functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  identifies with a couple of morphisms*

$$\gamma_1 = F(u_K^I) : C_1 = F(I) \rightarrow C_3 = F(K), \gamma_2 = F(u_K^J) : C_2 = F(J) \rightarrow C_3 = F(K).$$

A cone on  $F$  identifies with a 4-tuple  $(X, \xi_1 : X \rightarrow C_1, \xi_2 : X \rightarrow C_2, \xi_3 : X \rightarrow C_3)$  such that

$$\gamma_1 \circ \xi_1 = \xi_3 = \gamma_2 \circ \xi_2.$$

Thus a cone on  $F$  further identifies with a triple  $(X, \xi_1 : X \rightarrow C_1, \xi_2 : X \rightarrow C_2)$  such that

$$\gamma_1 \circ \xi_1 = \gamma_2 \circ \xi_2.$$

In this case the limit of  $F$  is a triple  $(P, \pi_1 : P \rightarrow C_1, \pi_2 : P \rightarrow C_2)$  such that

$$\gamma_1 \circ \pi_1 = \gamma_2 \circ \pi_2$$

with the property that, given any triple  $(X, \xi_1 : X \rightarrow C_1, \xi_2 : X \rightarrow C_2)$  such that

$$\gamma_1 \circ \xi_1 = \gamma_2 \circ \xi_2,$$

there exists a unique  $\xi : X \rightarrow P$  such that

$$\pi_1 \circ \xi = \xi_1 \text{ and } \pi_2 \circ \xi = \xi_2.$$

In this case  $\varprojlim F$  is called the pullback of  $\gamma_1$  and  $\gamma_2$ .

If the arrival category is preadditive and  $\gamma_1 = 0_{F(K)}^{F(I)}$ , then a cone on  $F$  further identifies with a pair  $(X, \xi_2 : X \rightarrow C_2)$  such that

$$\gamma_2 \circ \xi_2 = 0.$$

Consequently the pullback in this case is just  $\text{Ker}(\gamma_2)$ .

**Proposition 4.8.** *Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$  and let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor where  $\mathcal{I}$  is a small category. Assume that  $\mathcal{C}$  has kernels and products of families of objects labeled by  $\mathcal{I}$  or by  $\text{Hom}(\mathcal{I})$ , the set of morphisms between objects of  $\mathcal{I}$ . Then  $\varprojlim F$  exists in  $\mathcal{C}$ .*

*Proof.* For every  $\lambda \in \text{Hom}(\mathcal{I})$ ,  $\lambda : I \rightarrow J$  we set

$$s(\lambda) = I \text{ and } t(\lambda) = J.$$

Let us consider the products

$$\left( \prod_{I \in \mathcal{I}} F(I), (p_I)_{I \in \mathcal{I}} \right) \text{ and } \left( \prod_{\lambda \in \text{Hom}(\mathcal{I})} F(t(\lambda)), (q_{t(\lambda)})_{\lambda \in \text{Hom}(\mathcal{I})} \right).$$

Note that, if  $\lambda \in \text{Hom}(\mathcal{I})$ , the diagram

$$\begin{array}{ccc} & \prod_{I \in \mathcal{I}} F(I) & \\ p_{s(\lambda)} \swarrow & & \searrow p_{t(\lambda)} \\ F(s(\lambda)) & \xrightarrow{F(\lambda)} & F(t(\lambda)) \end{array}$$

is, in general, non commutative. For every  $\lambda \in \text{Hom}(\mathcal{I})$ , we set

$$\pi_{\lambda} = F(\lambda) \circ p_{s(\lambda)} - p_{t(\lambda)} : \prod_{I \in \mathcal{I}} F(I) \longrightarrow F(t(\lambda)).$$

By the universal property of  $\prod_{\lambda \in \text{Hom}(\mathcal{I})} F(t(\lambda))$ , there exists a unique morphism

$$\pi = \Delta(\pi_{\lambda})_{\lambda \in \text{Hom}(\mathcal{I})} : \prod_{I \in \mathcal{I}} F(I) \longrightarrow \prod_{\lambda \in \text{Hom}(\mathcal{I})} F(t(\lambda))$$

such that

$$(4.1) \quad q_{t(\lambda)} \circ \pi = \pi_{\lambda} \text{ for every } \lambda \in \text{Hom}(\mathcal{I}).$$

Let

$$(K, k) = \text{Ker}(\pi)$$

and, for every  $I \in \mathcal{I}$ , set

$$k_I = p_I \circ k : K \longrightarrow F(I).$$

$$\begin{array}{ccccc} & & \prod_{\lambda \in \text{Hom}(\mathcal{I})} F(t(\lambda)) & & \\ & & \xrightarrow{\pi} & & \searrow q_{t(\lambda)} \\ & & & & F(t(\lambda)) \\ & & \nearrow \pi_{\lambda} & & \\ K & \xrightarrow{k} & \prod_{I \in \mathcal{I}} F(I) & \xrightarrow{\pi_{\lambda}} & F(t(\lambda)) \\ & \nearrow k_J & \nearrow p_J & & \\ & & F(J) & & \\ & \nearrow \xi & \nearrow \alpha & & \\ & & X & & \\ & & \downarrow \xi_J & & \end{array}$$



We want to prove that

$$(K, (k_I)_{I \in \mathcal{I}}) = \varprojlim F.$$

$(K, (k_I)_{I \in \mathcal{I}})$  is a cone. For every  $\lambda \in \text{Hom}(\mathcal{I})$ , we compute

$$F(\lambda) \circ k_{s(\lambda)} = F(\lambda) \circ p_{s(\lambda)} \circ k$$

Since  $(K, k) = \text{Ker}(\pi)$  we have

$$(F(\lambda) \circ p_{s(\lambda)} - p_{t(\lambda)}) \circ k = \pi_\lambda \circ k \stackrel{(4.1)}{=} q_{t(\lambda)} \circ \pi \circ k = q_{t(\lambda)} \circ 0 = 0$$

so that we get

$$F(\lambda) \circ p_{s(\lambda)} \circ k = p_{t(\lambda)} \circ k = k_{t(\lambda)}$$

which infers

$$F(\lambda) \circ k_{s(\lambda)} = k_{t(\lambda)}.$$

We prove that the universal property holds. Let  $(X, (\xi_I)_{I \in \mathcal{I}})$  be a cone on  $F$  i.e.

$$\xi_{t(\lambda)} = F(\lambda) \circ \xi_{s(\lambda)} \text{ for every } \lambda \in \text{Hom}(\mathcal{I}).$$

**Construction of  $\xi : X \rightarrow K$ .** By the universal property of  $\prod_{I \in \mathcal{I}} F(I)$ , there exists a unique morphism

$$\eta = \Delta(\xi_I)_{I \in \mathcal{I}} : X \longrightarrow \prod_{I \in \mathcal{I}} F(I) \text{ such that } p_I \circ \eta = \xi_I \text{ for every } I \in \mathcal{I}.$$

We want to prove that  $\pi \circ \eta = 0$  which is equivalent to  $q_{t(\mu)} \circ \pi \circ \eta = 0$  for every  $\mu \in \text{Hom}(\mathcal{I})$ . For every  $\mu \in \text{Hom}(\mathcal{I})$  we have

$$\begin{aligned} q_{t(\mu)} \circ \pi \circ \eta &= (F(\mu) \circ p_{s(\mu)} - p_{t(\mu)}) \circ \eta = F(\mu) \circ p_{s(\mu)} \circ \eta - p_{t(\mu)} \circ \eta \\ &= F(\mu) \circ \xi_{s(\mu)} - \xi_{t(\mu)} = 0 \end{aligned}$$

where the last equality follows because  $(X, (\xi_J)_{J \in \mathcal{I}})$  is a cone on  $F$ . Since  $(K, k) = \text{Ker}(\pi)$ , by the universal property of the kernel, there exists a unique morphism  $\xi : X \rightarrow K$  such that  $k \circ \xi = \eta$ .

$k_J \circ \xi = \xi_J$  and  $\xi$  is unique. For every  $J \in \mathcal{I}$ , we have:

$$k_J \circ \xi = p_J \circ k \circ \xi = p_J \circ \eta = \xi_J.$$

Now, let  $\xi'$  be another morphism such that

$$k_J \circ \xi' = \xi_J \text{ for every } J \in \mathcal{I}.$$

Then, for every  $J \in \mathcal{I}$ , we have:

$$p_J \circ k \circ \xi' = k_J \circ \xi' = \xi_J = p_J \circ \eta$$

which yields, in view of Exercise 3.42, that

$$k \circ \xi' = \eta.$$

Then the universal property of the kernel infers that  $\xi' = \xi$ . □

**Corollary 4.9.** *Let  $\mathcal{C}$  be an abelian category. Then  $\mathcal{C}$  has limits labeled by small categories  $\mathcal{I}$  such that  $\text{Hom}(\mathcal{I})$  is a finite set. In particular  $\mathcal{C}$  has pullbacks.*

*Proof.* Since  $\text{Hom}(\mathcal{I})$  is a finite set, also  $\text{Ob}(\mathcal{C})$  is finite. It follows by Proposition 4.8. The last assertion follows in view of Example 4.7.  $\square$

**Definition 4.10.** *A category  $\mathcal{C}$  is called complete if for every small category  $\mathcal{I}$  and for every covariant functor  $F : \mathcal{I} \rightarrow \mathcal{C}$ , there exists  $\varprojlim F$ .*

**Theorem 4.11.** *Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ . Then  $\mathcal{C}$  is complete if and only if  $\mathcal{C}$  has products and kernels.*

*Proof.* In view of Example 4.6 and Example 4.7, if  $\mathcal{C}$  is complete it has products and kernels.

Conversely, let us assume that  $\mathcal{C}$  has arbitrary products and kernels.

Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor. Then, by Proposition 4.8,  $\varprojlim F$  exists in  $\mathcal{C}$ .  $\square$

**Definition 4.12.** *Let  $(I, \leq)$  be a partially ordered set. We consider the small category  $\mathcal{I} = \mathcal{I}(I, \leq)$  having  $I$  as the set of objects and whose homomorphism are defined by setting*

$$\text{Hom}_{\mathcal{I}}(i, j) = \{u_j^i\} \text{ if and only if } i \leq j.$$

A functor  $F : \mathcal{I}^{\circ} \rightarrow \mathcal{C}$  is called inverse system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$ .

**Definition 4.13.** *The limit of an inverse system  $F : \mathcal{I}^{\circ} \rightarrow \mathcal{C}$  is called an inverse limit.*

**4.14.** *Let  $(I, \leq)$  be a partially ordered set and let  $F : \mathcal{I}^{\circ} \rightarrow \mathcal{C}$  be an inverse system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$ . For every  $i \in I$  set*

$$C_i = F(i)$$

and for every  $i, j \in I, i \leq j$ , set

$$\beta_i^j = F(u_j^i) : C_j \rightarrow C_i \text{ for every } i, j \in I, i \leq j.$$

Then we have

$$\beta_i^j \circ \beta_j^k = F(u_j^i) \circ F(u_k^j) = F(u_k^i \circ u_j^j) = F(u_k^i) = \beta_i^k \text{ for every } i, j, k \in I, i \leq j \leq k \text{ and } \beta_i^i = \text{Id}_{C_i} \text{ for every } i \in I.$$

Hence an inverse system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$  identifies with an ordered pair

$$\left( (C_i)_{i \in I}, (\beta_i^j)_{i, j \in I, i \leq j} \right)$$

where

- $(C_i)_{i \in I}$  is a family of objects of  $\mathcal{C}$ ,
- $(\beta_i^j)_{i,j \in I, i \leq j}$  is a family of morphisms in  $\mathcal{C}$  such that

$$\beta_i^j : C_j \rightarrow C_i \text{ for every } i, j \in I, i \leq j.$$

$$\beta_i^j \circ \beta_k^j = \beta_i^k \text{ for every } i, j, k \in I, i \leq j \leq k \text{ and}$$

$$\beta_i^i = \text{Id}_{C_i} \text{ for every } i \in I.$$

Then an inverse limit of such an inverse system is an ordered pair  $(L, (\lambda_i)_{i \in I})$  where each  $\lambda_i : L \rightarrow C_i$  is a morphism in  $\mathcal{C}$  such that

$$\beta_i^j \circ \lambda_j = \lambda_i \text{ for every } i, j \in I, i \leq j$$

and with the property that if  $X$  is a set and  $(\xi_i)_{i \in I}$  is a family of morphism  $\xi_i : X \rightarrow C_i$  such that

$$\beta_i^j \circ \xi_j = \xi_i \text{ for every } i, j \in I, i \leq j$$

then there exists a unique morphism  $\xi : X \rightarrow L$  such that

$$\lambda_i \circ \xi = \xi_i \text{ for every } i \in I.$$

In this case we denote this limit also by

$$\varprojlim \left( (C_i)_{i \in I}, (\beta_i^j)_{i,j \in I, i \leq j} \right).$$

**Exercise 4.15.** Let  $(I, \leq) = (\mathbb{N}, \leq)$ . Show that an inverse system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(\mathbb{N}, \leq)$  identifies with  $((C_n)_{n \in \mathbb{N}}, (\beta_n^{n+1})_{n \in \mathbb{N}})$  where  $(C_n)_{n \in \mathbb{N}}$  is a sequence of objects and  $(\beta_n^{n+1})_{n \in \mathbb{N}}$  is a sequence of morphisms of  $\mathcal{C}$ , where

$$\beta_n^{n+1} : C_{n+1} \rightarrow C_n \text{ for every } n \in \mathbb{N}.$$

Therefore an inverse limit for such an inverse system is a couple  $(L, (\lambda_n)_{n \in \mathbb{N}})$  where each  $\lambda_n : L \rightarrow C_n$  is a morphism in  $\mathcal{C}$  such that

$$\beta_n^{n+1} \circ \lambda_{n+1} = \lambda_n$$

and with the property that if  $X$  is a set and  $(\xi_n)_{n \in \mathbb{N}}$  is a family of morphism  $\xi_n : X \rightarrow C_n$  such that

$$\beta_n^{n+1} \circ \xi_{n+1} = \xi_n$$

then there exists a unique morphism  $\xi : X \rightarrow L$  such that

$$\lambda_n \circ \xi = \xi_n \text{ for every } n \in \mathbb{N}.$$

In this case we denote this limit also by

$$\varprojlim (C_n, \beta_n^{n+1})_{n \in \mathbb{N}}$$

or even by

$$\varprojlim C_n.$$

**Exercise 4.16.** Let  $((C_n)_{n \in \mathbb{N}}, (\beta_n^{n+1})_{n \in \mathbb{N}})$  be an inverse system in a category  $\mathcal{C}$  with arbitrary kernels and products. Let us consider the product

$$\left( \prod_{n \in \mathbb{N}} C_n, (p_n)_{n \in \mathbb{N}} \right)$$

of the family  $(C_n)_{n \in \mathbb{N}}$ . For every  $m \in \mathbb{N}$  we set

$$\pi_m = \beta_m^{m+1} \circ p_{m+1} - p_m : \prod_{n \in \mathbb{N}} C_n \longrightarrow C_m.$$

Let  $\pi : \prod_{n \in \mathbb{N}} C_n \longrightarrow \prod_{m \in \mathbb{N}} C_m$  be the diagonal morphism of the  $(\pi_m)_{m \in \mathbb{N}}$ . Let

$$(K, k) = \text{Ker}(\pi).$$

Show that the limit of the inverse system  $((C_n)_{n \in \mathbb{N}}, (\beta_n^{n+1})_{n \in \mathbb{N}})$  is

$$(K, (p_m \circ k)_{m \in \mathbb{N}}).$$

**Example 4.17.** Let  $A$  be a ring and let  $\mathfrak{I}$  be a left ideal of a ring  $A$ . For every  $n \in \mathbb{N}$ , let

$$\beta_n^{n+1} : A/\mathfrak{I}^{n+1} \longrightarrow A/\mathfrak{I}^n$$

be the left  $A$ -module homomorphism defined by

$$\beta_n^{n+1}(a + \mathfrak{I}^{n+1}) = a + \mathfrak{I}^n \text{ for every } a \in A.$$

Then

$$\left( (A/\mathfrak{I}^n)_{n \in \mathbb{N}}, (\beta_n^{n+1})_{n \in \mathbb{N}} \right)$$

is an inverse system in  $A\text{-Mod}$ . We have

$$\begin{aligned} \varprojlim A/\mathfrak{I}^n &= \left\{ (a_n + \mathfrak{I}^n)_{n \in \mathbb{N}} \in \prod_{n \in \mathbb{N}} A/\mathfrak{I}^n \mid \beta_n^{n+1}(a_{n+1} + \mathfrak{I}^{n+1}) = a_n + \mathfrak{I}^n \text{ for every } n \in \mathbb{N} \right\} \\ &= \left\{ (a_n + \mathfrak{I}^n)_{n \in \mathbb{N}} \in \prod_{n \in \mathbb{N}} A/\mathfrak{I}^n \mid a_{n+1} - a_n \in \mathfrak{I}^n \text{ for every } n \in \mathbb{N} \right\}. \end{aligned}$$

If  $A$  is a commutative local ring and  $\mathfrak{I}$  is its maximal ideal, then  $\varprojlim A/\mathfrak{I}^n$  is called completion of  $A$  in the  $\mathfrak{I}$ -adic topology.

**Exercise 4.18.** Show that if  $A = k[X]$  and  $\mathfrak{I} = (X)$ , then

$$\varprojlim A/\mathfrak{I}^n \cong k[[X]].$$

**4.19.** If  $\mathcal{I}$  is a small category and  $\mathcal{C}$  is an arbitrary category, one can define the functor category  $\text{Fun}(\mathcal{I}, \mathcal{C})$ , whose objects are the functors  $F : \mathcal{I} \rightarrow \mathcal{C}$  and the morphisms are the functorial morphisms between such functors (Exercise: check that  $\text{Fun}(\mathcal{I}, \mathcal{C})$  is a category). The set of all functorial morphisms  $F \rightarrow G$  will be written  $\text{Hom}_{\text{Fun}}(F, G)$ . Note that  $\text{Hom}_{\text{Fun}}(F, G)$  is indeed a set since there is an obvious identification with a subset of

$$\prod_{I \in \mathcal{I}} \text{Hom}_{\mathcal{C}}(F(I), G(I)).$$

**Definition 4.20.** Let  $\mathcal{C}$  and  $\mathcal{D}$  be preadditive categories. A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is called additive if, for all morphisms  $f, g : C \rightarrow C'$  in  $\mathcal{C}$ , we have

$$F(f + g) = F(f) + F(g)$$

**4.21.** If  $\mathcal{I}$  and  $\mathcal{C}$  are preadditive categories and  $\mathcal{I}$  is small, we will denote by  $\text{Hom}(\mathcal{I}, \mathcal{C})$  the full subcategory of  $\text{Fun}(\mathcal{I}, \mathcal{C})$  consisting of all additive functors.

**Definition 4.22.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be an additive functor between abelian categories  $\mathcal{C}$  and  $\mathcal{D}$ . We say that  $F$  is e right exact if, for every exact sequence  $C' \xrightarrow{\alpha'} C \xrightarrow{\alpha''} C'' \rightarrow 0$  in  $\mathcal{C}$ , the sequence  $F(C') \xrightarrow{F(\alpha')} F(C) \xrightarrow{F(\alpha'')} F(C'') \rightarrow 0$  is exact in  $\mathcal{D}$ .

**Definition 4.23.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be an additive functor between abelian categories  $\mathcal{C}$  and  $\mathcal{D}$ . We say that  $F$  is e left exact if, for every exact sequence  $0 \rightarrow C' \xrightarrow{\alpha'} C \xrightarrow{\alpha''} C''$  in  $\mathcal{C}$ , the sequence  $0 \rightarrow F(C') \xrightarrow{F(\alpha')} F(C) \xrightarrow{F(\alpha'')} F(C'')$  is exact in  $\mathcal{D}$ .

**Exercise 4.24.** Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be an additive functor and let  $0 \rightarrow C' \xrightarrow{\alpha'} C \xrightarrow{\alpha''} C'' \rightarrow 0$  be a split short exact sequence in  $\mathcal{C}$ . Prove that the sequence  $0 \rightarrow F(C') \xrightarrow{F(\alpha')} F(C) \xrightarrow{F(\alpha'')} F(C'') \rightarrow 0$  is a split short exact sequence in  $\mathcal{D}$ .

**Remark 4.25.** If  $\phi : F \rightarrow G$  is a functorial morphism between covariant functors from  $\mathcal{I}$  to  $\mathcal{C}$  which admit limits  $(\varprojlim F, (\alpha_I)_{I \in \mathcal{I}})$  and  $(\varprojlim G, (\beta_I)_{I \in \mathcal{I}})$  respectively, then the diagram,

$$\begin{array}{ccc} & F(I) & \xrightarrow{\phi_I} & G(I) \\ & \nearrow \alpha_I & & \downarrow G(\lambda) \\ \varprojlim F & & & \\ & \searrow \alpha_J & & \downarrow G(\lambda) \\ & F(J) & \xrightarrow{\phi_J} & G(J); \end{array}$$

is commutative i.e.  $\varprojlim F$  is a cone on  $G$  with morphisms  $\phi_I \circ \alpha_I$ . Then there exists a unique morphism  $\varprojlim \phi : \varprojlim F \rightarrow \varprojlim G$  such that

$$\begin{array}{ccc} \varprojlim F & \xrightarrow{\varprojlim \phi} & \varprojlim G \\ & \searrow \phi_I \alpha_I & \swarrow \beta_I \\ & & G(I). \end{array}$$

If  $\mathcal{C}$  is complete we can consider the functor  $\varprojlim : \text{Fun}(\mathcal{I}, \mathcal{C}) \rightarrow \mathcal{C}$ .

**Theorem 4.26.** *Let  $\mathcal{C}$  be a complete preabelian category and let  $F, G, H : \mathcal{I} \rightarrow \mathcal{C}$  be functors, where  $\mathcal{I}$  is a small category. Assume that  $F \xrightarrow{\phi} G \xrightarrow{\psi} H$  are functorial morphisms such that, for every  $I \in \mathcal{I}$ , the sequence*

$$0_{\mathcal{C}} \rightarrow F(I) \xrightarrow{\phi_I} G(I) \xrightarrow{\psi_I} H(I)$$

is exact. Then the sequence

$$0_{\mathcal{C}} \rightarrow \varprojlim F \xrightarrow{\varprojlim \phi} \varprojlim G \xrightarrow{\varprojlim \psi} \varprojlim H$$

is also exact.

*Proof.*  **$\varprojlim \phi$  is a monomorphism.** Let  $\xi : X \rightarrow \varprojlim F$  be a morphism such that  $\varprojlim \phi \circ \xi = 0$ . Then, for every  $I \in \mathcal{I}$ , we have

$$0 = \beta_I \circ (\varprojlim \phi) \circ \xi = \phi_I \circ \alpha_I \circ \xi.$$

Since  $\phi_I$  is a monomorphism, we deduce that, for every  $I \in \mathcal{I}$ ,

$$\alpha_I \circ \xi = 0$$

so that

$$\xi = 0.$$

$\text{Im}(\varprojlim \phi) = \mathbf{Ker}(\varprojlim \psi)$ . Since  $\varprojlim \phi$  is a monomorphism and  $\mathcal{C}$  is preabelian by Proposition 3.34, we have

$$(\varprojlim F, \varprojlim \phi) = \text{KerCoker}(\varprojlim \phi) = \text{Im}(\varprojlim \phi).$$

Thus we have to prove that

$$(\varprojlim F, \varprojlim \phi) = \text{Ker}(\varprojlim \psi).$$

We prove that  $\varprojlim \psi \circ \varprojlim \phi = 0$ . In fact, for every  $I \in \mathcal{I}$ , we have

$$\gamma_I \circ (\varprojlim \psi \circ \varprojlim \phi) = \psi_I \circ \beta_I \circ \varprojlim \phi = \psi_I \circ \phi_I \circ \alpha_I = 0$$

since by assumption the sequence  $0_{\mathcal{C}} \rightarrow F(I) \xrightarrow{\phi_I} G(I) \xrightarrow{\psi_I} H(I)$  is exact. Now, let  $\xi : X \rightarrow \varprojlim G$  be a morphism such that  $\varprojlim \psi \circ \xi = 0$ . Then, for every  $I \in \mathcal{I}$ ,  $\gamma_I \circ \varprojlim \psi \circ \xi = 0$  and thus  $0 = \gamma_I \circ \varprojlim \psi \circ \xi = \psi_I \circ \beta_I \circ \xi$ . We have to prove that there exists  $\xi' : X \rightarrow \varprojlim F$  such that  $\xi = \varprojlim \phi \circ \xi'$ . Since  $\phi_I$  is a monomorphism,

we have  $(F(I), \phi_I) = \text{KerCoker}(\phi_I) = \text{Im}(\phi_I) = \text{Ker}(\psi_I)$ , thus, for every  $I \in \mathcal{I}$ , there exists a unique morphism  $X \xrightarrow{\lambda_I} F(I)$  such that  $\phi_I \circ \lambda_I = \beta_I \circ \xi$ :

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \varprojlim F & \xrightarrow{\varprojlim \phi} & \varprojlim G & \xrightarrow{\varprojlim \psi} & \varprojlim H \\
 & & \downarrow \alpha_I & \nearrow \xi' & \downarrow \beta_I & & \downarrow \gamma_I \\
 & & & X & & & \\
 & & \downarrow \lambda_I & \nearrow \xi & & & \\
 0 & \longrightarrow & F(I) & \xrightarrow{\phi_I} & G(I) & \xrightarrow{\psi_I} & H(I).
 \end{array}$$

Then we have a family of morphisms  $(\lambda_I)$ . We prove that  $(X, (\lambda_I)_{I \in \mathcal{I}})$  is a cone on  $F$ . Given a morphism  $I \xrightarrow{\mu} J$ , we have to prove that  $\lambda_J = F(\mu) \circ \lambda_I$  or equivalently  $\phi_J \circ \lambda_J = \phi_J \circ F(\mu) \circ \lambda_I$ , since  $\phi_J$  is a monomorphism. Since  $(\varprojlim G, (\beta_I)_{I \in \mathcal{I}})$  is a cone on  $G$ , we have

$$\phi_J \circ \lambda_J = \beta_J \circ \xi = G(\mu) \circ \beta_I \circ \xi = G(\mu) \circ \phi_I \circ \lambda_I = \phi_J \circ F(\mu) \circ \lambda_I.$$

By the universal property of  $\varprojlim F$ , there exists a unique morphism  $\xi' : X \longrightarrow \varprojlim F$  such that  $\alpha_I \circ \xi' = \lambda_I$ , for every  $I \in \mathcal{I}$ . We now have to prove that  $\varprojlim \phi \circ \xi' = \xi$ . For every  $I \in \mathcal{I}$ , we have

$$\beta_I \circ \varprojlim \phi \circ \xi' = \phi_I \circ \alpha_I \circ \xi' = \phi_I \circ \lambda_I = \beta_I \circ \xi$$

from which we deduce that  $\varprojlim \phi \circ \xi' = \xi$ . Assume now that there exists another morphism  $\xi''$  such that  $\varprojlim \phi \circ \xi'' = \xi$ . Since we also have  $\varprojlim \phi \circ \xi' = \xi$  and  $\varprojlim \phi$  is a monomorphism, we deduce that  $\xi'' = \xi'$ .  $\square$

## 4.2 Colimits

**Definition 4.27.** Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor where  $\mathcal{I}$  is a small category. A cocone on  $F$  is an ordered pair

$$(X, (\alpha_I)_{I \in \mathcal{I}})$$

where

- $X$  is an object of  $\mathcal{C}$
- $(\alpha_I)_{I \in \mathcal{I}}$  is a family of morphisms of  $\mathcal{C}$
- $\alpha_I : F(I) \longrightarrow X$  for every  $I \in \mathcal{I}$

such that for every morphism  $I \xrightarrow{\lambda} J$  in  $\mathcal{I}$ , the following diagram is commutative

$$\begin{array}{ccc} & X & \\ \alpha_I \nearrow & & \nwarrow \alpha_J \\ F(I) & \xrightarrow{F(\lambda)} & F(J) \end{array} .$$

In this case the family of morphisms  $(\alpha_I)_{I \in \mathcal{I}}$  is called compatible with  $F$ .

**Definition 4.28.** Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor where  $\mathcal{I}$  is a small category. A colimit (also called inductive limit) of the functor  $F$  is a cocone  $(X, (\alpha_I)_{I \in \mathcal{I}})$  on  $F$  satisfying the following universal property: for any cocone  $(Y, (\xi_I)_{I \in \mathcal{I}})$  on  $F$ , there exists a morphism  $\xi : X \rightarrow Y$  such that, for every  $I$ , the following diagram commutes

$$\begin{array}{ccc} & F(I) & \\ \alpha_I \swarrow & & \searrow \xi_I \\ X & \xrightarrow{\xi} & Y \end{array} .$$

Moreover such  $\xi$  is unique with respect to this property.

**Proposition 4.29.** Let  $(X, (\alpha_I)_{I \in \mathcal{I}})$  and  $(X', (\alpha'_I)_{I \in \mathcal{I}})$  be limits of  $F$ . Then there exists a unique isomorphism  $\alpha : X \rightarrow X'$  such that  $\alpha \circ \alpha_I = \alpha'_I$  for every  $I$ . Moreover  $\alpha$  is an isomorphism.

*Proof.* Exercise. □

**Notation 4.30.** In the following we denote by  $\varinjlim F$  the colimit of  $F$  whenever it exists.

**Example 4.31.** Let  $\mathcal{I}$  be a small and discrete category (i.e.  $\text{Hom}(I, I) = \{\text{Id}_I\}$  and  $\text{Hom}(I, J) = \emptyset$  if  $I \neq J$ ). Then a functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  identifies with a family  $(C_I)_{I \in \mathcal{I}}$  of objects of  $\mathcal{C}$ . In this case a cocone on  $F$  is an ordered pair  $(X, (\alpha_I)_{I \in \mathcal{I}})$  where

$$\alpha_I : C_I \rightarrow X \text{ is a morphism in } \mathcal{C} \text{ for every } I \in \mathcal{I}.$$

Therefore, in this case,

$$\varinjlim F = \coprod_{I \in \mathcal{I}} F(I).$$

**Example 4.32.** Let  $\mathcal{I} = \{I, J, K\}$  with morphisms  $v_K^I : K \rightarrow I$  and  $v_K^J : K \rightarrow J$  and the identity maps. Then a functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  identifies with a couple of morphisms

$$\vartheta_1 = F(v_K^I) : C_3 = F(K) \rightarrow C_1 = F(I), \vartheta_2 = F(v_K^J) : C_3 = F(K) \rightarrow C_2 = F(J).$$

A cocone on  $F$  identifies with a 4-tuple  $(X, \lambda_1 : C_1 \rightarrow X, \lambda_2 : C_2 \rightarrow X, \lambda_3 : C_3 \rightarrow X)$  such that

$$\lambda_1 \circ \vartheta_1 = \lambda_3 = \lambda_2 \circ \vartheta_2.$$



Thus a cocone on  $F$  further identifies with a triple  $(X, \lambda_1 : X \rightarrow C_1, \lambda_2 : X \rightarrow C_2)$  such that

$$\lambda_1 \circ \vartheta_1 = \lambda_2 \circ \vartheta_2.$$

In this case the colimit of  $F$  is a triple  $(E, \eta_1 : C_1 \rightarrow E, \eta_2 : C_2 \rightarrow E)$  such that

$$\eta_1 \circ \vartheta_1 = \eta_2 \circ \vartheta_2$$

with the property that, given any triple  $(X, \lambda_1 : C_1 \rightarrow X, \lambda_2 : C_2 \rightarrow X)$  such that

$$\lambda_1 \circ \vartheta_1 = \lambda_2 \circ \vartheta_2,$$

there exists a unique  $\lambda : E \rightarrow X$  such that

$$\lambda \circ \eta_1 = \lambda_1 \text{ and } \lambda \circ \eta_2 = \lambda_2.$$

In this case  $\varinjlim F$  is called the pushout of  $\vartheta_1$  and  $\vartheta_2$ .

If the arrival category is preadditive and  $\vartheta_2 = 0$ , then a cone on  $F$  further identifies with a pair  $(X, \lambda_1 : C_2 \rightarrow X)$  such that

$$\lambda_1 \circ \vartheta_1 = 0.$$

Consequently the pullback in this case is just  $\text{Coker}(\vartheta_1)$ .

**Definition 4.33.** A category  $\mathcal{C}$  is called cocomplete if for every small category  $\mathcal{I}$  and for every covariant functor  $F : \mathcal{I} \rightarrow \mathcal{C}$ , there exists  $\varinjlim F$ .

**Theorem 4.34.** Let  $\mathcal{C}$  be a preadditive category with  $0_{\mathcal{C}}$ . Then  $\mathcal{C}$  is cocomplete if and only if  $\mathcal{C}$  has coproducts and cokernels.

*Proof.* In view of Example 3.49 and Example 4.32, if  $\mathcal{C}$  is cocomplete it has coproducts and cokernels.

Conversely, let us assume that  $\mathcal{C}$  has arbitrary coproducts and cokernels.

Let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a covariant functor.

**Construction of  $\varinjlim F$ .** Denote by  $\text{Hom}(\mathcal{I})$  the set of morphisms between objects of  $\mathcal{I}$ . For every  $\lambda \in \text{Hom}(\mathcal{I})$ ,  $\lambda : I \rightarrow J$  we set

$$s(\lambda) = I \text{ and } t(\lambda) = J.$$

Let us consider the coproducts

$$\left( \coprod_{I \in \mathcal{I}} F(I), (\varepsilon_I)_{I \in \mathcal{I}} \right) \text{ and } \left( \coprod_{\lambda \in \text{Hom}(\mathcal{I})} F(s(\lambda)), (e_{s(\lambda)})_{\lambda \in \text{Hom}(\mathcal{I})} \right).$$

Note that, if  $\lambda \in \text{Hom}(\mathcal{I})$ , the diagram

$$\begin{array}{ccc} F(s(\lambda)) & \xrightarrow{\varepsilon_{s(\lambda)}} & \coprod_{I \in \mathcal{I}} F(I) \\ & \searrow F(\lambda) & \nearrow \varepsilon_{t(\lambda)} \\ & F(t(\lambda)) & \end{array}$$

is, in general, non commutative. For every  $\lambda \in \text{Hom}(\mathcal{I})$ , we set

$$\eta_\lambda = \varepsilon_{t(\lambda)} \circ F(\lambda) - \varepsilon_{s(\lambda)} : F(s(\lambda)) \longrightarrow \coprod_{I \in \mathcal{I}} F(I).$$

By the universal property of  $\coprod_{\lambda \in \text{Hom}(\mathcal{I})} F(s(\lambda))$ , there exists a unique morphism

$$\eta = \nabla(\eta_\lambda)_{\lambda \in \text{Hom}(\mathcal{I})} : \coprod_{\lambda \in \text{Hom}(\mathcal{I})} F(s(\lambda)) \longrightarrow \coprod_{I \in \mathcal{I}} F(I)$$

such that

$$(4.2) \quad \eta \circ e_\lambda = \eta_\lambda \text{ for every } \lambda \in \text{Hom}(\mathcal{I}).$$

Let

$$(Q, \chi) = \text{Coker}(\eta)$$

and, for every  $I \in \mathcal{I}$ , set

$$\chi_I = \chi \circ \varepsilon_I : K \longrightarrow F(I).$$

$$\begin{array}{ccccc} F(s(\lambda)) & \xrightarrow{\eta_\lambda} & \coprod_{I \in \mathcal{I}} F(I) & \xrightarrow{\chi} & \text{Coker}(\eta) = 0 \\ & \searrow e_\lambda & \uparrow \eta & \swarrow \varepsilon_J & \nearrow \chi_J \\ & & \coprod_{\lambda \in \text{Hom}(\mathcal{I})} F(s(\lambda)) & & F(J) \\ & & & \searrow \vartheta & \downarrow \xi_J \\ & & & & X \end{array}$$

We want to prove that

$$(Q, (\chi_I)_{I \in \mathcal{I}}) = \varinjlim F.$$

$(Q, (\chi_I)_{I \in \mathcal{I}})$  is a **cocone**. For every  $\lambda \in \text{Hom}(\mathcal{I})$ , we compute

$$\chi_{t(\lambda)} \circ F(\lambda) = \chi \circ \varepsilon_{t(\lambda)} \circ F(\lambda)$$

Since  $(Q, \chi) = \text{Coker}(\eta)$  we have

$$\chi \circ (\varepsilon_{t(\lambda)} \circ F(\lambda) - \varepsilon_{s(\lambda)}) = \chi \circ \eta_\lambda \stackrel{(4.2)}{=} \chi \circ \eta \circ \varepsilon_\lambda = 0 \circ \varepsilon_\lambda = 0$$

so that we get

$$\chi \circ \varepsilon_{t(\lambda)} \circ F(\lambda) = \chi \circ \varepsilon_{s(\lambda)} = \chi_{s(\lambda)}$$

which infers

$$\chi_{t(\lambda)} \circ F(\lambda) = \chi_{s(\lambda)}.$$

We prove that the universal property holds. Let  $(X, (\xi_I)_{I \in \mathcal{I}})$  be a cocone on  $F$  i.e.

$$\xi_{t(\lambda)} \circ F(\lambda) = \xi_{s(\lambda)} \text{ for every } \lambda \in \text{Hom}(\mathcal{I}).$$

**Construction of  $\xi : Q \rightarrow X$ .** By the universal property of  $\vartheta$ , there exists a unique morphism

$$\vartheta = \nabla (\xi_I)_{I \in \mathcal{I}} : \coprod_{I \in \mathcal{I}} F(I) \longrightarrow X \text{ such that } \vartheta \circ \varepsilon_I = \xi_I \text{ for every } I \in \mathcal{I}.$$

We want to prove that  $\vartheta \circ \eta = 0$  which is equivalent to  $\vartheta \circ \eta \circ e_\lambda = 0$  for every  $\lambda \in \text{Hom}(\mathcal{I})$ . For every  $\lambda \in \text{Hom}(\mathcal{I})$  we have

$$\begin{aligned} \vartheta \circ \eta \circ e_\lambda &= \vartheta \circ \eta_\lambda = \vartheta \circ \varepsilon_{t(\lambda)} \circ F(\lambda) - \vartheta \circ \varepsilon_{s(\lambda)} \\ &= \xi_{t(\lambda)} F(\lambda) - \xi_{t(\mu)} = 0 \end{aligned}$$

where the last equality follows because  $(X, (\xi_J)_{J \in \mathcal{I}})$  is a cocone on  $F$ . Since  $(Q, \chi) = \text{Coker}(\eta)$ , by the universal property of the cokernel, there exists a unique morphism  $\xi : Q \rightarrow X$  such that  $\xi \circ \chi = \vartheta$ .

$\xi \circ \chi_J = \xi_J$  **and  $\xi$  is unique.** For every  $J \in \mathcal{I}$ , we have:

$$\xi \circ \chi_J = \xi \circ \chi \circ \varepsilon_J = \vartheta \circ \varepsilon_J = \xi_J.$$

Now, let  $\xi'$  be another morphism such that

$$\xi' \circ \chi_J = \xi_J \text{ for every } J \in \mathcal{I}.$$

Then, for every  $J \in \mathcal{I}$ , we have:

$$\xi' \circ \chi \circ \varepsilon_J = \xi' \circ \chi_J = \xi_J = \vartheta \circ \varepsilon_J$$

which yields, in view of Exercise 3.49, that

$$\xi' \circ \chi = \vartheta.$$

Then the universal property of the cokernel infers that  $\xi' = \xi$ . □

**Definition 4.35.** Let  $(I, \leq)$  be a partially ordered set. We consider the small category  $\mathcal{I} = \mathcal{I}(I, \leq)$  having  $I$  as the set of objects and whose homomorphisms are defined by setting

$$\text{Hom}_{\mathcal{I}}(i, j) = \{u_j^i\} \text{ if and only if } i \leq j.$$

A functor  $F : \mathcal{I} \rightarrow \mathcal{C}$  is called a direct system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$ .

**Definition 4.36.** The colimit of a direct system  $F : \mathcal{I} \rightarrow \mathcal{C}$  is called a direct limit.

**4.37.** Let  $(I, \leq)$  be a partially ordered set and let  $F : \mathcal{I} \rightarrow \mathcal{C}$  be a direct system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$ . For every  $i \in I$  set

$$C_i = F(i)$$

and for every  $i, j \in I, i \leq j$ , set

$$\gamma_j^i = F(u_j^i) : C_i \rightarrow C_j \text{ for every } i, j \in I, i \leq j.$$

Then we have

$$\begin{aligned} \gamma_k^j \circ \gamma_j^i &= F(u_k^j) \circ F(u_j^i) = F(u_k^j \circ u_j^i) = F(u_k^i) = \gamma_k^i \text{ for every } i, j, k \in I, i \leq j \leq k \text{ and} \\ &\gamma_i^i = \text{Id}_{C_i} \text{ for every } i \in I. \end{aligned}$$

Hence a direct system in  $\mathcal{C}$  labeled by  $\mathcal{I} = \mathcal{I}(I, \leq)$  identifies with an ordered pair

$$\left( (C_i)_{i \in I}, (\gamma_j^i)_{i, j \in I, i \leq j} \right)$$

where

- $(C_i)_{i \in I}$  is a family of objects of  $\mathcal{C}$ ,
- $(\gamma_j^i)_{i, j \in I, i \leq j}$  is a family of morphisms in  $\mathcal{C}$  such that

$$\gamma_j^i : C_i \rightarrow C_j \text{ for every } i, j \in I, i \leq j.$$

$$\begin{aligned} \gamma_k^j \circ \gamma_j^i &= \gamma_k^i \text{ for every } i, j, k \in I, i \leq j \leq k \text{ and} \\ \gamma_i^i &= \text{Id}_{C_i} \text{ for every } i \in I. \end{aligned}$$

Then a direct limit of such a direct system is an ordered pair  $(L, (\lambda_i)_{i \in I})$  where each  $\lambda_i : C_i \rightarrow L$  is a morphism in  $\mathcal{C}$  such that

$$\lambda_j \circ \gamma_j^i = \lambda_i \text{ for every } i, j \in I, i \leq j$$

and with the property that if  $X$  is a set and  $(\xi_i)_{i \in I}$  is a family of morphism  $\xi_i : C_i \rightarrow X$  such that

$$\xi_j \circ \gamma_j^i = \xi_i \text{ for every } i, j \in I, i \leq j$$

then there exists a unique morphism  $\xi : L \rightarrow X$  such that

$$\xi \circ \gamma_i = \xi_i \text{ for every } i \in I.$$

In this case we denote this direct limit also by

$$\varinjlim \left( (C_i)_{i \in I}, (\gamma_j^i)_{i, j \in I, i \leq j} \right).$$

**Remark 4.38.** If  $\phi : F \rightarrow G$  is a functorial morphism between covariant functors from  $\mathcal{I}$  to  $\mathcal{C}$  which admit colimits  $(\varinjlim F, (\alpha_I)_{I \in \mathcal{I}})$  and  $(\varinjlim G, (\beta_I)_{I \in \mathcal{I}})$  respectively, then the diagram

$$\begin{array}{ccc}
 F(I) & \xrightarrow{\phi_I} & G(I) \\
 \downarrow F(\lambda) & & \downarrow G(\lambda) \\
 F(J) & \xrightarrow{\phi_J} & G(J)
 \end{array}
 \begin{array}{c}
 \nearrow \beta_I \\
 \searrow \beta_J \\
 \varinjlim G
 \end{array}$$

is commutative i.e.  $\varinjlim G$  is a cocone on  $F$  with morphisms  $\beta_I \circ \phi_I$ . Then there exists a unique morphism  $\varinjlim \phi : \varinjlim F \rightarrow \varinjlim G$  such that

$$\begin{array}{ccc}
 \varinjlim F & \xrightarrow{\varinjlim \phi} & \varinjlim G \\
 \swarrow \alpha_I & & \searrow \beta_I \circ \phi_I \\
 & F(I) &
 \end{array}$$

If  $\mathcal{C}$  is cocomplete we can consider the functor  $\varinjlim : \text{Fun}(\mathcal{I}, \mathcal{C}) \rightarrow \mathcal{C}$ .

**Example 4.39.** Let  $R$  be a ring and let  $((M_i)_{i \in I}, (f_j^i : M_i \rightarrow M_j)_{i, j \in I, i \leq j})$  be a direct system in  $\text{Mod-}R$ . Assume that  $(I, \leq)$  is a **direct set** i.e. for every  $i, j \in I$  there exists a  $k \in I$  such that  $i \leq k$  and  $j \leq k$ . Let

$$\dot{\bigcup}_{i \in I} M_i = \left\{ (x, i) \in \left( \bigcup_{i \in I} M_i \right) \times I \mid x \in M_i \right\}$$

be the disjoint union of the family  $(M_i)_{i \in I}$ . We define an equivalence relation  $\sim$  on this disjoint union by setting, for every  $(x, i)$  and  $(y, j)$  in  $\dot{\bigcup}_{i \in I} M_i$

$$(x, i) \sim (y, j) \Leftrightarrow \text{there is a } k \in I \text{ such that } f_k^i(x) = f_k^j(y).$$

Let

$$L = \frac{\dot{\bigcup}_{i \in I} M_i}{\sim}$$

and let

$$\pi : \dot{\bigcup}_{i \in I} M_i \rightarrow \frac{\dot{\bigcup}_{i \in I} M_i}{\sim} = L$$

be the canonical projection. For each  $(x, i) \in \bigcup_{i \in I} M_i$  we set

$$[(x, i)] = \pi((x, i)).$$

We define a right  $R$ -module structure on  $L$  by setting

$$[(x, i)] + [(y, j)] = [(f_k^i(x) + f_k^j(y))] \text{ where } i \leq k, j \leq k$$

and

$$[(x, i)] \cdot r = [(xr, i)] \text{ for every } r \in R.$$

It is straightforward to prove that these are good definitions and that  $L$  becomes a right  $R$ -module. For every  $j \in I$  let  $\varepsilon_j : M_j \rightarrow \bigcup_{i \in I} M_i$  be the canonical injection i.e.

$$\varepsilon_j(x) = (x, j) \text{ for every } x \in M_j.$$

Set

$$\lambda_i = \varepsilon_j \circ \pi.$$

Then it is easy to show that  $(L, (\lambda_i)_{i \in I})$  is the direct limit of the direct system  $((M_i)_{i \in I}, (f_j^i : M_i \rightarrow M_j)_{i, j \in I, i \leq j})$ .

**Exercise 4.40.** Let  $R$  be a ring and let  $((M_i)_{i \in I}, (f_j^i : M_i \rightarrow M_j)_{i, j \in I, i \leq j})$  be a direct system in  $\text{Mod-}R$ . Let  $M = \bigoplus_{i \in I} M_i$  and, for every  $i \in I$ , let  $\varepsilon_i : M_i \rightarrow M$  be the canonical injection. For every  $i, j \in I$ , let  $\eta_{i \leq j} = \varepsilon_j \circ f_j^i - \varepsilon_i$  and let  $H = \sum_{\substack{i, j \in I \\ i \leq j}} \text{Im}(\eta_{i \leq j})$ . Set  $L = \frac{M}{H}$ , let  $\pi : M \rightarrow \frac{M}{H}$  be the canonical projection and, for every  $i \in I$ , let  $\lambda_i = \pi \circ \varepsilon_i : M_i \rightarrow L$ . Show that

$$(L, (\lambda_i)_{i \in I}) = \varinjlim \left( (M_i)_{i \in I}, (f_j^i : M_i \rightarrow M_j)_{i, j \in I, i \leq j} \right).$$

**Theorem 4.41.** Let  $\mathcal{C}$  be a cocomplete preabelian category and let  $F, G, H : \mathcal{I} \rightarrow \mathcal{C}$  be functors, where  $\mathcal{I}$  is a small category. Assume that  $F \xrightarrow{\phi} G \xrightarrow{\psi} H$  are functorial morphisms such that, for every  $I \in \mathcal{I}$ , the sequence

$$F(I) \xrightarrow{\phi_I} G(I) \xrightarrow{\psi_I} H(I) \rightarrow 0_{\mathcal{C}}$$

is exact. Then the sequence

$$\varinjlim F \xrightarrow{\varinjlim \phi} \varinjlim G \xrightarrow{\varinjlim \psi} \varinjlim H \rightarrow 0_{\mathcal{C}}$$

is also exact.

*Proof.*  $\varinjlim \psi$  is an epimorphism. Let  $\xi : \varinjlim H \rightarrow X$  be a morphism such that  $\xi \circ \varinjlim \psi = 0$ . Then, for every  $I \in \mathcal{I}$ , we have

$$0 = \xi \circ (\varinjlim \psi) \circ \beta_I = \xi \circ \gamma_I \circ \psi_I.$$

Since  $\psi_I$  is an epimorphism, we deduce that, for every  $I \in \mathcal{I}$ ,

$$\xi \circ \gamma_I = 0$$

so that

$$\xi = 0.$$

**We prove that**

$$\text{Coker}(\varinjlim \phi) = (\varinjlim H, \varinjlim \psi)$$

from which it will follow that

$$\text{Im}(\varinjlim \phi) = \text{KerCoker}(\varinjlim \phi) = \text{Ker}(\varinjlim \psi)$$

We prove that  $\varinjlim \psi \circ \varinjlim \phi = 0$ . Since, by assumption, the sequence  $F(I) \xrightarrow{\phi_I} G(I) \xrightarrow{\psi_I} H(I) \rightarrow 0_{\mathcal{C}}$  is exact, for every  $I \in \mathcal{I}$ , we have

$$(\varinjlim \psi \circ \varinjlim \phi) \circ \alpha_I = \varinjlim \psi \circ \beta_I \circ \phi_I = \gamma_I \circ \psi_I \circ \phi_I = 0.$$

This means that

$$\varinjlim \psi \circ \varinjlim \phi = 0$$

Now, let  $\xi : \varinjlim G \rightarrow X$  be a morphism such that  $\xi \circ (\varinjlim \phi) = 0$ . Then, for every  $I \in \mathcal{I}$ , we have  $\xi \circ (\varinjlim \phi) \circ \alpha_I = 0$  and thus

$$0 = \xi \circ (\varinjlim \phi) \circ \alpha_I = \xi \circ \beta_I \circ \phi_I.$$

We have to prove that there exists  $\xi' : \varinjlim H \rightarrow X$  such that  $\xi = \xi' \circ \varinjlim \psi$ . Since  $\psi_I$  is an epimorphism, we have that  $(H(I), \psi_I) = \text{CokerKer}(\psi_I) = \text{CokerIm}(\phi_I) = \text{CokerKerCoker}(\phi_I) = \text{Coker}(\phi_I)$ , thus, for every  $I \in \mathcal{I}$ , there exists a unique morphism  $H(I) \xrightarrow{\lambda_I} X$  such that  $\lambda_I \circ \psi_I = \xi \circ \beta_I$ :

$$\begin{array}{ccccccc} \varinjlim F & \xrightarrow{\varinjlim \phi} & \varinjlim G & \xrightarrow{\varinjlim \psi} & \varinjlim H & \longrightarrow & 0 \\ \uparrow \alpha_I & & \uparrow \beta_I & \searrow \xi & \swarrow \xi' & \uparrow \gamma_I & \\ & & & & X & & \\ & & & & \swarrow \lambda_I & & \\ F(I) & \xrightarrow{\phi_I} & G(I) & \xrightarrow{\psi_I} & H(I) & & \end{array}$$

Then we have a family of morphisms  $(\lambda_I)_{I \in \mathcal{I}}$ . We prove that  $(X, (\lambda_I)_{I \in \mathcal{I}})$  is a cocone on  $H$ . Given a morphism  $I \xrightarrow{\mu} J$ , we have to prove that  $\lambda_J \circ H(\mu) =$

$\lambda_I$  or equivalently  $\lambda_J \circ H(\mu) \circ \psi_I = \lambda_I \circ \psi_I$ , since  $\psi_I$  is an epimorphism. Since  $(\varinjlim G, (\beta_I)_{I \in \mathcal{I}})$  is a cocone on  $G$ , we have

$$\lambda_I \circ \psi_I = \xi \circ \beta_I = \xi \circ \beta_J \circ G(\mu) = \lambda_J \circ \psi_J \circ G(\mu) = \lambda_J \circ H(\mu) \circ \psi_I.$$

By the universal property of  $\varinjlim H$ , there exists a unique morphism  $\xi' : \varinjlim H \rightarrow X$  such that  $\xi' \circ \gamma_I = \lambda_I$ , for every  $I \in \mathcal{I}$ . We now have to prove that  $\xi' \circ \varinjlim \psi = \xi$ . For every  $I \in \mathcal{I}$ , we have

$$\xi' \circ \varinjlim \psi \circ \beta_I = \xi' \circ \gamma_I \circ \psi_I = \lambda_I \circ \psi_I = \xi \circ \beta_I$$

from which we deduce that  $\xi' \circ \varinjlim \psi = \xi$ . Assume now that there exists another morphism  $\xi''$  such that  $\xi'' \circ \varinjlim \psi = \xi$ . Since we also have  $\xi' \circ \varinjlim \psi = \xi$  and  $\varinjlim \psi$  is an epimorphism, we deduce that  $\xi'' = \xi'$ .  $\square$



# Chapter 5

## Adjoint functors

Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors. Then we define functors

$$\begin{aligned} \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle) : \mathcal{B}^{\text{op}} \times \mathcal{A} &\longrightarrow \text{Sets}, \\ \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle)) : \mathcal{B}^{\text{op}} \times \mathcal{A} &\longrightarrow \text{Sets}, \end{aligned}$$

by setting

$$\begin{aligned} \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle)(B, A) &= \text{Hom}_{\mathcal{A}}(L(B), A) \\ \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle))(B, A) &= \text{Hom}_{\mathcal{B}}(B, R(A)) \end{aligned}$$

for every  $(B, A) \in \mathcal{B}^{\text{op}} \times \mathcal{A}$ . Given  $(f, g) \in \text{Hom}_{\mathcal{B}^{\text{op}} \times \mathcal{A}}((B_1, A_1), (B_2, A_2))$  i.e.  $f \in \text{Hom}_{\mathcal{B}}(B_2, B_1)$  and  $g \in \text{Hom}_{\mathcal{A}}(A_1, A_2)$  we set

$$\begin{aligned} \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle)(f, g) &= \text{Hom}_{\mathcal{A}}(L(f), g) \\ \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle))(f, g) &= \text{Hom}_{\mathcal{B}}(f, R(g)) \end{aligned}$$

where

$$\begin{aligned} \text{Hom}_{\mathcal{A}}(L(f), g) : \text{Hom}_{\mathcal{A}}(L(B_1), A_1) &\longrightarrow \text{Hom}_{\mathcal{A}}(L(B_2), A_2) \\ \left( L(B_1) \xrightarrow{\xi} A_1 \right) &\longmapsto \left( L(B_2) \xrightarrow{L(f)} L(B_1) \xrightarrow{\xi} A_1 \xrightarrow{g} A_2 \right) \\ &= g \circ \xi \circ L(f) \\ \text{Hom}_{\mathcal{B}}(f, R(g)) : \text{Hom}_{\mathcal{B}}(B_1, R(A_1)) &\longrightarrow \text{Hom}_{\mathcal{B}}(B_2, R(A_2)) \\ \left( B_1 \xrightarrow{\zeta} R(A_1) \right) &\longmapsto \left( B_2 \xrightarrow{f} B_1 \xrightarrow{\zeta} R(A_1) \xrightarrow{R(g)} R(A_2) \right) \\ &= R(g) \circ \zeta \circ f \end{aligned}$$

**Definition 5.1.** Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors. The pair of functors  $(L, R)$  is called an adjunction if there exists a functorial isomorphism  $\Lambda : \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle) \rightarrow \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle))$ , i.e. for every  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ , there exist an isomorphism  $\Lambda_A^B : \text{Hom}_{\mathcal{A}}(L(B), A) \rightarrow \text{Hom}_{\mathcal{B}}(B, R(A))$  such that, for every  $f \in \text{Hom}_{\mathcal{B}}(B_2, B_1)$  and  $g \in \text{Hom}_{\mathcal{A}}(A_1, A_2)$  we have

$$(5.1) \quad \text{Hom}_{\mathcal{B}}(f, R(g)) \circ \Lambda_{A_1}^{B_1} = \Lambda_{A_2}^{B_2} \circ \text{Hom}_{\mathcal{A}}(L(f), g),$$

$$\begin{array}{ccc} \text{Hom}_{\mathcal{B}}(L(B_1), A_1) & \xrightarrow{\Lambda_{A_1}^{B_1}} & \text{Hom}_{\mathcal{A}}(A_1, R(B_1)) \\ \text{Hom}_{\mathcal{B}}(L(f), g) \downarrow & & \downarrow \text{Hom}_{\mathcal{A}}(f, R(g)) \\ \text{Hom}_{\mathcal{B}}(L(A_2), B_2) & \xrightarrow{\Lambda_{A_2}^{B_2}} & \text{Hom}_{\mathcal{A}}(A_2, R(B_2)), \end{array}$$

i.e. for every  $\xi : L(B_1) \rightarrow A_1$

$$(5.2) \quad \Lambda_{A_2}^{B_2} [g \circ \xi \circ (L(f))] = R(g) \circ \Lambda_{A_1}^{B_1}(\xi) \circ f.$$

The equality (5.2) is equivalent to the following equalities

$$(5.3) \quad \Lambda_{A_2}^B(g \circ \xi) = R(g) \circ \Lambda_{A_1}^B(\xi)$$

$$(5.4) \quad \Lambda_A^{B_2}[\xi \circ L(f)] = \Lambda_A^{B_1}(\xi) \circ f$$

**Definition 5.2.** Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors. We say that  $L$  is a left adjoint of  $R$ , or equivalently, that  $R$  is a right adjoint to  $L$  if the pair  $(L, R)$  is an adjunction.

**Example 5.3.** Let  ${}_R M_S$  be a bimodule,

$$R = \text{Hom}_S({}_R M_S, \bullet) : \text{Mod-}S \rightarrow \text{Mod-}R$$

and

$$L = \bullet \otimes_R {}_R M_S : \text{Mod-}R \rightarrow \text{Mod-}S.$$

We set

$$\Lambda_A^B : \text{Hom}_S(B \otimes_R M, A) \longrightarrow \begin{array}{c} \text{Hom}_R(B, \text{Hom}_S(M, A)) \\ \left( B \otimes_R M \xrightarrow{\xi} A \right) \longmapsto \left( \begin{array}{ccc} B & \longrightarrow & \text{Hom}_S(M, A) \\ a & \longmapsto & \left( \begin{array}{ccc} M & \longrightarrow & A \\ m & \longmapsto & \xi(a \otimes m) \end{array} \right) \end{array} \right) \end{array}$$

and

$$\Gamma_A^B : \text{Hom}_R(B, \text{Hom}_S(M, A)) \longrightarrow \begin{array}{c} \text{Hom}_S(B \otimes_R M, A) \\ \left( B \xrightarrow{\zeta} \text{Hom}_S(M, A) \right) \longmapsto \left( \begin{array}{ccc} B \otimes_R M & \longrightarrow & A \\ a \otimes m & \longmapsto & \zeta(a)(m) \end{array} \right). \end{array}$$

We will prove that  $\Gamma_A^B$  is the inverse of  $\Lambda_A^B$ .

$\Lambda_A^B(\xi)(a)$  is a morphism in  $\text{Mod-}S$ . Let  $\alpha = \Lambda_A^B(\xi)(a)$ . We have

$$\begin{aligned} \alpha(m_1 s_1 + m_2 s_2) &= \xi(a \otimes (m_1 s_1 + m_2 s_2)) \\ &= \xi(a \otimes (m_1 s_1) + a \otimes (m_2 s_2)) \\ &= \xi((a \otimes m_1) s_1 + (a \otimes m_2) s_2) \\ &= \xi(a \otimes m_1) s_1 + \xi(a \otimes m_2) s_2 \\ &= \alpha(m_1) s_1 + \alpha(m_2) s_2 \end{aligned}$$

for every  $m_1, m_2 \in M$  and  $s_1, s_2 \in S$ .

$\Lambda_A^B(\xi)$  **is a morphism in Mod- $R$** . Let  $a_1, a_2 \in B$  and  $r_1, r_2 \in R$ . We have

$$\begin{aligned} \Lambda_A^B(\xi)(a_1 r_1 + a_2 r_2)(m) &= \xi((a_1 r_1 + a_2 r_2) \otimes m) \\ &= \xi(a_1 r_1 \otimes m + a_2 r_2 \otimes m) \\ &= \xi(a_1 r_1 \otimes m) + \xi(a_2 r_2 \otimes m) \\ &= \xi(a_1 \otimes r_1 m) + \xi(a_2 \otimes r_2 m) \\ &= \Lambda_A^B(\xi)(a_1)(r_1 m) + \Lambda_A^B(\xi)(a_2)(r_2 m) \\ &= \Lambda_A^B(\xi)(a_1) r_1(m) + \Lambda_A^B(\xi)(a_2) r_2(m). \end{aligned}$$

$\Gamma_A^B(\zeta)$  **is well-defined**. We have to prove that the assignment  $(a, m) \mapsto \zeta(a)(m)$  is balanced. Additivity is trivial, moreover

$$\begin{aligned} \zeta(ar)(m) &= (\zeta(a)r)(m) && \text{since } \zeta \text{ is a morphism in Mod-}R \\ &= \zeta(a)(rm) && \text{by definition of } \cdot \text{ in } (\text{Hom}_S(M, A))_R. \end{aligned}$$

$\Gamma_A^B(\zeta)$  **is a morphism in Mod- $S$** . We have

$$\begin{aligned} \Gamma_A^B(\zeta)((a_1 \otimes m_1) s_1 + (a_2 \otimes m_2) s_2) &= \Gamma_A^B(\zeta)(a_1 \otimes m_1 s_1 + a_2 \otimes m_2 s_2) \\ &= \Gamma_A^B(\zeta)(a_1 \otimes m_1 s_1) + \Gamma_A^B(\zeta)(a_2 \otimes m_2 s_2) \\ &= \zeta(a_1)(m_1 s_1) + \zeta(a_2)(m_2 s_2) \\ &= \zeta(a_1)(m_1) s_1 + \zeta(a_2)(m_2) s_2 \\ &= \Gamma_A^B(\zeta)(a_1 \otimes m_1) s_1 + \Gamma_A^B(\zeta)(a_2 \otimes m_2) s_2. \end{aligned}$$

$\Gamma_A^B = (\Lambda_A^B)^{-1}$ . Given  $\xi \in \text{Hom}_S(B \otimes_R M, A)$ ,  $\xi : B \otimes_R M \rightarrow A$ , we have

$$\begin{aligned} \Gamma_A^B(\Lambda_A^B(\xi))(\bar{a} \otimes \bar{m}) &= \Gamma_A^B(a \mapsto (m \mapsto \xi(a \otimes m)))(\bar{a} \otimes \bar{m}) \\ &= (a \otimes m \mapsto \xi(a \otimes m))(\bar{a} \otimes \bar{m}) \\ &= \xi(\bar{a} \otimes \bar{m}). \end{aligned}$$

Given  $\zeta \in \text{Hom}_S(B \otimes_R M, A)$ ,  $\zeta : B \rightarrow \text{Hom}_S(M, A)$ , we have

$$\begin{aligned} \Lambda_A^B(\Gamma_A^B(\zeta))(\bar{a})(\bar{m}) &= \Lambda_A^B(a \otimes m \mapsto \zeta(a)(m))(\bar{a})(\bar{m}) \\ &= (a \mapsto (m \mapsto \zeta(a)(m)))(\bar{a})(\bar{m}) \\ &= (m \mapsto \zeta(\bar{a})(m))(\bar{m}) \\ &= \zeta(\bar{a})(\bar{m}) \end{aligned}$$

$(L, R)$  **is an adjunction**. We have to prove that the diagram

$$\begin{array}{ccc} \text{Hom}_S(A_1 \otimes_R M, B_1) & \xrightarrow{\Lambda_{A_1}^{B_1}} & \text{Hom}_R(A_1, \text{Hom}_S(M, B_1)) \\ \text{Hom}_S(f \otimes_R M, g) \downarrow & & \downarrow \text{Hom}_R(f, \text{Hom}_S(M, g)) \\ \text{Hom}_S(A_2 \otimes_R M, B_2) & \xrightarrow{\Lambda_{A_2}^{B_2}} & \text{Hom}_R(A_2, \text{Hom}_S(M, B_2)). \end{array}$$

is commutative. Starting from  $\xi : B_1 \otimes_R M \longrightarrow A$ :

$$\begin{aligned} \text{Hom}_R(f, \text{Hom}_S(M, g)) (\Lambda_{A_1}^{B_1}(\xi)) &= \text{Hom}_R(f, \text{Hom}_S(M, g)) (a_1 \mapsto (m \mapsto \xi(a_1 \otimes m))) \\ &= \text{Hom}_S(M, g) (a_1 \mapsto (m \mapsto \xi(a_1 \otimes m))) f \\ &= \text{Hom}_S(M, g) (a_2 \mapsto (m \mapsto \xi(f(a_2) \otimes m))) \\ &= a_2 \mapsto (m \mapsto g\xi(f(a_2) \otimes m)) \end{aligned}$$

and

$$\begin{aligned} \Lambda_{A_2}^{B_2}(\text{Hom}_S(f \otimes_R M, g)(\xi)) &= \Lambda_{A_2}^{B_2}(g\xi(f \otimes_R M)) \\ &= \Lambda_{A_2}^{B_2}(a_2 \otimes m \mapsto g\xi(f(a_2) \otimes m)) \\ &= a_2 \mapsto (m \mapsto g\xi(f(a_2) \otimes m)). \end{aligned}$$

is also a functorial isomorphism.

**Theorem 5.4.** *If  $(L, R)$  and  $(L', R)$  are adjunctions, then  $L \cong L'$ .*

*Proof.* Let  $\Lambda : \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle) \rightarrow \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle))$  and  $\Lambda' : \text{Hom}_{\mathcal{A}}(L'(\bullet), \blacktriangle) \rightarrow \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle))$  be the functor isomorphisms.

**Construction of the isomorphism.**

$\lambda =: (\Lambda')^{-1} \Lambda : \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle) \rightarrow \text{Hom}_{\mathcal{A}}(L'(\bullet), \blacktriangle)$  is a functorial isomorphism as both  $\Lambda$  and  $(\Lambda')^{-1}$  are. Hence, given  $f : B_2 \longrightarrow B_1$ ,  $g : A_1 \longrightarrow A_2$  and  $\xi : L(B_1) \longrightarrow A_1$ , we have that

$$(5.5) \quad \lambda_{A_2}^{B_2} \circ \text{Hom}_{\mathcal{A}}(L(f), g) = \text{Hom}_{\mathcal{A}}(L'(f), g) \circ \lambda_{A_1}^{B_1} \text{ i.e.}$$

$$(5.6) \quad \lambda_{A_2}^{B_2} [g \circ \xi \circ L(f)] = g \circ \lambda_{A_1}^{B_1}(\xi) \circ L'(f)$$

$$\begin{array}{ccc} \text{Hom}_{\mathcal{A}}(L(B_1), A_1) & \xrightarrow{\lambda_{A_1}^{B_1}} & \text{Hom}_{\mathcal{A}}(L'(B_1), A_1) \\ \text{Hom}_{\mathcal{A}}(L(f), g) \downarrow & & \downarrow \text{Hom}_{\mathcal{A}}(L'(f), g) \\ \text{Hom}_{\mathcal{A}}(L(B_2), A_2) & \xrightarrow{\lambda_{A_2}^{B_2}} & \text{Hom}_{\mathcal{A}}(L'(B_2), A_2) \end{array}$$

The equality (5.6) is equivalent to the following equalities

$$(5.7) \quad \lambda_{A_2}^B(g \circ \xi) = g \circ \lambda_{A_1}^B(\xi)$$

$$(5.8) \quad \lambda_A^{B_2}[\xi \circ L(f)] = \lambda_A^{B_1}(\xi) \circ L'(f).$$

In particular, for  $g = L(f)$ ,  $B = B_2$  and  $\xi = \text{Id}_{L(B_2)}$ , we get from (5.7) that

$$(5.9) \quad \lambda_{L(B_1)}^{B_2} [L(f)] = L(f) \circ \lambda_{L(B_2)}^{B_2} (\text{Id}_{L(B_2)}).$$

For  $A = L(B_1)$  and  $\xi = \text{Id}_{L(B_1)}$ , we get from (5.8) that

$$(5.10) \quad \lambda_{L(B_1)}^{B_2} (L(f)) = \lambda_{L(B_1)}^{B_1} (\text{Id}_{L(B_1)}) \circ L'(f),$$

and for  $A_1 = L(B)$ ,  $A_2 = L'(B)$  and  $\xi = \text{Id}_{L(B)}$ , we get from (5.7) that

$$(5.11) \quad \lambda_{L'(B)}^B(g) = g \circ \lambda_{L(B)}^B(\text{Id}_{L(B)})$$

We define  $\chi : L' \rightarrow L$ , by setting

$$\chi_B = \lambda_{L(B)}^B(\text{Id}_{L(B)}).$$

$\chi : L' \rightarrow L$  is a morphism of functors. We have to prove that

$$L(f) \circ \chi_{B_2} = \chi_{B_1} \circ L'(f)$$

$$\begin{array}{ccc} L'(B_2) & \xrightarrow{\chi_{B_2}} & L(B_2) \\ L'(f) \downarrow & & \downarrow L(f) \\ L'(B_1) & \xrightarrow{\chi_{B_1}} & L(B_1) \end{array} .$$

We compute

$$L(f) \circ \chi_{B_2} = L(f) \circ \lambda_{L(B_2)}^{B_2}(\text{Id}_{L(B_2)}) \stackrel{(5.9)}{=} \lambda_{L(B_1)}^{B_2}(L(f))$$

$$\chi_{B_1} \circ L'(f) = \lambda_{L(B_1)}^{B_1}(\text{Id}_{L(B_1)}) \circ L'(f) \stackrel{(5.10)}{=} \lambda_{L(B_1)}^{B_2}(L(f)).$$

$\chi$  is a functorial isomorphism. We construct the inverse of  $\chi$ . We set

$$\zeta_B = (\Lambda_{L'(B)}^B)^{-1} \circ \Lambda_{L'(B)}^B(\text{Id}_{L'(B)}) : L(B) \rightarrow L'(B)$$

We compute

$$\begin{aligned} \zeta_B \circ \chi_B &= \zeta_B \circ \lambda_{L(B)}^B(\text{Id}_{L(B)}) \stackrel{(5.11)}{=} \lambda_{L'(B)}^B(\zeta_B) = \\ &= (\Lambda_{L'(B)}^B)^{-1} \circ \Lambda_{L'(B)}^B \circ (\Lambda_{L'(B)}^B)^{-1} \circ \Lambda_{L'(B)}^B(\text{Id}_{L'(B)}) = \text{Id}_{L'(B)}. \end{aligned}$$

By symmetry, we also get  $\chi_B \circ \zeta_B = \text{Id}_{L(B)}$ . □

In an analogous way, one can prove the following result.

**Theorem 5.5.** *If  $(L, R)$  and  $(L, R')$  are adjunctions, then  $R \cong R'$ .*

**Theorem 5.6.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let*

$$\Lambda : \text{Hom}_{\mathcal{A}}(L(\bullet), \blacktriangle) \rightarrow \text{Hom}_{\mathcal{B}}(\bullet, R(\blacktriangle)).$$

*be a functorial isomorphism. Let*

$$\eta_B = \Lambda_{L(B)}^B(\text{Id}_{L(B)}) : B \rightarrow RL(B).$$

*Then  $\eta : \text{Id}_{\mathcal{B}} \rightarrow RL$  is a functorial morphism (called unit of the adjunction). Let*

$$\epsilon_A = \left( \Lambda_A^{R(A)} \right)^{-1}(\text{Id}_{R(A)}) : LR(A) \rightarrow A$$

*Then  $\epsilon : LR \rightarrow \text{Id}_{\mathcal{A}}$  is a morphism functorial (called counit of the adjunction).*

*Moreover we have*

1)

$$(5.12) \quad \Lambda_A^B(\gamma) = R(\gamma) \circ \eta_B, \text{ for every } \gamma \in \text{Hom}_{\mathcal{A}}(L(B), A)$$

2)

$$(5.13) \quad (\Lambda_A^B)^{-1}(\varphi) = \epsilon_A \circ L(\varphi), \text{ for every } \varphi \in \text{Hom}_{\mathcal{B}}(B, R(A))$$

3)

$$(5.14) \quad \epsilon_{L(B)} \circ L(\eta_B) = \text{Id}_{L(B)}$$

4)

$$(5.15) \quad R(\epsilon_A) \circ \eta_{R(A)} = \text{Id}_{R(A)}$$

for every  $B \in \mathcal{B}$ ,  $A \in \mathcal{A}$ ,  $f : B \rightarrow R(A)$  and  $g : L(B) \rightarrow A$ .

*Proof.* Let  $f : B_2 \rightarrow B_1$ . We have to prove that

$$RL(f) \circ \eta_{B_2} = \eta_{B_1} \circ f$$

$$\begin{array}{ccc} B_2 & \xrightarrow{\eta_{B_2}} & RL(B_2) \quad . \\ f \downarrow & & \downarrow RL(f) \\ B_1 & \xrightarrow{\eta_{B_1}} & RL(B_1) : \end{array}$$

By (5.3) applied to the case when  $g = L(f) : L(B_2) \rightarrow L(B_1)$  and  $\xi = \text{Id}_{L(B_2)} : L(B_2) \rightarrow L(B_2)$ , we get

$$\Lambda_{L(B_1)}^{B_2} [L(f) \circ \text{Id}_{L(B_2)}] = RL(f) \circ \Lambda_{L(B_2)}^{B_2} (\text{Id}_{L(B_2)})$$

so that

$$(5.16) \quad \Lambda_{L(B_1)}^{B_2} [L(f)] = RL(f) \circ \Lambda_{L(B_2)}^{B_2} (\text{Id}_{L(B_2)})$$

We have

$$\Lambda_{L(B_1)}^{B_2} (L(f)) \stackrel{(5.16)}{=} RL(f) \circ \Lambda_{L(B_2)}^{B_2} (\text{Id}_{L(B_2)}) = RL(f) \circ \eta_{B_2}.$$

By (5.4) applied to the case when  $\xi = \text{Id}_{L(B_1)} : L(B_1) \rightarrow L(B_1)$  and  $f = f : B_2 \rightarrow B_1$ , we get

$$\Lambda_{L(B_1)}^{B_1} (\text{Id}_{L(B_1)}) \circ f = \Lambda_{L(B_1)}^{B_2} [\text{Id}_{L(B_1)} \circ (L(f))]$$

so that

$$(5.17) \quad \Lambda_{L(B_1)}^{B_1} (\text{Id}_{L(B_1)}) \circ f = \Lambda_{L(B_1)}^{B_2} [(L(f))]$$

$$\eta_{B_1} \circ f = \Lambda_{L(B_1)}^{B_1} (\text{Id}_{L(B_1)}) \circ f \stackrel{(5.17)}{=} \Lambda_{L(B_1)}^{B_2} (L(f)).$$

Let  $g : A_1 \rightarrow A_2$ . We have to prove that

$$g \circ \epsilon_{A_1} = \epsilon_{A_2} \circ LR(g)$$

$$\begin{array}{ccc} LR(B_1) & \xrightarrow{\epsilon_{B_1}} & B_1 \\ LR(g) \downarrow & & \downarrow g \\ LR(B_2) & \xrightarrow{\epsilon_{B_2}} & B_2 \end{array}$$

Since  $\Lambda$  is an isomorphism, we will equivalently prove that

$$\Lambda_{A_2}^{R(A_1)} (g \circ \epsilon_{A_1}) = \Lambda_{A_2}^{R(A_1)} (\epsilon_{A_2} \circ LR(g)).$$

By (5.3) applied to the case when  $g = g : A_1 \rightarrow A_2, \xi = \epsilon_{A_1} : RL(A_1) \rightarrow A_1 = \text{Id}_{L(B_1)} : L(B_1) \rightarrow L(B_1), f = \text{Id}_{R(A_1)} : R(A_1) \rightarrow R(A_1)$ , we get

$$(5.18) \quad R(g) \circ \Lambda_{A_1}^{R(A_1)} (\epsilon_{A_1}) = \Lambda_{A_2}^{R(A_1)} (g \circ \epsilon_{A_1})$$

$$\Lambda_{A_2}^{R(A_1)} (g \circ \epsilon_{A_1}) \stackrel{(5.18)}{=} R(g) \circ \Lambda_{A_1}^{R(A_1)} (\epsilon_{A_1}) = \left[ R(g) \circ \Lambda_{A_1}^{R(A_1)} \circ \left( \Lambda_{A_1}^{R(A_1)} \right)^{-1} \right] (\text{Id}_{R(A_1)}) = R(g).$$

By (5.4) applied to the case when  $f = R(g) : R(A_1) \rightarrow R(A_2)$  and  $\xi = \epsilon_{A_2} : LR(A_2) \rightarrow A_2$ , we get

$$(5.19) \quad \Lambda_{A_2}^{R(A_1)} (\epsilon_{A_2} \circ LR(g)) = \Lambda_{A_2}^{R(A_2)} (\epsilon_{A_2}) \circ R(g)$$

$$\begin{aligned} \Lambda_{A_2}^{R(A_1)} (\epsilon_{A_2} \circ LR(g)) &\stackrel{(5.19)}{=} \Lambda_{A_2}^{R(A_2)} (\epsilon_{A_2}) \circ R(g) \\ &= \left[ \Lambda_{A_2}^{R(A_2)} \circ \left( \Lambda_{A_2}^{R(A_2)} \right)^{-1} \right] (\text{Id}_{R(A_2)}) \circ R(g) = R(g). \end{aligned}$$

$$1) \quad R(\gamma) \circ \eta_B = R(\gamma) \circ \Lambda_{L(B)}^B (\text{Id}_{L(B)}) \stackrel{(5.3)}{=} \Lambda_A^B (\gamma).$$

2) In order to prove 2) we apply to both terms  $\Lambda$  which is an isomorphism:

$$\Lambda_A^B (\epsilon_A \circ L(\varphi)) \stackrel{(5.4)}{=} \Lambda_A^{R(A)} (\epsilon_A) \circ \varphi = \left[ \Lambda_A^{R(A)} \circ \left( \Lambda_A^{R(A)} \right)^{-1} \right] (\text{Id}_{R(A)}) \circ \varphi = \varphi.$$

3) By applying 2) to the first term of the equality we have

$$\epsilon_{L(B)} \circ L(\eta_B) \stackrel{2)}{=} \left( \Lambda_{L(B)}^B \right)^{-1} (\eta_B) = \left[ \left( \Lambda_{L(B)}^B \right)^{-1} \circ \Lambda_{L(B)}^B \right] (\text{Id}_{L(B)}) = \text{Id}_{L(B)}.$$

4) By applying 1) to the first term of the equality we get

$$R(\epsilon_A) \circ \eta_{R(A)} \stackrel{1)}{=} \Lambda_A^{R(A)} (\epsilon_A) = \Lambda_A^{R(A)} \left( \Lambda_A^{R(A)} \right)^{-1} (\text{Id}_{R(A)}) = \text{Id}_{R(A)}.$$

□

**Theorem 5.7.** Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors,  $\eta : \text{Id}_{\mathcal{B}} \rightarrow RL$  and  $\epsilon : LR \rightarrow \text{Id}_{\mathcal{A}}$  functorial morphisms such that, for every  $B \in \mathcal{B}$  and  $A \in \mathcal{A}$ , we have

$$\epsilon_{L(B)} \circ L(\eta_B) = \text{Id}_{L(B)}$$

and

$$R(\epsilon_A) \circ \eta_{R(A)} = \text{Id}_{R(A)}$$

Then  $(L, R)$  is an adjunction with unit  $\eta$  and counit  $\epsilon$ . Namely, for every  $B \in \mathcal{B}$  and  $A \in \mathcal{A}$ ,

$$\text{Hom}_{\mathcal{A}}(L(B), A) \xrightarrow{\Lambda_A^B} \text{Hom}_{\mathcal{B}}(B, R(A))$$

defined by setting

$$\Lambda_A^B(\xi) = R(\xi) \circ \eta_B$$

is a natural isomorphism with inverse

$$\text{Hom}_{\mathcal{B}}(B, R(A)) \xrightarrow{\Gamma_A^B} \text{Hom}_{\mathcal{A}}(L(B), A)$$

defined by setting

$$\Gamma_A^B(\zeta) = \epsilon_A \circ L(\zeta).$$

*Proof.*  $\Gamma_A^B = (\Lambda_A^B)^{-1}$ . Given  $\xi : L(B) \rightarrow A$  and  $\zeta : B \rightarrow R(A)$ , since  $\epsilon$  and  $\eta$  are functorial morphisms, we have:

$$\begin{aligned} \Gamma_A^B(\Lambda_A^B(\xi)) &= \Gamma_A^B(R(\xi) \circ \eta_B) \\ &= \epsilon_A \circ L(R(\xi) \circ \eta_B) \\ &= \epsilon_A \circ LR(\xi) \circ (L(\eta_B)) \\ &\stackrel{\epsilon}{=} \xi \circ \epsilon_{L(B)} \circ L(\eta_B) \\ &= \xi \end{aligned}$$

and

$$\begin{aligned} \Lambda_A^B(\Gamma_A^B(\zeta)) &= \Lambda_A^B(\epsilon_A \circ L(\zeta)) \\ &= R(\epsilon_A \circ L(\zeta)) \circ \eta_B \\ &= (R(\epsilon_A)) \circ RL(\zeta) \circ \eta_B \\ &\stackrel{\eta}{=} R(\epsilon_A) \circ \eta_{R(A)} \circ \zeta \\ &= \zeta \end{aligned}$$

**$\Lambda$  gives rise to an adjunction.** Given  $f : B_2 \rightarrow B_1$ ,  $g : A_1 \rightarrow A_2$  and  $\xi : L(B_1) \rightarrow A_1$ , we have:

$$\begin{aligned} \Lambda_{A_2}^{B_2}(g \circ \xi \circ L(f)) &= R(g \circ \xi \circ L(f)) \circ \eta_{B_2} \\ &= R(g) \circ R(\xi) \circ RL(f) \circ \eta_{B_2} \end{aligned}$$



$$\begin{aligned} R(g) \circ \Lambda_{A_1}^{B_1}(\xi) \circ f &= R(g) \circ R(\xi) \circ \eta_{B_1} \circ f \\ &\stackrel{\eta}{=} R(g) \circ R(\xi) \circ RL(f) \circ \eta_{B_2} \end{aligned}$$

$\eta$  and  $\epsilon$  are unit and counit. The unit of the adjunction  $(L, R)$  is

$$\Lambda_{L(B)}^B(\text{Id}_{L(B)}) = R(\text{Id}_{L(B)}) \circ \eta_B = \eta_B,$$

whereas the counit is

$$\left(\Lambda_A^{R(A)}\right)^{-1}(\text{Id}_{R(A)}) = \Gamma_A^{R(A)}(\text{Id}_{R(A)}) = \epsilon_A \circ L(\text{Id}_{R(A)}) = \epsilon_A.$$

□

**Theorem 5.8.** *Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  be covariant functors determining an equivalence between  $\mathcal{B}$  and  $\mathcal{A}$ , i.e. there are functorial isomorphisms  $\eta : \text{Id}_{\mathcal{B}} \rightarrow RL$  and  $\rho : LR \rightarrow \text{Id}_{\mathcal{A}}$ . Then  $(L, R)$  is an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $\epsilon_A = \rho_A \circ L\left(\eta_{R(A)}^{-1}\right) \circ \rho_{LR(A)}^{-1}$ , for every  $A \in \mathcal{A}$ .*

*Proof.* We will prove that the hypothesis of Theorem 5.7 hold. First we want to prove that

$$(5.20) \quad \eta_{RL(B)} = RL(\eta_B) \quad \text{and} \quad \rho_{LR(A)} = LR(\rho_A).$$

In fact we have

$$\eta_{RL(B)} \circ \eta_B \stackrel{\eta}{=} RL(\eta_B) \circ \eta_B$$

and

$$\rho_{LR(A)} \circ \rho_A = LR(\rho_A) \circ \rho_A$$

and since  $\eta$  and  $\rho$  are iso we conclude. Then we have:

$$\begin{aligned} \epsilon_{L(B)} \circ L(\eta_B) &= \rho_{L(B)} \circ L\left(\eta_{RL(B)}^{-1}\right) \circ \rho_{LRL(B)}^{-1} \circ L(\eta_B) \\ &\stackrel{\rho^{-1}}{=} \rho_{L(B)} \circ L\left(\eta_{RL(B)}^{-1}\right) \circ LRL(\eta_B) \circ \rho_{L(B)}^{-1} \\ &\stackrel{(5.20)}{=} \rho_{L(B)} \circ L\left(\eta_{RL(B)}^{-1}\right) \circ L(\eta_{RL(B)}) \circ \rho_{L(B)}^{-1} \\ &= \text{Id}_{L(B)} \end{aligned}$$

$$\begin{aligned} R(\epsilon_A) \circ \eta_{R(A)} &= R\left(\rho_A \circ L\left(\eta_{R(A)}^{-1}\right) \circ \rho_{LR(A)}^{-1}\right) \circ \eta_{R(A)} \\ &= R(\rho_A) \circ RL\left(\eta_{R(A)}^{-1}\right) \circ R\left(\rho_{LR(A)}^{-1}\right) \circ \eta_{R(A)} \\ &\stackrel{(5.20)}{=} R(\rho_A) \circ RL\left(\eta_{R(A)}^{-1}\right) \circ RLR(\rho_A^{-1}) \circ \eta_{R(A)} \\ &\stackrel{\eta}{=} R(\rho_A) \circ RL\left(\eta_{R(A)}^{-1}\right) \circ \eta_{RLR(A)} \circ R(\rho_A^{-1}) \\ &= R(\rho_A) \circ RL\left(\eta_{R(A)}^{-1}\right) \circ RL(\eta_{R(A)}) \circ R(\rho_A^{-1}) \\ &= \text{Id}_{R(A)} \end{aligned}$$

□

**Theorem 5.9.** *Let  $(L, R)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let  $F : \mathcal{I} \rightarrow \mathcal{A}$  be a functor where  $\mathcal{I}$  is a small category. Assume that there exists  $(\varprojlim F, (\alpha_I)_{I \in \mathcal{I}})$  in  $\mathcal{A}$ . Then  $(R(\varprojlim F), (R(\alpha_I))_{I \in \mathcal{I}})$  is the limit of  $RF : \mathcal{I} \rightarrow \mathcal{B}$ .*

*Proof.* First of all we prove that  $(R(\varprojlim F), (R(\alpha_I))_{I \in \mathcal{I}})$  is a cone. Since  $(\varprojlim F, (\alpha_I)_{I \in \mathcal{I}})$  is a cone on  $F$  we have that

$$\alpha_J = F(\lambda) \circ \alpha_I \text{ for every morphism } \lambda : I \rightarrow J.$$

By applying  $R$ , we get

$$R(\alpha_J) = RF(\lambda) \circ R(\alpha_I).$$

Let now  $(X, (\xi_I : X \rightarrow RF(I))_{I \in \mathcal{I}})$  be a cone on  $RF$ .

**There exists**  $X \xrightarrow{\xi} R(\varprojlim F)$ . Since  $(X, (\xi_I)_{I \in \mathcal{I}})$  is a cone we have

$$\xi_J = RF(\lambda) \circ \xi_I$$

so that, by applying  $L$ , we get

$$L(\xi_J) = LRF(\lambda) \circ L(\xi_I).$$

We have

$$\begin{array}{ccc} & LRF(I) & \xrightarrow{\epsilon_{F(I)}} & F(I) \\ & \nearrow^{L(\xi_I)} & \downarrow^{LRF(\lambda)} & \downarrow^{F(\lambda)} \\ L(X) & & & \\ & \searrow_{L(\xi_J)} & \downarrow^{LRF(\lambda)} & \downarrow^{F(\lambda)} \\ & LRF(J) & \xrightarrow{\epsilon_{F(J)}} & F(J), \end{array}$$

where  $\epsilon$  is the counit of the adjunction. Thus  $L(X)$  is a cone on  $F$  with morphisms  $\epsilon_{F(I)} \circ L(\xi_I)$  and thus there exists a unique morphism

$$\zeta : L(X) \rightarrow \varprojlim F$$

such that

$$\alpha_I \circ \zeta = \epsilon_{F(I)} \circ L(\xi_I).$$

Let

$$\xi = \Lambda_{\varprojlim F}^X(\zeta) = R(\zeta) \circ \eta_X$$

where  $\Lambda$  is the isomorphism of the adjunction  $(L, R)$ . Thus  $\xi : X \rightarrow R(\varprojlim F)$ . We will prove that  $R(\alpha_I) \circ \xi = \xi_I$ . By the properties of the adjunction we have

$$\begin{aligned} R(\alpha_I) \circ \xi &= R(\alpha_I) \circ \Lambda_{\varprojlim F}^X(\zeta) \stackrel{(5.3)}{=} \Lambda_{F(I)}^X(\alpha_I \circ \zeta) = \Lambda_{F(I)}^X(\epsilon_{F(I)} \circ L(\xi_I)) = \Lambda_{F(I)}^X(\epsilon_{F(I)} \circ L(\xi_I)) = \\ &\stackrel{(5.4)}{=} \Lambda_{F(I)}^{RF(I)}(\epsilon_{F(I)}) \circ \xi_I = \Lambda_{F(I)}^{RF(I)}\left(\left(\Lambda_{F(I)}^{RF(I)}\right)^{-1}(\text{Id}_{RF(I)})\right) \circ \xi_I = \xi_I. \end{aligned}$$

$\xi$  is unique. Let  $\xi' : X \rightarrow R(\varprojlim F)$  be another morphism such that  $R(\alpha_I) \circ \xi' = \xi_I$ , i.e. we have  $R(\alpha_I) \circ \xi' = \xi_I = R(\alpha_I) \circ \xi$ . Since  $\Lambda_{\varprojlim F}^X$  is an isomorphism, there exists a unique  $\zeta' : LX \rightarrow \varprojlim F$  such that  $\xi' = \Lambda_{\varprojlim F}^X(\zeta')$ . Then we have

$$R(\alpha_I) \circ \xi' = R(\alpha_I) \circ \Lambda_{\varprojlim F}^X(\zeta') \stackrel{(5.3)}{=} \Lambda_{F(I)}^X(\alpha_I \circ \zeta')$$

and

$$R(\alpha_I) \circ \xi = R(\alpha_I) \circ \Lambda_{\varprojlim F}^X(\zeta) = \Lambda_{F(I)}^X(\alpha_I \circ \zeta).$$

.Since  $\Lambda_{F(I)}^X$  is an isomorphism, we get  $\alpha_I \circ \zeta' = \alpha_I \circ \zeta$  for every  $I \in \mathcal{I}$ , thus, by uniqueness of  $\varprojlim F$ ,  $\zeta = \zeta'$  and  $\xi = \xi'$ .  $\square$

**Corollary 5.10.** *Let  $(L, R)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Assume that both  $\mathcal{B}$  and  $\mathcal{A}$  are preadditive with zero. If  $P$  is a pullback, then  $R(P)$  is also a pullback.*

**Corollary 5.11.** *Let  $(L, R)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Assume that both  $\mathcal{B}$  and  $\mathcal{A}$  are preadditive with zero and both  $L$  and  $R$  are additive. If  $\text{Ker}(f)$  exists in  $\mathcal{A}$ , then also  $\text{Ker}(R(f))$  exists and  $R(\text{Ker}(f)) = (\text{Ker}(R(f)))$ .*

*Proof.* A kernel is a particular kind of pullback.  $\square$

**Proposition 5.12.** *Let  $(L, R)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Assume that both  $\mathcal{A}$  and  $\mathcal{B}$  are abelian and both  $L$  and  $R$  are additive. Then  $R$  is a left exact functor.*

*Proof.* Let  $0 \rightarrow A' \xrightarrow{\alpha'} A \xrightarrow{\alpha''} A''$  be an exact sequence in  $\mathcal{A}$ . This means that

$$(A', \alpha') = \text{Ker}(\alpha'').$$

By Corollary 5.11, we get that

$$(R(A'), R(\alpha')) = \text{Ker}(R(\alpha''))$$

which means that the sequence  $0 \rightarrow R(A') \xrightarrow{R(\alpha')} R(A) \xrightarrow{R(\alpha'')} R(A'')$  is exact in  $\mathcal{A}$ .  $\square$

**Theorem 5.13.** *Let  $(L, R)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let  $G : \mathcal{I} \rightarrow \mathcal{B}$  be a functor where  $\mathcal{I}$  is a small category. Assume that there exists  $(\varinjlim G, (\alpha_I)_{I \in \mathcal{I}})$  in  $\mathcal{A}$ . Then  $(L(\varinjlim F), (L(\alpha_I))_{I \in \mathcal{I}})$  is the limit of  $LF : \mathcal{I} \rightarrow \mathcal{A}$ .*

*Proof.* It is analogous to that of Theorem 5.9 and it is left as an exercise to the reader.  $\square$

**Corollary 5.14.** *In the assumption of Theorem 5.9, in particular if  $X$  is a pushout, then  $L(X)$  is also a pushout.*

*Proof.* A pullback is a particular kind of colimit.  $\square$

**Corollary 5.15.** *In the assumption of Theorem 5.9 we have  $L(\text{Coker}(f)) = (\text{Coker}L(f))$ .*

*Proof.* A cokernel is a particular kind of pushout.  $\square$

**Proposition 5.16.** *Let  $(L, H)$  be an adjunction,  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,  $H : \mathcal{A} \rightarrow \mathcal{B}$ . Assume that both  $\mathcal{B}$  and  $\mathcal{A}$  are abelian and both  $L$  and  $H$  are additive. Then  $L$  is a right exact functor.*

*Proof.* Let  $B' \xrightarrow{\alpha'} B \xrightarrow{\alpha''} B'' \rightarrow 0$  be an exact sequence in  $\mathcal{B}$ . This means that

$$(B'', \alpha'') = \text{Coker}(\alpha').$$

By Corollary 5.15, we get that

$$(L(B''), L(\alpha'')) = \text{Coker}(L(\alpha'))$$

which means that the sequence  $L(B') \xrightarrow{L(\alpha')} L(B) \xrightarrow{L(\alpha'')} L(B'') \rightarrow 0$  is exact in  $\mathcal{A}$ .  $\square$

**Lemma 5.17.** *Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . For every  $Y \in \mathcal{B}$  the following conditions are equivalent:*

- (1)  $\mathcal{L}_{-,Y} = (\Lambda_-^Y)^{-1} \circ \text{Hom}_{\mathcal{B}}(-, \eta Y)$  is a functorial isomorphism
- (2)  $\text{Hom}_{\mathcal{B}}(-, \eta Y)$  is a functorial isomorphism
- (3)  $\eta Y$  is an isomorphism.

*Proof.* Since  $(L, R)$  is an adjunction,  $\Lambda_X^Z : \text{Hom}_{\mathcal{A}}(LY, X) \rightarrow \text{Hom}_{\mathcal{B}}(Y, RX)$  is an isomorphism for every  $X \in \mathcal{A}$  and for every  $Z \in \mathcal{B}$ , so that (1) is equivalent to (2). (2)  $\Rightarrow$  (3) Since  $\text{Hom}_{\mathcal{B}}(-, \eta Y)$  is a functorial isomorphism, in particular  $\text{Hom}_{\mathcal{B}}(RLY, \eta Y) : \text{Hom}_{\mathcal{B}}(RLY, Y) \rightarrow \text{Hom}_{\mathcal{B}}(RLY, RLY)$  is an isomorphism. Thus, there exists  $f \in \text{Hom}_{\mathcal{B}}(RLY, Y)$  such that  $(\eta Y) \circ f = \text{Id}_{RLY}$ . Moreover we also have  $\text{Hom}_{\mathcal{B}}(Y, \eta Y)(\text{Id}_Y) = \eta Y = (\eta Y) \circ f \circ (\eta Y) = \text{Hom}_{\mathcal{B}}(Y, \eta Y)(f \circ (\eta Y))$ . Since  $\text{Hom}_{\mathcal{B}}(-, \eta Y)$  is a functorial isomorphism, also  $\text{Hom}_{\mathcal{B}}(Y, \eta Y)$  is an isomorphism. Thus we deduce that  $\text{Id}_Y = f \circ (\eta Y)$ . Hence  $\eta Y$  is an isomorphism with two-sided inverse  $f : RLY \rightarrow Y$ . (3)  $\Rightarrow$  (2) Let  $h$  be the two-sided inverse of  $\eta Y$ . Then  $\text{Hom}_{\mathcal{B}}(-, h)$  is the inverse of the functor  $\text{Hom}_{\mathcal{B}}(-, \eta Y)$ . In fact

$$\begin{aligned} \text{Hom}_{\mathcal{B}}(-, h) \circ \text{Hom}_{\mathcal{B}}(-, \eta Y) &= \text{Hom}_{\mathcal{B}}(-, h \circ \eta Y) = \text{Hom}_{\mathcal{B}}(-, \text{Id}_Y) \\ \text{Hom}_{\mathcal{B}}(-, \eta Y) \circ \text{Hom}_{\mathcal{B}}(-, h) &= \text{Hom}_{\mathcal{B}}(-, \eta Y \circ h) = \text{Hom}_{\mathcal{B}}(-, \text{Id}_{RLY}). \end{aligned}$$

$\square$

**Proposition 5.18.** *Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Then  $L$  is full and faithful if and only if  $\eta$  is a functorial isomorphism.*

*Proof.* Note that, for every  $f \in \text{Hom}_{\mathcal{B}}(Y, Y')$  we have

$$\begin{aligned} \mathcal{L}_{Y, Y'}(f) &= \left[ (\Lambda_{LY'}^Y)^{-1} \circ \text{Hom}_{\mathcal{B}}(Y, \eta Y') \right] (f) = (\Lambda_{LY'}^Y)^{-1} (\eta Y' \circ f) = \\ &= (\epsilon LY') \circ (L\eta Y') \circ (Lf) \stackrel{(L, R)^{\text{adj}}}{=} Lf. \end{aligned}$$

To be full and faithful for  $L$  means that the map

$$\begin{aligned} \phi : \text{Hom}_{\mathcal{B}}(Y, Y') &\longrightarrow \text{Hom}_{\mathcal{A}}(LY, LY') \\ f &\mapsto L(f) \end{aligned}$$

is bijective for every  $Y, Y' \in \mathcal{B}$ . Since this  $\phi(f) = L(f) = \mathcal{L}_{Y, Y'}(f)$ ,  $\phi$  is an isomorphism if and only if  $\mathcal{L}_{Y, Y'}$  is an isomorphism for every  $Y, Y' \in \mathcal{B}$  and, by Lemma 5.17, if and only if  $\eta Y'$  is an isomorphism for every  $Y' \in \mathcal{B}$ .  $\square$

**Lemma 5.19.** *Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . For every  $X \in \mathcal{A}$  the following conditions are equivalent:*

- (1)  $\mathcal{R}_{X, -} = \Lambda_{-}^{RX} \circ \text{Hom}_{\mathcal{A}}(\epsilon X, -)$  is a functorial isomorphism
- (2)  $\text{Hom}_{\mathcal{A}}(\epsilon X, -)$  is a functorial isomorphism
- (3)  $\epsilon X$  is an isomorphism.

*Proof.* Exercise.  $\square$

**Proposition 5.20.** *Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Then  $R$  is full and faithful if and only if  $\epsilon$  is a functorial isomorphism.*

*Proof.* Exercise.  $\square$

**Lemma 5.21.** *Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  be morphisms in a category  $\mathcal{C}$ . Assume that  $g \circ f = \text{Id}_X$  and that  $f \circ g$  is an isomorphism. Then  $f$  and  $g$  are isomorphisms and  $g = f^{-1}$ .*

*Proof.* From  $g \circ f = \text{Id}_X$  we infer that  $f \circ g \circ f \circ g = f \circ \text{Id}_X \circ g = f \circ g$  i.e.

$$f \circ g \circ f \circ g = f \circ g.$$

Hence

$$f \circ g = (f \circ g)^{-1} \circ f \circ g \circ f \circ g = (f \circ g)^{-1} \circ f \circ g = \text{Id}_Y.$$

$\square$

**Proposition 5.22.** *Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ , where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Then the following assertions are equivalent.*

- (a)  $\epsilon L$  is a functorial isomorphism.

- (b)  $L\eta$  is a functorial isomorphism.
- (c)  $R\epsilon$  is a functorial isomorphism.
- (d)  $\eta R$  is a functorial isomorphism.
- (e)  $R\epsilon L$  is a functorial isomorphism.
- (f)  $RL\eta$  is a functorial isomorphism.
- (g)  $\eta RL$  is a functorial isomorphism.
- (h)  $LR\epsilon$  is a functorial isomorphism.
- (i)  $\epsilon LR$  is a functorial isomorphism.
- (l)  $L\eta R$  is a functorial isomorphism.
- (m)  $LR\epsilon L$  is a functorial isomorphism.
- (n)  $LRL\eta$  is a functorial isomorphism.
- (o)  $L\eta RL$  is a functorial isomorphism.
- (p)  $\epsilon LRL$  is a functorial isomorphism.

*Proof.* Since  $(L, R)$  is an adjunction, formulas 5.14 and 5.15

$$\begin{aligned}\epsilon L \circ L\eta &= L \\ R\epsilon \circ \eta R &= R\end{aligned}$$

hold. Hence  $(a) \Leftrightarrow (b)$  and  $(c) \Leftrightarrow (d)$ . Moreover we get

$$(5.21) \quad R\epsilon L \circ RL\eta = RL$$

$$(5.22) \quad R\epsilon L \circ \eta RL = RL$$

from which we deduce that  $(e) \Leftrightarrow (f) \Leftrightarrow (g)$  and, if any of them holds, we also have

$$(5.23) \quad RL\eta = \eta RL.$$

Always from formulas 5.14 and 5.15, we get

$$(5.24) \quad \epsilon LR \circ L\eta R = LR$$

$$(5.25) \quad LR\epsilon \circ L\eta R = LR$$

from which we deduce that  $(h) \Leftrightarrow (i) \Leftrightarrow (l)$  and, if any of them holds, we also have

$$(5.26) \quad \epsilon LR = LR\epsilon.$$

Now, from formulas (5.21), (5.22) and (5.24) we get

$$(5.27) \quad LR\epsilon L \circ LRL\eta = LRL$$

$$(5.28) \quad LR\epsilon L \circ L\eta RL = LRL$$

$$(5.29) \quad \epsilon LRL \circ L\eta RL = LRL$$

from which we deduce that  $(m) \Leftrightarrow (n) \Leftrightarrow (o) \Leftrightarrow (p)$ . Moreover if one of them holds hold, we obtain

$$(5.30) \quad LRL\eta = L\eta RL.$$

and

$$LR\epsilon L = \epsilon LRL$$

Let  $\alpha : F \rightarrow G$  be a functorial morphism. Then, by naturality of  $\epsilon$ , we get the commutative diagram

$$\begin{array}{ccc} LRF & \xrightarrow{\epsilon^F} & F \\ LR\alpha \downarrow & & \downarrow \alpha \\ LRG & \xrightarrow{\epsilon^G} & G \end{array}$$

and, by naturality of  $\eta$ , we get the commutative diagram

$$\begin{array}{ccc} F & \xrightarrow{\eta^F} & RLF \\ \alpha \downarrow & & \downarrow RL\alpha \\ G & \xrightarrow{\eta^G} & RLG \end{array}$$

so that we have

$$\begin{aligned} \epsilon G \circ LR\alpha &= \alpha \circ \epsilon F \\ \eta G \circ \alpha &= RL\alpha \circ \eta F. \end{aligned}$$

In particular, we get

$$\begin{aligned} \epsilon LR \circ LRL\eta &= L\eta \circ \epsilon L \\ \eta R \circ R\epsilon &= RLR\epsilon \circ \eta RLR \end{aligned}$$

and

$$\begin{aligned} \epsilon G \circ LR\alpha &= \alpha \circ \epsilon F \\ \eta G \circ \alpha &= RL\alpha \circ \eta F. \end{aligned}$$

$(e) \Leftrightarrow (a)$  and  $(e) \Leftrightarrow (c)$  Clearly we have only to prove that  $(e) \Rightarrow (a)$  and  $(e) \Rightarrow (c)$ . Since  $(e)$  holds, we know that  $RL\eta = \eta RL$  are isomorphisms. Hence also  $L\eta RL$  is an isomorphism i.e.  $(o)$  holds so that  $LR\epsilon L = \epsilon LRL$  and  $LRL\eta = L\eta RL$  are isomorphisms.

By naturality of  $\epsilon$  we know that the diagram

$$\begin{array}{ccc} LRL & \xrightarrow{\epsilon^L} & L \\ LRL\eta \downarrow & & \downarrow L\eta \\ LRLRL & \xrightarrow{\epsilon^{LRL}} & LRL \end{array}$$

is commutative i.e.

$$L\eta \circ \epsilon L = \epsilon LRL \circ LRL\eta$$

and hence it is an isomorphism. Since  $\epsilon L \circ L\eta = L$ , by Lemma 5.21 we get that both  $\epsilon L$  and  $L\eta$  are isomorphisms.

By (e)  $\Leftrightarrow$  (g) we know that  $RL\eta$  is iso, so that from  $RLR\epsilon \circ RL\eta R = RLR$  we deduce that  $RLR\epsilon$  is also an iso. By naturality of  $\eta$  we know that the diagram

$$\begin{array}{ccc} RLR & \xrightarrow{\eta^{RL}} & RLRLR \\ R\epsilon \downarrow & & \downarrow RLR\epsilon \\ R & \xrightarrow{\eta^R} & RLR \end{array}$$

is commutative i.e.

$$\eta R \circ R\epsilon = \eta RLR \circ RLR\epsilon$$

From (e)  $\Leftrightarrow$  (f) we deduce that also  $\eta RLR$  is an iso i.e.  $\eta R \circ R\epsilon$  is an iso. From Lemma 5.21 we conclude.

Hence we have proved that (a) = (b) = (c) = (d) = (e) = (f) = (g)

(h)  $\Leftrightarrow$  (c) Clearly we have only to prove that (h)  $\Rightarrow$  (c). From

$$\begin{aligned} LR\epsilon \circ L\eta R &= LR \\ \epsilon LR \circ L\eta R &= LR \end{aligned}$$

we deduce that  $L\eta R$  is also an iso and  $LR\epsilon = \epsilon LR$  is an iso. Hence  $R\epsilon LR$  is an iso and from  $R\epsilon LR \circ \eta RLR = RLR$  also  $\eta RLR$  is an iso. We have

$$\begin{array}{ccc} LRLR & \xrightarrow{\epsilon^{LR}} & LR \\ LRL\eta R \downarrow & & \downarrow L\eta R \\ LRLRLR & \xrightarrow{\epsilon^{LRLR}} & LRLR \end{array}$$

$$\epsilon LRLR \circ LRL\eta R = L\eta R \circ \epsilon LR$$

$$\eta R \circ R\epsilon = \eta RLR \circ RLR\epsilon = \eta RLR \circ R\epsilon LR$$

so that  $\eta R \circ R\epsilon$  is an iso. From Lemma 5.21 we conclude.

(p)  $\Leftrightarrow$  (a) Clearly we have only to prove that (o)  $\Rightarrow$  (a). Since (o)  $\Leftrightarrow$  (n),  $LRL\eta$  is an iso so that, from

$$L\eta \circ \epsilon L = \epsilon LRL \circ LRL\eta$$

we deduce that  $L\eta \circ \epsilon L$  is an iso. From Lemma 5.21 we conclude.  $\square$



**Proposition 5.23.** *Let  $L : \mathcal{B} \rightarrow \mathcal{A}$  be a category equivalence with inverse  $H : \mathcal{A} \rightarrow \mathcal{B}$ . Assume that  $\sigma : \text{Id}_{\mathcal{B}} \rightarrow HL$  and  $\rho : LH \rightarrow \text{Id}_{\mathcal{A}}$  be functorial isomorphisms. Then  $(L, H)$  is an adjunction with unit  $\eta = \sigma$  and counit  $\varepsilon = \rho \circ L\eta^{-1}H \circ \rho^{-1}LH$ . Alternatively  $(L, H)$  is an adjunction with unit  $\eta = \sigma^{-1}HL \circ H\varepsilon^{-1}L \circ \sigma$  and counit  $\varepsilon = \rho$*

*Proof.* Let  $\eta = \sigma$  and  $\varepsilon = \rho \circ L\eta^{-1}H \circ \rho^{-1}LH$ . We have

$$\begin{aligned} \varepsilon L \circ L\eta &= \rho L \circ L\eta^{-1}HL \circ \rho^{-1}LHL \circ L\eta \\ &\stackrel{\rho^{-1}}{=} \rho L \circ L\eta^{-1}HL \circ LHL\eta \circ \rho^{-1}L \\ &\stackrel{\eta^{-1}}{=} \rho L \circ L\eta \circ L\eta^{-1} \circ \rho^{-1}L = \text{Id}_L. \end{aligned}$$

From

$$(5.31) \quad \eta HL \circ \eta = HL\eta \circ \eta$$

we get

$$\eta HL = HL\eta$$

Similarly from

$$(5.32) \quad \begin{aligned} \rho LH \circ \rho &= LH\rho \circ \rho \\ \rho LH &= LH\rho \end{aligned}$$

$$\begin{aligned} H\varepsilon &= H\rho \circ HL\eta^{-1}H \circ H\rho^{-1}LH \circ \eta H \stackrel{(5.31)}{=} H\rho \circ \eta^{-1}HLH \circ H\rho^{-1}LH \circ \eta H \\ &\stackrel{(\eta^{-1})}{=} \eta^{-1}H \circ HHLH\rho \circ H\rho^{-1}LH \circ \eta H \stackrel{(5.32)}{=} \eta^{-1}H \circ H\rho LH \circ H\rho^{-1}LH \circ \eta H = \text{Id}_H \end{aligned}$$

Let  $\eta = \sigma^{-1}HL \circ H\varepsilon^{-1}L \circ \sigma$  and  $\varepsilon = \rho$ . We compute

$$\begin{aligned} H\varepsilon \circ \eta H &= H\varepsilon \circ \sigma^{-1}HLH \circ H\varepsilon^{-1}LH \circ \sigma H \\ &\stackrel{\sigma^{-1}}{=} \sigma^{-1}H \circ HHLH\varepsilon \circ H\varepsilon^{-1}LH \circ \sigma H \\ &\stackrel{(5.32)}{=} \sigma^{-1}H \circ H\varepsilon LH \circ H\varepsilon^{-1}LH \circ \sigma H = \text{Id}_H \end{aligned}$$

and

$$\begin{aligned} \varepsilon L \circ L\eta &= \varepsilon L \circ L\sigma^{-1}HL \circ LH\varepsilon^{-1}L \circ L\sigma \\ &\stackrel{(5.31)}{=} \varepsilon L \circ LHL\sigma^{-1} \circ \varepsilon^{-1}LHL \circ L\sigma \stackrel{\varepsilon^{-1}}{=} \\ &= \varepsilon L \circ \varepsilon^{-1}L \circ L\sigma^{-1} \circ L\sigma = \text{Id}_L \end{aligned}$$

□

**Lemma 5.24.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  such that  $R$  is an equivalence of categories. Then  $L$  is also an equivalence of categories.*

*Proof.* By assumption  $R : \mathcal{A} \rightarrow \mathcal{B}$  is an equivalence of category with inverse  $L' : \mathcal{B} \rightarrow \mathcal{A}$ . By Proposition 5.23 we know that  $(L', R)$  is an adjunction. By the uniqueness of the adjoint we have that  $L \simeq L'$  which is an equivalence. Thus  $L$  is also an equivalence of categories. □

## 5.1 Some results on equalizers and coequalizers

**Definition 5.25.** A functorial morphism  $\alpha : C \rightarrow D$  is called functorial monomorphism, or simply a monomorphism, if for every  $\beta, \gamma : B \rightarrow C$  such that  $\alpha \circ \beta = \alpha \circ \gamma$  we have  $\beta = \gamma$ .

**Definition 5.26.** A functorial morphism  $\alpha : A \rightarrow B$  is called functorial epimorphism, or simply an epimorphism, if for every  $\beta, \gamma : B \rightarrow C$  such that  $\beta \circ \alpha = \gamma \circ \alpha$  we have  $\beta = \gamma$ .

**Definition 5.27.** Let  $\mathcal{A}$  a category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be morphisms in  $\mathcal{A}$ . We say that  $(E, e)$  is the equalizer in  $\mathcal{A}$  of the parallel pair  $(f, g)$ , and we write  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$ , if

1)  $e : E \rightarrow Y$

2)

$$E \xrightarrow{e} Y \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Z$$

i.e.  $f \circ e = g \circ e$

3) satisfies the universal property, i.e. for every  $X \in \mathcal{A}$  and  $x : X \rightarrow Y$  such that  $f \circ x = g \circ x$ , there exists a unique morphism in  $\mathcal{A}$   $\xi : X \rightarrow E$  such that  $x = e \circ \xi$ .

**Remark 5.28.** In case there exists  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$ ,  $e$  is a monomorphism. In fact, let  $\alpha, \beta : W \rightarrow E$  be morphisms in  $\mathcal{A}$  such that  $e \circ \alpha = e \circ \beta$ . Then we have

$$f \circ e \circ \alpha \stackrel{e \text{ equ}}{=} g \circ e \circ \alpha$$

so that  $e \circ \alpha$  equalizes  $(f, g)$ . Since  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$  there exist a unique morphism  $\delta : W \rightarrow E$  such that  $e \circ \alpha = e \circ \delta$ . In particular, we take  $\delta = \alpha$ . But we also have

$$e \circ \alpha = e \circ \beta$$

so that we can also have  $\delta = \beta$ . By the uniqueness of the morphism  $\delta$  we deduce that  $\delta = \alpha = \beta$ .

**Definition 5.29.** Let  $\mathcal{A}$  a category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be morphisms in  $\mathcal{A}$ . We say that  $(Q, q)$  is the coequalizer in  $\mathcal{A}$  of the parallel pair  $(f, g)$ , and we write  $(Q, q) = \text{Coequ}_{\mathcal{A}}(f, g)$ , if

1)  $q : Z \rightarrow Q$

2)

$$Y \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Z \xrightarrow{q} Q$$

i.e.  $q \circ f = q \circ g$

- 3) satisfies the universal property, i.e. for every  $T \in \mathcal{A}$  and  $\chi : Z \rightarrow T$  such that  $\chi \circ f = \chi \circ g$ , there exists a unique morphism in  $\mathcal{A}$   $\gamma : Q \rightarrow T$  such that  $\chi = \gamma \circ q$ .

**Exercise 5.30.** In case there exists  $(Q, q) = \text{Coequ}_{\mathcal{A}}(f, g)$ ,  $q$  is an epimorphism.

**Remark 5.31.** Let  $\mathcal{A}$  be a preadditive category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be a parallel pair of morphisms in  $\mathcal{A}$ . Then  $\text{Equ}_{\mathcal{A}}(f, g) = \text{Ker}(f - g)$  and  $\text{Coequ}_{\mathcal{A}}(f, g) = \text{Coker}(f - g)$ .

**Definition 5.32.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories, let  $B, C : \mathcal{A} \rightarrow \mathcal{B}$  be functors and  $\beta, \gamma : B \rightarrow C$  be functorial morphisms. We say that  $(E, i) = \text{Equ}_{\text{Fun}}(\beta, \gamma)$  if

1)  $i : E \rightarrow B$

2)

$$E \xrightarrow{i} B \begin{array}{c} \xrightarrow{\beta} \\ \xrightarrow{\gamma} \end{array} \rightrightarrows C$$

i.e.  $\beta \circ i = \gamma \circ i$

- 3) satisfies the universal property, i.e., for every functorial morphism  $x : X \rightarrow B$  such that  $\beta \circ x = \gamma \circ x$ , there exists a unique functorial morphism  $\xi : X \rightarrow E$  such that  $x = i \circ \xi$ .

**Definition 5.33.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories, let  $B, C : \mathcal{A} \rightarrow \mathcal{B}$  be functors and  $\beta, \gamma : B \rightarrow C$  be functorial morphisms. We say that  $(Q, q) = \text{Coequ}_{\text{Fun}}(\beta, \gamma)$  if

1)  $q : C \rightarrow Q$

2)

$$B \begin{array}{c} \xrightarrow{\beta} \\ \xrightarrow{\gamma} \end{array} \rightrightarrows C \xrightarrow{q} Q$$

i.e.  $q \circ \beta = q \circ \gamma$

- 3) satisfies the universal property, i.e., for every functorial morphism  $\omega : C \rightarrow W$  such that  $\omega \circ \beta = \omega \circ \gamma$ , there exists a unique functorial morphism  $\zeta : Q \rightarrow W$  such that  $\omega = \zeta \circ q$ .

**Lemma 5.34.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories, let  $F, F' : \mathcal{A} \rightarrow \mathcal{B}$  be functors and  $\alpha, \beta : F \rightarrow F'$  be functorial morphisms. If, for every  $X \in \mathcal{A}$ , there exists  $\text{Coequ}_{\mathcal{B}}(\alpha X, \beta X)$ , then there exists the coequalizer  $(C, c) = \text{Coequ}_{\text{Fun}}(\alpha, \beta)$  in the category of functors. Moreover, for any object  $X$  in  $\mathcal{A}$ , we have  $(CX, cX) = \text{Coequ}_{\mathcal{B}}(\alpha X, \beta X)$ .

*Proof.* Define a functor  $C : \mathcal{A} \rightarrow \mathcal{B}$  with object map  $(CX, cX) = \text{Coequ}_{\mathcal{B}}(\alpha X, \beta X)$  for every  $X \in \mathcal{A}$ . For a morphism  $f : X \rightarrow X'$  in  $\mathcal{A}$ , naturality of  $\alpha$  and  $\beta$  implies that

$$(F'f) \circ (\alpha X) = (\alpha X') \circ (Ff) \quad \text{and} \quad (F'f) \circ (\beta X) = (\beta X') \circ (Ff)$$

and hence

$$\begin{aligned} (cX') \circ (F'f) \circ (\alpha X) &= (cX') \circ (\alpha X') \circ (Ff) \stackrel{\text{c} \circ \text{coequ}}{=} (cX') \circ (\beta X') \circ (Ff) \\ &= (cX') \circ (F'f) \circ (\beta X) \end{aligned}$$

i.e.  $(cX') \circ (F'f)$  coequalizes the parallel morphisms  $\beta X$  and  $\alpha X$ . In light of this fact, by the universal property of the coequalizer  $(CX, cX)$ ,  $Cf : CX \rightarrow CX'$  is defined as the unique morphism in  $\mathcal{B}$  such that  $(Cf) \circ (cX) = (cX') \circ (F'f)$ . By construction,  $c$  is a functorial morphism  $F' \rightarrow C$  such that  $c \circ \alpha = c \circ \beta$ . It remains to prove universality of  $c$ . Let  $H : \mathcal{A} \rightarrow \mathcal{B}$  be a functor and let  $\chi : F' \rightarrow H$  be a functorial morphism such that  $\chi \circ \alpha = \chi \circ \beta$ . Then, for any object  $X$  in  $\mathcal{A}$ ,  $(\chi X) \circ (\alpha X) = (\chi X) \circ (\beta X)$ . Since  $(CX, cX) = \text{Coequ}_{\mathcal{B}}(\alpha X, \beta X)$ , there is a unique morphism  $\xi X : CX \rightarrow HX$  such that  $(\xi X) \circ (cX) = \chi X$ . The proof is completed by proving naturality of  $\xi X$  in  $X$ . Take a morphism  $f : X \rightarrow X'$  in  $\mathcal{A}$ . Since  $c$  and  $\chi$  functorial morphisms,

$$\begin{aligned} (Hf) \circ (\xi X) \circ (cX) &= (Hf) \circ (\chi X) \stackrel{\chi}{=} (\chi X') \circ (F'f) \\ &= (\xi X') \circ (cX') \circ (F'f) = (\xi X') \circ (Cf) \circ (cX). \end{aligned}$$

Since  $cX$  is an epimorphism, we get that  $\xi$  is a functorial morphism.  $\square$

**Lemma 5.35.** *Let  $Z, Z', W, W' : \mathcal{A} \rightarrow \mathcal{B}$  be functors, let  $a, b : Z \rightarrow W$  and  $a', b' : Z' \rightarrow W'$  be functorial morphisms, let  $\varphi : Z \rightarrow Z'$  and  $\psi : W \rightarrow W'$  be functorial isomorphisms such that*

$$\psi \circ a = a' \circ \varphi \quad \text{and} \quad \psi \circ b = b' \circ \varphi.$$

*Assume that there exist  $(E, i) = \text{Equ}_{\text{Fun}}(a, b)$  and  $(E', i') = \text{Equ}_{\text{Fun}}(a', b')$ . Then  $\varphi$  induces an isomorphism  $\widehat{\varphi} : E \rightarrow E'$  such that  $\varphi \circ i = i' \circ \widehat{\varphi}$ .*

$$\begin{array}{ccc} E & \xrightarrow{\widehat{\varphi}} & E' \\ i \downarrow & & \downarrow i' \\ Z & \xrightarrow{\varphi} & Z' \\ a \downarrow \downarrow b & & a' \downarrow \downarrow b' \\ W & \xrightarrow{\psi} & W' \end{array}$$

$$\begin{array}{ccc} E & \xrightarrow{\widehat{\varphi}} & E' \\ \downarrow i & & \downarrow i' \\ Z & \xrightarrow{\varphi} & Z' \\ a \downarrow \downarrow b & & a' \downarrow \downarrow b' \\ W & \xrightarrow{\psi} & W' \end{array}$$

*Proof.* Let us define  $\widehat{\varphi}$ . Let us compute

$$a' \circ \varphi \circ i = \psi \circ a \circ i \stackrel{\text{def } i}{=} \psi \circ b \circ i = b' \circ \varphi \circ i$$

and since  $(E', i') = \text{Equ}_{\text{Fun}}(a', b')$  there exists a unique functorial morphism  $\widehat{\varphi} : E \rightarrow E'$  such that

$$i' \circ \widehat{\varphi} = \varphi \circ i.$$

Note that  $\widehat{\varphi}$  is mono since so are  $i$  and  $i'$  and  $\varphi$  is an isomorphism. Consider  $\varphi^{-1} : Z' \rightarrow Z$  and  $\psi^{-1} : W' \rightarrow W$ . Then we have

$$a \circ \varphi^{-1} = \psi^{-1} \circ a' \quad \text{and} \quad b \circ \varphi^{-1} = \psi^{-1} \circ b'.$$

Let us compute

$$a \circ \varphi^{-1} \circ i' = \psi^{-1} \circ a' \circ i' \stackrel{\text{def } i'}{=} \psi^{-1} \circ b' \circ i' = b \circ \varphi^{-1} \circ i'$$

and since  $(E, i) = \text{Equ}_{\text{Fun}}(a, b)$  there exists a unique functorial morphism  $\widehat{\varphi}' : E' \rightarrow E$  such that

$$i \circ \widehat{\varphi}' = \varphi^{-1} \circ i'.$$

Then we have

$$i \circ \widehat{\varphi}' \circ \widehat{\varphi} = \varphi^{-1} \circ i' \circ \widehat{\varphi} = \varphi^{-1} \circ \varphi \circ i = i$$

and since  $i$  is a monomorphism we deduce that

$$\widehat{\varphi}' \circ \widehat{\varphi} = \text{Id}_E.$$

Similarly

$$i' \circ \widehat{\varphi} \circ \widehat{\varphi}' = \varphi \circ i \circ \widehat{\varphi}' = \varphi \circ \varphi^{-1} \circ i' = i'$$

and since  $i'$  is a monomorphism we obtain that

$$\widehat{\varphi} \circ \widehat{\varphi}' = \text{Id}_{E'}.$$

□

**Lemma 5.36.** *Let  $Z, Z', W, W' : \mathcal{A} \rightarrow \mathcal{B}$  be functors, let  $a, b : Z \rightarrow W$  and  $a', b' : Z' \rightarrow W'$  be functorial morphisms, let  $\varphi : Z \rightarrow Z'$  and  $\psi : W \rightarrow W'$  be functorial isomorphisms such that*

$$\psi \circ a = a' \circ \varphi \quad \text{and} \quad \psi \circ b = b' \circ \varphi.$$

*Assume that there exist  $(C, p) = \text{Coequ}_{\text{Fun}}(a, b)$  and  $(C', p') = \text{Coequ}_{\text{Fun}}(a', b')$ . Then  $\psi$  induces an isomorphism  $\widehat{\psi} : C \rightarrow C'$  such that  $\widehat{\psi} \circ p = p' \circ \psi$ .*

$$\begin{array}{ccc} Z & \xrightarrow{\varphi} & Z' \\ a \downarrow & & a' \downarrow \\ W & \xrightarrow{\psi} & W' \\ p \downarrow & & p' \downarrow \\ C & \xrightarrow{\widehat{\psi}} & C' \end{array}$$

$$\begin{array}{ccc}
Z & \xrightarrow{\varphi} & Z' \\
a \Downarrow b & & a' \Downarrow b' \\
W & \xrightarrow{\psi} & W' \\
\downarrow p & & \downarrow p' \\
C & \xrightarrow{\widehat{\psi}} & C'
\end{array}$$

*Proof.* Dual to Lemma 5.35. (Exercise).  $\square$

**Lemma 5.37.** *Let  $K : \mathcal{B} \rightarrow \mathcal{A}$  be a full and faithful functor and let  $f, g : X \rightarrow Y$  be morphisms in  $\mathcal{B}$ . If  $(KC, Kc) = \text{Coequ}_{\mathcal{A}}(Kf, Kg)$  then  $(C, c) = \text{Coequ}_{\mathcal{B}}(f, g)$ .*

*Proof.* Since  $K$  is faithful, from  $(Kc) \circ (Kf) = (Kc) \circ (Kg)$  we get that  $c \circ f = c \circ g$ . Let  $q : Y \rightarrow Q$  be a morphism in  $\mathcal{B}$  such that  $q \circ f = q \circ g$ . Then in  $\mathcal{A}$  we get  $(Kq) \circ (Kf) = (Kq) \circ (Kg)$  and hence there exists a unique morphism  $\xi : KC \rightarrow KQ$  such that  $\xi \circ (Kc) = Kq$ . Since  $\xi \in \text{Hom}_{\mathcal{A}}(KC, KQ)$  and  $K$  is full, there exists a morphism  $\zeta \in \text{Hom}_{\mathcal{B}}(C, Q)$  such that  $\xi = K\zeta$ . Since  $K$  is faithful, from  $(K\zeta) \circ (Kc) = Kq$  we get  $\zeta \circ c = q$ . From the uniqueness of  $\xi$ , the one of  $\zeta$  easily follows.  $\square$

**Lemma 5.38.** *Let  $\alpha, \gamma : F \rightarrow G$  be functorial morphisms where  $F, G : \mathcal{A} \rightarrow \mathcal{B}$  are functors. Assume that, for every  $X \in \mathcal{A}$  there exists  $\text{Coequ}_{\mathcal{B}}(\alpha X, \gamma X)$ . Let  $(C, c) = \text{Coequ}_{\text{Fun}}(\alpha, \gamma)$ , where  $c : G \rightarrow C$ . Then, for every  $X \in \mathcal{A}$  and  $Z \in \mathcal{B}$  we have that*

$$(\text{Hom}_{\mathcal{B}}(CX, Z), \text{Hom}_{\mathcal{B}}(cX, Z)) = \text{Equ}_{\text{Sets}}(\text{Hom}_{\mathcal{B}}(\alpha X, Z), \text{Hom}_{\mathcal{B}}(\gamma X, Z))$$

which means that

$$(\text{Hom}_{\mathcal{B}}(C, -), \text{Hom}_{\mathcal{B}}(c, -)) = \text{Equ}_{\text{Fun}}(\text{Hom}_{\mathcal{B}}(\alpha, -), \text{Hom}_{\mathcal{B}}(\gamma, -))$$

where

$$\text{Hom}_{\mathcal{B}}(C, -) \text{ and } \text{Equ}_{\text{Fun}}(\text{Hom}_{\mathcal{B}}(\alpha, -), \text{Hom}_{\mathcal{B}}(\gamma, -)) : \mathcal{A}^{\text{op}} \times \mathcal{B} \rightarrow \text{Sets}.$$

*Proof.* We have that

$$\begin{aligned}
& \text{Hom}_{\mathcal{B}}(\alpha X, Z) \circ \text{Hom}_{\mathcal{B}}(cX, Z) = \text{Hom}_{\mathcal{B}}((cX) \circ (\alpha X), Z) \\
& = \text{Hom}_{\mathcal{B}}((cX) \circ (\gamma X), Z) = \text{Hom}_{\mathcal{B}}(\gamma X, Z) \circ \text{Hom}_{\mathcal{B}}(cX, Z)
\end{aligned}$$

i.e.  $\text{Hom}_{\mathcal{B}}(cX, Z)$  equalizes  $\text{Hom}_{\mathcal{B}}(\alpha X, Z)$  and  $\text{Hom}_{\mathcal{B}}(\gamma X, Z)$ , for every  $X \in \mathcal{A}$  and  $Z \in \mathcal{B}$ . Let now  $\zeta : Q \rightarrow \text{Hom}_{\mathcal{B}}(GX, Z)$  be a map such that  $\text{Hom}_{\mathcal{B}}(\alpha X, Z) \circ \zeta = \text{Hom}_{\mathcal{B}}(\gamma X, Z) \circ \zeta$ . Then, for every  $X \in \mathcal{A}$ ,  $Z \in \mathcal{B}$  and for every  $q \in Q$  we have

$$\begin{aligned}
\zeta(q) \circ (\alpha X) &= \text{Hom}_{\mathcal{B}}(\alpha X, Z)(\zeta(q)) = \text{Hom}_{\mathcal{B}}(\gamma X, Z) \circ (\zeta(q)) \\
&= \zeta(q) \circ (\gamma X).
\end{aligned}$$

Then, for every  $X \in \mathcal{A}$  and  $Z \in \mathcal{B}$  there exists a unique morphism  $\xi_q : CX \rightarrow Z$  in  $\mathcal{B}$  such that

$$\xi_q \circ (cX) = \zeta(q)$$

i.e.

$$\text{Hom}_{\mathcal{B}}(cX, Z)(\xi_q) = \zeta(q).$$

The assignment  $q \mapsto \xi_q$  defines a map  $\xi : Q \rightarrow \text{Hom}_{\mathcal{B}}(CX, Z)$  such that

$$\text{Hom}_{\mathcal{B}}(cX, Z) \circ \xi = \zeta.$$

□

# Chapter 6

## MONADS

### 6.1 Contractible (co)equalizers

**Definition 6.1.** Let  $\mathcal{A}$  a category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be morphisms in  $\mathcal{A}$ . We say that  $(E, e)$  is the equalizer in  $\mathcal{A}$  of the parallel pair  $(f, g)$ , and we write  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$ , if

1)  $e : E \rightarrow Y$

2)

$$E \xrightarrow{e} Y \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Z$$

i.e.  $f \circ e = g \circ e$

3) satisfies the universal property, i.e. for every  $X \in \mathcal{A}$  and  $x : X \rightarrow Y$  such that  $f \circ x = g \circ x$ , there exists a unique morphism in  $\mathcal{A}$   $\xi : X \rightarrow E$  such that  $x = e \circ \xi$ .

**Remark 6.2.** In case there exists  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$ ,  $e$  is a monomorphism. In fact, let  $\alpha, \beta : W \rightarrow E$  be morphisms in  $\mathcal{A}$  such that  $e \circ \alpha = e \circ \beta$ . Then we have

$$f \circ e \circ \alpha \stackrel{e \text{ equ}}{=} g \circ e \circ \alpha$$

so that  $e \circ \alpha$  equalizes  $(f, g)$ . Since  $(E, e) = \text{Equ}_{\mathcal{A}}(f, g)$  there exist a unique morphism  $\delta : W \rightarrow E$  such that  $e \circ \alpha = e \circ \delta$ . In particular, we take  $\delta = \alpha$ . But we also have

$$e \circ \alpha = e \circ \beta$$

so that we can also have  $\delta = \beta$ . By the uniqueness of the morphism  $\delta$  we deduce that  $\delta = \alpha = \beta$ .

**Definition 6.3.** Let  $\mathcal{A}$  a category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be morphisms in  $\mathcal{A}$ . We say that  $(Q, q)$  is the coequalizer in  $\mathcal{A}$  of the parallel pair  $(f, g)$ , and we write  $(Q, q) = \text{Coequ}_{\mathcal{A}}(f, g)$ , if



- 1)  $q : Z \rightarrow Q$
- 2)

$$Y \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} \rightrightarrows Z \xrightarrow{q} Q$$

i.e.  $q \circ f = q \circ g$

- 3) satisfies the universal property, i.e. for every  $T \in \mathcal{A}$  and  $\chi : Z \rightarrow T$  such that  $\chi \circ f = \chi \circ g$ , there exists a unique morphism in  $\mathcal{A}$   $\gamma : Q \rightarrow T$  such that  $\chi = \gamma \circ q$ .

**Exercise 6.4.** In case there exists  $(Q, q) = \text{Coequ}_{\mathcal{A}}(f, g)$ ,  $q$  is an epimorphism.

**Remark 6.5.** Let  $\mathcal{A}$  be a preadditive category, let  $Y, Z \in \mathcal{A}$  and let  $f, g : Y \rightarrow Z$  be a parallel pair of morphisms in  $\mathcal{A}$ . Then  $\text{Equ}_{\mathcal{A}}(f, g) = \text{Ker}(f - g)$  and  $\text{Coequ}_{\mathcal{A}}(f, g) = \text{Coker}(f - g)$ .

**Definition 6.6.** 1) [McL, page 151] Recall that a functor  $R : \mathcal{A} \rightarrow \mathcal{B}$  creates coequalizer for a pair  $f, g : A \rightarrow A'$  in  $\mathcal{A}$  whenever to each coequalizer  $(Z, \zeta : RA' \rightarrow Z)$  of  $(Rf, Rg)$  in  $\mathcal{B}$  there is a unique object  $A''$  in  $\mathcal{A}$  and a unique morphism  $\gamma : A' \rightarrow A''$  such that

- $RA'' = Z$ ,
- $R\gamma = \zeta$  and
- $(A'', \gamma)$  is a coequalizer of  $(f, g)$  in  $\mathcal{A}$ .

2) [BW, page 94] Let  $\mathcal{C}$  be a category. A contractible coequalizer is a 8-tuple  $(C, X, Y, c, d_0, d_1, u, v)$  where

$$X \begin{array}{c} \xrightarrow{d_0} \\ \xleftarrow{v} \\ \xrightarrow{d_1} \end{array} Y \begin{array}{c} \xleftarrow{c} \\ \xrightarrow{u} \end{array} C \qquad X \begin{array}{c} \xrightarrow{d_0} \\ \xleftarrow{v} \\ \xrightarrow{d_1} \end{array} Y \begin{array}{c} \xleftarrow{c} \\ \xrightarrow{u} \end{array} C$$

such that

$$\begin{aligned} d_0 \circ v &= \text{Id}_Y \\ d_1 \circ v &= u \circ c \\ c \circ u &= \text{Id}_C \\ c \circ d_0 &= c \circ d_1. \end{aligned}$$

3) [BW, page 95] (cf. [Man1, Definitions 1.8 page 167]). An  $R$ -contractible coequalizer pair is a pair of morphisms  $(d_0, d_1)$  from  $X$  to  $Y$  for which there is a contractible coequalizer

$$RX \begin{array}{c} \xrightarrow{Rd_0} \\ \xleftarrow{v} \\ \xrightarrow{Rd_1} \end{array} RY \begin{array}{c} \xleftarrow{c} \\ \xrightarrow{u} \end{array} C .$$

Note that here the definition differs from [BW, page 95] as we have  $C$  and not  $RC$  as coequalizer.

4) [BW, 3.6 page 98] A reflexive pair is a pair of morphisms  $(d_0, d_1)$  from  $X$  to  $Y$  such that if  $d_0$  and  $d_1$  have a common right inverse i.e. there is  $e : Y \rightarrow X$  such that  $d_0 \circ e = d_1 \circ e = \text{Id}_Y$ .

**Proposition 6.7.** [BW, Proposition 3.4, page 94] Let  $\mathcal{C}$  be a category and let  $(C, X, Y, c, d_0, d_1, u, v)$  be a contractible coequalizer. Then  $(C, c) = \text{Coequ}_{\mathcal{C}}(d_0, d_1)$ .

*Proof.* Let  $\chi : Y \rightarrow Q$  such that

$$\chi \circ d_0 = \chi \circ d_1.$$

We have

$$\chi = \chi \circ \text{Id}_Y = \chi \circ d_0 \circ v = \chi \circ d_1 \circ v = (\chi \circ u) \circ c.$$

Then, let us set

$$\chi' = \chi \circ u : C \rightarrow Q$$

so that

$$\chi = \chi' \circ c.$$

Let now  $\chi'' : C \rightarrow Q$  such that  $\chi'' \circ c = \chi$ . Then

$$\chi'' = \chi'' \circ \text{Id}_C = \chi'' \circ c \circ u = \chi \circ u = \chi'.$$

□

**Proposition 6.8.** [BW, Proposition 3.4, page 94] Let  $\mathcal{C}$  be a category, let  $(C, X, Y, c, d_0, d_1, u, v)$  be a contractible coequalizer and let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor, then

$$\begin{array}{ccc} FX & \begin{array}{c} \xrightarrow{Fd_0} \\ \xleftarrow{Fv} \\ \xrightarrow{Fd_1} \end{array} & FY \begin{array}{c} \xleftarrow{Fc} \\ \xrightarrow{Fu} \end{array} FC \\ & \begin{array}{c} \xrightarrow{Fd_0} \\ \xleftarrow{Fv} \\ \xrightarrow{Fd_1} \end{array} & \begin{array}{c} \xrightarrow{Fc} \\ \xleftarrow{Fu} \end{array} \\ & FX & FY & FC \end{array}$$

is a contractible coequalizer in  $\mathcal{D}$ .

*Proof.* Since  $(C, X, Y, c, d_0, d_1, u, v)$  is a contractible coequalizer we have

$$\begin{aligned} d_0 \circ v &= \text{Id}_Y \\ d_1 \circ v &= u \circ c \\ c \circ u &= \text{Id}_C \\ c \circ d_0 &= c \circ d_1. \end{aligned}$$

By applying the functor  $F$  to them, the equalities still hold. □

**Lemma 6.9.** *Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction with unit  $\eta$  and counit  $\epsilon$ . Then  $(LB, LRLRLB, LRLB, \epsilon LB, LR\epsilon LB, \epsilon LRLB, L\eta B, LRL\eta B)$  is a contractible coequalizer.*

$$\begin{array}{ccccc} & \xrightarrow{LR\epsilon LB} & & & \\ & & & & \\ LRLRLB & \xleftarrow{LRL\eta B} & LRLB & \begin{array}{c} \xleftrightarrow{\epsilon LB} \\ \xleftarrow{L\eta B} \end{array} & LB . \\ & \xrightarrow{\epsilon LRLB} & & & \end{array}$$

*Proof.* We have

$$\begin{aligned} LR\epsilon LB \circ LRL\eta B &= \text{Id}_{LRLB} \\ \epsilon LRLB \circ LRL\eta B &= L\eta B \circ \epsilon LB \\ \epsilon LB \circ L\eta B &= \text{Id}_{LB} \\ \epsilon LB \circ LR\epsilon LB &= \epsilon LB \circ \epsilon LRLB. \end{aligned}$$

□

**Lemma 6.10.** *Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Let  $(B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}$ . Then  $(\epsilon LB, L\mu)$  is a reflexive  $R$ -contractible coequalizer pair. In particular*

$$\begin{array}{ccccc} & \xrightarrow{R\epsilon LB} & & & \\ & & & & \\ RLRLB & \xleftarrow{\eta^{RLB}} & RLB & \begin{array}{c} \xleftrightarrow{\mu} \\ \xleftarrow{\eta B} \end{array} & B . \\ & \xrightarrow{RL\mu} & & & \end{array}$$

*is a contractible coequalizer whence preserved by any functor.*

*Proof.* Let us check it is a reflexive  $R$ -contractible coequalizer pair. We have  $L\mu \circ L\eta B = \text{Id}_{LB} = \epsilon LB \circ L\eta B$  so that  $(\epsilon LB, L\mu)$  is a reflexive pair. Let us check it is an  $R$ -contractible coequalizer pair. Since  $(B, \mu) \in {}_{RL}\mathcal{B}$  we have  $\mu \circ RL\mu = \mu \circ R\epsilon LB$  and  $\mu \circ \eta B = \text{Id}_B$ . Moreover we have  $R\epsilon LB \circ \eta RLB = \text{Id}_{RLB}$ ,  $RL\mu \circ \eta RLB = \eta B \circ \mu$ . Thus  $(\epsilon LB, L\mu)$  is a reflexive  $R$ -contractible coequalizer pair. □

**Corollary 6.11.** *Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Then*

$$\begin{array}{ccccc} & \xrightarrow{R\epsilon LRA} & & & \\ & & & & \\ RLRLRA & \xleftarrow{\eta^{RLRA}} & RLRA & \begin{array}{c} \xleftrightarrow{R\epsilon A} \\ \xleftarrow{\eta^{RA}} \end{array} & RA . \\ & \xrightarrow{RLR\epsilon A} & & & \end{array}$$

*is a contractible coequalizer whence preserved by any functor.*

*Proof.* Since  $(RA, R\epsilon A) \in {}_{RL}\mathcal{B}$ , we can apply Lemma 6.10. □

## 6.2 Monads

**Definition 6.12.** A monad on a category  $\mathcal{A}$  is a triple  $\mathbb{A} = (A, m_A, u_A)$ , where  $A : \mathcal{A} \rightarrow \mathcal{A}$  is a functor,  $m_A : AA \rightarrow A$  and  $u_A : \mathcal{A} \rightarrow A$  are functorial morphisms satisfying the associativity and the unitality conditions:

$$m_A \circ (m_A A) = m_A \circ (A m_A) \quad \text{and} \quad m_A \circ (A u_A) = A = m_A \circ (u_A A).$$

**Definition 6.13.** A morphism between two monads  $\mathbb{A} = (A, m_A, u_A)$  and  $\mathbb{B} = (B, m_B, u_B)$  on a category  $\mathcal{A}$  is a functorial morphism  $\varphi : A \rightarrow B$  such that

$$\varphi \circ m_A = m_B \circ (\varphi \varphi) \quad \text{and} \quad \varphi \circ u_A = u_B.$$

Here  $\varphi \varphi = \varphi B \circ A \varphi = B \varphi \circ \varphi A$ .

**Example 6.14.** Let  $(A, m_A, u_A)$  an  $R$ -ring where  $R$  is an algebra over a commutative ring  $k$ . This means that

- $A$  is an  $R$ - $R$ -bimodule
- $m_A : A \otimes_R A \rightarrow A$  is a morphism of  $R$ - $R$ -bimodules
- $u_A : R \rightarrow A$  is a morphism of  $R$ - $R$ -bimodules satisfying the following

$$m_A \circ (m_A \otimes_R A) = m_A \circ (A \otimes_R m_A), m_A \circ (A \otimes_R u_A) = r_A \quad \text{and} \quad m_A \circ (u_A \otimes_R A) = l_A$$

where  $r_A : A \otimes_R R \rightarrow A$  and  $l_A : R \otimes_R A \rightarrow A$  are the right and left constraints.

Let

$$\begin{aligned} A &= - \otimes_R A : \text{Mod-}R \rightarrow \text{Mod-}R \\ m_A &= - \otimes_R m_A : - \otimes_R A \otimes_R A \rightarrow - \otimes_R A \\ u_A &= (- \otimes_R u_A) \circ r_M^{-1} : - \rightarrow - \otimes_R R \rightarrow - \otimes_R A \end{aligned}$$

We prove that  $\mathbb{A} = (A, m_A, u_A)$  is a monad on the category  $\text{Mod-}R$ . For every  $M \in \text{Mod-}R$  we compute

$$\begin{aligned} [m_A \circ (m_A A)](M) &= (M \otimes_R m_A) \circ (M \otimes_R A \otimes_R m_A) = M \otimes_R [m_A \circ (A \otimes_R m_A)] \\ &= M \otimes_R [m_A \circ (m_A \otimes_R A)] = (M \otimes_R m_A) \circ (M \otimes_R m_A \otimes_R A) \\ &= [m_A \circ (A m_A)](M) \end{aligned}$$

$$\begin{aligned} [m_A \circ (A u_A)](M) &= (M \otimes_R m_A) \circ [(M \otimes_R u_A) \circ r_M^{-1}] \otimes_R A \\ &= (M \otimes_R m_A) \circ (M \otimes_R u_A \otimes_R A) \circ (r_M^{-1} \otimes_R A) \\ &= (M \otimes_R [m_A \circ (u_A \otimes_R A)]) \circ (r_M^{-1} \otimes_R A) \\ &= (M \otimes_R l_A) \circ (r_M^{-1} \otimes_R A) = M \otimes_R A = AM \end{aligned}$$

and

$$\begin{aligned} [m_A \circ (u_A A)](M) &= (M \otimes_R m_A) \circ (M \otimes_R A \otimes_R u_A) \circ r_{M \otimes_R A}^{-1} \\ &= (M \otimes_R [m_A \circ (A \otimes_R u_A)]) \circ r_{M \otimes_R A}^{-1} \\ &= (M \otimes_R r_A) \circ r_{M \otimes_R A}^{-1} = M \otimes_R A = AM. \end{aligned}$$

**Exercise 6.15.** Let  $R, A$  be rings. Let  $u_A : R \rightarrow A$  be a ring homomorphism. Let us denote by  $m$  the multiplication of  $A$  and by  $m_A : A \otimes_R A \rightarrow A$  the well-defined induced map. Prove that  $(A, m_A, u_A)$  is an  $R$ -ring.

**Exercise 6.16.** Prove that every ring is a  $\mathbb{Z}$ -ring.

**Proposition 6.17** ([H]). Let  $(L, R)$  be an adjunction with unit  $\eta$  and counit  $\epsilon$  where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Then  $\mathbb{R}L = (RL, R\epsilon L, \eta)$  is a monad on the category  $\mathcal{B}$ .

*Proof.* We have to prove that

$$(R\epsilon L) \circ (RLR\epsilon L) = (R\epsilon L) \circ (R\epsilon LRL) \quad \text{and} \quad (R\epsilon L) \circ RL\eta = RL = (R\epsilon L) \circ (\eta RL).$$

In fact we have

$$(R\epsilon L) \circ (RLR\epsilon L) \stackrel{\epsilon}{=} (R\epsilon L) \circ (R\epsilon LRL)$$

and

$$(R\epsilon L) \circ RL\eta \stackrel{(L,R)}{=} RL \stackrel{(L,R)}{=} (R\epsilon L) \circ (\eta RL).$$

□

**Exercise 6.18.** Let  $A, B$  rings and let  $M$  be an  $A$ - $B$ -bimodule. Consider the functors

$$\begin{aligned} L &= - \otimes_A M : \text{Mod-}A \rightarrow \text{Mod-}B \\ R &= \text{Hom}_B(M, -) : \text{Mod-}B \rightarrow \text{Mod-}A. \end{aligned}$$

Then  $(L, R) = (- \otimes_A M, \text{Hom}_B(M, -))$  is an adjunction. Compute the monad  $\mathbb{R}L$  associated to this adjunction. Moreover, compute the monad  $\mathbb{R}L$  in the particular case  $A = B = R$  and  $M$  è un  $R$ -ring.

**Definition 6.19.** A left module functor for a monad  $\mathbb{A} = (A, m_A, u_A)$  on a category  $\mathcal{A}$  is a pair  $(Q, {}^A\mu_Q)$  where  $Q : \mathcal{B} \rightarrow \mathcal{A}$  is a functor and  ${}^A\mu_Q : AQ \rightarrow Q$  is a functorial morphism satisfying:

$${}^A\mu_Q \circ (A^A\mu_Q) = {}^A\mu_Q \circ (m_A Q) \quad \text{and} \quad Q = {}^A\mu_Q \circ (u_A Q).$$

**Example 6.20.** Let  $A$  be an  $R$ -ring. Let  $A = A \otimes_R -$  be a monad associated to the  $R$ -ring and let  $Q = M \otimes_R -$  where  $M$  is a left  $A$ -module. Then  $Q$  is a left  $A$ -module functor via the map

$$\begin{aligned} AQ &= (A \otimes_R M \otimes_R -) \longrightarrow Q = (M \otimes_R -) \\ a \otimes_R m \otimes_R - &\mapsto am \otimes_R - \end{aligned}$$

where we denote by  $am$  the multiplication of an element  $a \in A$  with an element  $m \in M$ .

**Definition 6.21.** A right module functor for a monad  $\mathbb{A} = (A, m_A, u_A)$  on a category  $\mathcal{A}$  is a pair  $(P, \mu_P^A)$  where  $P : \mathcal{A} \rightarrow \mathcal{B}$ , is a functor and  $\mu_P^A : PA \rightarrow P$  is a functorial morphism such that

$$\mu_P^A \circ (\mu_P^A A) = \mu_P^A \circ (Pm_A) \quad \text{and} \quad P = \mu_P^A \circ (Pu_A).$$

**Remark 6.22.** Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $(Q, {}^A\mu_Q)$  be a left  $\mathbb{A}$ -module functor and  $(P, \mu_P^A)$  be a right  $\mathbb{A}$ -module functor. By the unitality property of  ${}^A\mu_Q$  and  $\mu_P^A$  we deduce that they are both epimorphism.

**Definition 6.23.** For two monads  $\mathbb{A} = (A, m_A, u_A)$  on a category  $\mathcal{A}$  and  $\mathbb{B} = (B, m_B, u_B)$  on a category  $\mathcal{B}$ , a  $\mathbb{A}$ - $\mathbb{B}$ -bimodule functor is a triple  $(Q, {}^A\mu_Q, \mu_Q^B)$ , where  $Q : \mathcal{B} \rightarrow \mathcal{A}$  is a functor and  $(Q, {}^A\mu_Q)$  is a left  $\mathbb{A}$ -module,  $(Q, \mu_Q^B)$  is a right  $\mathbb{B}$ -module such that in addition

$${}^A\mu_Q \circ (A\mu_Q^B) = \mu_Q^B \circ ({}^A\mu_Q B).$$

**Definition 6.24.** A module for a monad  $\mathbb{A} = (A, m_A, u_A)$  on a category  $\mathcal{A}$  is a pair  $(X, {}^A\mu_X)$  where  $X \in \mathcal{A}$  and  ${}^A\mu_X : AX \rightarrow X$  is a morphism in  $\mathcal{A}$  such that

$${}^A\mu_X \circ (A{}^A\mu_X) = {}^A\mu_X \circ (m_A X) \quad \text{and} \quad X = {}^A\mu_X \circ (u_A X).$$

A morphism between two  $\mathbb{A}$ -modules  $(X, {}^A\mu_X)$  and  $(X', {}^A\mu_{X'})$  is a morphism  $f : X \rightarrow X'$  in  $\mathcal{A}$  such that

$${}^A\mu_{X'} \circ (Af) = f \circ {}^A\mu_X.$$

We will denote by  ${}_{\mathbb{A}}\mathcal{A}$  the category of  $\mathbb{A}$ -modules and their morphisms. This is the so-called Eilenberg-Moore category which is sometimes also denoted by  $\mathcal{A}^{\mathbb{A}}$ .

**Remark 6.25.** Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $(X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}$ . From the unitality property of  ${}^A\mu_X$  we deduce that  ${}^A\mu_X$  is epi for every  $(X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}$  and that  $u_A X$  is mono for every  $(X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}$ , i.e.  $u_A$  is a monomorphism.

**Example 6.26.** Let  $A$  be an  $R$ -ring. and Let  $A = - \otimes_R A : \text{Mod-}R \rightarrow \text{Mod-}R$  be the monad associated. We want to understand the category of modules with respect to this monad. The underlying category is  $\mathcal{A} = \text{Mod-}R$ . Let  $X \in \text{Mod-}R$ . We need a map

$$\begin{aligned} {}^A\mu_X : AX = X \otimes_R A &\rightarrow X \\ x \otimes_R a &\mapsto xa. \end{aligned}$$

This means that  $X$  is endowed with a right  $A$ -module structure so that  ${}_{\mathbb{A}}\mathcal{A} = \text{Mod-}A$ .

**Example 6.27.** Let  $A$  be an  $R$ -ring. and Let  $A = A \otimes_R - : R\text{-Mod} \rightarrow R\text{-Mod}$  be the monad associated. We want to understand the category of modules with respect to this monad. The underlying category is  $\mathcal{A} = R\text{-Mod}$ . Let  $X \in R\text{-Mod}$ . We need a map

$$\begin{aligned} {}^A\mu_X : AX = A \otimes_R X &\rightarrow X \\ a \otimes_R x &\mapsto ax. \end{aligned}$$

This means that  $X$  is endowed with a left  $A$ -module structure so that  ${}_{\mathbb{A}}\mathcal{A} = A\text{-Mod}$ .

**Definition 6.28.** Corresponding to a monad  $\mathbb{A} = (A, m_A, u_A)$  on  $\mathcal{A}$ , there is an adjunction  $({}_{\mathbb{A}}F, {}_{\mathbb{A}}U)$  where  ${}_{\mathbb{A}}U$  is the forgetful functor and  ${}_{\mathbb{A}}F$  is the free functor

$$\begin{array}{ccc} {}_{\mathbb{A}}U : & {}_{\mathbb{A}}\mathcal{A} & \rightarrow \mathcal{A} \\ & (X, {}^A\mu_X) & \rightarrow X \\ & f & \rightarrow f \end{array} \qquad \begin{array}{ccc} {}_{\mathbb{A}}F : & \mathcal{A} & \rightarrow {}_{\mathbb{A}}\mathcal{A} \\ & X & \rightarrow (AX, m_AX) \\ & f & \rightarrow Af. \end{array}$$

Note that  ${}_{\mathbb{A}}U {}_{\mathbb{A}}F = A$ . The unit of this adjunction is given by the unit  $u_A$  of the monad  $\mathbb{A}$ :

$$u_A : \mathcal{A} \rightarrow {}_{\mathbb{A}}U {}_{\mathbb{A}}F = A.$$

The counit  $\lambda_A : {}_{\mathbb{A}}F {}_{\mathbb{A}}U \rightarrow {}_{\mathbb{A}}\mathcal{A}$  of this adjunction is defined by setting

$${}_{\mathbb{A}}U (\lambda_A (X, {}^A\mu_X)) = {}^A\mu_X \text{ for every } (X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}.$$

In fact, for every  $(X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}$  we need to define a morphism in  ${}_{\mathbb{A}}\mathcal{A}$  between

$${}_{\mathbb{A}}F {}_{\mathbb{A}}U (X, {}^A\mu_X) \rightarrow (X, {}^A\mu_X)$$

i.e. between

$$(AX, m_AX) \rightarrow (X, {}^A\mu_X).$$

This needs to be a morphism of  $\mathbb{A}$ -modules between the underlying objects  $AX$  and  $X$ . Therefore, we define  $\lambda_A (X, {}^A\mu_X)$  as morphism on the underlying objects to be

$${}_{\mathbb{A}}U (\lambda_A (X, {}^A\mu_X)) = {}^A\mu_X \text{ for every } (X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}.$$

Then, the adjunction relations are the following

$$(\lambda_A {}_{\mathbb{A}}F) \circ ({}_{\mathbb{A}}F u_A) = {}_{\mathbb{A}}F \quad \text{and} \quad ({}_{\mathbb{A}}U \lambda_A) \circ (u_A {}_{\mathbb{A}}U) = {}_{\mathbb{A}}U.$$

**Exercise 6.29.** Prove that  ${}_{\mathbb{A}}F X = (AX, m_AX) \in {}_{\mathbb{A}}\mathcal{A}$ .

**Exercise 6.30.** Let  $(L, R)$  be an adjunction and let  $\mathbb{A} = (RL, R\epsilon L, \eta)$  be the monad associated to the adjunction. Prove that  $(R, R\epsilon)$  is a left  $\mathbb{A}$ -module functor and that  $(L, \epsilon L)$  is a right  $\mathbb{A}$ -module functor.

**Proposition 6.31.** Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$ . Then  ${}_{\mathbb{A}}U$  is a faithful functor. Moreover, given  $Z, W \in {}_{\mathbb{A}}\mathcal{A}$  we have that

$$Z = W \text{ if and only if } {}_{\mathbb{A}}U(Z) = {}_{\mathbb{A}}U(W) \text{ and } {}_{\mathbb{A}}U(\lambda_A Z) = {}_{\mathbb{A}}U(\lambda_A W).$$

In particular, if  $F, G : \mathcal{X} \rightarrow {}_{\mathbb{A}}\mathcal{A}$  are functors, we have

$$F = G \text{ if and only if } {}_{\mathbb{A}}U F = {}_{\mathbb{A}}U G \text{ and } {}_{\mathbb{A}}U(\lambda_A F) = {}_{\mathbb{A}}U(\lambda_A G)$$

**Proposition 6.32.** Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $(X, {}^A\mu_X)$  be a module for  $\mathbb{A}$ . Then we have

$$(X, {}^A\mu_X) = \text{Coequ}_{\mathcal{A}}(A^A\mu_X, m_AX).$$

In particular if  $(Q, {}^A\mu_Q)$  is a left  $\mathbb{A}$ -module functor, then we have

$$(Q, {}^A\mu_Q) = \text{Coequ}_{\text{Fun}}(A^A\mu_Q, m_A Q).$$

*Proof.* Note that

$$\begin{array}{ccc}
AA\mathcal{X} & \begin{array}{c} \xrightarrow{m_A\mathcal{X}} \\ \xleftarrow{u_A\mathcal{X}} \\ \xrightarrow{A\mu_X} \end{array} & A\mathcal{X} \begin{array}{c} \xleftarrow{A\mu_X} \\ \xrightarrow{u_A\mathcal{X}} \end{array} \mathcal{X} \\
& \xrightarrow{m_A\mathcal{X}} & \\
AA\mathcal{X} & \begin{array}{c} \xleftarrow{u_A\mathcal{X}} \\ \xrightarrow{A\mu_X} \end{array} & A\mathcal{X} \begin{array}{c} \xleftarrow{A\mu_X} \\ \xrightarrow{u_A\mathcal{X}} \end{array} \mathcal{X}
\end{array}$$

is a contractible coequalizer and thus, by Proposition 6.7,  $(\mathcal{X}, A\mu_X) = \text{Coequ}_{\mathbb{A}}(A^A\mu_X, m_A\mathcal{X})$ . Let now  $(Q, A\mu_Q)$  be a left  $\mathbb{A}$ -module functor where  $Q : \mathcal{B} \rightarrow \mathcal{A}$ . Then, by the foregoing, for every  $Y \in \mathcal{B}$  we have that

$$(QY, A\mu_Q Y) = (QY, A\mu_{QY}) = \text{Coequ}_{\mathcal{A}}(A^A\mu_{QY}, m_A QY) = \text{Coequ}_{\mathcal{A}}(A^A\mu_Q Y, m_A QY).$$

Then, by Lemma 5.34, we have that  $(Q, A\mu_Q) = \text{Coequ}_{\text{Fun}}(A^A\mu_Q, m_A Q)$ .  $\square$

**Proposition 6.33.** *Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $(P, \mu_P^A)$  be a right  $\mathbb{A}$ -module functor, then we have*

$$(6.1) \quad (P, \mu_P^A) = \text{Coequ}_{\text{Fun}}(\mu_P^A A, P m_A).$$

*Proof.* Note that

$$\begin{array}{ccc}
PAA & \begin{array}{c} \xrightarrow{Pm_A} \\ \xleftarrow{PAu_A} \\ \xrightarrow{\mu_P^A A} \end{array} & PA \begin{array}{c} \xleftarrow{\mu_P^A} \\ \xrightarrow{Pu_A} \end{array} P \\
& \xrightarrow{Pm_A} & \\
PAA & \begin{array}{c} \xleftarrow{PAu_A} \\ \xrightarrow{\mu_P^A A} \end{array} & PA \begin{array}{c} \xleftarrow{\mu_P^A} \\ \xrightarrow{Pu_A} \end{array} P
\end{array}$$

is a contractible coequalizer and thus, by Proposition 6.7,  $(P, \mu_P^A) = \text{Coequ}_{\text{Fun}}(\mu_P^A A, P m_A)$ .  $\square$

**Proposition 6.34.** *Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $({}_{\mathbb{A}}F, {}_{\mathbb{A}}U)$  be the adjunction associated. Then  ${}_{\mathbb{A}}U$  reflects isomorphisms.*

*Proof.* Let  $f : (X, A\mu_X) \rightarrow (Y, A\mu_Y)$  be a morphism in  ${}_{\mathbb{A}}\mathcal{A}$  such that  ${}_{\mathbb{A}}Uf$  has a two-sided inverse  $f^{-1}$  in  $\mathcal{A}$ . Since

$$A\mu_{X'} \circ (Af) = f \circ A\mu_X$$

we get that

$$f^{-1} \circ A\mu_{X'} = A\mu_X \circ (Af^{-1}).$$

$\square$



### 6.3 On Beck's Theorem

**Lemma 6.35.** [Bo2, Corollary 4.1.4] Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Then the forgetful functor  $U : {}_{RL}\mathcal{B} \rightarrow \mathcal{B}$  reflects the isomorphisms.

*Proof.* Let  $f : (B, \mu) \rightarrow (B', \mu')$  be a morphism in  ${}_{RL}\mathcal{B}$  such that  $Uf$  is an isomorphism. We have that

$$\mu' \circ RLUf = Uf \circ \mu$$

so that

$$[(Uf)^{-1}] \circ \mu' = \mu \circ RL [(Uf)^{-1}]$$

which entails that  $(Uf)^{-1}$  gives rise to a morphism  $g : (B', \mu') \rightarrow (B, \mu)$  such that  $Ug = (Uf)^{-1}$ . Hence

$$U(f \circ g) = \text{Id}_{B'} \quad \text{and} \quad U(g \circ f) = \text{Id}_B$$

so that

$$f \circ g = \text{Id}_{(B', \mu')} \quad \text{and} \quad g \circ f = \text{Id}_{(B, \mu)}.$$

□

**Definition 6.36.** Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let  $\mathbb{A} = (A = RL, m_A = R\epsilon L, u_A = \eta)$  be the associated monad on the category  $\mathcal{B}$ . We can consider the functor

$$K = {}_R K : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$$

defined by setting

$$K(X) = (RX, R\epsilon X) \quad \text{and} \quad K(f) = R(f).$$

This is called the comparison functor of the adjunction  $(L, R)$ . Note that  ${}_{\mathbb{A}}U \circ K = R$

**Proposition 6.37** (Beck). [BW, Theorem 3.13, page 100] Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Consider the comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$ . Then  $K$  is full and faithful if and only if for every  $A \in \mathcal{A}$  we have that  $(A, \epsilon A) = \text{Coequ}_A(LR\epsilon A, \epsilon LRA)$ .

*Proof.* Let  $U : {}_{RL}\mathcal{B} \rightarrow \mathcal{B}$  be the forgetful functor. Let  $A \in \mathcal{A}$ . By Corollary 6.11,

$$RLRLRA \begin{array}{c} \xrightarrow{RLR\epsilon A} \\ \xrightarrow{R\epsilon LRA} \end{array} RLRA \xrightarrow{R\epsilon A} RA.$$

is a contractible coequalizer. In particular it is preserved by  $L$  so that  $LR\epsilon A$  is an epimorphism.

Suppose that  $K$  is full and faithful and let us prove that

$$LRLRA \begin{array}{c} \xrightarrow{LR\epsilon A} \\ \xrightarrow{\epsilon LRA} \end{array} LRA \xrightarrow{\epsilon A} A$$

is a coequalizer too. Clearly  $\epsilon A$  coequalizes  $(LR\epsilon A, \epsilon LRA)$ . Let  $\omega : LRA \rightarrow W$  be a morphism in  $\mathcal{A}$  which coequalizes  $(LR\epsilon A, \epsilon LRA)$ . Then  $R\omega$  coequalizes  $(RLLR\epsilon A, R\epsilon LRA)$  so that there is a unique morphism  $\widehat{\omega} : RA \rightarrow RW$  such that  $\widehat{\omega} \circ R\epsilon A = R\omega$ . Let us check that  $\widehat{\omega}$  is a morphism in  ${}_{RL}\mathcal{B}$ . We have

$$\epsilon W \circ L\widehat{\omega} \circ LR\epsilon A = \epsilon W \circ LR\omega = \omega \circ \epsilon LRA = \omega \circ LR\epsilon A.$$

Since  $LR\epsilon A$  is an epimorphism, we get  $\epsilon W \circ L\widehat{\omega} = \omega$ . Thus

$$R\epsilon W \circ RL\widehat{\omega} = R\omega = \widehat{\omega} \circ R\epsilon A$$

so that  $\widehat{\omega}$  is a morphism in  ${}_{RL}\mathcal{B}$  i.e. it defines a morphism  $\omega^1 : KA \rightarrow KW$  in  ${}_{RL}\mathcal{B}$  such that  $U\omega^1 = \widehat{\omega}$ . Since  $K$  is full there is a morphism  $h : A \rightarrow W$  such that  $\omega^1 = Kh$ . Then, from  $\widehat{\omega} \circ R\epsilon A = R\omega$ , we have

$$UKh \circ UK\epsilon A = UK\omega$$

so that, since  $U$  and  $K$  are both faithful, we get

$$h \circ \epsilon A = \omega.$$

Let us check that  $h$  is the unique morphism with this property. Let  $h' : A \rightarrow W$  be such that  $h' \circ \epsilon A = \omega$ . By applying  $R$  we get  $Rh' \circ R\epsilon A = R\omega$ . Since  $\widehat{\omega} \circ R\epsilon A = R\omega$  and  $R\epsilon A$  is an epimorphism, we get  $Rh' = \widehat{\omega}$ . Thus

$$UKh' = Rh' = \widehat{\omega} = UKh$$

whence  $h' = h$ .

Conversely, suppose that

$$LRLRA \begin{array}{c} \xrightarrow{LR\epsilon A} \\ \xrightarrow{\epsilon LRA} \end{array} LRA \xrightarrow{\epsilon A} A$$

is a coequalizer and let us prove that  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$  is full and faithful. Let  $f : KA \rightarrow KA'$  be a morphism in  ${}_{RL}\mathcal{B}$ . Then  $Uf : RA \rightarrow RA'$  is such that

$$(6.2) \quad R\epsilon A' \circ RLUf = Uf \circ R\epsilon A.$$

Then

$$\begin{aligned} \epsilon A' \circ LUf \circ LR\epsilon A &= \epsilon A' \circ L[Uf \circ R\epsilon A] = \epsilon A' \circ L[R\epsilon A' \circ RLUf] \\ &= \epsilon A' \circ LR\epsilon A' \circ LRLUf \\ &= \epsilon A' \circ \epsilon LRA' \circ LRLUf \\ &= \epsilon A' \circ LUf \circ \epsilon LRA \end{aligned}$$

so that there is a unique morphism  $\widehat{f} : A \rightarrow A'$  such that  $\widehat{f} \circ \epsilon A = \epsilon A' \circ LUf$ . Thus

$$UK\widehat{f} = R\widehat{f} = R\widehat{f} \circ R\epsilon A \circ \eta RA = R\epsilon A' \circ RLUf \circ \eta RA \stackrel{(6.2)}{=} Uf \circ R\epsilon A \circ \eta RA = Uf$$

so that  $K\widehat{f} = f$  i.e.  $K$  is full. Let  $g, g' : A \rightarrow A'$  be morphisms in  $\mathcal{A}$  such that  $Kg = Kg'$ . Then  $Rg = Rg'$ . Thus  $LRg = LRg'$  and hence

$$g \circ \epsilon A = \epsilon A' \circ LRg = \epsilon A' \circ LRg' = g' \circ \epsilon A.$$

Since  $\epsilon A$  is an epimorphism, we get that  $g = g'$  i.e.  $K$  is faithful.  $\square$

**Remark 6.38.** A functor  $R : \mathcal{A} \rightarrow \mathcal{B}$  which has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  for which the corresponding comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$  is full and faithful is called of descent type.

**Theorem 6.39.** Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Consider the comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$ . Set  $S := \{(L\mu, \epsilon LB) \mid (B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}\}$ . Then the following assertions are equivalent.

(a)  $K$  has a left adjoint, say  $\Lambda$ ,

(b) For each element in  $S$  we can choose a specific coequalizer in  $\mathcal{A}$ .

Assume that (b) holds.

Then, for every  $(B, \mu) \in {}_{RL}\mathcal{B}$ ,  $\Lambda(B, \mu)$  is defined to be the coequalizer

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow[\epsilon LB]{} \end{array} LB \xrightarrow{\pi(B, \mu)} \Lambda(B, \mu)$$

and for every morphism  $f : (B, \mu) \rightarrow (B', \mu')$  the morphism  $\Lambda(f) : \Lambda(B, \mu) \rightarrow \Lambda(B', \mu')$  is uniquely defined by

$$\Lambda(f) \circ \pi(B, \mu) = \pi(B', \mu') \circ LU(f).$$

Moreover the unit  $\eta^1$  and the counit  $\epsilon^1$  of the adjunction  $(\Lambda, K)$  are uniquely defined by

$$(6.3) \quad U\eta^1(B, \mu) \circ \mu = R\pi(B, \mu),$$

$$(6.4) \quad \epsilon^1 A \circ \pi K A = \epsilon A,$$

and we have

$$(6.5) \quad \pi(B, \mu) = \epsilon \Lambda(B, \mu) \circ LU\eta^1(B, \mu).$$

Furthermore,  $\Lambda$  is full and faithful if and only if  $R$  preserves coequalizers of elements in  $S$ .

*Proof.* Let  $U : {}_{RL}\mathcal{B} \rightarrow \mathcal{B}$  be the forgetful functor. Then  $U \circ K = R$ . Let  $(B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}$  and consider the pair

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow[\epsilon LB]{} \end{array} LB.$$

Assume that  $(L\mu, \epsilon LB)$  has a specific coequalizer that will be denoted by  $(\Lambda(B, \mu), \pi(B, \mu) : LB \rightarrow \Lambda(B, \mu))$ . Let  $f : (B, \mu) \rightarrow (B', \mu')$  be a morphism in  ${}_{RL}\mathcal{B}$ . Then  $U(f) : B \rightarrow B'$  is such that

$$U(f) \circ \mu = \mu' \circ RLU(f)$$

so that

$$LU(f) \circ L\mu = L\mu' \circ LRLU(f).$$

Moreover, by naturality of the counit we have

$$LU(f) \circ \epsilon LB = \epsilon LB' \circ LRLU(f).$$

Thus

$$\begin{aligned} \pi(B', \mu') \circ LU(f) \circ L\mu &= \pi(B', \mu') \circ L\mu' \circ LRLU(f) \\ &= \pi(B', \mu') \circ \epsilon LB' \circ LRLU(f) \\ &= \pi(B', \mu') \circ LU(f) \circ \epsilon LB \end{aligned}$$

so that there is a unique morphism  $\Lambda(f) : \Lambda(B, \mu) \rightarrow \Lambda(B', \mu')$  such that

$$\Lambda(f) \circ \pi(B, \mu) = \pi(B', \mu') \circ LU(f).$$

Let  $f' : (B', \mu') \rightarrow (B'', \mu'')$  be a morphism in  ${}_{RL}\mathcal{B}$ . Then

$$\begin{aligned} \Lambda(f') \circ \Lambda(f) \circ \pi(B, \mu) &= \Lambda(f') \circ \pi(B', \mu') \circ LU(f) \\ &= \pi(B'', \mu'') \circ LU(f') \circ LU(f) \\ &= \pi(B'', \mu'') \circ LU(f' \circ f) \\ &= \Lambda(f' \circ f) \circ \pi(B, \mu). \end{aligned}$$

Since  $\pi(B, \mu)$  is an epimorphism, we obtain  $\Lambda(f') \circ \Lambda(f) = \Lambda(f' \circ f)$ . Moreover

$$\Lambda(\text{Id}_{(B, \mu)}) \circ \pi(B, \mu) = \pi(B, \mu) \circ LU(\text{Id}_{(B, \mu)}) = \pi(B, \mu)$$

so that  $\Lambda(\text{Id}_{(B, \mu)}) = \text{Id}_{\Lambda(B, \mu)}$ . Let us check that  $(\Lambda, K)$  is an adjunction. We produce the unit and counit of this adjunction.

By Lemma 6.10, we have the following coequalizer in  $\mathcal{B}$

$$RLRLB \begin{array}{c} \xrightarrow{RL\mu} \\ \xrightarrow{R\epsilon LB} \end{array} RLB \xrightarrow{\mu} B.$$

Since  $\pi(B, \mu)$  coequalizes  $(L\mu, \epsilon LB)$ , we have that  $R\pi(B, \mu)$  coequalizes  $(RL\mu, R\epsilon LB)$ . Then there is a unique map  $\alpha(B, \mu) : B \rightarrow R\Lambda(B, \mu)$  such that

$$(6.6) \quad \alpha(B, \mu) \circ \mu = R\pi(B, \mu).$$

Let us check that  $\alpha(B, \mu)$  is a morphism in  ${}_{RL}\mathcal{B}$ . We have

$$\begin{aligned} \epsilon\Lambda(B, \mu) \circ L\alpha(B, \mu) &= \epsilon\Lambda(B, \mu) \circ L\alpha(B, \mu) \circ L\mu \circ L\eta B = \epsilon\Lambda(B, \mu) \circ LR\pi(B, \mu) \circ L\eta B \\ &= \pi(B, \mu) \circ \epsilon LB \circ L\eta B = \pi(B, \mu) \end{aligned}$$

so that

$$(6.7) \quad \epsilon\Lambda(B, \mu) \circ L\alpha(B, \mu) = \pi(B, \mu)$$

and hence

$$R\epsilon\Lambda(B, \mu) \circ RL\alpha(B, \mu) = R\pi(B, \mu) = \alpha(B, \mu) \circ \mu$$

i.e.  $\alpha(B, \mu)$  is a morphism in  ${}_{RL}\mathcal{B}$ . Thus  $\alpha(B, \mu)$  defines a morphism  $\eta^1(B, \mu) : (B, \mu) \rightarrow K\Lambda(B, \mu)$  such that  $U(\eta^1(B, \mu)) = \alpha(B, \mu)$ . Note that from (6.6) one gets (6.3). Let us check that  $\eta^1(B, \mu)$  is natural. Let  $f : (B, \mu) \rightarrow (B', \mu')$  be a morphism in  ${}_{RL}\mathcal{B}$ . Then

$$\begin{aligned} R\Lambda f \circ \alpha(B, \mu) \circ \mu &= R\Lambda f \circ R\pi(B, \mu) = R\pi(B', \mu') \circ RLUf \\ &= \alpha(B', \mu') \circ \mu' \circ RLUf \\ &= \alpha(B', \mu') \circ U(f) \circ \mu \end{aligned}$$

so that

$$R\Lambda f \circ \alpha(B, \mu) = \alpha(B', \mu') \circ Uf$$

whence

$$K\Lambda f \circ \eta^1(B, \mu) = \eta^1(B', \mu') \circ f.$$

Now since  $U(\eta^1(B, \mu)) = \alpha(B, \mu)$ , from (6.7) we deduce (6.5).

We have seen that for all  $B \in \mathcal{B}$  we have an equalizer

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow{\epsilon LB} \end{array} LB \xrightarrow{\pi(B, \mu)} \Lambda(B, \mu).$$

Apply this to  $B = KA$  for all  $A \in \mathcal{A}$  to get the coequalizer

$$LRLRA \begin{array}{c} \xrightarrow{LR\epsilon A} \\ \xrightarrow{\epsilon LRA} \end{array} LRA \xrightarrow{\pi KA} \Lambda KA.$$

By naturality of  $\epsilon$ , we have that  $\epsilon A$  coequalizes  $(LR\epsilon A, \epsilon LRA)$  so that there is a unique morphism  $\epsilon^1 A : \Lambda KA \rightarrow A$  such that (6.4) holds. Let us check that  $\epsilon^1 A$  is natural in  $A$ . Let  $g : A \rightarrow A'$  be a morphism in  $\mathcal{A}$ . Then

$$\begin{aligned} g \circ \epsilon^1 A \circ \pi KA &= g \circ \epsilon A = \epsilon A' \circ LRg \\ &= \epsilon^1 A' \circ \pi KA' \circ LRg \\ &= \epsilon^1 A' \circ \pi KA' \circ LUKg \\ &= \epsilon^1 A' \circ \Lambda Kg \circ \pi KA. \end{aligned}$$

Since  $\pi KA$  is an epimorphism, we get

$$g \circ \epsilon^1 A = \epsilon^1 A' \circ \Lambda Kg.$$

For  $(B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}$ ,

$$\begin{aligned}
& \epsilon^1 \Lambda(B, \mu) \circ \Lambda \eta^1(B, \mu) \circ \pi(B, \mu) \\
= & \epsilon^1 \Lambda(B, \mu) \circ \pi K \Lambda(B, \mu) \circ LU \eta^1(B, \mu) \\
= & \epsilon^1 \Lambda(B, \mu) \circ \pi K \Lambda(B, \mu) \circ L \alpha(B, \mu) \\
= & \epsilon \Lambda(B, \mu) \circ L \alpha(B, \mu) \stackrel{(6.7)}{=} \pi(B, \mu)
\end{aligned}$$

so that

$$\epsilon^1 \Lambda(B, \mu) \circ \Lambda \eta^1(B, \mu) = \text{Id}_{\Lambda(B, \mu)}.$$

For all  $A \in \mathcal{A}$ ,

$$\begin{aligned}
& UK \epsilon^1 A \circ U \eta^1 K A \\
= & UK \epsilon^1 A \circ U \eta^1 K A \circ R \epsilon A \circ \eta R A \\
= & R \epsilon^1 A \circ \alpha K A \circ R \epsilon A \circ \eta R A \\
& \stackrel{(6.6)}{=} R \epsilon^1 A \circ R \pi K A \circ \eta R A \\
& \stackrel{(6.4)}{=} R \epsilon A \circ \eta R A \\
= & \text{Id}_{R A}.
\end{aligned}$$

Thus

$$K \epsilon^1 A \circ \eta^1 K A = \text{Id}_{K A}.$$

We have so proved that  $(\Lambda, K)$  is an adjunction.

Conversely, assume that  $K$  has a left adjoint  $\Lambda$ . For  $(B, \mu)$  in  ${}_{RL}\mathcal{B}$ , we set

$$\pi(B, \mu) := \epsilon \Lambda(B, \mu) \circ LU \eta^1(B, \mu).$$

Let us check that

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow{\epsilon LB} \end{array} LB \xrightarrow{\pi(B, \mu)} \Lambda(B, \mu)$$

is a coequalizer. Note that  $\mu : RLB \rightarrow B$  is a morphism in  ${}_{RL}\mathcal{B}$

$$\begin{aligned}
\pi(B, \mu) \circ L\mu &= \epsilon \Lambda(B, \mu) \circ LU \eta^1(B, \mu) \circ L\mu \\
&= \epsilon \Lambda(B, \mu) \circ L [U \eta^1(B, \mu) \circ \mu] \\
& \quad \eta^1(B, \mu) \stackrel{\in {}_{RL}\mathcal{B}}{=} \epsilon \Lambda(B, \mu) \circ L [R \epsilon \Lambda(B, \mu) \circ RLU \eta^1(B, \mu)] \\
&= \epsilon \Lambda(B, \mu) \circ LR \epsilon \Lambda(B, \mu) \circ LRLU \eta^1(B, \mu) \\
&= \epsilon \Lambda(B, \mu) \circ \epsilon L R \Lambda(B, \mu) \circ LRLU \eta^1(B, \mu) \\
&= \epsilon \Lambda(B, \mu) \circ LU \eta^1(B, \mu) \circ \epsilon LB \\
&= \pi(B, \mu) \circ \epsilon LB
\end{aligned}$$

Let  $\zeta : LB \rightarrow Z$  be a morphism in  $\mathcal{A}$  which equalizes  $(L\mu, \epsilon LB)$ . Set

$$\widehat{\zeta} := R\zeta \circ \eta B : B \rightarrow RZ.$$

Let us check that  $\widehat{\zeta}$  is a morphism in  ${}_{RL}\mathcal{B}$ . We have

$$(6.8) \quad \epsilon Z \circ L\widehat{\zeta} = \epsilon Z \circ LR\zeta \circ L\eta B = \zeta \circ \epsilon LB \circ L\eta B = \zeta$$

so that

$$\begin{aligned} R\epsilon Z \circ RL\widehat{\zeta} &\stackrel{(6.8)}{=} R\zeta = R\zeta \circ R\epsilon LB \circ \eta RLB = R(\zeta \circ \epsilon LB) \circ \eta RLB \\ &= R(\zeta \circ L\mu) \circ \eta RLB = R\zeta \circ RL\mu \circ \eta RLB = R\zeta \circ \eta B \circ \mu = \widehat{\zeta} \circ \mu \end{aligned}$$

Hence  $\widehat{\zeta} : B \rightarrow RZ$  defines a morphism  $\vartheta : (B, \mu) \rightarrow KZ$  such that  $U\vartheta = \widehat{\zeta}$ . Then

$$\begin{aligned} (\epsilon^1 Z \circ \Lambda\vartheta) \circ \pi(B, \mu) &= \epsilon^1 Z \circ \Lambda\vartheta \circ \epsilon\Lambda(B, \mu) \circ LU\eta^1(B, \mu) \\ &= \epsilon Z \circ LR\epsilon^1 Z \circ LR\Lambda\vartheta \circ LU\eta^1(B, \mu) \\ &= \epsilon Z \circ LR\epsilon^1 Z \circ LUK\Lambda\vartheta \circ LU\eta^1(B, \mu) \\ &= \epsilon Z \circ LUK\epsilon^1 Z \circ LU\eta^1 KZ \circ LU\vartheta \\ &= \epsilon Z \circ L\widehat{\zeta} \\ &\stackrel{(6.8)}{=} \zeta \end{aligned}$$

so that  $(\epsilon^1 Z \circ \Lambda\vartheta) \circ \pi(B, \mu) = \zeta$ . Let us check that the unique morphism  $\phi : \Lambda(B, \mu) \rightarrow Z$  such that  $\phi \circ \pi(B, \mu) = \zeta$  is exactly  $\epsilon^1 Z \circ \Lambda\vartheta$ . Consider the canonical isomorphism  $\Phi : \mathcal{A}(\Lambda(B, \mu), Z) \rightarrow {}_{RL}\mathcal{B}((B, \mu), KZ)$ ,  $\Phi(x) = Kx \circ \eta^1(B, \mu)$ . Thus, in order to prove that  $\phi = \epsilon^1 Z \circ \Lambda\vartheta$  it suffices to check that  $\Phi(\phi) = \Phi(\epsilon^1 Z \circ \Lambda\vartheta)$  i.e.

$$K\phi \circ \eta^1(B, \mu) = K\epsilon^1 Z \circ K\Lambda\vartheta \circ \eta^1(B, \mu).$$

Note that the latter term is  $K\epsilon^1 Z \circ K\Lambda\vartheta \circ \eta^1(B, \mu) = K\epsilon^1 Z \circ \eta^1 KZ \circ \vartheta = \vartheta$  so that we have to prove that

$$K\phi \circ \eta^1(B, \mu) = \vartheta.$$

or equivalently

$$UK\phi \circ U\eta^1(B, \mu) = \widehat{\zeta}.$$

Consider the canonical isomorphism  $\Theta : \mathcal{A}(LB, Z) \rightarrow \mathcal{B}(B, RZ)$ ,  $\Theta(y) = Ry \circ \eta B$ . Since  $\widehat{\zeta} := R\zeta \circ \eta B = \Theta(\zeta)$ , in order to prove the last displayed equality it suffices to check that

$$\Theta^{-1}[UK\phi \circ U\eta^1(B, \mu)] = \zeta$$

i.e. that  $\epsilon Z \circ L[UK\phi \circ U\eta^1(B, \mu)] = \zeta$ . We have

$$\begin{aligned} \epsilon Z \circ L[UK\phi \circ U\eta^1(B, \mu)] &= \epsilon Z \circ LR\phi \circ LU\eta^1(B, \mu) \\ &= \phi \circ \epsilon\Lambda(B, \mu) \circ LU\eta^1(B, \mu) = \phi \circ \pi(B, \mu) = \zeta. \end{aligned}$$

We have so proved that  $(\Lambda(B, \mu), \pi(B, \mu))$  is a coequalizer for  $(L\mu, \epsilon LB)$ .

Let us prove the last part of the statement.

Assume that  $R$  preserves coequalizers of elements in  $S$  and let us prove that  $\Lambda$  is full and faithful i.e. that  $\eta^1(B, \mu)$  is an isomorphism for every  $(B, \mu) \in {}_{RL}\mathcal{B}$ . Consider the following coequalizer

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow{\epsilon LB} \end{array} LB \xrightarrow{\pi(B, \mu)} \Lambda(B, \mu)$$

By assumption we have a coequalizer

$$RLRLB \begin{array}{c} \xrightarrow{RL\mu} \\ \xrightarrow{R\epsilon LB} \end{array} RLB \xrightarrow{R\pi(B, \mu)} R\Lambda(B, \mu).$$

Since  $\mu$  coequalizes  $(RL\mu, R\epsilon LB)$ , there is a unique morphism  $\xi : R\Lambda(B, \mu) \rightarrow B$  such that  $\xi \circ R\pi(B, \mu) = \mu$ . Let  $\alpha(B, \mu) = U\eta^1(B, \mu)$ . Then

$$\text{Id}_B = \mu \circ \eta B = \xi \circ R\pi(B, \mu) \circ \eta B \stackrel{(6.3)}{=} \xi \circ \alpha(B, \mu) \circ \mu \circ \eta B = \xi \circ .$$

Moreover

$$\alpha(B, \mu) \circ \xi \circ R\pi(B, \mu) = \alpha(B, \mu) \circ \mu \stackrel{(6.3)}{=} R\pi(B, \mu).$$

Since  $R\pi(B, \mu)$  is an epimorphism, we get  $\alpha(B, \mu) \circ \xi = \text{Id}_{R\Lambda(B, \mu)}$ . Therefore  $\alpha(B, \mu) = U\eta^1(B, \mu)$  is an isomorphism. By Lemma 6.35 we deduce that  $\eta^1(B, \mu)$  is an isomorphism.

Conversely, assume that  $\Lambda$  is full and faithful. Let  $(B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}$  and consider the coequalizer

$$LRLB \begin{array}{c} \xrightarrow{L\mu} \\ \xrightarrow{\epsilon LB} \end{array} LB \xrightarrow{\pi(B, \mu)} \Lambda(B, \mu).$$

Let us check it is preserved by  $R$ . Clearly  $R\pi(B, \mu)$  coequalizes  $(RL\mu, R\epsilon LB)$ . Let  $\delta : RLB \rightarrow D$  be a morphism in  $\mathcal{B}$  that coequalizes  $(RL\mu, R\epsilon LB)$ . Set  $\xi := \eta^1(B, \mu)^{-1} : K\Lambda(B, \mu) \rightarrow (B, \mu)$  and let  $\alpha(B, \mu) = U\eta^1(B, \mu)$ . Then

$$\begin{aligned} & [\delta \circ \eta B \circ U\xi] \circ R\pi(B, \mu) \\ & \stackrel{(6.3)}{=} [\delta \circ \eta B \circ U\xi] \circ \alpha(B, \mu) \circ \mu \\ & = \delta \circ \eta B \circ \mu = \delta \circ RL\mu \circ \eta RLB = \delta \circ R\epsilon LB \circ \eta RLB = \delta. \end{aligned}$$

Let now  $\omega : R\Lambda(B, \mu) \rightarrow D$  be a morphism such that  $\omega \circ R\pi(B, \mu) = \delta$ . Then

$$\begin{aligned} & \delta \circ \eta B \circ U\xi \\ & = \omega \circ R\pi(B, \mu) \circ \eta B \circ U\xi \stackrel{(6.3)}{=} \omega \circ \alpha(B, \mu) \circ \mu \circ \eta B \circ U\xi \\ & = \omega \circ \alpha(B, \mu) \circ U\xi = \omega \circ U\eta^1(B, \mu) \circ U\xi = \omega. \end{aligned}$$

Therefore  $(R\Lambda(B, \mu), R\pi(B, \mu))$  is the coequalizer of  $(RL\mu, R\epsilon LB)$ .  $\square$



**Corollary 6.40.** *Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Consider the comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$  and assume that (2) in Theorem 6.39 holds and denote by  $\Lambda : {}_{RL}\mathcal{B} \rightarrow \mathcal{A}$  the left adjoint of  $K$  constructed therein. Let  $U : {}_{RL}\mathcal{B} \rightarrow \mathcal{B}$  be the forgetful functor and let  $F : \mathcal{B} \rightarrow {}_{RL}\mathcal{B}$  be the free functor. Then we have*

$$UK = R, \quad KL = F \quad \text{and} \quad \Lambda F = L.$$

Moreover, for all  $A \in \mathcal{A}$ ,

$$(\Lambda KA, \pi KA) = \text{Coequ}_{\mathcal{A}}(LR\epsilon A, \epsilon LRA).$$

*Proof.* For every  $A \in \mathcal{A}$ , we have  $KA = (RA, R\epsilon A)$  and for every  $B \in \mathcal{B}$ , we have  $FB = (RLB, R\epsilon LB)$ . Hence the first two equalities are trivial. Now, by Lemma 6.9,  $(LB, LRLRLB, LRLB, \epsilon LB, LR\epsilon LB, \epsilon LRLB, L\eta B, LRL\eta B)$  is a contractible coequalizer. In diagram:

$$\begin{array}{ccccc} & & \xrightarrow{LR\epsilon LB} & & \\ & & & & \\ LRLRLB & \xleftarrow{LRL\eta B} & LRLB & \xrightleftharpoons[L\eta B]{\epsilon LB} & LB \\ & & \xrightarrow{\epsilon LRLB} & & \end{array} .$$

In particular  $(LB, \epsilon LB)$  is the coequalizer of

$$LRLRLB \begin{array}{c} \xrightarrow{LR\epsilon LB} \\ \xrightarrow{\epsilon LRLB} \end{array} LRLB.$$

By the construction of  $\Lambda$  given in Theorem 6.39, we deduce that  $\Lambda FB = LB$ , for every  $B \in \mathcal{B}$ , and that

$$(\Lambda KA, \pi KA) = \text{Coequ}_{\mathcal{A}}(LR\epsilon A, \epsilon LRA), \text{ for every } A \in \mathcal{A}. \quad \square$$

**Theorem 6.41** (Beck). *[BLV, Theorem 2.1] Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Consider the comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$ . The following assertions are equivalent:*

- (1)  *$K$  is a category isomorphism.*
- (2)  *$K$  is an equivalence and for any isomorphism  $f : RX \rightarrow B$  in the category  $\mathcal{B}$  there exists a unique pair  $(A, g : X \rightarrow A)$ , where  $A$  is an object in  $\mathcal{A}$  and  $g$  a morphism in  $\mathcal{A}$ , such that  $RA = B$  and  $Rg = f$ .*

*Proof.* Let  $U : {}_{RL}\mathcal{B} \rightarrow \mathcal{B}$  be the forgetful functor. Note that both in (1) and (2) the functor  $K$  is, in particular, an equivalence so that, in view of Proposition 6.37 and Theorem 6.39 we have that

- for every  $A \in \mathcal{A}$  we have that  $(A, \epsilon A) = \text{Coequ}_{\mathcal{A}}(LR\epsilon A, \epsilon LRA)$ ,
- each element in  $S := \{(L\mu, \epsilon LB) \mid (B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}\}$  has a coequalizer in  $\mathcal{A}$ ,

- $R$  preserves coequalizers of elements in  $S$ .

(1)  $\Rightarrow$  (2). Let  $\Lambda$  be a left adjoint of  $K$  such that  $\Lambda K = \text{Id}_{\mathcal{A}}$  and  $K\Lambda = \text{Id}_{RL\mathcal{B}}$ . Note that the unit and counit of the adjunction  $(\Lambda, K)$  are the identity functorial morphism  $\epsilon^1 : \Lambda K \rightarrow \text{Id}_{\mathcal{A}}$  and  $\eta^1 : \text{Id}_{RL\mathcal{B}} \rightarrow K\Lambda$ . Let  $f : RX \rightarrow B$  be an isomorphism in the category  $\mathcal{B}$ . It is clear that  $B$  can be regarded as an object in  $RL\mathcal{B}$  via  $\mu := f \circ R\epsilon X \circ RLf^{-1} : RL B \rightarrow B$ . Moreover  $f$  defines a morphism  $\widehat{f} : KX \rightarrow (B, \mu)$  such that  $U\widehat{f} = f$ . Clearly  $\widehat{f}$  is an isomorphism. Now  $(B, \mu) = K\Lambda(B, \mu) = KA$  where  $A := \Lambda(B, \mu)$ . Thus  $B = UKA = RA$ . Set  $g := \Lambda\widehat{f} : X \rightarrow A$ . Then  $Rg = UK\Lambda\widehat{f} = U\widehat{f} = f$ . Let now  $(A', g' : X \rightarrow A')$  be another pair such that  $RA' = B$  and  $Rg' = f$ . Since  $\widehat{f}$  is an isomorphism, we have that  $g = \Lambda\widehat{f}$  is an isomorphism. Consider

$$\tau := g' \circ g^{-1} : A \rightarrow A'$$

Then

$$UK\tau = R\tau = Rg' \circ R(g^{-1}) = f \circ (Rg)^{-1} = \text{Id}_{RA}.$$

We have  $f = U\widehat{f} = UK\Lambda\widehat{f} = R\Lambda\widehat{f}$  so that

$$R\epsilon A' \circ RLf = R(\epsilon A' \circ Lf) = R(\epsilon A' \circ LR\Lambda\widehat{f}) = R(\Lambda\widehat{f} \circ \epsilon X) = R\Lambda\widehat{f} \circ R\epsilon X = f \circ R\epsilon X = \mu \circ RLf.$$

Since  $RLf$  is an isomorphism we get  $R\epsilon A' = \mu$  so that

$$KA' = (RA', R\epsilon A') = (B, \mu) = K\Lambda(B, \mu) = KA$$

and hence  $A' = A$ . Since  $UK\tau = \text{Id}_{RA} = UK\text{Id}_A$ , we get  $\tau = \text{Id}_A$  so that  $g' = g$ .

(2)  $\Rightarrow$  (1). Since  $K$  has a left adjoint, by Theorem 6.39 the class  $S$  has a specific coequalizer. Thus we can consider the left adjoint  $\Lambda$  of  $K$  as constructed in Theorem 6.39. Let  $\eta^1$  and  $\epsilon^1$  be the unit and counit of  $(\Lambda, K)$  respectively. Let  $(B, \mu : RL B \rightarrow B) \in RL\mathcal{B}$ . Let  $f(B, \mu) : R\Lambda(B, \mu) \rightarrow B$  denote the inverse of  $U\eta^1(B, \mu)$ . By hypothesis there exists a unique pair  $(\Lambda'(B, \mu), g(B, \mu) : \Lambda(B, \mu) \rightarrow \Lambda'(B, \mu))$ , where  $\Lambda'(B, \mu)$  is an object in  $\mathcal{A}$  and  $g(B, \mu)$  a morphism in  $\mathcal{A}$ , such that  $R\Lambda'(B, \mu) = B$  and  $Rg(B, \mu) = f(B, \mu)$ . Since  $f(B, \mu)$  is an isomorphism and  $R = UK$ , we have that  $g(B, \mu)$  is an isomorphism too.

By (6.3) and (6.5), we have

$$R\epsilon\Lambda(B, \mu) \circ RLU\eta^1(B, \mu) = U\eta^1(B, \mu) \circ \mu$$

so that

$$f(B, \mu) \circ R\epsilon\Lambda(B, \mu) = \mu \circ RLf(B, \mu).$$

Using this equality we get

$$\begin{aligned} R\epsilon\Lambda'(B, \mu) \circ RLf(B, \mu) &= R[\epsilon\Lambda'(B, \mu) \circ Lf(B, \mu)] = R[\epsilon\Lambda'(B, \mu) \circ LRg(B, \mu)] = R[g(B, \mu) \circ \epsilon\Lambda] \\ &= Rg(B, \mu) \circ R\epsilon\Lambda(B, \mu) = f(B, \mu) \circ R\epsilon\Lambda(B, \mu) = \mu \circ RLf(B, \mu). \end{aligned}$$

Since  $f(B, \mu)$  is an isomorphism, we obtain  $R\epsilon\Lambda'(B, \mu) = \mu$  so that

$$K\Lambda'(B, \mu) = (R\Lambda'(B, \mu), R\epsilon\Lambda'(B, \mu)) = (B, \mu).$$

Let  $A \in \mathcal{A}$  and set  $\alpha := \epsilon^1 A \circ (gKA)^{-1} : \Lambda'KA \rightarrow A$ . We have that  $R\Lambda'KA = UK\Lambda'KA = UKA = RA$  and

$$R\alpha = R\epsilon^1 A \circ R(gKA)^{-1} = R\epsilon^1 A \circ fKA^{-1} = R\epsilon^1 A \circ U\eta^1 KA = U[K\epsilon^1 A \circ \eta^1 KA] = \text{Id}_{RA}.$$

By uniqueness in the assumption, we get  $(\Lambda'KA, \alpha) = (A, \text{Id}_A)$ .

For all  $h : (B, \mu) \rightarrow (B', \mu')$ , set

$$\Lambda'h := g(B', \mu') \circ \Lambda h \circ g(B, \mu)^{-1}.$$

Then we get a functor  $\Lambda' : {}_{RL}\mathcal{B} \rightarrow \mathcal{A}$  which is an inverse of  $K$ .  $\square$

**Proposition 6.42.** [Li, Proposition 3, page 83] Let  $(A, m : AA \rightarrow A, u : \text{Id}_{\mathcal{C}} \rightarrow A)$  be a monad on a category  $\mathcal{C}$  and let  $f, g : (M, \mu) \rightarrow (N, \nu)$  be a pair of morphisms in  ${}_A\mathcal{C}$ . Let  $U : {}_A\mathcal{C} \rightarrow \mathcal{C}$  be the forgetful functor and assume that

- 1)  $(Uf, Ug)$  has a coequalizer  $(C, c : N \rightarrow C)$  in  $\mathcal{C}$ .
- 2)  $(AC, Ac) = \text{Coequ}_{\mathcal{C}}(AUf, AUG)$ .
- 3)  $AAc$  is an epimorphism in  $\mathcal{C}$ .

Then there is a unique morphism  $\tau : AC \rightarrow C$  such that  $c \circ \nu = \tau \circ Ac$ . Moreover  $(C, \tau) \in {}_A\mathcal{C}$ ,  $c$  defines a morphism  $\widehat{c} : (N, \nu) \rightarrow (C, \tau)$  in  ${}_A\mathcal{C}$  such that  $U\widehat{c} = c$  and  $((C, \tau), \widehat{c}) = \text{Coequ}_{{}_A\mathcal{C}}(f, g)$ .

*Proof.* Let us consider

$$\begin{array}{ccccc} AM & \begin{array}{c} \xrightarrow{AUf} \\ \xrightarrow{AUG} \end{array} & AN & \xrightarrow{Ac} & AC \\ \mu \downarrow & & \nu \downarrow & & \\ M & \begin{array}{c} \xrightarrow{Uf} \\ \xrightarrow{Ug} \end{array} & N & \xrightarrow{c} & C \end{array}$$

We have

$$c \circ \nu \circ AUf = c \circ Uf \circ \mu = c \circ Ug \circ \mu = c \circ \nu \circ AUG.$$

Since  $(AC, Ac) = \text{Coequ}_{\mathcal{C}}(AUf, AUG)$  there exists a unique morphism  $\tau : AC \rightarrow C$  such that

$$\tau \circ Ac = c \circ \nu.$$

Let us prove that  $(C, \tau) \in {}_A\mathcal{C}$ . We have

$$\begin{aligned} bg\tau \circ A\tau \circ AAc &= \tau \circ Ac \circ A\nu = c \circ \nu \circ A\nu \\ &= c \circ \nu \circ mN = \tau \circ Ac \circ mN = \tau \circ mC \circ AAc. \end{aligned}$$

Since  $AAc$  is an epimorphism in  $\mathcal{C}$ , we get  $\tau \circ A\tau = \tau \circ mC$ . Moreover we have

$$\tau \circ uC \circ c = \tau \circ Ac \circ uN = c \circ \nu \circ uN = c$$

and since  $c$  is an epimorphism, we get  $\tau \circ uC = \text{Id}_C$  so that  $(C, \tau) \in {}_A\mathcal{C}$ .

Since  $\tau \circ Ac = c \circ \nu$ ,  $c$  defines a morphism  $\widehat{c} : (N, \nu) \rightarrow (C, \tau)$  in  ${}_A\mathcal{C}$  such that  $U\widehat{c} = c$ . Let us check that  $((C, \tau), \widehat{c}) = \text{Coequ}_{{}_A\mathcal{C}}(f, g)$ . We have

$$U(\widehat{c} \circ f) = U\widehat{c} \circ Uf = c \circ Uf = c \circ Ug = U\widehat{c} \circ Ug = U(\widehat{c} \circ g)$$

so that  $\widehat{c} \circ f = \widehat{c} \circ g$ . Let  $\omega : (N, \nu) \rightarrow (Z, \zeta)$  be a morphism in  ${}_A\mathcal{C}$  such that  $\omega \circ f = \omega \circ g$ . Then  $U\omega$  coequalizes  $(Uf, Ug)$  so that there is a unique morphism  $w : C \rightarrow Z$  such that  $w \circ c = U\omega$ . We have

$$w \circ \tau \circ Ac = w \circ c \circ \nu = U\omega \circ \nu = \zeta \circ AU\omega = \zeta \circ Aw \circ Ac.$$

Since  $Ac$  is an epimorphism, we obtain  $w \circ \tau = \zeta \circ Aw$  so that  $w$  defines a morphism  $\widehat{w} : (C, \tau) \rightarrow (Z, \zeta)$  such that  $U\widehat{w} = w$ . We have

$$U(\widehat{w} \circ \widehat{c}) = U\widehat{w} \circ U\widehat{c} = w \circ c = U\omega$$

so that  $\widehat{w} \circ \widehat{c} = \omega$ . Let us check that  $\widehat{w}$  is unique. Let  $\alpha : (C, \tau) \rightarrow (Z, \zeta)$  be a morphism in  ${}_A\mathcal{C}$  such that  $\alpha \circ \widehat{c} = \omega$ . Then

$$U\alpha \circ c = U(\alpha \circ \widehat{c}) = U\omega = w \circ c = U\widehat{w} \circ c.$$

Since  $c$  is an epimorphism we get  $U\alpha = U\widehat{w}$  and hence  $\alpha = \widehat{w}$ .  $\square$

**Theorem 6.43** (Beck). [BLV, Theorem 2.1 page 5] Let  $(L : \mathcal{B} \rightarrow \mathcal{A}, R : \mathcal{A} \rightarrow \mathcal{B})$  be an adjunction. Let  $\eta$  and  $\epsilon$  be the unit and counit of  $(L, R)$  respectively. Consider the comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$ . The following assertions are equivalent:

(1)  $K$  is an equivalence.

(2)  $R$  reflects isomorphisms and for any reflexive  $R$ -contractible coequalizer pair we can choose a specific coequalizer in  $\mathcal{A}$ , which is preserved by  $R$ .

(3)  $R$  reflects isomorphisms and for every element in  $S := \{(L\mu, \epsilon LB) \mid (B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}\}$  we can choose a specific coequalizer in  $\mathcal{A}$  which is preserved by  $R$ .

(4) For every  $A \in \mathcal{A}$  we have that  $(A, \epsilon A) = \text{Coequ}_{{}_A\mathcal{A}}(LR\epsilon A, \epsilon LRA)$ . For every element in  $S := \{(L\mu, \epsilon LB) \mid (B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}\}$  we can choose a specific coequalizer in  $\mathcal{A}$  which is preserved by  $R$ .

*Proof.* (1)  $\Leftrightarrow$  (4). It follows by Proposition 6.37 and Theorem 6.39.

(1)  $\Rightarrow$  (2). Let  $\Lambda : {}_{RL}\mathcal{B} \rightarrow \mathcal{A}$  be a left adjoint of  $K$ . Let  $\eta^1$  and  $\epsilon^1$  be the unit and counit of  $(\Lambda, K)$  respectively. Assume that  $f : A \rightarrow A'$  is a morphism in  $\mathcal{A}$  such that  $Rf$  is an isomorphism. Since  $Rf = UKf$  is an isomorphism, so is  $Kf : KA \rightarrow KA'$ . Since  $\epsilon^1 A' \circ \Lambda Kf = f \circ \epsilon^1 A$  and the counit is an isomorphism, we get that  $f$  is an isomorphism. Let  $(d_0, d_1)$  from  $A$  to  $A'$  be a reflexive  $R$ -contractible coequalizer pair. Since the pair is reflexive there is a morphism  $e : A' \rightarrow A$  such that  $d_0 \circ e = d_1 \circ e = \text{Id}_{A'}$ . Since it is an  $R$ -contractible coequalizer pair, there exists  $C \in \mathcal{C}$  and morphism  $v : RA' \rightarrow RA$ ,  $c : RA' \rightarrow C$  and  $u : C \rightarrow RA'$

$$\begin{array}{ccccc} & \xrightarrow{Rd_0} & & & \\ RA & \xleftarrow{v} & RA' & \xleftrightarrow[u]{c} & C \\ & \xrightarrow{Rd_1} & & & \end{array}$$

such that

$$\begin{aligned} Rd_0 \circ v &= \text{Id}_{RA'}, \\ Rd_1 \circ v &= u \circ c, \\ c \circ u &= \text{Id}_C, \\ c \circ Rd_0 &= c \circ Rd_1. \end{aligned}$$

In particular  $(C, c) = \text{Coequ}_{\mathcal{B}}(Rd_0, Rd_1) = \text{Coequ}_{\mathcal{B}}(UKd_0, UKd_1)$ . Since

$$RA \begin{array}{c} \xrightarrow{Rd_0} \\ \xrightarrow{Rd_1} \end{array} RA' \xrightarrow{c} C$$

is an  $R$ -contractible coequalizer pair, in view of Proposition 6.8 and Proposition 6.7, it is preserved by any functor.

$$RLRA \begin{array}{c} \xrightarrow{RLRd_0} \\ \xrightarrow{RLRd_1} \end{array} RLRA' \xrightarrow{RLc} RLC$$

In particular  $(RLC, RLC) = \text{Coequ}_{\mathcal{B}}(RLRd_0, RLRd_1) = \text{Coequ}_{\mathcal{B}}(RLUKd_0, RLUKd_1)$  and also  $RLRLc$  is an epimorphism.

Apply Proposition 6.42 to the monad  $(RL, R\epsilon L, \eta)$  on the category  $\mathcal{B}$  and to the pair  $Kd_0, Kd_1 : KA \rightarrow KA'$ . Thus

there is a unique morphism  $m : RLC \rightarrow C$  such that

$$m \circ RLC = c \circ R\epsilon A'.$$

Moreover  $(C, m) \in {}_{RL}\mathcal{B}$ ,  $c$  defines a morphism  $\widehat{c} : KA' \rightarrow (C, m)$  in  ${}_{RL}\mathcal{B}$  such that  $U\widehat{c} = c$  and  $((C, m), \widehat{c}) = \text{Coequ}_{{}_{RL}\mathcal{B}}(Kd_0, Kd_1)$ . Since  $\Lambda$  is an equivalence we have that  $(\Lambda(C, m), \Lambda\widehat{c}) = \text{Coequ}_{\mathcal{A}}(\Lambda Kd_0, \Lambda Kd_1)$ . Set  $A''' := \Lambda(C, m)$  and  $\gamma := \Lambda\widehat{c} \circ (\epsilon^1 A')^{-1} : A' \rightarrow A'''$ . Since  $\epsilon^1 A' \circ \Lambda Kd_i = d_i \circ \epsilon^1 A$  and  $\epsilon^1 A$  is an isomorphism, it is clear that  $(A''', \gamma) = \text{Coequ}_{\mathcal{A}}(d_0, d_1)$ .

We have

$$\begin{aligned} U\eta^1(C, m)^{-1} \circ R\gamma &= U\eta^1(C, m)^{-1} \circ R\Lambda\widehat{c} \circ R(\epsilon^1 A')^{-1} \\ &= U\eta^1(C, m)^{-1} \circ UK\Lambda\widehat{c} \circ (R\epsilon^1 A')^{-1} \\ &= U\widehat{c} \circ (U\eta^1 KA')^{-1} \circ (UK\epsilon^1 A')^{-1} \\ &= U\widehat{c} = c. \end{aligned}$$

so that

$$(6.9) \quad R\gamma = U\eta^1(C, m) \circ c.$$

Since  $(C, m) \in {}_{RL}\mathcal{B}$ , we have an isomorphism

$$\eta^1(C, m) : (C, m) \rightarrow K\Lambda\delta(C, m) = (R\Lambda(C, m), R\epsilon\Lambda(C, m)) = (RA''', R\epsilon A''').$$

Since  $(C, c)$  is a coequalizer of  $(Rd_0, Rd_1)$  in  $\mathcal{B}$ , by (6.9) we deduce that  $(RA''', R\gamma)$  is a coequalizer of  $(Rd_0, Rd_1)$  in  $\mathcal{B}$ .

(2)  $\Rightarrow$  (3). Let  $(B, \mu : RLB \rightarrow B) \in {}_{RL}\mathcal{B}$ . By Lemma 6.10,  $(\epsilon LB, L\mu)$  is a reflexive  $R$ -contractible coequalizer pair. By assumption,  $(\epsilon LB, L\mu)$  has a specific coequalizer in  $\mathcal{A}$ , which is preserved by  $R$ .

(3)  $\Rightarrow$  (4). Let  $A \in \mathcal{A}$ . Then  $(B, \mu) := (RA, R\epsilon A) \in {}_{RL}\mathcal{B}$ . By assumption  $(\epsilon LRA, LR\epsilon A)$  has a specific coequalizer  $(C, c)$  in  $\mathcal{A}$ , which is preserved by  $R$ . Since  $\epsilon A$  coequalizes  $(\epsilon LRA, LR\epsilon A)$ , there is a unique morphism  $h : C \rightarrow A$  such that  $h \circ c = \epsilon A$ . Then  $Rh \circ Rc = R\epsilon A$ . By Corollary 6.11 and Proposition 6.7, we know that  $(RA, R\epsilon A)$  is the coequalizer of  $(R\epsilon LRA, RLR\epsilon A)$  in  $\mathcal{B}$ . Since also  $(RC, Rc)$  is the coequalizer of  $(R\epsilon LRA, RLR\epsilon A)$  in  $\mathcal{B}$ , we have that  $Rh$  is an isomorphism. Since  $R$  reflects isomorphisms we obtain that  $h$  is an isomorphism too so that  $(A, \epsilon A) = \text{Coeq}_{\mathcal{A}}(LR\epsilon A, \epsilon LRA)$ .  $\square$

**Remark 6.44.** A functor  $R : \mathcal{A} \rightarrow \mathcal{B}$  which has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  for which the corresponding comparison functor  $K : \mathcal{A} \rightarrow {}_{RL}\mathcal{B}$

is an equivalence of categories is called monadic (tripleable in Beck's terminology [[Be, Definition 3, page 8]]). For this reason Theorem 6.43 is also called "Beck's Precise Tripleability Theorem" (cfr.[BW, Theorem 3.14, page 101]).

## 6.4 Johnstone for Monads

**Proposition 6.45** ([Appel] and [J]). Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $\mathbb{B} = (B, m_B, u_B)$  be a monad on a category  $\mathcal{B}$  and let  $Q : \mathcal{A} \rightarrow \mathcal{B}$  be a functor. Then there is a bijection between the following collections of data

$\mathcal{F}$  functors  $\tilde{Q} : {}_{\mathbb{A}}\mathcal{A} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  that are liftings of  $Q$  (i.e.  ${}_{\mathbb{B}}U\tilde{Q} = Q_{\mathbb{A}}U$ )

$\mathcal{M}$  functorial morphisms  $\Phi : BQ \rightarrow QA$  such that

$$\Phi \circ (m_B Q) = (Q m_A) \circ (\Phi A) \circ (B \Phi) \quad \text{and} \quad \Phi \circ (u_B Q) = Q u_A$$

given by

$$\begin{aligned} a & : \mathcal{F} \rightarrow \mathcal{M} \text{ where } a(\tilde{Q}) = \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}FQ u_A \right) \\ b & : \mathcal{M} \rightarrow \mathcal{F} \text{ where } {}_{\mathbb{B}}U b(\Phi) = Q_{\mathbb{A}}U \text{ and } {}_{\mathbb{B}}U\lambda_B b(\Phi) = (Q_{\mathbb{A}}U\lambda_A) \circ \Phi \\ b & : \mathcal{M} \rightarrow \mathcal{F} \text{ where } b(\Phi)((X, {}^A\mu_X)) = (QX, (Q^A\mu_X) \circ (\Phi X)) \text{ and } b(\Phi)(f) = Q(f). \end{aligned}$$

*Proof.* Let  $\tilde{Q} : {}_{\mathbb{A}}\mathcal{A} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  be a lifting of the functor  $Q : \mathcal{A} \rightarrow \mathcal{B}$  (i.e.  ${}_{\mathbb{B}}U\tilde{Q} = Q_{\mathbb{A}}U$ ). Define a functorial morphism  $\phi : {}_{\mathbb{B}}FQ \rightarrow \tilde{Q}_{\mathbb{A}}F$  as the composite

$$\phi := \left( \lambda_B \tilde{Q}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}FQ u_A \right)$$

where  $u_A : \mathcal{A} \rightarrow {}_{\mathbb{A}}U_{\mathbb{A}}F = A$  is also the unit of the adjunction  $({}_{\mathbb{A}}F, {}_{\mathbb{A}}U)$  and  $\lambda_B : {}_{\mathbb{B}}F {}_{\mathbb{B}}U \rightarrow {}_{\mathbb{B}}\mathcal{B}$  is the counit of the adjunction. Let now define

$$\Phi \stackrel{def}{=} {}_{\mathbb{B}}U\phi : {}_{\mathbb{B}}U {}_{\mathbb{B}}FQ = BQ \rightarrow {}_{\mathbb{B}}U\tilde{Q}_{\mathbb{A}}F = Q_{\mathbb{A}}U_{\mathbb{A}}F = QA.$$

We have to prove that such a  $\Phi$  satisfies  $\Phi \circ (m_BQ) = (Qm_A) \circ (\Phi A) \circ (B\Phi)$  and  $\Phi \circ (u_BQ) = Qu_A$ . First, let us compute

$$\begin{aligned} (Qm_A) \circ (\Phi A) \circ (B\Phi) &= (Qm_A) \circ ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}FA) \circ ({}_{\mathbb{B}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}A) \\ &\quad \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{B_{\mathbb{B}}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}) \\ &\quad \stackrel{{}_{\mathbb{A}}U\lambda_{\mathbb{A}\mathbb{A}}F}{=} ({}_{Q_{\mathbb{A}}}U\lambda_{\mathbb{A}\mathbb{A}}F) \circ ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}FA) \\ &\quad \circ ({}_{\mathbb{B}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}A) \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{B_{\mathbb{B}}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}) \\ &\quad \stackrel{\tilde{Q}\text{lifting}}{=} ({}_{\mathbb{B}}U\tilde{Q}\lambda_{\mathbb{A}\mathbb{A}}F) \circ ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}FA) \\ &\quad \circ ({}_{\mathbb{B}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}A) \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{B_{\mathbb{B}}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}) \\ &= {}_{\mathbb{B}}U \left[ (\tilde{Q}\lambda_{\mathbb{A}\mathbb{A}}F) \circ (\lambda_B\tilde{Q}_{\mathbb{A}}FA) \circ ({}_{\mathbb{B}}FQu_{\mathbb{A}}A) \right] \\ &\quad \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\stackrel{\lambda_B}{=} {}_{\mathbb{B}}U \left[ (\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{\mathbb{B}}F {}_{\mathbb{B}}U\tilde{Q}\lambda_{\mathbb{A}\mathbb{A}}F) \circ ({}_{\mathbb{B}}FQu_{\mathbb{A}}A) \right] \\ &\quad \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\stackrel{\tilde{Q}\text{lifting}}{=} {}_{\mathbb{B}}U \left[ (\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{\mathbb{B}}FQ_{\mathbb{A}}U\lambda_{\mathbb{A}\mathbb{A}}F) \circ ({}_{\mathbb{B}}FQu_{\mathbb{A}}A) \right] \\ &\quad \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\quad \stackrel{{}_{\mathbb{A}}U\lambda_{\mathbb{A}\mathbb{A}}F}{=} {}_{\mathbb{B}}U \left[ (\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{\mathbb{B}}FQm_A) \circ ({}_{\mathbb{B}}FQu_{\mathbb{A}}A) \right] \\ &\quad \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\stackrel{\text{Amonad}}{=} ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{B_{\mathbb{B}}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\stackrel{\tilde{Q}\text{isBmod}}{=} ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (m_{B_{\mathbb{B}}}U\tilde{Q}_{\mathbb{A}}F) \circ (BBQu_{\mathbb{A}}) \\ &\quad \stackrel{m_B}{=} ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ (BQu_{\mathbb{A}}) \circ (m_BQ) \\ &= ({}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F) \circ ({}_{\mathbb{B}}U {}_{\mathbb{B}}FQu_{\mathbb{A}}) \circ (m_BQ) \\ &\quad = ({}_{\mathbb{B}}U\phi) \circ (m_BQ) \\ &\quad = \Phi \circ (m_BQ). \end{aligned}$$

Moreover we have

$$\begin{aligned}
\Phi \circ (u_B Q) &= (\mathbb{B}U\phi) \circ (u_B Q) \\
&= \left( \mathbb{B}U\lambda_B \tilde{Q}_\mathbb{A} F \right) \circ (\mathbb{B}U \mathbb{B}F Q u_A) \circ (u_B Q) \\
&\stackrel{u_B}{=} \left( \mathbb{B}U\lambda_B \tilde{Q}_\mathbb{A} F \right) \circ (u_B Q_\mathbb{A} U_\mathbb{A} F) \circ (Q u_A) \\
&\stackrel{\tilde{Q}\text{lifting}}{=} \left( \mathbb{B}U\lambda_B \tilde{Q}_\mathbb{A} F \right) \circ \left( u_{B\mathbb{B}} U \tilde{Q}_\mathbb{A} F \right) \circ (Q u_A) \\
&\stackrel{(\mathbb{B}F, \mathbb{B}U)\text{adj}}{=} Q u_A.
\end{aligned}$$

Conversely, let  $\Phi$  be a functorial morphism satisfying  $\Phi \circ (m_B Q) = (Q m_A) \circ (\Phi A) \circ (B\Phi)$  and  $\Phi \circ (u_B Q) = Q u_A$ . We define  $\tilde{Q} : \mathbb{A}\mathcal{A} \rightarrow \mathbb{B}\mathcal{B}$  by setting, for every  $(X, {}^A\mu_X) \in \mathbb{A}\mathcal{A}$ ,

$$\tilde{Q}((X, {}^A\mu_X)) = (QX, (Q^A\mu_X) \circ (\Phi X)).$$

We have to check that  $(Q(X), (Q^A\mu_X) \circ (\Phi X)) \in \mathbb{B}\mathcal{B}$ , that is

$${}^B\mu_{\tilde{Q}X} \circ (B^B\mu_{\tilde{Q}X}) = {}^B\mu_{\tilde{Q}X} \circ (m_B QX) \quad \text{and} \quad {}^B\mu_{\tilde{Q}X} \circ (u_B QX) = QX.$$

We compute

$$\begin{aligned}
{}^B\mu_{\tilde{Q}X} \circ (B^B\mu_{\tilde{Q}X}) &= (Q^A\mu_X) \circ (\Phi X) \circ (BQ^A\mu_X) \circ (B\Phi X) \\
&\stackrel{\Phi}{=} (Q^A\mu_X) \circ (QA^A\mu_X) \circ (\Phi AX) \circ (B\Phi X) \\
&\stackrel{X\text{module}}{=} (Q^A\mu_X) \circ (Qm_A X) \circ (\Phi AX) \circ (B\Phi X) \\
&\stackrel{\text{property of } \Phi}{=} (Q^A\mu_X) \circ (\Phi X) \circ (m_B QX) \\
&= {}^B\mu_{\tilde{Q}X} \circ (m_B QX).
\end{aligned}$$

Moreover we have

$$\begin{aligned}
{}^B\mu_{\tilde{Q}X} \circ (u_B QX) &= (Q^A\mu_X) \circ (\Phi X) \circ (u_B QX) \\
&\stackrel{\text{property of } \Phi}{=} (Q^A\mu_X) \circ (Q u_A X) \\
&\stackrel{X\text{module}}{=} QX.
\end{aligned}$$

Now, let  $f : (X, {}^A\mu_X) \rightarrow (Y, {}^A\mu_Y)$  a morphism of left  $\mathbb{A}$ -modules, that is a morphism  $f : X \rightarrow Y$  in  $\mathcal{A}$  such that

$${}^A\mu_Y \circ (Af) = f \circ {}^A\mu_X.$$

We have to prove that  $\tilde{Q}(f) : \tilde{Q}X = (QX, {}^B\mu_{QX}) \rightarrow \tilde{Q}Y = (QY, {}^B\mu_{QY})$  is a morphism of left  $\mathbb{B}$ -modules. We set  $\tilde{Q}(f) = Q(f)$  and we compute

$${}^B\mu_{\tilde{Q}Y} \circ (B\tilde{Q}f) \stackrel{?}{=} (\tilde{Q}f) \circ {}^B\mu_{\tilde{Q}X}$$



i.e. by definition of the functor  $\tilde{Q}$

$$\begin{aligned} {}^B\mu_{QY} \circ (BQf) &\stackrel{?}{=} (Qf) \circ {}^B\mu_{QX} \\ {}^B\mu_{QY} \circ (BQf) &= (Q^A\mu_Y) \circ (\Phi Y) \circ (BQf) \\ &\stackrel{\Phi}{=} (Q^A\mu_Y) \circ (QAf) \circ (\Phi X) \\ &\stackrel{f \text{ morph } A\text{-mod}}{=} (Qf) \circ (Q^A\mu_X) \circ (\Phi X) \\ &= (Qf) \circ {}^B\mu_{QX}. \end{aligned}$$

Let now check that  $\tilde{Q}$  is a lifting of  $Q$ . Let  $(X, {}^A\mu_X) \in {}_A\mathcal{A}$  and compute

$${}_{\mathbb{B}}U\tilde{Q}((X, {}^A\mu_X)) = {}_{\mathbb{B}}U(QX, {}^B\mu_{QX}) = QX = Q_{\mathbb{A}}U((X, {}^A\mu_X))$$

and thus on the objects

$${}_{\mathbb{B}}U\tilde{Q} = Q_{\mathbb{A}}U.$$

Let  $f : (X, {}^A\mu_X) \rightarrow (Y, {}^A\mu_Y) \in {}_A\mathcal{A}$  be a morphism, we have

$${}_{\mathbb{B}}U\tilde{Q}(f) : QX \rightarrow QY = Q_{\mathbb{A}}U(f) : QX \rightarrow QY.$$

Therefore  $\tilde{Q}$  is a lifting of the functor  $Q$ .

We have to prove that it is a bijection. Let us start with  $\tilde{Q} : {}_A\mathcal{A} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  a lifting of the functor  $Q : \mathcal{A} \rightarrow \mathcal{B}$ . Then we construct  $\Phi : BQ \rightarrow QA$  given by

$$\Phi = \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}FQu_A \right)$$

and using this functorial morphism we define a functor  $\bar{Q} : {}_A\mathcal{A} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  as follows: for every  $(X, {}^A\mu_X) \in {}_A\mathcal{A}$

$$\bar{Q}((X, {}^A\mu_X)) = (QX, (Q^A\mu_X) \circ (\Phi X)).$$

Since both  $\tilde{Q}$  and  $\bar{Q}$  are lifting of  $Q$ , we have that  ${}_{\mathbb{B}}U\tilde{Q} = Q_{\mathbb{A}}U = {}_{\mathbb{B}}U\bar{Q}$ . We have to prove that  ${}_{\mathbb{B}}U(\lambda_B\bar{Q}) = {}_{\mathbb{B}}U(\lambda_B\tilde{Q})$ . Let  $Z \in {}_A\mathcal{A}$ . We compute

$$\begin{aligned} {}_{\mathbb{B}}U(\lambda_B\bar{Q}Z) &= (Q_{\mathbb{A}}U\lambda_A Z) \circ \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F_{\mathbb{A}}UZ \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}FQu_{\mathbb{A}\mathbb{A}}UZ \right) \\ &\stackrel{\tilde{Q} \text{ lifting } Q}{=} \left( {}_{\mathbb{B}}U\tilde{Q}\lambda_A Z \right) \circ \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}_{\mathbb{A}}F_{\mathbb{A}}UZ \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}FQu_{\mathbb{A}\mathbb{A}}UZ \right) \\ &\stackrel{\lambda_B}{=} \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}Z \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}F_{\mathbb{B}}U\tilde{Q}\lambda_A Z \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}FQu_{\mathbb{A}\mathbb{A}}UZ \right) \\ &= \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}Z \right) \circ \left( {}_{\mathbb{B}}U_{\mathbb{B}}F_{\mathbb{B}}[Q_{\mathbb{A}}U\lambda_A Z \circ Qu_{\mathbb{A}\mathbb{A}}UZ] \right) \\ &\stackrel{(u_A, \lambda_A) \text{ adj}}{=} {}_{\mathbb{B}}U\lambda_B\tilde{Q}Z. \end{aligned}$$

Conversely, let us start with a functorial morphism  $\Phi : BQ \rightarrow QA$  satisfying  $\Phi \circ (m_B Q) = (Qm_A) \circ (\Phi A) \circ (B\Phi)$  and  $\Phi \circ (u_B Q) = Qu_A$ . Then we construct a functor  $\tilde{Q} : {}_{\mathbb{A}}\mathcal{A} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  by setting, for every  $(X, {}^A\mu_X) \in {}_{\mathbb{A}}\mathcal{A}$ ,

$$\tilde{Q}((X, {}^A\mu_X)) = (QX, (Q^A\mu_X) \circ (\Phi X))$$

which lifts  $Q : \mathcal{A} \rightarrow \mathcal{B}$ . Now, we define a functorial morphism  $\Psi : BQ \rightarrow QA$  given by

$$\Psi = \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}{}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}U{}_{\mathbb{B}}FQu_A \right).$$

Then we have

$$\begin{aligned} \Psi &= \left( {}_{\mathbb{B}}U\lambda_B\tilde{Q}{}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}U{}_{\mathbb{B}}FQu_A \right) \\ &\stackrel{\text{def}\tilde{Q}}{=} \left( Q{}_{\mathbb{A}}U\lambda_{\mathbb{A}\mathbb{A}}F \right) \circ \left( \Phi{}_{\mathbb{A}}F \right) \circ \left( {}_{\mathbb{B}}U{}_{\mathbb{B}}FQu_A \right) \\ &= \left( Qm_A \right) \circ \left( \Phi A \right) \circ \left( BQu_A \right) \\ &\stackrel{\Phi}{=} \left( Qm_A \right) \circ \left( QAu_A \right) \circ \Phi \\ &\stackrel{\text{Amonad}}{=} \Phi. \end{aligned}$$

□

**Corollary 6.46.** *Let  $\mathcal{X}, \mathcal{A}$  be categories, let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on a category  $\mathcal{A}$  and let  $F : \mathcal{X} \rightarrow \mathcal{A}$  be a functor. Then there exists a bijective correspondence between the following collections of data:*

$\mathcal{H}$  Left  $\mathbb{A}$ -module actions  ${}^A\mu_F : AF \rightarrow F$

$\mathcal{G}$  Functors  ${}_A F : \mathcal{X} \rightarrow {}_{\mathbb{A}}\mathcal{A}$  such that  ${}_{\mathbb{A}}U{}_A F = F$ ,

given by

$$\begin{aligned} \tilde{a} : \mathcal{H} &\rightarrow \mathcal{G} \text{ where } {}_{\mathbb{A}}U\tilde{a}({}^A\mu_F) = F \text{ and } {}_{\mathbb{A}}U\lambda_{\mathbb{A}}\tilde{a}({}^A\mu_F) = {}^A\mu_F \text{ i.e.} \\ \tilde{a}({}^A\mu_F)(X) &= (FX, {}^A\mu_F X) \text{ and } \tilde{a}({}^A\mu_F)(f) = F(f) \\ \tilde{b} : \mathcal{G} &\rightarrow \mathcal{H} \text{ where } \tilde{b}({}_A F) = {}_{\mathbb{A}}U\lambda_{\mathbb{A}\mathbb{A}}F : AF \rightarrow F. \end{aligned}$$

*Proof.* Apply Proposition 6.45 to the case  $\mathcal{A} = \mathcal{X}, \mathcal{B} = \mathcal{A}, \mathbb{A} = \text{Id}_{\mathcal{X}}$  and  $\mathbb{B} = \mathbb{A}$ . Then  $\tilde{Q} = {}_A F$  is the lifting of  $F$  and  $\Phi = {}^A\mu_F$  satisfies  ${}^A\mu_F \circ (m_A F) = {}^A\mu_F \circ (A^A\mu_F)$  and  ${}^A\mu_F \circ (u_A F) = F$  that is  $(F, {}^A\mu_F)$  is a left  $\mathbb{A}$ -module functor. □

**Corollary 6.47.** *Let  $(L, R)$  be an adjunction with  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on  $\mathcal{B}$ . Then there is a bijective correspondence between the following collections of data*

$\mathfrak{K}$  Functors  $K : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  such that  ${}_{\mathbb{A}}U \circ K = R$ ,

$\mathfrak{L}$  functorial morphism  $\alpha : AR \rightarrow R$  such that  $(R, \alpha)$  is a left module functor for the monad  $\mathbb{A}$

given by

$$\begin{aligned} \Phi & : \mathfrak{K} \rightarrow \mathfrak{L} \text{ where } \Phi(K) = {}_{\mathbb{A}}U\lambda_A K : AR \rightarrow R \\ \Omega & : \mathfrak{L} \rightarrow \mathfrak{K} \text{ where } \Omega(\alpha)(X) = (RX, \alpha X) \text{ and } {}_{\mathbb{A}}U\Omega(\alpha)(f) = R(f). \end{aligned}$$

*Proof.* Apply Corollary 6.46 to the case " $F$ " =  $R : \mathcal{A} \rightarrow \mathcal{B}$  where  $(L, R)$  is an adjunction with  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  and  $\mathbb{A} = (A, m_A, u_A)$  a monad on  $\mathcal{B}$ .  $\square$

## 6.5 The comparison functor for monads

The dual version, for comonads, of this subsection can be found in [GT].

**Proposition 6.48.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  with unit  $\eta$  and counit  $\epsilon$  and let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$ . There exists a bijective correspondence between the following collections of data:*

$\mathfrak{M}$  monad morphisms  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$

$\mathfrak{R}$  functorial morphism  $r : LA \rightarrow L$  such that  $(L, r)$  is a right module functor for the monad  $\mathbb{A}$

$\mathfrak{L}$  functorial morphism  $l : AR \rightarrow R$  such that  $(R, l)$  is a left module functor for the monad  $\mathbb{A}$

given by

$$\begin{aligned} \Theta & : \mathfrak{M} \rightarrow \mathfrak{R} \text{ where } \Theta(\psi) = (\epsilon L) \circ (L\psi) \\ \Xi & : \mathfrak{R} \rightarrow \mathfrak{M} \text{ where } \Xi(r) = (Rr) \circ (\eta A) \\ \Gamma & : \mathfrak{M} \rightarrow \mathfrak{L} \text{ where } \Gamma(\psi) = (R\epsilon) \circ (\psi R) \\ \Lambda & : \mathfrak{L} \rightarrow \mathfrak{M} \text{ where } \Lambda(l) = (lL) \circ (A\eta). \end{aligned}$$

*Proof.* For a given  $\psi \in \mathfrak{M}$ , we compute

$$\begin{aligned} \Theta(\psi) \circ (\Theta(\psi)A) & = (\epsilon L) \circ (L\psi) \circ (\epsilon LA) \circ (L\psi A) \\ & \stackrel{\epsilon}{=} (\epsilon L) \circ (\epsilon LRL) \circ (LRL\psi) \circ (L\psi A) \\ & \stackrel{\epsilon, \psi}{=} (\epsilon L) \circ (LR\epsilon L) \circ (L\psi\psi) \stackrel{\psi^{\text{morphmon}}}{=} (\epsilon L) \circ (L\psi) \circ (Lm_A) = \Theta(\psi) \circ (Lm_A) \end{aligned}$$

and

$$\Theta(\psi) \circ (Lu_A) = (\epsilon L) \circ (L\psi) \circ (Lu_A) \stackrel{\psi^{\text{morphmon}}}{=} (\epsilon L) \circ (L\eta) = L.$$

Therefore we deduce that  $\Theta(\psi) \in \mathfrak{R}$ . For a given  $r \in \mathfrak{R}$ , we compute

$$\begin{aligned} (R\epsilon L) \circ (\Xi(r) \Xi(r)) &\stackrel{\Xi(r)}{=} (R\epsilon L) \circ (RL\Xi(r)) \circ (\Xi(r) A) \\ &= (R\epsilon L) \circ (RLRr) \circ (RL\eta A) \circ (RrA) \circ (\eta AA) \\ &\stackrel{\epsilon}{=} (Rr) \circ (R\epsilon LA) \circ (RL\eta A) \circ (RrA) \circ (\eta AA) \\ &\stackrel{(L,R)}{=} (Rr) \circ (RrA) \circ (\eta AA) \stackrel{(L,r)}{=} (Rr) \circ (RLm_A) \circ (\eta AA) \\ &\stackrel{\eta}{=} (Rr) \circ (\eta A) \circ m_A = \Xi(r) \circ m_A \end{aligned}$$

and

$$\Xi(r) \circ u_A = (Rr) \circ (\eta A) \circ u_A \stackrel{\eta}{=} (Rr) \circ (RLu_A) \circ \eta \stackrel{(L,r)}{=} \eta.$$

Therefore we deduce that  $\Xi(r) \in \mathfrak{M}$ . For a given  $\psi \in \mathfrak{M}$ , we compute

$$\begin{aligned} \Gamma(\psi) \circ [A\Gamma(\psi)] &= (R\epsilon) \circ (\psi R) \circ (AR\epsilon) \circ (A\psi R) \\ &\stackrel{\psi}{=} (R\epsilon) \circ (RLR\epsilon) \circ (\psi RLR) \circ (A\psi R) \stackrel{\epsilon,\psi}{=} (R\epsilon) \circ (R\epsilon LR) \circ (\psi\psi R) \\ &\stackrel{\psi \text{ morphmon}}{=} (R\epsilon) \circ (\psi R) \circ (m_A R) = \Gamma(\psi) \circ (m_A R) \end{aligned}$$

and

$$\Gamma(\psi) \circ (u_A R) = (R\epsilon) \circ (\psi R) \circ (u_A R) \stackrel{\psi \text{ morphmon}}{=} (R\epsilon) \circ (\eta R) = R.$$

Therefore we deduce that  $\Gamma(\psi) \in \mathfrak{L}$ . For a given  $l \in \mathfrak{L}$ , we compute

$$\begin{aligned} (R\epsilon L) \circ (\Lambda(l) \Lambda(l)) &\stackrel{\Lambda(l)}{=} (R\epsilon L) \circ (\Lambda(l) RL) \circ (A\Lambda(l)) \\ &= (R\epsilon L) \circ (lLRl) \circ (A\eta RL) \circ (AlL) \circ (AA\eta) \\ &\stackrel{l}{=} (lL) \circ (AR\epsilon L) \circ (A\eta RL) \circ (AlL) \circ (AA\eta) \\ &\stackrel{(L,R)}{=} (lL) \circ (AlL) \circ (AA\eta) \stackrel{(R,l)}{=} (lL) \circ (m_A RL) \circ (AA\eta) \\ &\stackrel{m_A}{=} (lL) \circ (A\eta) \circ m_A = \Lambda(l) \circ m_A \end{aligned}$$

and

$$\Lambda(l) \circ u_A = (lL) \circ (A\eta) \circ u_A \stackrel{u_A}{=} (lL) \circ (u_A RL) \circ \eta \stackrel{(R,l)}{=} \eta.$$

Therefore we deduce that  $\Lambda(l) \in \mathfrak{M}$ . Let now  $\psi \in \mathfrak{M}$  and let us calculate

$$\Xi\Theta(\psi) = (R\epsilon L) \circ (RL\psi) \circ (\eta A) \stackrel{\eta}{=} (R\epsilon L) \circ (\eta RL) \circ \psi = \psi.$$

Let now  $r \in \mathfrak{R}$  and let us calculate

$$\Theta\Xi(r) = (\epsilon L) \circ (LRr) \circ (L\eta A) \stackrel{\epsilon}{=} r \circ (\epsilon LA) \circ (L\eta A) = r.$$

Let now  $\psi \in \mathfrak{M}$  and let us calculate

$$A\Gamma(\psi) = (R\epsilon L) \circ (\psi RL) \circ (A\eta) \stackrel{\psi}{=} (R\epsilon L) \circ (RL\eta) \circ \psi = \psi.$$

Let now  $l \in \mathfrak{L}$  and let us calculate

$$\Gamma\Lambda(l) = (R\epsilon) \circ (lLR) \circ (A\eta R) \stackrel{l}{=} l \circ (AR\epsilon) \circ (A\eta R) = l.$$

□

**Theorem 6.49.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$  and let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$ . There exists a bijective correspondence between the following collections of data:*

$\mathfrak{K}$  Functors  $K : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  such that  ${}_{\mathbb{A}}U \circ K = R$

$\mathfrak{M}$  monad morphisms  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$

given by

$\Psi : \mathfrak{K} \rightarrow \mathfrak{M}$  where  $\Psi(K) = ([{}_{\mathbb{A}}U\lambda_A K] L) \circ (A\eta)$

$\Upsilon : \mathfrak{M} \rightarrow \mathfrak{K}$  where  $\Upsilon(\psi)(X) = (RX, (R\epsilon X) \circ (\psi RX))$  and  $\Upsilon(\psi)(f) = Rf$ .

*Proof.* By Corollary 6.47, there exists a bijective correspondence between  $\mathfrak{K}$  and the collection  $\mathfrak{L}$  of functorial morphisms  $\alpha : AR \rightarrow R$  such that  $(R, \alpha)$  is a left module functor for the monad  $\mathbb{A}$  given by

$\Phi : \mathfrak{K} \rightarrow \mathfrak{L}$  where  $\Phi(K) = {}_{\mathbb{A}}U\lambda_A K : AR \rightarrow R$

$\Omega : \mathfrak{L} \rightarrow \mathfrak{K}$  where  $\Omega(\alpha)(X) = (RX, \alpha X)$  and  ${}_{\mathbb{A}}U\Omega(\alpha)(f) = Rf$ .

By Proposition 6.48, there exists a bijective correspondence between  $\mathfrak{L}$  and the collection  $\mathfrak{M}$  of monad morphisms  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  given by

$\Lambda : \mathfrak{L} \rightarrow \mathfrak{M}$  where  $\Lambda(l) = (lL) \circ (A\eta)$

$\Gamma : \mathfrak{M} \rightarrow \mathfrak{L}$  where  $\Gamma(\psi) = (R\epsilon) \circ (\psi R)$ .

We compute

$$(\Lambda \circ \Phi)(K) = ({}_{\mathbb{A}}U\lambda_A KL) \circ (A\eta) = \Psi(K)$$

and

$$[(\Omega \circ \Gamma)(\psi)](X) = (RX, (R\epsilon X) \circ (\psi RX)) = \Upsilon(\psi)(Y)$$

$$[(\Omega \circ \Gamma)(\psi)](f) = Rf = \Upsilon(\psi)(f).$$

□

**Remark 6.50.** *When  $\mathbb{A} = \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  and  $\psi = \text{Id}_{\mathbb{R}\mathbb{L}}$  the functor  $K = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{R}\mathbb{L}}\mathcal{B}$  such that  ${}_{\mathbb{R}\mathbb{L}}U \circ K = R$  is called the Eilenberg-Moore comparison functor.*

**Corollary 6.51.** *Let  $\mathbb{A} = (A, m_A, u_A)$  and  $\mathbb{B} = (B, m_B, u_B)$  be monads on a category  $\mathcal{B}$ . There exists a bijective correspondence between the following collections of data:*

$\mathcal{K}$  Functors  $K : {}_{\mathbb{A}}\mathcal{B} \rightarrow {}_{\mathbb{B}}\mathcal{B}$  such that  ${}_{\mathbb{B}}U \circ K = {}_{\mathbb{A}}U$ ,

$\mathcal{M}$  monad morphisms  $\psi : \mathbb{A} \rightarrow \mathbb{B}$

given by

$$\Psi : \mathcal{K} \rightarrow \mathcal{M} \text{ where } \Psi(K) = ([\mathbb{A}U\lambda_A K]_{\mathbb{A}}F) \circ (Au_A)$$

$$\Upsilon : \mathcal{M} \rightarrow \mathcal{K} \text{ where } \Upsilon(\psi)(X) = (\mathbb{A}UX, (\mathbb{A}U\lambda_A X) \circ (\psi_{\mathbb{A}}UX)) \text{ and } \Upsilon(\psi)(f) = \mathbb{A}U(f).$$

*Proof.* Apply Theorem 6.49 to the case "R" =  $\mathbb{A}U : \mathbb{A}\mathcal{B} \rightarrow \mathcal{B}$  and "L" =  $\mathbb{A}F : \mathcal{B} \rightarrow \mathbb{A}\mathcal{B}$  and note that  $(RL, R\epsilon L, \eta) = (\mathbb{A}U_{\mathbb{A}}F, \mathbb{A}U\lambda_{\mathbb{A}\mathbb{A}}F, u_A) = (A, m_A, u_A)$ .  $\square$

**Proposition 6.52.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$  and  $l = \Gamma(\psi) = (R\epsilon) \circ (\psi R)$ . Then the isomorphism  $a_{X,Y} : \text{Hom}_{\mathcal{A}}(LY, X) \rightarrow \text{Hom}_{\mathcal{B}}(Y, RX)$  of the adjunction  $(L, R)$  induces an isomorphism*

$$\tilde{a}_{-,Y} : \text{Equ}_{\text{Hom}_{\mathcal{A}}(LY, -)}(\text{Hom}_{\mathcal{A}}(rY, -), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -)) \rightarrow \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_{\psi}-)$$

for every  $(Y, {}^A\mu_Y) \in \mathbb{A}\mathcal{B}$ .

*Proof.* Let

$$a_{X,Y} : \text{Hom}_{\mathcal{A}}(LY, X) \rightarrow \text{Hom}_{\mathcal{B}}(Y, RX)$$

be the isomorphism of the adjunction  $(L, R)$ , for every  $Y \in \mathcal{B}$  and for every  $X \in \mathcal{A}$ . Recall that  $a_{X,Y}(\xi) = (R\xi) \circ (\eta Y)$  and  $a_{X,Y}^{-1}(\zeta) = (\epsilon X) \circ (L\zeta)$ .

Let us check that we can apply Lemma 5.35 to the case  $Z = \text{Hom}_{\mathcal{A}}(LY, -)$ ,  $Z' = \text{Hom}_{\mathcal{B}}(Y, R-)$ ,  $W = \text{Hom}_{\mathcal{A}}(LAY, -)$ ,  $W' = \text{Hom}_{\mathcal{B}}(AY, R-)$ ,  $a = \text{Hom}_{\mathcal{A}}(rY, -)$ ,  $b = \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -)$ ,  $a' = (\Gamma(\psi) -) \circ (A-)$ ,  $b' = \text{Hom}_{\mathcal{B}}({}^A\mu_Y, R-)$ ,  $E = \text{Equ}_{\text{Fun}}(\text{Hom}_{\mathcal{A}}(rY, -), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -))$  and  $E' = \text{Equ}_{\text{Fun}}((\Gamma(\psi) -) \circ (A-), - \circ {}^A\mu_Y)$  and  $\varphi = a_{-,Y}$ ,  $\psi = a_{-,AY}$ .

$$E = \text{Equ}_{\text{Fun}}(\text{Hom}_{\mathcal{A}}(rY, -), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -)) \xrightarrow{\tilde{a}_{-,Y}} E' = \text{Equ}_{\text{Fun}}((\Gamma(\psi) -) \circ (A-), - \circ {}^A\mu_Y)$$

$$\begin{array}{ccc} \begin{array}{c} \downarrow i \\ Z = \text{Hom}_{\mathcal{A}}(LY, -) \\ \downarrow a = \text{Hom}_{\mathcal{A}}(rY, -) \quad \Downarrow \quad b = \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -) \\ W = \text{Hom}_{\mathcal{A}}(LAY, -) \end{array} & \xrightarrow{a_{-,Y}} & \begin{array}{c} \downarrow i' \\ Z' = \text{Hom}_{\mathcal{B}}(Y, R-) \\ \downarrow a' = (\Gamma(\psi) -) \circ (A-) \quad \Downarrow \quad b' = \text{Hom}_{\mathcal{B}}({}^A\mu_Y, R-) \\ W' = \text{Hom}_{\mathcal{B}}(AY, R-) \end{array} \end{array}$$

$$\begin{array}{ccc} E = \text{Equ}_{\text{Fun}}(\text{Hom}_{\mathcal{A}}(rY, -), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -)) & \xrightarrow{\tilde{a}_{-,Y}} & E' = \text{Equ}_{\text{Fun}}((\Gamma(\psi) -) \circ (A-), - \circ {}^A\mu_Y) \\ \downarrow i & & \downarrow i' \\ Z = \text{Hom}_{\mathcal{A}}(LY, -) & \xrightarrow{a_{-,Y}} & Z' = \text{Hom}_{\mathcal{B}}(Y, R-) \\ a = \text{Hom}_{\mathcal{A}}(rY, -) \Downarrow b = \text{Hom}_{\mathcal{A}}(L^A\mu_Y, -) & & a' = (\Gamma(\psi) -) \circ (A-) \Downarrow b' = \text{Hom}_{\mathcal{B}}({}^A\mu_Y, R-) \\ W = \text{Hom}_{\mathcal{A}}(LAY, -) & \xrightarrow{a_{-,AY}} & W' = \text{Hom}_{\mathcal{B}}(AY, R-) \end{array}$$

For every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ ,  $X \in \mathcal{A}$  and for every  $\xi \in \text{Hom}_{\mathcal{A}}(LY, X)$ , since  $l = \Gamma(\psi) = (R\epsilon) \circ (\psi R)$  and  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$ , we have

$$\begin{aligned} & [(\Gamma(\psi) X) \circ (A-)] \circ a_{X,Y}(\xi) \stackrel{\text{defa}}{=} [(\Gamma(\psi) X) \circ (A-)]((R\xi) \circ (\eta Y)) \\ & = (\Gamma(\psi) X) \circ (AR\xi) \circ (A\eta Y) = (R\epsilon X) \circ (\psi RX) \circ (AR\xi) \circ (A\eta Y) \stackrel{\psi}{=} \\ & = (R\epsilon X) \circ (RLR\xi) \circ (RL\eta Y) \circ (\psi Y) \stackrel{\epsilon}{=} (R\xi) \circ (R\epsilon LY) \circ (RL\eta Y) \circ (\psi Y) \\ & = (R\xi) \circ (\psi Y) = (R\xi) \circ (R\epsilon LY) \circ (\eta RLY) \circ (\psi Y) \stackrel{\eta}{=} (R\xi) \circ (R\epsilon LY) \circ (RL\psi Y) \circ (\eta AY) \\ & \stackrel{\text{defr}}{=} (R\xi) \circ (RrY) \circ (\eta AY) = a_{X,AY}(\xi \circ rY) = [a_{X,AY} \circ \text{Hom}_{\mathcal{A}}(rY, X)](\xi) \end{aligned}$$

so that we obtain

$$[(\Gamma(\psi) X) \circ (A-)] \circ a_{X,Y} = a_{X,AY} \circ \text{Hom}_{\mathcal{A}}(rY, X).$$

Now, let us compute

$$\begin{aligned} & [\text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX) \circ a_{X,Y}](\xi) \stackrel{\text{defa}}{=} \text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX)((R\xi) \circ (\eta Y)) = \\ & = (R\xi) \circ (\eta Y) \circ {}^A\mu_Y \end{aligned}$$

and on the other hand

$$\begin{aligned} & (a_{X,AY} \circ \text{Hom}_{\mathcal{A}}(L^A\mu_Y, X))(\xi) = a_{X,AY}(\xi \circ (L^A\mu_Y)) \stackrel{\text{defa}}{=} (R\xi) \circ (RL^A\mu_Y) \circ (\eta AY) \\ & \stackrel{\eta}{=} (R\xi) \circ (\eta Y) \circ {}^A\mu_Y \end{aligned}$$

so that we get

$$\text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX) \circ a_{X,Y} = a_{X,AY} \circ \text{Hom}_{\mathcal{A}}(L^A\mu_Y, X).$$

Since  $K_{\psi}(X) = \Upsilon(\psi)(X) = (RX, (R\epsilon X) \circ (\psi RX))$ , for every  $\zeta \in \text{Hom}_{\mathcal{B}}(Y, RX)$  we have

$$[(\Gamma(\psi) X) \circ (A-)](\zeta) = (\Gamma(\psi) X) \circ (A\zeta) = (R\epsilon X) \circ (\psi RX) \circ (A\zeta) = {}^A\mu_{RX} \circ (A\zeta)$$

and

$$\text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX)(\zeta) = \zeta \circ {}^A\mu_Y$$

so that

$$\begin{aligned} & [(\Gamma(\psi) X) \circ (A-)](\zeta) = \text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX)(\zeta) \text{ if and only if} \\ & \zeta \in \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), (RX, (R\epsilon X) \circ (\psi RX))). \end{aligned}$$

Thus we get

$$\begin{aligned} & \text{Equ}_{\text{Hom}_{\mathcal{B}}(Y, RX)}((\Gamma(\psi) X) \circ (A-), - \circ {}^A\mu_Y) \\ & = \{f \in \text{Hom}_{\mathcal{B}}(Y, RX) \mid (\Gamma(\psi) X) \circ (A\zeta) = \zeta \circ {}^A\mu_Y\} \\ & = \{f \in \text{Hom}_{\mathcal{B}}(Y, RX) \mid (R\epsilon X) \circ (\psi RX) \circ (A\zeta) = \zeta \circ {}^A\mu_Y\} \\ & = \left\{f \in \text{Hom}_{\mathcal{B}}({}_{\mathbb{A}}U(Y, {}^A\mu_Y), {}_{\mathbb{A}}U(K_{\psi}X)) \mid {}^A\mu_{{}_{\mathbb{A}}U(K_{\psi}X)} \circ (A\zeta) = \zeta \circ {}^A\mu_Y\right\} \\ & \quad \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_{\psi}X) \end{aligned}$$

so that  $\text{Equ}_{\text{Fun}}((\Gamma(\psi) -) \circ (A-), \text{Hom}_{\mathcal{B}}({}^A\mu_Y, R-)) = \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi -)$ .  $\square$

**Proposition 6.53.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$ . Then the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow \mathbb{A}\mathcal{B}$  has a left adjoint  $D_\psi : \mathbb{A}\mathcal{B} \rightarrow \mathcal{A}$  if and only, for every  $(Y, {}^A\mu_Y) \in \mathbb{A}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y)$ . In this case, there exists a functorial morphism  $d_\psi : L_{\mathbb{A}}U \rightarrow D_\psi$  such that*

$$(D_\psi, d_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$$

and thus

$$[D_\psi((Y, {}^A\mu_Y)), d_\psi(Y, {}^A\mu_Y)] = \text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y).$$

*Proof.* Assume first that, for every  $(Y, {}^A\mu_Y) \in \mathbb{A}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y)$ . By Proposition 6.52, the isomorphism  $a_{X,Y} : \text{Hom}_{\mathcal{A}}(LY, X) \rightarrow \text{Hom}_{\mathcal{B}}(Y, RX)$  of the adjunction  $(L, R)$  induces an isomorphism

$$\tilde{a}_{X,Y} : \text{Equ}_{\text{Sets}}(\text{Hom}_{\mathcal{A}}(rY, X), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, X)) \rightarrow \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X).$$

Let  $(D_\psi((Y, {}^A\mu_Y)), d_\psi(Y, {}^A\mu_Y))$  denote the coequalizer

$$LAY \begin{array}{c} \xrightarrow{rY} \\ \xrightarrow{L^A\mu_Y} \end{array} \rightrightarrows LY \xrightarrow{d_\psi(Y, {}^A\mu_Y)} D_\psi(Y, {}^A\mu_Y)$$

where  $d_\psi(Y, {}^A\mu_Y) : LY \rightarrow D_\psi((Y, {}^A\mu_Y))$  is the canonical projection. Then, by Lemma 5.38, we have

$$\begin{aligned} & (\text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X), \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), X)) \\ &= \text{Equ}_{\text{Sets}}(\text{Hom}_{\mathcal{A}}(rY, X), \text{Hom}_{\mathcal{A}}(L^A\mu_Y, X)). \end{aligned}$$

Thus, for every  $(Y, {}^A\mu_Y) \in \mathbb{A}\mathcal{B}$  and for every  $X \in \mathcal{A}$ ,  $a_{X,Y}$  induces an isomorphism  $\tilde{a}_{X,Y} : \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X) \rightarrow \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X)$  such that the following diagram is commutative

$$(6.10) \quad \begin{array}{ccc} \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X) & \xrightarrow{\tilde{a}_{X,Y}} & \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X) \\ \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), X) \downarrow & & \downarrow \\ \text{Hom}_{\mathcal{A}}(LY, X) & \xrightarrow{a_{X,Y}} & \text{Hom}_{\mathcal{B}}(Y, RX) \\ \text{Hom}_{\mathcal{A}}(rY, X) \downarrow \parallel \text{Hom}_{\mathcal{A}}(L^A\mu_Y, X) & & (\Gamma(\psi)X) \circ (A-) \downarrow \parallel \text{Hom}_{\mathcal{B}}({}^A\mu_Y, RX) \\ \text{Hom}_{\mathcal{A}}(LAY, X) & \xrightarrow{a_{X,AY}} & \text{Hom}_{\mathcal{B}}(AY, RX) \\ \\ \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X) & \xrightarrow{\tilde{a}_{X,Y}} & \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X) \\ \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), X) \downarrow & & \downarrow \\ \text{Hom}_{\mathcal{A}}(LY, X) & \xrightarrow{a_{X,Y}} & \text{Hom}_{\mathcal{B}}(Y, RX) \\ \downarrow \parallel & & \downarrow \parallel \\ \text{Hom}_{\mathcal{A}}(LAY, X) & \xrightarrow{a_{X,AY}} & \text{Hom}_{\mathcal{B}}(AY, RX) \end{array}$$



i.e.  $(D_\psi, K_\psi)$  is an adjunction.

Conversely, assume now that the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow \mathbb{A}\mathcal{B}$  has a left adjoint  $D_\psi : \mathbb{A}\mathcal{B} \rightarrow \mathcal{A}$ . Let  $\tilde{\eta} : \text{Id}_{\mathbb{A}\mathcal{B}} \rightarrow K_\psi D_\psi$  be the unit of the adjunction  $(D_\psi, K_\psi)$  and let

$$d_\psi = a_{D_\psi, \mathbb{A}U}^{-1} (\mathbb{A}U\tilde{\eta}) = (\epsilon D_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) : L_{\mathbb{A}U} \rightarrow D_\psi.$$

We will prove that

$$(D_\psi, d_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}U}, L_{\mathbb{A}U}\lambda_A).$$

First of all let us compute

$$\begin{aligned} d_\psi \circ (r_{\mathbb{A}U}) &= d_\psi \circ (\epsilon L_{\mathbb{A}U}) \circ (L\psi_{\mathbb{A}U}) = (\epsilon D_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \circ (\epsilon L_{\mathbb{A}U}) \circ (L\psi_{\mathbb{A}U}) \\ &\stackrel{\epsilon}{=} (\epsilon D_\psi) \circ (LR\epsilon D_\psi) \circ (LRL_{\mathbb{A}U}\tilde{\eta}) \circ (L\psi_{\mathbb{A}U}) \\ &\stackrel{\psi}{=} (\epsilon D_\psi) \circ (LR\epsilon D_\psi) \circ (L\psi_{\mathbb{A}U}K_\psi D_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \\ &= (\epsilon D_\psi) \circ (LR\epsilon D_\psi) \circ (L\psi RD_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \end{aligned}$$

and also

$$\begin{aligned} d_\psi \circ (L_{\mathbb{A}U}\lambda_A) &= (\epsilon D_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \circ (L_{\mathbb{A}U}\lambda_A) \stackrel{\tilde{\eta}^{\text{morph}_{\mathbb{A}\mathcal{B}}}}{=} (\epsilon D_\psi) \circ (L_{\mathbb{A}U}\lambda_A K_\psi D_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \\ &\stackrel{\text{def } K_\psi}{=} (\epsilon D_\psi) \circ (LR\epsilon D_\psi) \circ (L\psi RD_\psi) \circ (L_{\mathbb{A}U}\tilde{\eta}) \end{aligned}$$

so that

$$d_\psi \circ (r_{\mathbb{A}U}) = d_\psi \circ (L_{\mathbb{A}U}\lambda_A).$$

Now, we will prove that the following diagram is commutative

$$\begin{array}{ccc} \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X) & \xrightarrow{\tilde{a}_{X, (Y, {}^A\mu_Y)}} & \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X) \\ \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), X) \downarrow & & \downarrow L_{\mathbb{A}U} \\ \text{Hom}_{\mathcal{A}}(LY, X) & \xrightarrow{a_{X, Y}} & \text{Hom}_{\mathcal{B}}(Y, RX). \end{array}$$
  

$$\begin{array}{ccc} \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X) & \xrightarrow{\tilde{a}_{X, (Y, {}^A\mu_Y)}} & \text{Hom}_{\mathbb{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X) \\ \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), X) \downarrow & & \downarrow \\ \text{Hom}_{\mathcal{A}}(LY, X) & \xrightarrow{a_{X, Y}} & \text{Hom}_{\mathcal{B}}(Y, RX). \end{array}$$

In fact, for every  $\zeta \in \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X)$ , we have

$$\begin{aligned} \mathbb{A}U\tilde{a}_{X, (Y, {}^A\mu_Y)}(\zeta) &\stackrel{\text{def } \tilde{a}}{=} \mathbb{A}U[(K_\psi\zeta) \circ (\tilde{\eta}(Y, {}^A\mu_Y))] = (\mathbb{A}UK_\psi\zeta) \circ (\mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y)) \\ &\stackrel{\text{def } K_\psi}{=} (R\zeta) \circ (\mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y)) \end{aligned}$$

and on the other hand

$$\begin{aligned}
& [a_{X,Y} \circ \text{Hom}_{\mathcal{A}} (d_{\psi} ((Y, {}^A\mu_Y)), X)] (\zeta) = a_{X,Y} (\zeta \circ d_{\psi} (Y, {}^A\mu_Y)) \\
& \stackrel{\text{def}d_{\psi}}{=} a_{X,Y} (\zeta \circ (\epsilon D_{\psi} (Y, {}^A\mu_Y)) \circ (L_{\mathbb{A}} U \tilde{\eta} (Y, {}^A\mu_Y))) \\
& \stackrel{\text{def}a}{=} (R\zeta) \circ (R\epsilon D_{\psi} (Y, {}^A\mu_Y)) \circ (RL_{\mathbb{A}} U \tilde{\eta} (Y, {}^A\mu_Y)) \circ (\eta Y) \\
& \stackrel{\eta}{=} (R\zeta) \circ (R\epsilon D_{\psi} (Y, {}^A\mu_Y)) \circ (\eta_{\mathbb{A}} U K_{\psi} D_{\psi} (Y, {}^A\mu_Y)) \circ ({}_{\mathbb{A}} U \tilde{\eta} (Y, {}^A\mu_Y)) \\
& \stackrel{\text{def}K_{\psi}}{=} (R\zeta) \circ (R\epsilon D_{\psi} (Y, {}^A\mu_Y)) \circ (\eta R D_{\psi} (Y, {}^A\mu_Y)) \circ ({}_{\mathbb{A}} U \tilde{\eta} (Y, {}^A\mu_Y)) \\
& \stackrel{(L,R)}{=} (R\zeta) \circ ({}_{\mathbb{A}} U \tilde{\eta} (Y, {}^A\mu_Y))
\end{aligned}$$

so that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$  we have

$$[{}_{\mathbb{A}} U \circ \tilde{a}_{-, (Y, {}^A\mu_Y)}] = [a_{-, Y} \circ \text{Hom}_{\mathcal{A}} (d_{\psi} ((Y, {}^A\mu_Y)), -)].$$

Since  $a_{-, Y}$  and  $\tilde{a}_{-, (Y, {}^A\mu_Y)}$  are isomorphisms, we deduce that  $\text{Hom}_{\mathcal{A}} (d_{\psi} ((Y, {}^A\mu_Y)), -)$  is mono. Applying the commutativity of this diagram in the particular case of  $(Y, {}^A\mu_Y) = K_{\psi} X$ , we get that

$$\begin{aligned}
(\tilde{\epsilon} X) \circ (d_{\psi} K_{\psi} X) &= \text{Hom}_{\mathcal{A}} (d_{\psi} K_{\psi} X, X) ((\tilde{\epsilon} X)) \\
&= \text{Hom}_{\mathcal{A}} (d_{\psi} K_{\psi} X, X) \left( \tilde{a}_{X, K_{\psi} X}^{-1} (\text{Id}_{K_{\psi} X}) \right) \\
&= \left[ \text{Hom}_{\mathcal{A}} (d_{\psi} K_{\psi} X, X) \circ \tilde{a}_{X, K_{\psi} X}^{-1} \right] (\text{Id}_{K_{\psi} X}) \\
&= a_{X, {}_{\mathbb{A}} U K_{\psi} X}^{-1} {}_{\mathbb{A}} U (\text{Id}_{K_{\psi} X}) = a_{X, RX}^{-1} (\text{Id}_{{}_{\mathbb{A}} U K_{\psi} X}) \\
&= a_{X, RX}^{-1} (\text{Id}_{RX}) = \epsilon X
\end{aligned}$$

i.e.

$$(6.11) \quad (\tilde{\epsilon} X) \circ (d_{\psi} K_{\psi} X) = \epsilon X.$$

Now, we have to prove the universal property of the coequalizer. Let  $X \in \mathcal{A}$  and let  $\xi : LY \rightarrow X$  be a morphism in  $\mathcal{A}$  such that  $\xi \circ (rY) = \xi \circ (L^A \mu_Y)$  that is

$$\xi \circ (\epsilon LY) \circ (L\psi Y) = \xi \circ (L^A \mu_Y).$$

This means that  $\xi \in \text{Equ}_{\text{Sets}} (\text{Hom}_{\mathcal{A}} (rY, X), \text{Hom}_{\mathcal{A}} (L^A \mu_Y, X)) \simeq \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}} ((Y, {}^A\mu_Y), K_{\psi} X)$  by Proposition 6.52. Then,  $a_{X,Y} (\xi) \in \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}} ((Y, {}^A\mu_Y), (RX, (R\epsilon X) \circ (\psi RX))) = \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}} ((Y, {}^A\mu_Y), K_{\psi} X)$ . We want to prove that there exists a unique morphism  $\xi' : D_{\psi} (Y, {}^A\mu_Y) \rightarrow X$  such that  $\xi' \circ (d_{\psi} (Y, {}^A\mu_Y)) = \xi$ . By hypothesis we have that the map

$$\text{Hom}_{\mathcal{A}} (D_{\psi} ((Y, {}^A\mu_Y)), X) \xrightarrow{\tilde{a}_{X, (Y, {}^A\mu_Y)}} \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}} ((Y, {}^A\mu_Y), K_{\psi} X)$$

$$\text{Hom}_{\mathcal{A}} (D_{\psi} ((Y, {}^A\mu_Y)), X) \xrightarrow{\tilde{a}_{X, (Y, {}^A\mu_Y)}} \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}} ((Y, {}^A\mu_Y), K_{\psi} X)$$

is bijective. Hence, given  $(R\xi) \circ (\eta Y) \in \text{Hom}_{\mathcal{A}\mathcal{B}}((Y, {}^A\mu_Y), K_\psi X)$ ,  $\tilde{a}_{X, (Y, {}^A\mu_Y)}^{-1}((R\xi) \circ (\eta Y)) = (\tilde{\epsilon}X) \circ (D_\psi R\xi) \circ (D_\psi \eta Y) \in \text{Hom}_{\mathcal{A}}(D_\psi((Y, {}^A\mu_Y)), X)$ . We want to prove that

$$(\tilde{\epsilon}X) \circ (D_\psi R\xi) \circ (D_\psi \eta Y) \circ (d_\psi((Y, {}^A\mu_Y))) = \xi.$$

In fact we have

$$\begin{aligned} & (\tilde{\epsilon}X) \circ (D_\psi R\xi) \circ (D_\psi \eta Y) \circ (d_\psi(Y, {}^A\mu_Y)) \\ \stackrel{d_\psi}{=} & (\tilde{\epsilon}X) \circ (d_\psi(RX, {}^A\mu_{RX})) \circ (LR\xi) \circ (L\eta Y) \\ = & (\tilde{\epsilon}X) \circ (d_\psi K_\psi X) \circ (LR\xi) \circ (L\eta Y) \\ \stackrel{(6.11)}{=} & (\epsilon X) \circ (LR\xi) \circ (L\eta Y) \\ \stackrel{\epsilon}{=} & \xi \circ (\epsilon LY) \circ (L\eta Y) \stackrel{(L,R)}{=} \xi. \end{aligned}$$

Let us denote by  $\xi' = (\tilde{\epsilon}X) \circ (D_\psi R\xi) \circ (D_\psi \eta Y)$  the morphism such that  $\xi' \circ (d_\psi(Y, {}^A\mu_Y)) = \xi$ . We have to prove that  $\xi'$  is unique with respect to this property. Let  $\xi'' : D_\psi(Y, {}^A\mu_Y) \rightarrow X$  be another morphism in  $\mathcal{A}$  such that  $\xi'' \circ d_\psi(Y, {}^A\mu_Y) = \xi$ . Then we have

$$\begin{aligned} \text{Hom}_{\mathcal{A}}(d_\psi(Y, {}^A\mu_Y), X)(\xi'') &= \xi'' \circ d_\psi(Y, {}^A\mu_Y) = \xi = \xi' \circ d_\psi(Y, {}^A\mu_Y) \\ &= \text{Hom}_{\mathcal{A}}(d_\psi(Y, {}^A\mu_Y), X)(\xi') \end{aligned}$$

and since  $\text{Hom}_{\mathcal{A}}(d_\psi(Y, {}^A\mu_Y), X)$  is mono, we deduce that

$$\xi'' = \xi'.$$

□

**Corollary 6.54.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Let  $r = \Theta(\text{Id}_{\mathbb{R}\mathcal{L}}) = \epsilon L$ . Then the functor  $K = \Upsilon(\text{Id}_{\mathbb{R}\mathcal{L}}) : \mathcal{A} \rightarrow \mathbb{R}\mathcal{L}\mathcal{B}$  has a left adjoint  $D : \mathbb{R}\mathcal{L}\mathcal{B} \rightarrow \mathcal{A}$  if and only, for every  $(Y, {}^{RL}\mu_Y) \in \mathbb{R}\mathcal{L}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(\epsilon LY, L^{RL}\mu_Y)$ . In this case, there exists a functorial morphism  $d : L_{\mathbb{R}\mathcal{L}}U \rightarrow D$  such that*

$$(D, d) = \text{Coequ}_{\text{Fun}}(\epsilon L_{\mathbb{R}\mathcal{L}}U, L_{\mathbb{R}\mathcal{L}}U \lambda_{RL})$$

and thus

$$[D((Y, {}^{RL}\mu_Y)), d(Y, {}^{RL}\mu_Y)] = \text{Coequ}_{\mathcal{A}}(\epsilon LY, L^{RL}\mu_Y).$$

*Proof.* We can apply Proposition 6.53 where " $\psi$ " =  $\text{Id}_{\mathbb{R}\mathcal{L}}$ . □

**Remark 6.55.** *In the setting of Proposition 6.53, for every  $X \in \mathcal{A}$ , we note that the counit of the adjunction  $(D_\psi, K_\psi)$  is given by*

$$\tilde{\epsilon}X = \tilde{a}_{X, K_\psi X}^{-1}(\text{Id}_{K_\psi X}) : D_\psi K_\psi(X) \rightarrow X.$$

We will consider diagram (6.10) in the particular case of  $(Y, {}^A\mu_Y) = K_\psi X$ . Note that, since  $K_\psi X = (RX, (R\epsilon X) \circ (\psi RX)) = (RX, lX)$ , we have

$$\begin{aligned} (D_\psi K_\psi(X), d_\psi K_\psi(X)) &= (D_\psi(RX, lX), d_\psi K_\psi(X)) = \text{Coequ}_{\mathcal{B}}(rRX, LlX) \\ &= \text{Coequ}_{\mathcal{B}}((\epsilon LRX) \circ (L\psi RX), (LR\epsilon X) \circ (L\psi RX)) \end{aligned}$$

i.e.

$$(6.12) \quad (D_\psi K_\psi(X), d_\psi K_\psi(X)) = \text{Coequ}_{\mathcal{B}}(rRX, LlX)$$

where  $l = \Gamma(\psi) = (R\epsilon) \circ (\psi R)$ . We compute

$$\begin{aligned} (\tilde{\epsilon}X) \circ (d_\psi K_\psi X) &= \text{Hom}_{\mathcal{A}}(d_\psi K_\psi X, X)((\tilde{\epsilon}X)) \\ &= \text{Hom}_{\mathcal{A}}(d_\psi K_\psi X, X) \left( \tilde{a}_{X, K_\psi X}^{-1}(\text{Id}_{K_\psi X}) \right) \\ &= \left[ \text{Hom}_{\mathcal{A}}(d_\psi K_\psi X, X) \circ \tilde{a}_{X, K_\psi X}^{-1} \right] (\text{Id}_{K_\psi X}) \\ &\stackrel{(6.10)}{=} a_{X, K_\psi X}^{-1} \mathbb{A}U(\text{Id}_{K_\psi X}) = a_{X, K_\psi X}^{-1}(\text{Id}_{\mathbb{A}UK_\psi X}) \\ &= a_{X, K_\psi X}^{-1}(\text{Id}_{RX}) = \epsilon X \end{aligned}$$

so that

$$(\tilde{\epsilon}X) \circ (d_\psi K_\psi X) = \epsilon X.$$

Since  $\tilde{\epsilon}X = \tilde{a}_{X, K_\psi X}^{-1}(\text{Id}_{K_\psi X})$  and  $\tilde{a}_{X, K_\psi X}^{-1}$  is an isomorphism, we deduce that  $\tilde{\epsilon}X : D_\psi K_\psi(X) \rightarrow X$  is defined as the unique morphism such that

$$(6.13) \quad (\tilde{\epsilon}X) \circ (d_\psi K_\psi X) = \epsilon X.$$

On the other hand, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , the unit of the adjunction  $(D_\psi, K_\psi)$ ,  $\tilde{\eta} : {}_{\mathbb{A}}\mathcal{B} \rightarrow K_\psi D_\psi$ , is given by

$$\tilde{\eta}(Y, {}^A\mu_Y) = \tilde{a}_{D_\psi(Y, {}^A\mu_Y), Y}(\text{Id}_{D_\psi(Y, {}^A\mu_Y)}) : (Y, {}^A\mu_Y) \rightarrow K_\psi D_\psi((Y, {}^A\mu_Y)).$$

Then by commutativity of the diagram (6.10), we deduce that

$$\begin{aligned} \mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y) &= \mathbb{A}U\tilde{a}_{D_\psi(Y, {}^A\mu_Y), Y}(\text{Id}_{D_\psi(Y, {}^A\mu_Y)}) \\ &= a_{D_\psi(Y, {}^A\mu_Y), Y} \circ \text{Hom}_{\mathcal{A}}(d_\psi((Y, {}^A\mu_Y)), D_\psi(Y, {}^A\mu_Y))(\text{Id}_{D_\psi(Y, {}^A\mu_Y)}) \\ &= a_{D_\psi(Y, {}^A\mu_Y), Y}(d_\psi((Y, {}^A\mu_Y))) = (Rd_\psi(Y, {}^A\mu_Y)) \circ (\eta Y). \end{aligned}$$

Thus we obtain that

$$(6.14) \quad \mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y) = (Rd_\psi(Y, {}^A\mu_Y)) \circ (\eta Y).$$

Observe that, for every  $Y \in \mathcal{B}$  we have that  ${}_{\mathbb{A}}F(Y) = (AY, m_A Y)$ . Moreover

$$\begin{aligned} (D_\psi {}_{\mathbb{A}}F(Y), d_\psi {}_{\mathbb{A}}F(Y)) &= (D_\psi(AY, m_A Y), d_\psi(AY, m_A Y)) \\ &= \text{Coequ}_{\mathcal{A}}(rAY, Lm_A Y) \stackrel{(6.1)}{=} (LY, rY) \end{aligned}$$

so that we get

$$(6.15) \quad (D_{\psi_{\mathbb{A}}}F, d_{\psi_{\mathbb{A}}}F) = (L, r).$$

In particular

$$(6.16) \quad d_{\psi}(AY, m_A Y) = rY.$$

**Corollary 6.56.** *In the setting of Proposition 6.53, assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathbb{A}}(rY, L^A\mu_Y)$ . Then, for every  $Y \in \mathcal{B}$  we have*

$${}_{\mathbb{A}}U\tilde{\eta}(AY, m_A Y) = \psi Y$$

and hence

$${}_{\mathbb{A}}U\tilde{\eta}_{\mathbb{A}}F = \psi$$

where  $\tilde{\eta}$  denotes the unit of the adjunction  $(D_{\psi}, K_{\psi})$ .

*Proof.* Let us calculate

$$\begin{aligned} {}_{\mathbb{A}}U\tilde{\eta}(AY, m_A Y) &\stackrel{(6.14)}{=} (Rd_{\psi}(AY, m_A Y)) \circ (\eta AY) \\ &\stackrel{(6.16)}{=} (RrY) \circ (\eta AY) = \Xi(r)(Y) = \psi Y. \end{aligned}$$

□

**Corollary 6.57.** *In the setting of Proposition 6.53, assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathbb{A}}(rY, L^A\mu_Y)$ . Then  $D_{\psi}$  is full and faithful if and only if  $\tilde{\eta}$  is a functorial isomorphism.*

*Proof.* By Proposition 6.53,  $(D_{\psi}, K_{\psi})$  is an adjunction with unit  $\tilde{\eta} : {}_{\mathbb{A}}\mathcal{B} \rightarrow K_{\psi}D_{\psi}$ . Then we can apply Proposition 5.18. □

**Lemma 6.58.** *In the setting of Proposition 6.53, assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathbb{A}}(rY, L^A\mu_Y)$ . Then, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , the following diagram*

$$\begin{array}{ccccc} AA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[A_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{m_{AA}U(Y, {}^A\mu_Y)} & A_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)} & {}_{\mathbb{A}}U(Y, {}^A\mu_Y) \\ \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) \downarrow & & \downarrow \psi_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \downarrow {}_{\mathbb{A}}U\tilde{\eta}(Y, {}^A\mu_Y) \\ RLA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[RL_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{Rr_{\mathbb{A}}U(Y, {}^A\mu_Y)} & RL_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{Rd_{\psi}(Y, {}^A\mu_Y)} & RD_{\psi}(Y, {}^A\mu_Y) \end{array}$$

$$\begin{array}{ccccccc} AA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[A_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{m_{AA}U(Y, {}^A\mu_Y)} & A_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)} & {}_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \\ \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) \downarrow & & \downarrow \psi_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \downarrow {}_{\mathbb{A}}U\tilde{\eta}(Y, {}^A\mu_Y) & & \\ RLA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[RL_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{Rr_{\mathbb{A}}U(Y, {}^A\mu_Y)} & RL_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{Rd_{\psi}(Y, {}^A\mu_Y)} & RD_{\psi}(Y, {}^A\mu_Y) & & \end{array}$$

serially commutes. Therefore we get

$$({}_{\mathbb{A}}U\tilde{\eta}) \circ ({}_{\mathbb{A}}U\lambda_A) = (Rd_{\psi}) \circ (\psi_{\mathbb{A}}U) \quad \text{and} \quad (\psi_{\mathbb{A}}U) \circ (m_{AA}U) = (Rr_{\mathbb{A}}U) \circ (\psi A_{\mathbb{A}}U)$$

*Proof.* Let us compute

$$\begin{aligned}
& \mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y) \circ {}^A\mu_Y \stackrel{(6.14)}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (\eta Y) \circ {}^A\mu_Y \\
& \stackrel{\eta}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (RL^A\mu_Y) \circ (\eta AY) \\
& \stackrel{\psi\text{morphmonads}}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (RL^A\mu_Y) \circ (\psi AY) \circ (u_A AY) \\
& \stackrel{\text{def}d_\psi}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (RrY) \circ (\psi AY) \circ (u_A AY) \\
& \stackrel{\text{def}r}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (R\epsilon LY) \circ (RL\psi Y) \circ (\psi AY) \circ (u_A AY) \\
& \stackrel{\psi\text{morphmonads}}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (\psi Y) \circ (m_A Y) \circ (u_A AY) \\
& \stackrel{A\text{monad}}{=} (Rd_\psi(Y, {}^A\mu_Y)) \circ (\psi Y)
\end{aligned}$$

so that we deduced

$$\mathbb{A}U\tilde{\eta}(Y, {}^A\mu_Y) \circ {}^A\mu_Y = (Rd_\psi(Y, {}^A\mu_Y)) \circ (\psi Y)$$

and thus

$$(\mathbb{A}U\tilde{\eta}) \circ (\mathbb{A}U\lambda_A) = (Rd_\psi) \circ (\psi_{\mathbb{A}U}).$$

Let us calculate

$$\begin{aligned}
(\psi_{\mathbb{A}U}) \circ (m_{\mathbb{A}U}) & \stackrel{\psi\text{monadsmorph}}{=} (R\epsilon L_{\mathbb{A}U}) \circ (RL\psi_{\mathbb{A}U}) \circ (\psi_{\mathbb{A}U}) \\
& \stackrel{\text{def}r}{=} (Rr_{\mathbb{A}U}) \circ (\psi_{\mathbb{A}U}).
\end{aligned}$$

□

**Theorem 6.59.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{RL} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$ . Assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathbb{A}}(rY, L^A\mu_Y)$ . Then we can consider the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$ . Its left adjoint  $D_\psi : {}_{\mathbb{A}}\mathcal{B} \rightarrow \mathcal{A}$  is full and faithful if and only if*

1)  $R$  preserves the coequalizer

$$(D_\psi, d_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}U}, L_{\mathbb{A}U}\lambda_A)$$

2)  $\psi : \mathbb{A} \rightarrow \mathbb{RL}$  is a monad isomorphism.

*Proof.* Recall that, by Corollary 6.56,

$$(6.17) \quad \mathbb{A}U\tilde{\eta}_{\mathbb{A}}F = \psi.$$

By Corollary 6.57,  $D_\psi$  is full and faithful if and only if  $\tilde{\eta}$  is a functorial isomorphism.

Let us assume that  $\tilde{\eta}$  is a functorial isomorphism, hence  $\psi$  is an isomorphism too. Recall that, by Lemma 6.58, we have

$$(6.18) \quad (\mathbb{A}U\tilde{\eta}) \circ (\mathbb{A}U\lambda_A) = (Rd_\psi) \circ (\psi_{\mathbb{A}U})$$

so that

$$(6.19) \quad (\mathbb{A}U\lambda_A) = (\mathbb{A}U\tilde{\eta}^{-1}) \circ (Rd_\psi) \circ (\psi_{\mathbb{A}U})$$

Let us consider the diagram

$$\begin{array}{ccc} RLA_{\mathbb{A}U} & \xrightarrow[\underline{RL_{\mathbb{A}U}\lambda_A}]{Rr_{\mathbb{A}U}} & RL_{\mathbb{A}U} \xrightarrow{Rd_\psi} RD_\psi \\ \\ RLA_{\mathbb{A}U} & \xrightarrow[\underline{RL_{\mathbb{A}U}\lambda_A}]{Rr_{\mathbb{A}U}} & RL_{\mathbb{A}U} \xrightarrow{Rd_\psi} RD_\psi \end{array}$$

We have to prove that  $(RD_\psi, Rd_\psi) = \text{Coequ}_{Fun}(Rr_{\mathbb{A}U}, RL_{\mathbb{A}U}\lambda_A)$ . Since  $R$  is a functor, we clearly have  $(Rd_\psi) \circ (Rr_{\mathbb{A}U}) = (Rd_\psi) \circ (RL_{\mathbb{A}U}\lambda_A)$ . Let  $Q : \mathbb{A}\mathcal{B} \rightarrow \mathcal{X}$  be a functor and let  $\chi : RL_{\mathbb{A}U} \rightarrow Q$  be a functorial morphism such that

$$\chi \circ (Rr_{\mathbb{A}U}) = \chi \circ (RL_{\mathbb{A}U}\lambda_A).$$

We compute

$$\begin{aligned} \chi \circ (\psi_{\mathbb{A}U}) &= \chi \circ (\psi_{\mathbb{A}U}) \circ (m_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \stackrel{\psi \text{morpmonads}}{=} \chi \circ (R\epsilon L_{\mathbb{A}U}) \circ (\psi_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \\ &= \chi \circ (R\epsilon L_{\mathbb{A}U}) \circ (RL\psi_{\mathbb{A}U}) \circ (\psi_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \stackrel{\text{defr}}{=} \chi \circ (Rr_{\mathbb{A}U}) \circ (\psi_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \\ &\stackrel{\chi}{=} \chi \circ (RL_{\mathbb{A}U}\lambda_A) \circ (\psi_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \stackrel{\psi}{=} \chi \circ (\psi_{\mathbb{A}U}) \circ (\mathbb{A}U\lambda_A) \circ (u_{\mathbb{A}U}) \\ &\stackrel{u_{\mathbb{A}}}{=} \chi \circ (\psi_{\mathbb{A}U}) \circ (u_{\mathbb{A}U}) \circ (\mathbb{A}U\lambda_A) \\ &\stackrel{\psi \text{morpmonads}}{=} \chi \circ (\eta_{\mathbb{A}U}) \circ (\mathbb{A}U\lambda_A) \\ &\stackrel{(6.19)}{=} \chi \circ (\eta_{\mathbb{A}U}) \circ (\mathbb{A}U\tilde{\eta}^{-1}) \circ (Rd_\psi) \circ (\psi_{\mathbb{A}U}). \end{aligned}$$

Since  $\psi$  is an isomorphism we deduce that

$$\chi = [\chi \circ (\eta_{\mathbb{A}U}) \circ (\mathbb{A}U\tilde{\eta}^{-1})] \circ (Rd_\psi).$$

Let now  $\omega : RD_\psi \rightarrow Q$  be a functorial morphism such that

$$\chi = \omega \circ (Rd_\psi).$$

We compute

$$\begin{aligned} &[\chi \circ (\eta_{\mathbb{A}U}) \circ (\mathbb{A}U\tilde{\eta}^{-1})] \circ (\mathbb{A}U\tilde{\eta}) \circ (\mathbb{A}U\lambda_A) \\ &\stackrel{(6.18)}{=} [\chi \circ (\eta_{\mathbb{A}U}) \circ (\mathbb{A}U\tilde{\eta}^{-1})] \circ (Rd_\psi) \circ (\psi_{\mathbb{A}U}) \\ &= \chi \circ (\psi_{\mathbb{A}U}) = \omega \circ (Rd_\psi) \circ (\psi_{\mathbb{A}U}) \stackrel{(6.18)}{=} \omega \circ (\mathbb{A}U\tilde{\eta}) \circ (\mathbb{A}U\lambda_A) \end{aligned}$$

and since  ${}_{\mathbb{A}}U\lambda_A$  is an epimorphism (it is a coequalizer) and  $\tilde{\eta}$  is an isomorphism, we deduce that

$$\omega = \chi \circ (\eta_{\mathbb{A}}U) \circ ({}_{\mathbb{A}}U\tilde{\eta}^{-1}).$$

Conversely, assume that 1) and 2) hold. Then  $\psi$  is a functorial isomorphism. Consider the diagram

$$\begin{array}{ccccc} AA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[\text{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{m_{AA}U(Y, {}^A\mu_Y)} & A_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)} & {}_{\mathbb{A}}U(Y, {}^A\mu_Y) \\ \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) \downarrow & & \downarrow \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \downarrow {}_{\mathbb{A}}U\tilde{\eta}(Y, {}^A\mu_Y) \\ RLA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[\text{}_{RL}U\lambda_A(Y, {}^A\mu_Y)]{Rr_{\mathbb{A}}U(Y, {}^A\mu_Y)} & RL_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{Rd_{\psi}(Y, {}^A\mu_Y)} & RD_{\psi}(Y, {}^A\mu_Y) \end{array}$$
  

$$\begin{array}{ccccccc} AA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[\text{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)]{m_{AA}U(Y, {}^A\mu_Y)} & A_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{{}_{\mathbb{A}}U\lambda_A(Y, {}^A\mu_Y)} & {}_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \\ \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) \downarrow & & \downarrow \psi A_{\mathbb{A}}U(Y, {}^A\mu_Y) & & \downarrow {}_{\mathbb{A}}U\tilde{\eta}(Y, {}^A\mu_Y) & & \\ RLA_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow[\text{}_{RL}U\lambda_A(Y, {}^A\mu_Y)]{Rr_{\mathbb{A}}U(Y, {}^A\mu_Y)} & RL_{\mathbb{A}}U(Y, {}^A\mu_Y) & \xrightarrow{Rd_{\psi}(Y, {}^A\mu_Y)} & RD_{\psi}(Y, {}^A\mu_Y) & & \end{array}$$

of Lemma 6.58 where the first row is always a coequalizer (see Proposition 6.32) and the last row is also a coequalizer by the assumption 1). Then we can apply Lemma 5.36 and hence we get that  ${}_{\mathbb{A}}U\tilde{\eta}$  is a functorial isomorphism. Since, by Proposition 6.34,  ${}_{\mathbb{A}}U$  reflects isomorphism we deduce that  $\tilde{\eta}$  is a functorial isomorphism.  $\square$

**Corollary 6.60.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Let  $r = \Theta(\text{Id}_{\mathbb{RL}}) = \epsilon L$ . Assume that, for every  $(Y, {}^{RL}\mu_Y) \in {}_{\mathbb{RL}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(\epsilon LY, L{}^{RL}\mu_Y)$ . Then we can consider the functor  $K = \Upsilon(\text{Id}_{\mathbb{RL}}) : \mathcal{A} \rightarrow {}_{\mathbb{RL}}\mathcal{B}$ . Its left adjoint  $D : {}_{\mathbb{RL}}\mathcal{B} \rightarrow \mathcal{A}$  is full and faithful if and only if  $R$  preserves the coequalizer*

$$(D, d) = \text{Coequ}_{\text{Fun}}(\epsilon L_{\mathbb{RL}}U, L_{\mathbb{RL}}U\lambda_{RL}).$$

*Proof.* We can apply Theorem 6.59 with " $\psi$ " =  $\text{Id}_{\mathbb{RL}}$ .  $\square$

**Theorem 6.61.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{RL} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$  and  $l = \Gamma(\psi) = (R\epsilon) \circ (\psi R)$ . Assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(rY, L{}^A\mu_Y)$ . Then we can consider the functor  $K_{\psi} = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  and its left adjoint  $D_{\psi} : {}_{\mathbb{A}}\mathcal{B} \rightarrow \mathcal{A}$ . The functor  $K_{\psi}$  is an equivalence of categories if and only if*

- 1)  $R$  preserves the coequalizer

$$(D_{\psi}, d_{\psi}) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$$

- 2)  $R$  reflects isomorphisms and



3)  $\psi : \mathbb{A} \rightarrow \mathbb{RL}$  is a monad isomorphism.

*Proof.* If  $K_\psi$  is an equivalence then, by Lemma 5.24,  $D_\psi$  is an equivalence of categories so that, by Theorem 6.59, 1) and 3) hold. By Proposition 6.34, the functor  ${}_{\mathbb{A}}U$  reflects isomorphisms. Since  $R = {}_{\mathbb{A}}U \circ K_\psi$  we get that 2) holds.

Conversely assume that 1), 2) and 3) hold. By Theorem 6.59,  $D_\psi$  is full and faithful and hence by Corollary 6.57  $\tilde{\eta}$  is a functorial isomorphism. Let us prove that  $\tilde{\epsilon}$  is an isomorphism as well. Since  $R$  reflects isomorphisms, it is enough to prove that  $R\tilde{\epsilon}$  is an isomorphism. As observed in Remark 6.55,  $\tilde{\epsilon}X : D_\psi K_\psi(X) \rightarrow X$  is defined as the unique morphism such that

$$(\tilde{\epsilon}X) \circ (d_\psi K_\psi X) = \epsilon X.$$

Hence we get

$$(6.20) \quad (R\tilde{\epsilon}X) \circ (Rd_\psi K_\psi X) = R\epsilon X$$

so that

$$(R\tilde{\epsilon}X) \circ (Rd_\psi K_\psi X) \circ (\eta RX) = (R\epsilon X) \circ (\eta RX) = RX.$$

We will prove that  $(Rd_\psi K_\psi X) \circ (\eta RX)$  is also a left inverse of  $R\tilde{\epsilon}X$ . We have

$$\begin{aligned} & (Rd_\psi K_\psi X) \circ (\eta RX) \circ (R\tilde{\epsilon}X) \circ (Rd_\psi K_\psi X) \\ & \stackrel{(6.20)}{=} (Rd_\psi K_\psi X) \circ (\eta RX) \circ (R\epsilon X) \\ & \stackrel{(L,R)\text{adj}}{=} (Rd_\psi K_\psi X) \end{aligned}$$

and since  $R$  preserves coequalizers  $(D_\psi, d_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$ ,  $Rd_\psi K_\psi X$  is an epimorphism, so that

$$(Rd_\psi K_\psi X) \circ (\eta RX) \circ (R\tilde{\epsilon}X) = RD_\psi K_\psi X$$

so that  $R\tilde{\epsilon}$  is a functorial isomorphism.  $\square$

**Definition 6.62.** Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $(R, {}^A\mu_R)$  be a left  $\mathbb{A}$ -module functor. We say that  $(R, {}^A\mu_R)$  is an  $\mathbb{A}$ -coGalois functor if  $R$  has a left adjoint  $L$  and if the canonical morphism

$$\text{cocan} := ({}^A\mu_R L) \circ (A\eta) : \mathbb{A} \rightarrow \mathbb{RL}$$

is a monad isomorphism, where  $\eta$  denotes the unit of the adjunction  $(L, R)$ .

**Corollary 6.63.** Let  $(R, {}^A\mu_R)$  be a left  $A$ -coGalois functor where  $R : \mathcal{A} \rightarrow \mathcal{B}$  preserves coequalizers,  $R$  reflects isomorphisms and  $\mathbb{A} = (A, m_A, u_A)$  is a monad on  $\mathcal{B}$ . Assume that, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathbb{A}}(rY, L^A\mu_Y)$  where  $r = (\epsilon L) \circ (L\text{cocan})$  where  $L$  is the left adjoint of  $R$  and  $\epsilon$  is the counit of the adjunction  $(L, R)$ . Then we can consider the functor  $K_{\text{cocan}} : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  and its left adjoint  $D_{\text{cocan}} : {}_{\mathbb{A}}\mathcal{B} \rightarrow \mathcal{A}$ . Then the functor  $K_{\text{cocan}}$  is an equivalence of categories.

*Proof.* We can apply Theorem 6.61 to the case  $\psi = \text{cocan}$ .  $\square$

**Theorem 6.64** ( Beck's Theorem for monads). *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Let  $r = \Theta(\text{Id}_{\mathbb{R}\mathcal{L}}) = \epsilon L$  and assume that, for every  $(Y, {}^{\text{RL}}\mu_Y) \in {}_{\mathbb{R}\mathcal{L}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(\epsilon LY, L{}^{\text{RL}}\mu_Y)$ . Then we can consider the functor  $K = \Upsilon(\text{Id}_{\mathbb{R}\mathcal{L}}) : \mathcal{A} \rightarrow {}_{\mathbb{R}\mathcal{L}}\mathcal{B}$  and its left adjoint  $D : {}_{\mathbb{R}\mathcal{L}}\mathcal{B} \rightarrow \mathcal{A}$ . The functor  $K$  is an equivalence of categories if and only if*

1)  $R$  preserves the coequalizer

$$(D, d) = \text{Coequ}_{\text{Fun}}(\epsilon L{}_{\mathbb{R}\mathcal{L}}U, L{}_{\mathbb{R}\mathcal{L}}U\lambda_{\text{RL}}).$$

2)  $R$  reflects isomorphisms.

*Proof.* Apply Theorem 6.61 taking  $\psi = \text{Id}_{\mathbb{R}\mathcal{L}}$ .  $\square$

**Definition 6.65.** *Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $R : \mathcal{A} \rightarrow \mathcal{B}$  be a functor. The functor  $R$  is called  $\psi$ -monadic if it has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  for which there exists  $\psi : \mathbb{A} \rightarrow \mathbb{R}\mathcal{L}$  a monad morphism such that the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  is an equivalence of categories.*

**Definition 6.66.** *Let  $R : \mathcal{A} \rightarrow \mathcal{B}$  be a functor. The functor  $R$  is called monadic if it has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  for which the functor  $K = \Upsilon(\text{Id}_{\mathbb{R}\mathcal{L}}) : \mathcal{A} \rightarrow {}_{\mathbb{R}\mathcal{L}}\mathcal{B}$  is an equivalence of categories.*

**Lemma 6.67.** *Let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $R : \mathcal{A} \rightarrow \mathcal{B}$  be a  $\psi$ -monadic functor and let*

$$(6.21) \quad X \underset{c_1}{\overset{c_0}{\rightrightarrows}} X'$$

$$X \underset{c_1}{\overset{c_0}{\rightrightarrows}} X'$$

be an  $R$ -contractible coequalizer pair in  $\mathcal{A}$ . Then (6.21) has a coequalizer  $c : X' \rightarrow X''$  in  $\mathcal{A}$  and

$$RX \underset{Rc_1}{\overset{Rc_0}{\rightrightarrows}} RX' \xrightarrow{Rc} RX''$$

$$RX \underset{Rc_1}{\overset{Rc_0}{\rightrightarrows}} RX' \xrightarrow{Rc} RX''$$

is a coequalizer in  $\mathcal{B}$ .

*Proof.* Since  $R$  is a  $\psi$ -monadic functor, we know that  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  is an equivalence of categories. Then instead of considering

$$X \underset{c_1}{\overset{c_0}{\rightrightarrows}} X'$$

$$X \begin{array}{c} \xrightarrow{c_0} \\ \rightrightarrows \\ \xleftarrow{c_1} \end{array} X'$$

in the category  $\mathcal{A}$ , we can consider

$$K_\psi X \begin{array}{c} \xrightarrow{K_\psi c_0} \\ \rightrightarrows \\ \xleftarrow{K_\psi c_1} \end{array} K_\psi X'$$

$$K_\psi X \begin{array}{c} \xrightarrow{K_\psi c_0} \\ \rightrightarrows \\ \xleftarrow{K_\psi c_1} \end{array} K_\psi X'$$

in  ${}_{\mathbb{A}}\mathcal{B}$ , which is a  ${}_{\mathbb{A}}U$ -contractible coequalizer pair. Let us denote by  $(Y, {}^A\mu_Y) := K_\psi X$  and  $(Y', {}^A\mu_{Y'}) := K_\psi X'$  so that we can rewrite the  ${}_{\mathbb{A}}U$ -contractible coequalizer pair as follows

$$(Y, {}^A\mu_Y) \begin{array}{c} \xrightarrow{K_\psi c_0} \\ \rightrightarrows \\ \xleftarrow{K_\psi c_1} \end{array} (Y', {}^A\mu_{Y'}).$$

$$(Y, {}^A\mu_Y) \begin{array}{c} \xrightarrow{K_\psi c_0} \\ \rightrightarrows \\ \xleftarrow{K_\psi c_1} \end{array} (Y', {}^A\mu_{Y'}).$$

We want to prove that this pair has a coequalizer in  ${}_{\mathbb{A}}\mathcal{B}$ . Since the pair  $(K_\psi c_0, K_\psi c_1)$  is a  ${}_{\mathbb{A}}U$ -contractible coequalizer pair, we have that

$$RX \begin{array}{c} \xrightarrow{Rc_0} \\ \xleftarrow{v} \\ \xrightarrow{Rc_1} \end{array} RX' \begin{array}{c} \xrightarrow{q} \\ \xleftarrow{u} \end{array} Q$$

$$\begin{array}{c} \xrightarrow{Rc_0} \\ RX \xleftarrow{v} RX' \xrightarrow{q} Q \\ \xrightarrow{Rc_1} \end{array} \begin{array}{c} \xrightarrow{q} \\ \xleftarrow{u} \end{array}$$

is a contractible coequalizer in  $\mathcal{B}$ , i.e.

$$Y \begin{array}{c} \xrightarrow{Rc_0} \\ \xleftarrow{v} \\ \xrightarrow{Rc_1} \end{array} Y' \begin{array}{c} \xrightarrow{q} \\ \xleftarrow{u} \end{array} Q$$

$$\begin{array}{c} \xrightarrow{Rc_0} \\ Y \xleftarrow{v} Y' \xrightarrow{q} Q \\ \xrightarrow{Rc_1} \end{array} \begin{array}{c} \xrightarrow{q} \\ \xleftarrow{u} \end{array}$$

is a contractible coequalizer and thus, by Proposition 6.7, a coequalizer in  $\mathcal{B}$ . Let

us consider the following diagram

$$\begin{array}{ccccc}
AA Y & \xrightarrow{AARc_0} & \rightrightarrows & AA Y' & \xrightarrow{AAq} & AAQ \\
m_A Y \downarrow \Downarrow A^A \mu_Y & & & m_A Y' \downarrow \Downarrow A^A \mu_{Y'} & & m_A Q \downarrow \Downarrow A^A \mu_Q \\
AY & \xrightarrow{ARc_0} & \rightrightarrows & AY' & \xrightarrow{Aq} & AQ \\
\downarrow A^A \mu_Y & & & \downarrow A^A \mu_{Y'} & & \downarrow A^A \mu_Q \\
Y & \xrightarrow{Rc_0} & \rightrightarrows & Y' & \xrightarrow{q} & Q. \\
& & R_{c_1} & & & 
\end{array}$$
  

$$\begin{array}{ccccccc}
& & AARc_0 & & AAq & & \\
& & \rightrightarrows & & \xrightarrow{} & & \\
& & AARc_1 & & & & \\
m_A Y \downarrow \Downarrow A^A \mu_Y & & & m_A Y' \downarrow \Downarrow A^A \mu_{Y'} & & & m_A Q \downarrow \Downarrow A^A \mu_Q \\
AY & \xrightarrow{ARc_0} & & AY' & \xrightarrow{Aq} & & AQ \\
& & ARc_1 & & & & \\
\downarrow A^A \mu_Y & & & \downarrow A^A \mu_{Y'} & & & \downarrow A^A \mu_Q \\
Y & \xrightarrow{Rc_0} & & Y' & \xrightarrow{q} & & Q. \\
& & R_{c_1} & & & & 
\end{array}$$

By Proposition 6.8, all the rows are contractible coequalizers. Since  $Rc_0 = {}_{\mathbb{A}}UK_{\psi}c_0$  and  $Rc_1 = {}_{\mathbb{A}}UK_{\psi}c_1$ , we have that the lower left square serially commutes. Moreover, since we also have that  $m_A$  is a functorial morphism, the upper left square serially commutes. We also have that  $q \circ A^A \mu_{Y'}$  coequalizes  $(ARc_0, ARc_1)$  and, since  $(AQ, Aq) = \text{Coequ}_{\mathbb{B}}(ARc_0, ARc_1)$ , by the universal property of the coequalizer, there exists a unique morphism  $A^A \mu_Q : AQ \rightarrow Q$  such that

$$(6.22) \quad A^A \mu_Q \circ (Aq) = q \circ A^A \mu_{Y'}.$$

Let us prove that  $(Q, A^A \mu_Q) \in {}_{\mathbb{A}}\mathcal{B}$  and thus formula (6.22) will say that  $q$  is a morphism in  ${}_{\mathbb{A}}\mathcal{B}$ . Since  $m_A$  is a functorial morphism and by definition of  $A^A \mu_Q$ , the upper right square serially commutes. We have

$$\begin{aligned}
& A^A \mu_Q \circ (A^A \mu_Q) \circ (AAq) \stackrel{(6.22)}{=} A^A \mu_Q \circ (Aq) \circ (A^A \mu_{Y'}) \\
& \stackrel{(6.22)}{=} q \circ A^A \mu_{Y'} \circ (A^A \mu_{Y'}) \stackrel{A^A \mu_{Y'}^{\text{ass}}}{=} q \circ A^A \mu_{Y'} \circ (m_A Y') \\
& \stackrel{(6.22)}{=} A^A \mu_Q \circ (Aq) \circ (m_A Y') \stackrel{m_A}{=} A^A \mu_Q \circ (m_A Q) \circ (AAq)
\end{aligned}$$

and since  $AAq$  is an epimorphism we get

$$A^A \mu_Q \circ (A^A \mu_Q) = A^A \mu_Q \circ (m_A Q)$$

that is that  $A^A \mu_Q$  is associative. Moreover we have

$$\begin{aligned}
& A^A \mu_Q \circ (u_A Q) \circ q \stackrel{u_A}{=} A^A \mu_Q \circ (Aq) \circ (u_A Y') \\
& \stackrel{(6.22)}{=} q \circ A^A \mu_{Y'} \circ (u_A Y') = q
\end{aligned}$$

and since  $q$  is an epimorphism we get that

$${}^A\mu_Q \circ (u_A Q) = Q$$

so that  ${}^A\mu_Q$  is also unital. Therefore  $(Q, {}^A\mu_Q) \in {}_{\mathbb{A}}\mathcal{B}$  and  $q$  is a morphism in  ${}_{\mathbb{A}}\mathcal{B}$ . Now we want to prove that it is a coequalizer in  ${}_{\mathbb{A}}\mathcal{B}$ . Let  $(Z, {}^A\mu_Z) \in {}_{\mathbb{A}}\mathcal{B}$  and  $\chi : (Y', {}^A\mu_{Y'}) \rightarrow (Z, {}^A\mu_Z)$  be a morphism in  ${}_{\mathbb{A}}\mathcal{B}$  such that  $\chi \circ (K_\psi c_0) = \chi \circ (K_\psi c_1)$ . Then, by regarding  $\chi$  as a morphism in  $\mathcal{B}$  we also have that

$$\chi \circ (Rc_0) = \chi \circ (Rc_1).$$

Since  $(Q, q) = \text{Coequ}_{\mathcal{B}}(Rc_0, Rc_1)$ , there exists a unique morphism  $\xi : Q \rightarrow Z$  such that

$$\xi \circ q = \chi.$$

Now we want to prove that  $\xi$  is a morphism in  ${}_{\mathbb{A}}\mathcal{B}$ . In fact, let us consider the following diagram

$$\begin{array}{ccccc} AY' & \xrightarrow{Aq} & AQ & \xrightarrow{A\xi} & AZ \\ {}^A\mu_{Y'} \downarrow & & {}^A\mu_Q \downarrow & & {}^A\mu_Z \downarrow \\ Y' & \xrightarrow{q} & Q & \xrightarrow{\xi} & Z. \end{array}$$

$$\begin{array}{ccccc} AY' & \xrightarrow{Aq} & AQ & \xrightarrow{A\xi} & AZ \\ \downarrow {}^A\mu_{Y'} & & \downarrow {}^A\mu_Q & & \downarrow {}^A\mu_Z \\ Y' & \xrightarrow{q} & Q & \xrightarrow{\xi} & Z. \end{array}$$

Since  $q \in {}_{\mathbb{A}}\mathcal{B}$ , the left square commutes. Since  $\chi \in {}_{\mathbb{A}}\mathcal{B}$  we have

$${}^A\mu_Z \circ (A\xi) \circ (Aq) = {}^A\mu_Z \circ (A\chi) = \chi \circ {}^A\mu_{Y'} = \xi \circ q \circ {}^A\mu_{Y'}$$

so that we have

$$\xi \circ {}^A\mu_Q \circ (Aq) \stackrel{(6.22)}{=} \xi \circ q \circ {}^A\mu_{Y'} = {}^A\mu_Z \circ (A\xi) \circ (Aq)$$

and since  $Aq$  is an epimorphism, we deduce that

$$\xi \circ {}^A\mu_Q = {}^A\mu_Z \circ (A\xi)$$

i.e.  $\xi \in {}_{\mathbb{A}}\mathcal{B}$ . Therefore  $(Q, q) = \text{Coequ}_{{}_{\mathbb{A}}\mathcal{B}}(K_\psi c_0, K_\psi c_1)$ . Now, since  $K_\psi : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$ , there exist  $X'', c \in \mathcal{A}$  such that

$$K_\psi X'' = Q \text{ and } K_\psi c = q$$

and thus  $(X'', c) = \text{Coequ}_{\mathcal{A}}(c_0, c_1)$ . Moreover, since

$$RX \begin{array}{c} \xrightarrow{Rc_0} \\ \xleftarrow{v} \\ \xrightarrow{Rc_1} \end{array} RX' \begin{array}{c} \xleftarrow{q} \\ \xrightarrow{u} \end{array} Q$$

$$\begin{array}{ccccc}
& & \xrightarrow{Rc_0} & & \\
RX & \xleftarrow{v} & RX' & \xrightleftharpoons[u]{q} & Q \\
& & \xrightarrow{Rc_1} & & 
\end{array}$$

is a contractible coequalizer and  $(Q, q) = (\mathbb{A}UK_\psi X'', \mathbb{A}UK_\psi c)$ , we deduce that  $(\mathbb{A}UK_\psi X'', \mathbb{A}UK_\psi c)$  is a contractible coequalizer of  $(Rc_0, Rc_1)$ . Then  $(RX'', Rc)$  is a contractible coequalizer of  $(Rc_0, Rc_1)$  so that  $(RX'', Rc) = \text{Coequ}_{\mathcal{B}}(Rc_0, Rc_1)$ .  $\square$

**Theorem 6.68** (Generalized Beck's Precise Tripleability Theorem). *Let  $R : \mathcal{A} \rightarrow \mathcal{B}$  be a functor and let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$ . Then  $R$  is  $\psi$ -monadic if and only if*

- 1)  $R$  has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,
- 2)  $\psi : \mathbb{A} \rightarrow \mathbb{R}\mathbb{L}$  is a monad isomorphism where  $\mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  with  $\eta$  and  $\epsilon$  unit and counit of  $(L, R)$ ,
- 3) for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exist  $\text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y)$ , where  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$ , and  $R$  preserves the coequalizer

$$\text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A),$$

- 4)  $R$  reflects isomorphisms.

In this case in  $\mathcal{A}$  there exist coequalizers of  $R$ -contractible coequalizer pairs and  $R$  preserves them.

*Proof.* Assume first that  $R$  is  $\psi$ -monadic. Then by definition  $R$  has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  and a monad morphism  $\psi : \mathbb{A} \rightarrow \mathbb{R}\mathbb{L}$  such that the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  is an equivalence of categories. Let  $K'_\psi$  be an inverse of  $K_\psi$ . Then in particular  $K'_\psi : {}_{\mathbb{A}}\mathcal{B} \rightarrow \mathcal{A}$  is a left adjoint of  $K_\psi$  so that, by Proposition 6.53, for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$ , there exists  $\text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y)$  where  $r = \Theta(\psi) = (\epsilon L) \circ (L\psi)$  and thus  $(K'_\psi, k'_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$  where  $k'_\psi(Y, {}^A\mu_Y) : LY \rightarrow \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$  is the canonical projection. Then we can apply Theorem 6.61 to get that  $R$  preserves the coequalizer  $(K'_\psi, k'_\psi) = \text{Coequ}_{\text{Fun}}(r_{\mathbb{A}}U, L_{\mathbb{A}}U\lambda_A)$ ,  $R$  reflects isomorphisms and  $\psi : \mathbb{A} \rightarrow \mathbb{R}\mathbb{L}$  is a monads isomorphism.

Conversely, by assumption 1)  $R$  has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$  so that  $(L, R)$  is an adjunction and by 2) there exist  $\text{Coequ}_{\mathcal{A}}(rY, L^A\mu_Y)$ , for every  $(Y, {}^A\mu_Y) \in {}_{\mathbb{A}}\mathcal{B}$  so that we can apply Proposition 6.53. Thus the functor  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  has a left adjoint  $D_\psi : {}_{\mathbb{A}}\mathcal{B} \rightarrow \mathcal{A}$ . Now, by applying Theorem 6.61 in the converse direction, we deduce that  $K_\psi = \Upsilon(\psi) : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  is an equivalence of categories, i.e.  $R$  is monadic. If  $R$  is  $\psi$ -monadic, by Lemma 6.67, in  $\mathcal{A}$  there exist coequalizers of reflexive  $R$ -contractible coequalizer pairs and  $R$  preserves them.  $\square$

**Corollary 6.69** (Beck's Precise Tripleability Theorem). *Let  $R : \mathcal{A} \rightarrow \mathcal{B}$  be a functor. Then  $R$  is monadic if and only if*

- 1)  $R$  has a left adjoint  $L : \mathcal{B} \rightarrow \mathcal{A}$ ,
- 2) for every  $(Y, {}^{RL}\mu_Y) \in {}_{\mathbb{R}\mathbb{L}}\mathcal{B}$ , there exist  $\text{Coequ}_{\mathcal{A}}(\epsilon LY, L {}^{RL}\mu_Y)$  and  $R$  preserves the coequalizer
 
$$\text{Coequ}_{\text{Fun}}(\epsilon L {}_{\mathbb{R}\mathbb{L}}U, L {}_{\mathbb{R}\mathbb{L}}U \lambda_{RL}),$$
- 3)  $R$  reflects isomorphisms.

In this case in  $\mathcal{A}$  there exist coequalizers of  $R$ -contractible coequalizer pairs and  $R$  preserves them.

*Proof.* Apply Theorem 6.68 to the case that  $\psi = \text{Id}_{\mathbb{R}\mathbb{L}}$ . □

## 6.6 BECK1 for Monads

**Lemma 6.70.** *Let  $(L, R)$  be an adjunction, where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , with unit  $\eta$  and counit  $\epsilon$ . Then for every  $X \in \mathcal{A}$ ,  $(RX, RLRX, RLRLRX, R\epsilon X, R\epsilon LRX, RLRL\epsilon X, \eta RX, \eta RLRLX)$  is a contractible coequalizer and in particular, for every  $X \in \mathcal{A}$*

$$(RX, R\epsilon X) = \text{Coequ}_{\mathcal{B}}(R\epsilon LRX, RLRL\epsilon X).$$

*Proof.* Consider the following diagram

$$RLRLRX \begin{array}{c} \xrightarrow{R\epsilon LRX} \\ \xleftarrow{\eta RLRLX} \\ \xrightarrow{RLRL\epsilon X} \end{array} RLRX \begin{array}{c} \xleftarrow{R\epsilon X} \\ \xrightarrow{\eta RX} \end{array} RX$$

and let us compute

$$\begin{aligned} (R\epsilon LRX) \circ (\eta RLRLX) &= \text{Id}_{RLRLX} \\ (RLRL\epsilon X) \circ (\eta RLRLX) &\stackrel{\eta}{=} (\eta RX) \circ (R\epsilon X) \\ (R\epsilon X) \circ (\eta RX) &= \text{Id}_{RX} \\ (R\epsilon X) \circ (R\epsilon LRX) &\stackrel{\epsilon}{=} (R\epsilon X) \circ (RLRL\epsilon X). \end{aligned}$$

Thus  $(RX, RLRX, RLRLRX, R\epsilon X, R\epsilon LRX, RLRL\epsilon X, \eta RX, \eta RLRLX)$  is a contractible coequalizer for every  $X \in \mathcal{A}$  and by Proposition 6.7 we get that  $(RX, R\epsilon X) = \text{Coequ}_{\mathcal{B}}(R\epsilon LRX, RLRL\epsilon X)$ . □

**Lemma 6.71.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $K_\psi = \Upsilon(\psi) = (R, (R\epsilon) \circ (\psi R))$  and  ${}_{\mathbb{A}}UK_\psi(f) = {}_{\mathbb{A}}U\Upsilon(\psi)(f) = R(f)$  for every morphism  $f$  in  $\mathcal{A}$ . For every  $X \in \mathcal{A}$  we have*

$$(6.23) \quad (K_\psi X, K_\psi \epsilon X) = \text{Coequ}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon LRX, K_\psi LR\epsilon X).$$

*Proof.* By Lemma 6.70 we have that  $(RX, R\epsilon X) = \text{Coequ}_{\mathcal{B}}(R\epsilon LRX, RLR\epsilon X)$ . Let  $\chi : K_{\psi}LRX = (RLRX, (R\epsilon LRX) \circ (\psi RLRX)) \rightarrow Q$  be a morphism in  ${}_{\mathbb{A}}\mathcal{B}$  such that

$$\chi \circ (K_{\psi}\epsilon LRX) = \chi \circ (K_{\psi}LR\epsilon X).$$

Then

$$(6.24) \quad ({}_{\mathbb{A}}U\chi) \circ (R\epsilon LRX) = ({}_{\mathbb{A}}U\chi) \circ (RLR\epsilon X)$$

and hence there exists a unique  $\omega : {}_{\mathbb{A}}UK_{\psi}X = RX \rightarrow {}_{\mathbb{A}}UQ$  in  $\mathcal{B}$  such that

$$(6.25) \quad {}_{\mathbb{A}}U\chi = \omega \circ (R\epsilon X) = \omega \circ ({}_{\mathbb{A}}UK_{\psi}\epsilon X)$$

Let us prove that  $\omega$  gives rise to a morphism in  ${}_{\mathbb{A}}\mathcal{B}$ . Since  $\chi$  is a morphism in  ${}_{\mathbb{A}}\mathcal{B}$  we have that

$$(6.26) \quad ({}_{\mathbb{A}}U\chi) \circ (R\epsilon LRX) \circ (\psi RLRX) = ({}_{\mathbb{A}}U\lambda_A Q) \circ (A_{\mathbb{A}}U\chi)$$

Let us compute

$$\begin{aligned} & ({}_{\mathbb{A}}U\lambda_A Q) \circ (A\omega) \circ (AR\epsilon X) \stackrel{(6.25)}{=} ({}_{\mathbb{A}}U\lambda_A Q) \circ (A_{\mathbb{A}}U\chi) \\ & \stackrel{(6.26)}{=} ({}_{\mathbb{A}}U\chi) \circ (R\epsilon LRX) \circ (\psi RLRX) \\ & \stackrel{(6.24)}{=} ({}_{\mathbb{A}}U\chi) \circ (RLR\epsilon X) \circ (\psi RLRX) \\ & \stackrel{\psi}{=} ({}_{\mathbb{A}}U\chi) \circ (\psi RX) \circ (AR\epsilon X) \\ & \stackrel{(6.25)}{=} \omega \circ (R\epsilon X) \circ (\psi RX) \circ (AR\epsilon X) \end{aligned}$$

so that

$$({}_{\mathbb{A}}U\lambda_A Q) \circ (A\omega) \circ (AR\epsilon X) = \omega \circ (R\epsilon X) \circ (\psi RX) \circ (AR\epsilon X).$$

Since  $(AR\epsilon X) \circ (A\eta RX) = ARX$ , we deduce that  $AR\epsilon X$  is epi and thus

$$({}_{\mathbb{A}}U\lambda_A Q) \circ (A\omega) = \omega \circ (R\epsilon X) \circ (\psi RX)$$

i.e.  $\omega : {}_{\mathbb{A}}UK_{\psi}X = RX \rightarrow {}_{\mathbb{A}}UQ$  is a morphism of left  $\mathbb{A}$ -modules.  $\square$

**Proposition 6.72.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}L = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $K_{\psi} = \Upsilon(\psi) = (R, (R\epsilon) \circ (\psi R))$  and  ${}_{\mathbb{A}}UK_{\psi}(f) = {}_{\mathbb{A}}U\Upsilon(\psi)(f) = R(f)$  for every morphism  $f$  in  $\mathcal{A}$ . If  $\psi Y$  is an epimorphism for every  $Y \in \mathcal{B}$ , the assignment  $\tilde{\mathcal{K}}_{LRX, X'} : \text{Hom}_{\mathcal{A}}(LRX, X') \rightarrow \text{Hom}_{{}_{\mathbb{A}}\mathcal{B}}(K_{\psi}LRX, K_{\psi}X')$  defined by setting*

$$\tilde{\mathcal{K}}_{LRX, X'}(f) = K_{\psi}(f)$$

is an isomorphism whose inverse is defined by

$$\tilde{\mathcal{K}}_{LRX, X'}^{-1}(h) = (\epsilon X') \circ (L_{\mathbb{A}}Uh) \circ (L\eta RX).$$



*Proof.* Let  $f \in \text{Hom}_{\mathcal{A}}(LRX, X')$ . We compute

$$\begin{aligned} \tilde{\mathcal{K}}_{LRX, X'}^{-1} \left( \tilde{\mathcal{K}}_{LRX, X'}(f) \right) &= (\epsilon X') \circ (L_{\mathbb{A}}UK_{\psi}f) \circ (L\eta RX) \\ &= (\epsilon X') \circ (LRf) \circ (L\eta RX) \stackrel{c}{=} f \circ (\epsilon LRX) \circ (L\eta RX) = f. \end{aligned}$$

Let  $h \in \text{Hom}_{\mathbb{A}\mathcal{B}}(K_{\psi}LRX, K_{\psi}X')$ . This means that

$$\begin{aligned} (\mathbb{A}Uh) \circ (R\epsilon LRX) \circ (\psi RLRX) &= (R\epsilon X') \circ (\psi RX') \circ (A_{\mathbb{A}}Uh) \\ &\stackrel{\psi}{=} (R\epsilon X') \circ (RL_{\mathbb{A}}Uh) \circ (\psi RLRX) \end{aligned}$$

Since  $\psi Y$  is an epimorphism for every  $Y \in \mathcal{B}$ , we deduce that

$$(6.27) \quad (\mathbb{A}Uh) \circ (R\epsilon LRX) = (R\epsilon X') \circ (RL_{\mathbb{A}}Uh)$$

We compute

$$\begin{aligned} (R\epsilon X') \circ (RL_{\mathbb{A}}Uh) \circ (RL\eta RX) &\stackrel{(6.27)}{=} (\mathbb{A}Uh) \circ (R\epsilon LRX) \circ (RL\eta RX) \\ &= \mathbb{A}Uh \end{aligned}$$

and thus

$$(K_{\psi}\epsilon X') \circ (K_{\psi}L_{\mathbb{A}}Uh) \circ (K_{\psi}L\eta RX) = h$$

i.e.

$$\begin{aligned} \tilde{\mathcal{K}}_{LRX, X'} \left( \tilde{\mathcal{K}}_{LRX, X'}^{-1}(h) \right) &= K_{\psi} \left( \tilde{\mathcal{K}}_{LRX, X'}^{-1}(h) \right) \\ &= (K_{\psi}\epsilon X') \circ (K_{\psi}L_{\mathbb{A}}Uh) \circ (K_{\psi}L\eta RX) = h. \end{aligned}$$

□

**Proposition 6.73.** *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{R}\mathbb{L} = (RL, R\epsilon L, \eta)$  be a monad morphism. Let  $K_{\psi} = \Upsilon(\psi) = (R, (R\epsilon) \circ (\psi R))$  and  $\mathbb{A}UK_{\psi}(f) = \mathbb{A}U\Upsilon(\psi)(f) = R(f)$  for every morphism  $f$  in  $\mathcal{A}$ . If  $K_{\psi}$  is full and faithful then, for every  $X \in \mathcal{A}$ , we have*

$$(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX).$$

*Proof.* By Lemma 6.71 we have

$$(K_{\psi}X, K_{\psi}\epsilon X) = \text{Coequ}_{\mathbb{A}\mathcal{B}}(K_{\psi}\epsilon LRX, K_{\psi}LR\epsilon X).$$

Then we can apply Lemma 5.37 and deduce that  $(X, \epsilon X) = \text{Coequ}_{\mathcal{B}}(\epsilon LRX, LR\epsilon X)$ .

□

**Theorem 6.74** (Generalized Beck's Theorem for Monads). *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ , let  $\mathbb{A} = (A, m_A, u_A)$  be a monad on the category  $\mathcal{B}$  and let  $\psi : \mathbb{A} = (A, m_A, u_A) \rightarrow \mathbb{RL} = (RL, R\epsilon L, \eta)$  be a monads morphism such that  $\psi Y$  is an epimorphism for every  $Y \in \mathcal{B}$ . Let  $K_\psi = \Upsilon(\psi) = (R, (R\epsilon) \circ (\psi R))$  and  ${}_{\mathbb{A}}UK_\psi(f) = {}_{\mathbb{A}}U\Upsilon(\psi)(f) = R(f)$  for every morphism  $f$  in  $\mathcal{A}$ . Then  $K_\psi : \mathcal{A} \rightarrow {}_{\mathbb{A}}\mathcal{B}$  is full and faithful if and only if for every  $X \in \mathcal{A}$  we have that  $(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX)$ .*

*Proof.* If  $K_\psi$  is full and faithful then we can apply Proposition 6.73 to get that for every  $X \in \mathcal{A}$  we have that  $(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX)$ .

Conversely assume that for every  $X \in \mathcal{A}$  we have that  $(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX)$ . We want to prove that  $\tilde{\mathcal{K}}_{X, X'}$  is bijective for every  $X, X' \in \mathcal{A}$ . Let us consider the following diagram

$$\begin{array}{ccc}
0 & & 0 \\
\downarrow & & \downarrow \\
\text{Hom}_{\mathcal{A}}(X, X') & \xrightarrow{\tilde{\mathcal{K}}_{X, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi X, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(\epsilon X, X') \downarrow & & \downarrow \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon X, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(LRX, X') & \xrightarrow{\tilde{\mathcal{K}}_{LRX, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LRX, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(LR\epsilon X, X') \Downarrow \text{Hom}_{\mathbb{A}\mathcal{B}}(\epsilon LRX, X') & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LR\epsilon X, K_\psi X') \Downarrow \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon LRX, K_\psi X') & \\
\text{Hom}_{\mathcal{A}}(LRLRX, X') & \xrightarrow{\tilde{\mathcal{K}}_{LRLRX, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LRLRX, K_\psi X') \\
0 & & 0 \\
\downarrow & & \downarrow \\
\text{Hom}_{\mathcal{A}}(X, X') & \xrightarrow{\tilde{\mathcal{K}}_{X, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi X, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(\epsilon X, X') \downarrow & & \downarrow \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon X, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(LRX, X') & \xrightarrow{\tilde{\mathcal{K}}_{LRX, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LRX, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(LR\epsilon X, X') \Downarrow \text{Hom}_{\mathcal{A}}(\epsilon LRX, X') & & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LR\epsilon X, K_\psi X') \Downarrow \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon LRX, K_\psi X') \\
\text{Hom}_{\mathcal{A}}(LRLRX, X') & \xrightarrow{\tilde{\mathcal{K}}_{LRLRX, X'}} & \text{Hom}_{\mathbb{A}\mathcal{B}}(K_\psi LRLRX, K_\psi X')
\end{array}$$

Since  $(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX)$  the left column of the diagram is exact by Lemma 5.38. By Lemma 6.71 we have  $(K_\psi X, K_\psi \epsilon X) = \text{Coequ}_{\mathbb{A}\mathcal{B}}(K_\psi \epsilon LRX, K_\psi LR\epsilon X)$  so that also the right column is exact by Lemma 5.38. Let  $f \in \text{Hom}_{\mathcal{A}}(X, X')$  and  $g \in \text{Hom}_{\mathcal{A}}(LRX, X')$ . Since

$$\begin{aligned}
K_\psi(f \circ (\epsilon X)) &= (K_\psi f) \circ (K_\psi \epsilon X) \\
K_\psi(g \circ (\epsilon LRX)) &= (K_\psi g) \circ (K_\psi \epsilon LRX) \text{ and } K_\psi(g \circ (LR\epsilon X)) = (K_\psi g) \circ (K_\psi LR\epsilon X)
\end{aligned}$$

the diagram is serially commutative. By Proposition 6.72,  $\tilde{\mathcal{K}}_{LRX, X'}$  and  $\tilde{\mathcal{K}}_{LRLRX, X'}$  are isomorphisms and so is  $\tilde{\mathcal{K}}_{X, X'}$  by Lemma 5.35.  $\square$

**Corollary 6.75** (Beck's Theorem for Monads). *Let  $(L, R)$  be an adjunction where  $L : \mathcal{B} \rightarrow \mathcal{A}$  and  $R : \mathcal{A} \rightarrow \mathcal{B}$ . Then  $K = \Upsilon(\text{Id}_{RL}) : \mathcal{A} \rightarrow \mathbb{R}\mathcal{L}\mathcal{B}$  is full and faithful if and only if for every  $X \in \mathcal{A}$  we have that  $(X, \epsilon X) = \text{Coequ}_{\mathcal{A}}(LR\epsilon X, \epsilon LRX)$ .*

## 6.7 Grothendieck

Let  $\mathcal{C}$  be an abelian category. Following Grothendieck's terminology we say that

$AB3 = \text{cocomplete} \Rightarrow \mathcal{C}$  has inductive limits

$AB3^* = \text{complete} \Rightarrow \mathcal{C}$  has projective limits

$AB4 = \text{the direct sum } \bigoplus_{i \in I} f_i \text{ of a family } (f_i)_{i \in I} \text{ of monomorphisms is a monomorphism} = \text{direct sums are left exact}$

$AB4^* = \text{the direct product } \prod_{i \in I} f_i \text{ of a family } (f_i)_{i \in I} \text{ of epimorphisms is an epimorphism} = \text{direct product are left exact.}$

$AB5 = \text{direct inductive limits are exact.}$

**Theorem 6.76.** (Popescu Proposition 8.5 page 54) *Let  $\mathcal{C}$  be an  $AB3$ -category and an  $AB3^*$ -category. TFAE.*

(a) *For any family of objects  $(X_i)_{i \in I}$  of  $\mathcal{C}$ , the canonical morphism  $t : \bigoplus_{i \in I} X_i \rightarrow$*

$\prod_{i \in I} X_i$  *is a monomorphism.*

(b) *If  $(X_i)_{i \in I}$  is a family of objects of  $\mathcal{C}$  and  $f : Y \rightarrow \bigoplus_{i \in I} X_i$  is a morphism such that  $p_i f = 0$  for any  $i \in I$ , then  $f = 0$*

Following Mitchell, we say that  $\mathcal{C}$  is a  $C_2$ -category if  $\mathcal{C}$  is both an  $AB3$ -category and an  $AB3^*$ -category satisfying the equivalent conditions of the previous Theorem.

**Theorem 6.77.** (Popescu Corollary 8.10 page 61) *Let  $\mathcal{C}$  be an  $AB5$ -category and an  $AB3^*$ -category. then is  $\mathcal{C}$  is a  $C_2$ -category.*

# Bibliography

- [Appel] H. Appelgate, *Acyclic models and resolvent functors*, Ph.D. thesis (Columbia University, 1965).
- [Be] J.M. Beck, *Triples, algebras and cohomology*, Reprints in Theory and Applications of Categories **2** (2003), 1–59.
- [Bo1] F. Borceux, *Handbook of categorical algebra. 1. Basic category theory*. Encyclopedia of Mathematics and its Applications, **50**. Cambridge University Press, Cambridge, 1994.
- [Bo2] F. Borceux, *Handbook of categorical algebra. 2. Categories and structures*. Encyclopedia of Mathematics and its Applications, **51**. Cambridge University Press, Cambridge, 1994.
- [BW] M. Barr, C. Wells, *Toposes, triples and theories*. Corrected reprint of the 1985 original. Repr. Theory Appl. Categ. No. **12** (2005),
- [BLV] A. Bruguières, S. Lack, A. Virelizier, *Hopf monads on monoidal categories*, Advances in Math. (2010) to appear. (arXiv:1003.1920v3)
- [GT] J. Gómez-Torrecillas, *Comonads and Galois corings*, Appl. Categorical Structures **14** (2006), 579–598. Available online at arXiv:math.RA/0607043v1.
- [H] P. Huber, *Homotopy theory in general categories*, Math. Ann. **144** (1961), 361–385.
- [J] P.T. Johnstone, *Adjoint lifting theorems for categories of algebras*, Bull. London Math. Soc., **7** (1975), 294–297.
- [Li] F. E. J. Linton, *Coequalizers in categories of algebras*. 1969 Sem. on Triples and Categorical Homology Theory (ETH, Zürich, 1966/67) pp. 75–90 Springer, Berlin. LNM **80**.
- [Man1] E. G. Manes, *Algebraic theories*. Graduate Texts in Mathematics, No. **26**. Springer-Verlag, New York-Heidelberg, 1976.

- [Man2] E. G. Manes, *A TRIPLE MISCELLANY: SOME ASPECTS OF THE THEORY OF ALGEBRAS OVER A TRIPLE*. Thesis (Ph.D.)—Wesleyan University. 1967.
- [McL] S. Mac Lane, *Categories for the working mathematician*. Second edition. Graduate Texts in Mathematics, **5**. Springer-Verlag, New York, 1998.
- [Me] B. Mesablishvili, *Monads of effective type and comonadicity*. Theory Appl. Categ. **16** (2006), No. 1, 1–45.
- [St] B. Stenström, *Rings of quotients* Die Grundlehren der Mathematischen Wissenschaften, Band **217**. An introduction to methods of ring theory. Springer-Verlag, New York-Heidelberg, 1975.