

# HOPF ALGEBRAS

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# Chapter 1

## Algebras and Coalgebras

**1.1.** Let  $k$  be a commutative ring. If not stated otherwise, by the word  $k$ -module we mean a symmetric  $k$ -module. Whenever  $k$  is a field, the word vector space substitutes the word  $k$ -module. A  $k$ -homomorphism between  $k$ -modules will be also called a  $k$ -linear map.  $\text{Hom}_k(M, N)$  or even  $\text{Hom}(M, N)$  the group of  $k$ -linear maps.

**1.2.** The tensor product over  $k$  will be denoted by  $\otimes_k$  or even by  $\otimes$  if there is no risk of confusion. For a  $k$ -module  $M$  we denote by  $M^n$  the  $n$ -th tensor power of  $M$  and for a morphism  $f : M \rightarrow N$  of  $k$ -modules, we will denote by  $f^n$  the  $n$ -th tensor power of  $f$ . Also, for any  $k$ -module  $W$ ,  $f \otimes W$  will denote the morphism  $f \otimes \text{Id}_W$ . a similar convention holds for  $W \otimes f$ .

**1.3.** Given a  $k$ -module  $M$ , we denote by  $l_M$  the obvious isomorphism  $l_M : k \otimes_k M \rightarrow M$

$$l_M(t \otimes x) = t \cdot x \text{ for every } t \in k, x \in M.$$

The morphism  $r_M : M \otimes k \rightarrow M$  is similarly defined. The identity on  $M$  will be denoted by  $I_M$  or even more simply by  $I$  or  $M$ . Observe that both  $l_M$  and  $r_M$  give rise to functorial isomorphisms. In fact if  $f : M \rightarrow N$  is a  $k$ -linear map we have

$$(1.1) \quad f \circ l_M = l_N \circ (k \otimes f) \quad \text{and} \quad f \circ r_M = r_N \circ (f \otimes k).$$

Moreover

$$(1.2) \quad l_{M \otimes N} = l_M \otimes l_N \quad r_{M \otimes N} = r_M \otimes r_N \quad \text{and} \quad M \otimes l_N = r_M \otimes N$$

We will also denote by  $\tau_{M,N} : M \otimes N \rightarrow N \otimes M$  the usual flip. Note that if  $f : M \rightarrow M'$  and  $g : N \rightarrow N'$  are  $k$ -linear maps, then

$$(1.3) \quad \tau_{M',N'} \circ (f \otimes g) = (g \otimes f) \circ \tau_{M,N}$$

**Notation 1.4.** Let  $R$  be a ring and let  $X$  be a non empty set. For each  $x \in X$  let  $e_x$  be the element of  $R^{(X)}$  defined by

$$e_x(x) = 1_R \quad \text{and} \quad e_x(y) = 0_R \quad \text{for every } y \in X, y \neq x.$$

Then every element  $\alpha \in R^{(X)}$  can be uniquely written, using the left  $R$ -module structure of  $R^{(X)}$ , as

$$\alpha = \sum_{x \in \text{Supp}(\alpha)} \alpha(x) e_x.$$

**From now on, for every  $x \in X$ , we will write  $x$  instead of  $e_x$ .**

**Definition 1.5.** Let  $k$  be a commutative ring. A  $k$ -algebra is a couple  $(A, u)$  where

- $A$  is a ring
- $u : k \rightarrow A$  is a morphism of rings such that

$$\text{Im}(u) \subseteq Z(A)$$

where  $Z(A)$  denotes the center of  $A$ .

**Definition 1.6.** Let  $k$  be a commutative ring. A  $k$ -algebra is a triple  $(A, m, u)$  where

- $A$  is  $k$ -module
- $m : A \otimes_k A \rightarrow A$  is a morphism of  $k$ -modules
- $u : k \rightarrow A$  is a morphism of  $k$ -modules

such that the following diagrams are commutative:

$$\begin{array}{ccc} A \otimes A \otimes A \xrightarrow{A \otimes m} A \otimes A & & k \otimes A \xrightarrow{l_A} A \xleftarrow{r_A} A \otimes k \\ m \otimes A \downarrow & & \searrow u \otimes A \quad \uparrow m \quad \swarrow A \otimes u \\ A \otimes A \xrightarrow{m} m & & A \otimes A \end{array}$$

**Exercise 1.7.** Proof that Definition 1.5 and Definition 1.6 are equivalent.

**Definition 1.8.** Let  $(A, m_A, u_A)$  and  $(B, m_B, u_B)$  be  $k$ -algebras. A  $k$ -linear map  $f : A \rightarrow B$  is called a morphism of algebras if it is a morphism of rings i.e.

$$f \circ m_A = m_B \circ (f \otimes f) \quad \text{and} \quad f \circ u_B = u_A$$

.

**Example 1.9.** Let  $R$  be a ring and let  $(M, \cdot, 1_M)$  be a monoid. On the abelian group  $R^{(M)} = \{\alpha : M \rightarrow R \mid \text{Supp}(\alpha) \text{ is finite}\}$  we define a multiplication by setting, for every  $\alpha, \beta \in R^{(M)}$  and for every  $x \in M$  :

$$(\alpha \cdot \beta)(x) = \sum_{\substack{z, w \in M \\ zw = x}} \alpha(z) \beta(w).$$

In this way  $R^{(M)}$  becomes a ring which is usually denoted by  $RM$  or by  $R[M]$  and is called the **monoid ring** of  $M$  **over the ring**  $R$ . Using the notations introduced in 1.4, this product is uniquely defined by setting

$$x \cdot y = xy$$

for every  $x, y \in M$ . In particular the identity  $1_{RM}$  of  $RM$  is

$$1_{RM} = 1_M.$$

Let  $S$  be a non empty set and let  $M = (\mathbb{N}^{(S)}, +, 0)$ . Then  $RM$  is the **ring of polynomials in  $S$  over  $R$** .

Whenever  $R = k$  is a commutative ring, the monoid ring  $kM$  of  $M$  over  $k$  is a  $k$ -algebra. The ring homomorphism  $u : k \rightarrow kM$  is defined by setting:

$$u(a) = a1_M \quad \text{for every } a \in k.$$

**Definition 1.10.** Let  $k$  be a commutative ring. A  $k$ -coalgebra is a triple  $(C, \Delta, \varepsilon)$  where

- $C$  is a  $k$ -module
- $\Delta : C \rightarrow C \otimes_k C$  is a morphism of  $k$ -modules
- $\varepsilon : C \rightarrow k$  is a morphism of  $k$ -modules

such that the following diagrams are commutative:

$$\begin{array}{ccc} C & \xrightarrow{\Delta} & C \otimes C \\ \Delta \downarrow & & \downarrow C \otimes \Delta \\ C \otimes C & \xrightarrow{\Delta \otimes C} & C \otimes C \otimes C \end{array} \qquad \begin{array}{ccccc} k \otimes C & \xleftarrow{l_C^{-1}} & C & \xrightarrow{r_C^{-1}} & C \otimes k \\ & \swarrow \varepsilon \otimes C & \downarrow \Delta & \searrow C \otimes \varepsilon & \\ & & C \otimes C & & \end{array}$$

i.e. the following equalities hold:

$$(1.4) \quad (\Delta \otimes C) \circ \Delta = (C \otimes \Delta) \circ \Delta \quad (\text{coassociativity})$$

$$(1.5) \quad l_C \circ (\varepsilon \otimes C) \circ \Delta = I = r_C \circ (C \otimes \varepsilon) \circ \Delta \quad (\text{counitarity}).$$

**Exercise 1.11.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Prove that the map  $\Delta$  is injective while the map  $\varepsilon$  is surjective whenever  $k$  is a field .

**Example 1.12.** Let  $S$  be a semigroup with **zero element**  $z$ , i.e.  $s \cdot z = z = z \cdot s$  for every  $s \in S$ . We denote  $S \setminus \{z\}$  by  $S^*$ . Assume also that  $S$  has **local identities** i.e.  $S$  contains a subset  $E$  of nonzero orthogonal idempotents such that for each  $s \in S^*$  there exists  $e_s$  and  $e'_s$  in  $E$  with  $e_s s = s = s e'_s$ . Moreover assume that  $S$  is **locally finite** i.e., for every  $s \in S^*$  the set

$$\{(x, y) \in S^* \times S^* \mid x \cdot y = s\}$$

is finite.

Let  $k$  be a commutative ring and let  $C(S, k)$  be the  $k$ -module  $k^{(S^*)}$  endowed with the coalgebra structure defined by setting

$$\Delta(s) = \sum_{\substack{(t,v) \in S^* \times S^* \\ tv=s}} t \otimes_k v \quad \text{for every } s \in S^*$$

and

$$\begin{aligned} \varepsilon(s) &= 0 && \text{for every } s \notin E \\ \varepsilon(s) &= 1 && \text{for every } s \in E \end{aligned}$$

Note that

$$\sum_{\substack{(t,v) \in S^* \times S^* \\ tv=s}} \varepsilon(t) v = \sum_{\substack{(e,v) \in E \times S^* \\ ev=s}} \varepsilon(e) v = \varepsilon(e_s) s = s.$$

The symmetrical equality is proved similarly. We call this the **semigroup coalgebra** of  $S$  with coefficients in  $k$ .

Let us consider now some particular cases.

1) Let  $S = (\mathbb{N}, +) \cup \{z\}$ . Then  $C(S, k) = \bigoplus_{n \in \mathbb{N}} kn$  and  $\Delta(n) = \sum_{i+j=n} i \otimes j$ .

Moreover we have  $\varepsilon(n) = 0$  if  $n \neq 0$  and  $\varepsilon(0) = 1$ . This coalgebra is called the **divided power coalgebra**.

2) Let  $\leq$  be a reflexive and transitive binary relation on a non empty set  $X$ . Assume that  $(X, \leq)$  is locally finite i.e. that the set

$$\{t \mid x \leq t \leq y\}$$

is finite, for every  $x, y \in X$  and set

$$X^{\leq} = \{(x, y) \in X \times X \mid x \leq y\} \cup \{z\} \quad \text{where } z \notin X \times X.$$

Then  $X^{\leq}$  is a semigroup with zero element  $z$  whenever we define

$$(x, y) \cdot (x', y') = z \quad \text{whenever } y \neq x' \quad \text{and} \quad (x, y) \cdot (y, y') = (x, y').$$

Here  $E = \{(x, x) \mid x \in X\}$  is a set of local identities and we have

$$\Delta((x, y)) = \sum_{x \leq t \leq y} (x, t) \otimes (t, y) \quad \text{and} \quad \varepsilon((x, y)) = \delta_{x,y}.$$

This is called the **incidence coalgebra of  $(X, \leq)$** .

2a) Consider the particular case when  $\leq$  coincides with  $=$ . Then  $X^{\leq} = E \cup \{z\}$  and we have

$$\Delta((x, x)) = (x, x) \otimes (x, x) \quad \text{and} \quad \varepsilon((x, x)) = 1.$$

By identifying  $E$  with  $X$  we obtain the **grouplike coalgebra** over the set  $X$ .

2b) Another particular case is when the set  $X = \{1, \dots, n\}$  is finite and  $\leq$  is the usual order on  $X$

$$X^{\leq} = \{(i, j) \in X \times X \mid i \leq j\} \cup \{z\}$$

and we have

$$\Delta((i, j)) = \sum_{i \leq t \leq j} (i, t) \otimes (t, j) \quad \text{and} \quad \varepsilon((i, j)) = \delta_{i, j}.$$

2c) Finally consider the case when the set  $X = \{1, \dots, n\}$  is finite and  $\leq$  is the trivial order i.e.

$$X^{\leq} = (X \times X) \cup \{z\}$$

and we have

$$\Delta((i, j)) = \sum_{t=1}^n (i, t) \otimes (t, j) \quad \text{and} \quad \varepsilon((i, j)) = \delta_{i, j}.$$

This coalgebra is usually denoted by  $M^C(n, k)$  and is called the matrix coalgebra.

3) Let now  $\Gamma = (V(\Gamma), A(\Gamma), s, t)$  be an oriented graph. This means that  $V(\Gamma)$  and  $A(\Gamma)$  are nonempty sets and  $s, t : A(\Gamma) \rightarrow V(\Gamma)$  are maps. The elements of  $V(\Gamma)$  are usually called vertices and the elements of  $A(\Gamma)$  are called arrows of  $\Gamma$ . For a given arrow  $a \in A(\Gamma)$  the vertex  $s(a)$  is called the source of  $a$  while the vertex  $t(a)$  is called the target of  $a$ . The picture

$$s(a) \xrightarrow{a} t(a)$$

means that  $a$  is an arrow with source  $s(a)$  and target  $t(a)$ . Let  $n \in \mathbb{N}$ ,  $n \geq 1$ . A path of length  $n$  in  $\Gamma$  is an  $n$ -tuple  $\alpha = (a_1, \dots, a_n)$  where each  $a_i \in A(\Gamma)$  and  $t(a_i) = s(a_{i+1})$  for every  $i = 1, \dots, n-1$ . In this case we set  $s(\alpha) = s(a_1)$  and  $t(\alpha) = t(a_n)$ . Let  $D_n(\Gamma)$  be the set of paths of  $\Gamma$  of length  $n$ . For  $n = 0$  set  $D_0(\Gamma) = V(\Gamma)$  where, for each  $x \in V(\Gamma)$ , we set  $s(x) = t(x) = x$ . We call the elements of  $D_0(\Gamma)$  paths of length 0. Let

$$D(\Gamma) = \bigcup_{n \in \mathbb{N}} D_n(\Gamma)$$

and set

$$S(\Gamma) = D(\Gamma) \cup \{z\} \quad \text{where } z \notin D(\Gamma).$$

$S(\Gamma)$  becomes a semigroup with zero element  $z$  by setting, for given  $\alpha = (a_1, \dots, a_n), \beta = (b_1, \dots, b_m)$  and  $v \in D_0(\Gamma) = V(\Gamma)$

$$\alpha \cdot \beta = (a_1, \dots, a_n, b_1, \dots, b_m) \quad \text{whenever } t(a_n) = s(b_1) \quad \text{and } \alpha \cdot \beta = z \quad \text{otherwise}$$

and

$$\begin{aligned} v \cdot \alpha &= \alpha \quad \text{whenever } v = s(a) \quad \text{and } v \cdot \alpha = z \quad \text{otherwise;} \\ \alpha \cdot v &= \alpha \quad \text{whenever } t(a) = v \quad \text{and } \alpha \cdot v = z \quad \text{otherwise.} \end{aligned}$$



The set of local identities is clearly  $D_0(\Gamma) = V(\Gamma)$ . Note that  $S(\Gamma)$  is locally finite i.e. that

$$\{(\beta, \gamma) \in D(\Gamma) \times D(\Gamma) \mid \beta \cdot \gamma = \alpha\}$$

is a finite set, for every  $\alpha \in D(\Gamma)$ . Given  $\alpha \in D(\Gamma) = (S(\Gamma))^*$  we get

$$\begin{aligned} \Delta(\alpha) &= \sum_{\substack{\beta, \gamma \in D(\Gamma) \\ \beta \cdot \gamma = \alpha}} \beta \otimes \gamma \quad \text{and} \\ \varepsilon(\gamma) &= 1 \text{ if } \gamma \text{ has length } 0 \quad \text{and} \quad \varepsilon(\gamma) = 0 \text{ otherwise.} \end{aligned}$$

This particular coalgebra is called path coalgebra of the oriented graph  $\Gamma$ .

**Definition 1.13.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra. We define, by recursion, a sequence  $(\Delta_n)_{n \geq 1}$  by setting

$$\Delta_1 = \Delta \quad \text{and} \quad \Delta_n = (\Delta \otimes C^{n-1}) \circ \Delta_{n-1} \quad \text{for every } n \in \mathbb{N}, n \geq 2$$

**Notation 1.14.** For any  $k$ -module  $M$  and any  $k$ -linear map  $f : L \rightarrow N$  we set

$$M^0 \otimes f = f = f \otimes M^0.$$

**Lemma 1.15.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Then

$$\Delta_n = (C^t \otimes \Delta \otimes C^{n-1-t}) \circ \Delta_{n-1} \quad \text{for every } n, t \in \mathbb{N}, n \geq 2 \quad \text{and } 0 \leq t \leq n-1.$$

*Proof.* We proceed by induction on  $n$ . For  $n = 2$  we have to prove that  $\Delta_2 = (\Delta \otimes C) \circ \Delta$  which holds in view of the given definition and that  $\Delta_2 = (C \otimes \Delta) \circ \Delta$  which holds in view of the coassociativity of  $\Delta$ . Let us assume that the statement holds true for some  $n \in \mathbb{N}, n \geq 2$  and let us prove it for  $n+1$ . We proceed by induction on  $t$ . For  $t = 0$  we have to prove that  $\Delta_{n+1} = (\Delta \otimes C^n) \circ \Delta_n$  which holds in view of the given definition. Let  $t \in \mathbb{N}, 1 \leq t \leq n$  and let us assume that the equality hold for  $t-1$ . Then

$$\begin{aligned} & (C^t \otimes \Delta \otimes C^{n+1-1-t}) \circ \Delta_n \\ &= (C^t \otimes \Delta \otimes C^{n-t}) \circ \Delta_n \\ \text{induct. on } n \text{ and } t' = t-1 & \quad (C^t \otimes \Delta \otimes C^{n-t}) \circ (C^{t-1} \otimes \Delta \otimes C^{n-1-(t-1)}) \circ \Delta_{n-1} \\ &= (C^{t-1} \otimes C \otimes \Delta \otimes C^{n-t}) \circ (C^{t-1} \otimes \Delta \otimes C^{n-t}) \circ \Delta_{n-1} \\ &= (C^{t-1} \otimes [(C \otimes \Delta) \circ \Delta] \otimes C^{n-t}) \circ \Delta_{n-1} \\ &= (C^{t-1} \otimes [(\Delta \otimes C) \circ \Delta] \otimes C^{n-t}) \circ \Delta_{n-1} \\ &= (C^{t-1} \otimes \Delta \otimes C \otimes C^{n-t}) \circ (C^{t-1} \otimes \Delta \otimes C^{n-t}) \circ \Delta_{n-1} \\ \text{induct. on } n \text{ and } t' = t-1 & \quad (C^{t-1} \otimes \Delta \otimes C^{n+1-t}) \circ \Delta_n \\ &= (C^{t-1} \otimes \Delta \otimes C^{n+1-1-(t-1)}) \circ \Delta_n \\ \text{induct. on } t & \quad \Delta_{n+1} \end{aligned}$$

□

**Lemma 1.16.** *Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Then*

$$\Delta_n = (\Delta_{n-1} \otimes C) \circ \Delta \quad \text{for every } n \geq 2$$

*Proof.* We proceed by induction on  $n$ . For  $n = 2$  we have to prove that  $\Delta_2 = (\Delta_1 \otimes C) \circ \Delta$  which holds in view of the given definition. Let us assume the statement holds for some  $n \in \mathbb{N}, n \geq 2$  and let us prove it for  $n + 1$ .

We have

$$\begin{aligned} \Delta_{n+1} &\stackrel{\text{def.}}{=} (\Delta \otimes C^n) \circ \Delta_n \stackrel{\text{induct. assumpt.}}{=} (\Delta \otimes C^n) \circ (\Delta_{n-1} \otimes C) \circ \Delta \\ &= (\Delta \otimes C^{n-1} \otimes C) \circ (\Delta_{n-1} \otimes C) \circ \Delta = ([(\Delta \otimes C^{n-1}) \circ \Delta_{n-1}] \otimes C) \circ \Delta \stackrel{\text{def.}}{=} \\ &= (\Delta_n \otimes C) \circ \Delta. \end{aligned}$$

□

**Theorem 1.17.** *Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Then*

$$\begin{aligned} \Delta_n &= (C^m \otimes \Delta_i \otimes C^{m-i-m}) \circ \Delta_{n-i} \quad \text{for every } n, i, m \in \mathbb{N}, n \geq 2, 1 \leq i \leq n-1 \\ &\quad \text{and } 0 \leq m \leq n-i. \end{aligned}$$

*Proof.* Let us fix an  $n \in \mathbb{N}, n \geq 2$  and let us prove the statement by induction on  $i$  where  $1 \leq i \leq n-1$ . For  $i = 1$  we have to prove that

$$\Delta_n = (C^m \otimes \Delta_1 \otimes C^{m-1-m}) \circ \Delta_{n-1} \quad \text{for every } 0 \leq m \leq n-1$$

which holds true in view of Lemma 1.15. Let us assume that the statement holds for some  $i, 1 \leq i \leq n-2$  and let us prove it for  $i+1$ . We have, for every  $0 \leq m \leq n-(i+1) < n-i$

$$\begin{aligned} \Delta_n &\stackrel{\text{induct on } i}{=} (C^m \otimes \Delta_i \otimes C^{n-i-m}) \circ \Delta_{n-i} \\ &\stackrel{\text{Lem1.15}}{=} (C^m \otimes \Delta_i \otimes C^{n-i-m}) \circ (C^m \otimes \Delta \otimes C^{m-i-1-m}) \circ \Delta_{n-i-1} \\ &= (C^m \otimes \Delta_i \otimes C \otimes C^{n-i-1-m}) \circ (C^m \otimes \Delta \otimes C^{m-i-1-m}) \circ \Delta_{n-i-1} \\ &= (C^m \otimes [(\Delta_i \otimes C) \circ \Delta] \otimes C^{n-i-1-m}) \circ \Delta_{n-i-1} = \\ &\stackrel{\text{Lem1.16}}{=} (C^m \otimes \Delta_{i+1} \otimes C^{m-(i+1)-m}) \circ \Delta_{n-(i+1)}. \end{aligned}$$

Note that, by induction assumption, actually all the first equality holds for every  $0 \leq m \leq n-i$  while, in the second one we have to restrict to  $0 \leq m \leq n-(i+1)$  in order to apply 1.15 for  $n-i$  which forces  $0 \leq m \leq n-i-1$ . □

**Notation 1.18.** (*Sweedler's Sigma Notation*) *Let  $(C, \Delta, \varepsilon)$  be a coalgebra. For a given  $c \in C$  we have*

$$\Delta(c) = \sum_{i=1}^{n_c} c_{1i} \otimes c_{2i} \quad \text{where } n_c \in \mathbb{N}, n_c \geq 1, c_{1i}, c_{2i} \in C \quad \text{for every } i = 1, \dots, n_c.$$

We adopt the notation

$$\Delta(c) = \sum c_{(1)} \otimes c_{(2)}$$

or even

$$\Delta(c) = \sum c_1 \otimes c_2$$

where the index  $i$  is suppressed.

Note that, using this notation, equalities in 1.4 and in 1.5 become respectively

$$(1.6) \quad \sum (c_1)_1 \otimes (c_1)_2 \otimes c_2 = \sum c_1 \otimes (c_2)_1 \otimes (c_2)_2$$

and

$$(1.7) \quad \sum \varepsilon(c_1) c_2 = c = \sum c_1 \varepsilon(c_2).$$

**Notation 1.19.** More generally, for any  $n \in \mathbb{N}$ ,  $n \geq 1$  we write

$$\Delta_n(c) = \sum c_1 \otimes \dots \otimes c_{n+1}.$$

Using this notation, equality 1.6 gives rise to

$$\sum c_1 \otimes c_2 \otimes c_3 = \sum (c_1)_1 \otimes (c_1)_2 \otimes c_2 = \sum c_1 \otimes (c_2)_1 \otimes (c_2)_2$$

Since, from Theorem 1.17, we have that  $\Delta_{m+n} = (C^a \otimes \Delta_m \otimes C^{n-a}) \circ \Delta_n$ , for every  $a, m, n \in \mathbb{N}$ ,  $m, n \geq 1$ , and  $0 \leq a \leq n$ , we obtain that

$$\begin{aligned} & \sum c_1 \otimes \dots \otimes c_{m+n+1} = \\ & = \sum c_1 \otimes \dots \otimes c_a \otimes (c_{a+1})_1 \otimes \dots \otimes (c_{a+1})_{m+1} \otimes c_{a+2} \dots \otimes c_{n+1} \\ & \quad \text{for every } a \in \mathbb{N}, 1 \leq a \leq n-1 \end{aligned}$$

and

$$\begin{aligned} & \sum c_1 \otimes \dots \otimes c_{m+n+1} = (c_1)_1 \otimes \dots \otimes (c_1)_{m+1} \otimes c_2 \dots \otimes c_{n+1} \\ & \sum c_1 \otimes \dots \otimes c_{m+n} = c_1 \otimes \dots \otimes c_n \otimes (c_{n+1})_1 \otimes \dots \otimes (c_{n+1})_{m+1} \end{aligned}$$

**Proposition 1.20.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra, let  $n, i \in \mathbb{N}$ ,  $i \geq 1, n \geq i$ . Let  $f : C^{i+1} \rightarrow C$  and  $g : C^{n+1} \rightarrow C$  be  $k$ -homomorphisms. Then for every  $t \in \mathbb{N}$ ,  $2 \leq t \leq n+1$  we have

$$\begin{aligned} & \sum g(c_1 \otimes \dots \otimes c_{t-1} \otimes f(c_t \otimes \dots \otimes c_{t+i}) \otimes c_{t+i+1} \dots \otimes c_{n+i+1}) \\ & = \sum g(c_1 \otimes \dots \otimes c_{t-1} \otimes f((c_t)_1 \otimes \dots \otimes (c_t)_{i+1}) \otimes c_{t+1} \dots \otimes c_{n+1}). \end{aligned}$$

*Proof.* Set

$$\bar{f} = f \circ \Delta_i.$$

Since  $t-1 \leq (n+i)-i = n$ , we can apply Theorem 1.17 to the case when " $n$ " =  $n+i$  and " $i$ " =  $i$  and " $m$ " =  $t-1$  to get  $\Delta_{n+i} = (C^{t-1} \otimes \Delta_i \otimes C^{(n+i)-i-(t-1)}) \circ \Delta_{(n+i)-i} = (C^{t-1} \otimes \Delta_i \otimes C^{n-t+1}) \circ \Delta_n$  so that

$$\begin{aligned} & \sum g(c_1 \otimes \cdots \otimes c_{t-1} \otimes \bar{f}(c_t) \otimes c_{t+1} \cdots \otimes c_{n+1}) \\ &= g\left(\sum (c_1 \otimes \cdots \otimes c_{t-1} \otimes \bar{f}(c_t) \otimes c_{t+1} \cdots \otimes c_{n+1})\right) \\ &= [g \circ (C^{t-1} \otimes \bar{f} \otimes C^{n-t+1}) \circ \Delta_n](c) \\ &= [g \circ (C^{t-1} \otimes f \otimes C^{n-t+1}) \circ (C^{t-1} \otimes \Delta_i \otimes C^{n-t+1}) \circ \Delta_n](c) \\ &= [g \circ (C^{t-1} \otimes f \otimes C^{n-t+1}) \circ \Delta_{n+i}](c) \end{aligned}$$

□

**Notation 1.21.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra. In the sequel, for any  $c \in C$  and  $i, j \in \mathbb{N}, i, j \geq 1$ , we will write  $c_{i,j}$  instead of  $(c_i)_j$  e.g.  $c_{1,2}$  instead of  $(c_1)_2$ .

**Exercise 1.22.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Prove that, for any  $c \in C$ , we have

$$\sum \varepsilon(c_1) \varepsilon(c_2) c_3 = c.$$

**Definition 1.23.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra and let  $\tau : C \otimes C \rightarrow C \otimes C$  be the usual flip. We say that the coalgebra  $C$  is cocommutative if  $\tau \circ \Delta = \Delta$  i.e. if

$$\sum c_1 \otimes c_2 = \sum c_2 \otimes c_1 \quad \text{for every } c \in C.$$

**Examples 1.24.** The coalgebra in example 2a) is always cocommutative, while the coalgebra in example 2b) is, in general, not cocommutative. A typical example of not cocommutative coalgebra is the path coalgebra of the oriented graph

$$e_0 \xrightarrow{d_0} e_1 \xrightarrow{d_1} e_2 \xrightarrow{d_2} e_3 \cdots e_n \xrightarrow{d_n} e_{n+1} \cdots$$

In fact we have

$$\Delta(d_i) = e_i \otimes d_i + d_i \otimes e_{i+1} \quad \text{for every } i \in \mathbb{N}.$$

**Definition 1.25.** Let  $(C, \Delta_C, \varepsilon_C)$  and  $(D, \Delta_D, \varepsilon_D)$  be coalgebras. A  $k$ -linear map  $\varphi : C \rightarrow D$  will be called a morphism of coalgebras if the following diagrams are commutative:

i.e. if

$$(\varphi \otimes \varphi) \circ \Delta_C = \Delta_D \circ \varphi \quad \text{and} \quad \varepsilon_D \circ \varphi = \varepsilon_C$$

Which can be rewritten as

$$\sum \varphi(c_1) \otimes \varphi(c_2) = \sum \varphi(c)_1 \otimes \varphi(c)_2 \quad \text{and} \quad \varepsilon_D(\varphi(c)) = \varepsilon_C(c) \quad \text{for every } c \in C.$$

**1.26.** We will denote by  $\mathbf{Coalg}_k$  the category of coalgebras over the ring  $k$ . Note that  $k$  can be equipped by the structure of a coalgebra by setting

$$\begin{aligned} \Delta_k &= r_k^{-1} = l_k^{-1} : k \rightarrow k \otimes k \quad \text{i.e.} \quad \Delta_k(a) = a \otimes 1 = 1 \otimes a \quad \text{for every } a \in k \\ \text{and } \varepsilon_k &= \text{Id}_k : k \rightarrow k \quad \text{i.e.} \quad \varepsilon_k(a) = a \quad \text{for every } a \in k. \end{aligned}$$

Note that, given any coalgebra  $(C, \Delta_C, \varepsilon_C)$ ,  $\varepsilon_C : C \rightarrow k$  is a coalgebra morphism. In fact we have

$$(\varepsilon_C \otimes \varepsilon_C) \circ \Delta_C = r_k^{-1} \circ \varepsilon_C \quad \text{and} \quad \varepsilon_k \circ \varepsilon_C = \varepsilon_C.$$

Moreover  $\varepsilon_C$  is unique with respect to this property: given a coalgebra morphism  $\alpha : C \rightarrow k$  we get that  $\alpha = \varepsilon_k \circ \alpha = \varepsilon_C$ . Hence we can claim that  $(k, \Delta_k, \varepsilon_k)$  is a final object for the category  $\mathbf{Coalg}_k$ .

**Theorem 1.27.** Let  $(C, \Delta_C, \varepsilon_C)$  and  $(D, \Delta_D, \varepsilon_D)$  be coalgebras. Then  $(C \otimes D, \Delta_{C \otimes D}, \varepsilon_{C \otimes D})$  is a coalgebra where

$$(1.8) \quad \Delta_{C \otimes D} = (C \otimes \tau_{C,D} \otimes D) \circ (\Delta_C \otimes \Delta_D) \quad \text{and} \quad \varepsilon_{C \otimes D} = l_k \circ (\varepsilon_C \otimes \varepsilon_D).$$

Here  $\tau_{C,D} : C \otimes D \rightarrow D \otimes C$  denotes the usual flip. Moreover the map

$$p_C : C \otimes D \rightarrow C \quad \text{defined by setting} \quad p_C(c \otimes d) = c\varepsilon_D(d)$$

is a morphism of coalgebras.

*Proof.* We compute

$$\begin{aligned} & [((C \otimes D) \otimes \Delta_{C \otimes D}) \circ \Delta_{C \otimes D}](c \otimes d) \\ &= [((C \otimes D) \otimes C \otimes \tau_{C,D} \otimes D) \circ ((C \otimes D) \otimes (\Delta_C \otimes \Delta_D))] \sum (c_1 \otimes d_1 \otimes c_2 \otimes d_2) \\ &= \sum c_1 \otimes d_1 \otimes c_2 \otimes d_2 \otimes c_2 \otimes d_2 = \sum c_1 \otimes d_1 \otimes c_2 \otimes d_2 \otimes c_2 \otimes d_2 \\ &= [(\Delta_{C \otimes D} \otimes C \otimes D)] \left( \sum c_1 \otimes d_1 \otimes c_2 \otimes d_2 \right) \\ &= [(\Delta_{C \otimes D} \otimes C \otimes D) \circ \Delta_{C \otimes D}](c \otimes d) \end{aligned}$$

and

$$\begin{aligned} & [l_{C \otimes D} \circ (\varepsilon_{C \otimes D} \otimes C \otimes D) \circ \Delta_{C \otimes D}](c \otimes d) = \\ &= l_{C \otimes D} \left[ l_k \left[ \sum (\varepsilon_C(c_1) \otimes \varepsilon_D(d_1)) \right] \otimes c_2 \otimes d_2 \right] \\ &= \sum \varepsilon_C(c_1) c_2 \otimes \varepsilon_D(d_1) d_2 = c \otimes d \\ &= \sum c_1 \varepsilon_C(c_2) \otimes d_1 \varepsilon_D(d_2) \\ &= r_{C \otimes D} \circ \left[ \sum c_1 \otimes d_1 \otimes r_k \circ (\varepsilon_C(c_2) \otimes \varepsilon_D(d_2)) \right] \\ &= [r_{C \otimes D} \circ (C \otimes D \otimes \varepsilon_{C \otimes D}) \circ \Delta_{C \otimes D}](c \otimes d). \end{aligned}$$

The last statement is left as an exercise to the reader.  $\square$

**Proposition 1.28.** *Let  $C$  and  $D$  be cocommutative coalgebras. Then the tensor product  $C \otimes D$  is the product of  $C$  and  $D$  in the full subcategory  $\text{CoCoalg}_k$  of cocommutative coalgebras.*

*Proof.* Let  $\varphi : L \rightarrow C$  and  $\psi : L \rightarrow D$  be coalgebra morphisms where  $L$  is a cocommutative coalgebra. Set  $\zeta = (\varphi \otimes \psi) \circ \Delta_L$ . Then  $\zeta$  is a coalgebra morphism. In fact, for any  $x \in L$  we have

$$\begin{aligned} \sum x_{1_1} \otimes x_{1_2} \otimes x_{2_1} \otimes x_{2_2} &= \sum x_1 \otimes x_2 \otimes x_3 \otimes x_4 = \sum x_1 \otimes x_{2_1} \otimes x_{2_2} \otimes x_3 \\ \stackrel{L\text{cocomm}}{=} \sum x_1 \otimes x_{2_2} \otimes x_{2_1} \otimes x_3 &= \sum x_1 \otimes x_3 \otimes x_{2_2} \otimes x_{2_1} = \sum x_{1_1} \otimes x_{2_1} \otimes x_{1_2} \otimes x_{2_2} \end{aligned}$$

so that we obtain

$$(1.9) \quad \sum x_{1_1} \otimes x_{2_1} \otimes x_{1_2} \otimes x_{2_2} = \sum x_{1_1} \otimes x_{1_2} \otimes x_{2_1} \otimes x_{2_2}$$

and hence

$$\begin{aligned} \Delta_{C \otimes D}(\zeta)(x) &= \sum \varphi(x_{1_1}) \otimes \psi(x_{2_1}) \otimes \varphi(x_{1_2}) \otimes \psi(x_{2_2}) \\ &= \sum \varphi(x_{1_1}) \otimes \psi(x_{2_1}) \otimes \varphi(x_{1_2}) \otimes \psi(x_{2_2}) \\ &\stackrel{(1.9)}{=} \sum \varphi(x_{1_1}) \otimes \psi(x_{1_2}) \otimes \varphi(x_{2_1}) \otimes \psi(x_{2_2}) \\ &= \sum \zeta(x_1) \otimes \zeta(x_2). \end{aligned}$$

Moreover we have

$$\begin{aligned} \varepsilon_{C \otimes D}(\zeta(x)) &= \sum \varepsilon_C(\varphi(x_1)) \cdot \varepsilon_D(\psi(x_2)) = \sum \varepsilon_C(\varphi(x_1)) \cdot \varepsilon_D(\psi(x_2)) \\ &= \sum \varepsilon_L(x_1) \varepsilon_L(x_2) = \varepsilon_L\left(\sum x_1 \varepsilon_L(x_2)\right) = \varepsilon_L(x). \end{aligned}$$

We compute

$$\begin{aligned} p_C(\zeta(x)) &= p_C\left(\sum \varphi(x_1) \otimes \psi(x_2)\right) = \sum \varphi(x_1) \varepsilon_D(\psi(x_2)) = \sum \varphi(x_1) \varepsilon_L(x_2) \\ &= \varphi\left(\sum x_1 \varepsilon_L(x_2)\right) = \varphi(x). \end{aligned}$$

In a similar way, one gets  $p_D(\zeta(x)) = \psi(x)$ .

Now we have to prove that  $\zeta$  is unique with respect to this property. Thus let  $\chi : L \rightarrow C \otimes D$  be a morphism of coalgebras such that  $p_C \circ \chi = \varphi$  and  $p_D \circ \chi = \psi$ . Note that, given  $c \in C$  and  $d \in D$ , we have

$$\begin{aligned} c \otimes d &= \sum (c_1 \otimes d_1) \varepsilon_{C \otimes D}(c_2 \otimes d_2) = \sum (c_1 \varepsilon_D(d_2) \otimes d_1 \varepsilon_C(c_2)) \\ \stackrel{D\text{cocomm}}{=} \sum (c_1 \varepsilon_D(d_1) \otimes d_2 \varepsilon_C(c_2)) &= \sum p_C(c_1 \otimes d_1) \otimes p_D(c_2 \otimes d_2) \\ &= (p_C \otimes p_D)(\Delta_{C \otimes D}(c \otimes d)) \end{aligned}$$

and hence we get that  $(p_C \otimes p_D) \circ \Delta_{C \otimes D} = I_{C \otimes D}$ . From this we obtain

$$\begin{aligned} \chi &= I_{C \otimes D} \circ \chi = (p_C \otimes p_D) \circ \Delta_{C \otimes D} \circ \chi = (p_C \otimes p_D) \circ (\chi \otimes \chi) \circ \Delta_L = \\ &= (p_C \circ \chi \otimes p_D \circ \chi) \circ \Delta_L = (\varphi \otimes \psi) \circ \Delta_L = \zeta. \end{aligned}$$

□

**1.29.** Let  $(C, \Delta_C, \varepsilon_C)$  be a coalgebra. We denote by  $C^{\text{cop}}$  the coalgebra defined by setting:

$$\Rightarrow \Delta_{C^{\text{cop}}} = \tau \circ \Delta_C \quad \text{and} \quad \varepsilon_{C^{\text{cop}}} = \varepsilon_C.$$

Clearly  $C$  is cocommutative if and only if  $C = C^{\text{cop}}$ .

**Exercise 1.30.** Check that  $(C^{\text{cop}}, \Delta_{C^{\text{cop}}}, \varepsilon_{C^{\text{cop}}})$  is indeed a coalgebra.

**Assumption 1.31.** From now on we will assume that  $k$  is a field. This will imply, in particular, that, given a subspace  $W_j$  of a  $k$ -vector space  $V_j, j = 1, 2$ , we can identify  $W_1 \otimes W_2$  with a subspace of  $V_1 \otimes V_2$ .

**Definition 1.32.** Let  $(C, \Delta_C, \varepsilon_C)$  be a coalgebra and let  $D$  be a  $k$ -subspace of  $C$ .  $D$  is called a subcoalgebra of  $C$  if  $\Delta_C(D) \subseteq D \otimes D$ . Note that  $D$  becomes a coalgebra by setting  $\Delta_D = (\Delta|_D)^{|D \otimes D}$  and  $\varepsilon_D = \varepsilon_C|_D$ . Moreover the inclusion map  $i_D : D \rightarrow C$  becomes a morphism of coalgebras.

**Definitions 1.33.** Let  $(C, \Delta_C, \varepsilon_C)$  be a coalgebra and let  $I$  be a  $k$ -subspace of  $C$ .  $I$  is called

- a right coideal of  $C$  if  $\Delta(I) \subseteq I \otimes C$ ,
- a left coideal of  $C$  if  $\Delta(I) \subseteq C \otimes I$ ,
- a (two-sided) coideal of  $C$  if  $\Delta(I) \subseteq I \otimes C + C \otimes I$  and  $\varepsilon_C(I) = \{0\}$ .

**Exercise 1.34.** Let  $f : C \rightarrow D$  be a coalgebra morphism. Then  $\text{Im}(f)$  is a subcoalgebra of  $D$  and  $\text{Ker}(f)$  is a coideal of  $C$ . (Use Lemma 15.1).

**Theorem 1.35. (The Fundamental Theorem of the Quotient Coalgebra)**  
Let  $(C, \Delta_C, \varepsilon_C)$  be a coalgebra, let  $I$  be a coideal of  $C$  and let  $p = p_I : C \rightarrow C/I$  be the canonical projection. Then  $C/I$  can be endowed by a unique coalgebra structure (called quotient coalgebra) such that  $p$  becomes a coalgebra morphism. Moreover given any coalgebra morphism  $f : C \rightarrow D$  such that  $I \subseteq \text{Ker}(f)$ , there exists a unique coalgebra morphism  $\bar{f} : C/I \rightarrow D$  such that  $f = \bar{f} \circ p$ .

*Proof.* Since  $\Delta_C(I) \subseteq I \otimes C + C \otimes I \stackrel{\text{Lemma}(15.1)}{=} \text{Ker}(p \otimes p)$  we deduce that  $I \subseteq \text{Ker}((p \otimes p) \circ \Delta_C)$ , so that there exists a unique linear map  $\bar{\Delta} : C/I \rightarrow (C/I) \otimes (C/I)$  such that

$\bar{\Delta} \circ p = (p \otimes p) \circ \Delta_C$  and we have

$$\begin{aligned}
(\bar{\Delta} \otimes C/I) \circ \bar{\Delta} \circ p &= (\bar{\Delta} \otimes C/I) \circ [(p \otimes p) \circ \Delta_C] \\
&= ([\bar{\Delta} \circ p] \otimes p) \circ \Delta_C = ((p \otimes p) \circ \Delta_C) \otimes p \circ \Delta_C \\
&= (p \otimes p \otimes p) \circ (\Delta_C \otimes C) \circ \Delta_C = (p \otimes p \otimes p) \circ (C \otimes \Delta_C) \circ \Delta_C \\
&= (p \otimes [(p \otimes p) \circ \Delta_C]) \circ \Delta_C = (p \otimes [\bar{\Delta} \circ p]) \circ \Delta_C \\
&= (C/I \otimes \bar{\Delta}) \circ [(p \otimes p) \circ \Delta_C] = (C/I \otimes \bar{\Delta}) \circ \bar{\Delta} \circ p.
\end{aligned}$$

Since  $p$  is surjective, we get that  $(\bar{\Delta} \otimes I_{C/I}) \circ \bar{\Delta} = (C/I \otimes \bar{\Delta}) \circ \bar{\Delta}$ . Analogously, since  $\varepsilon_C(I) = 0$ , there exists a unique map  $\bar{\varepsilon} : C/I \rightarrow k$  such that  $\bar{\varepsilon} \circ p = \varepsilon_C$  and we have

$$\begin{aligned}
l_{C/I} \circ (\bar{\varepsilon} \otimes C/I) \circ \bar{\Delta} \circ p &= l_{C/I} \circ (\bar{\varepsilon} \otimes C/I) \circ (p \otimes p) \circ \Delta_C \\
&= l_{C/I} \circ (\varepsilon_C \otimes p) \circ \Delta_C = l_{C/I} \circ (k \otimes p) \circ (\varepsilon_C \otimes C) \circ \Delta_C \\
&= p \circ l_C \circ (\varepsilon_C \otimes C) \circ \Delta_C = p.
\end{aligned}$$

Since  $p$  is surjective, we get  $l_{C/I} \circ (\bar{\varepsilon} \otimes C/I) \circ \bar{\Delta} = C/I$ . In a similar way one proves that  $r_{C/I} \circ (C/I \otimes \bar{\varepsilon}) \circ \bar{\Delta} = C/I$ . Therefore  $(C/I, \bar{\Delta}, \bar{\varepsilon})$  is a coalgebra. Note that  $p$  becomes automatically a coalgebra morphism.

Let now  $f : C \rightarrow D$  be a coalgebra morphism such that  $I \subseteq \text{Ker}(f)$ . Then there exists a unique  $k$ -linear map  $\bar{f} : C/I \rightarrow D$  such that  $\bar{f} \circ p = f$ . Let us check that  $\bar{f}$  is a coalgebra morphism. Indeed we have

$$\begin{aligned}
(\bar{f} \otimes \bar{f}) \circ \bar{\Delta} \circ p &= (\bar{f} \otimes \bar{f}) \circ (p \otimes p) \circ \Delta_C = (f \otimes f) \circ \Delta_C \\
&= \Delta_D \circ f = (\Delta_D \circ \bar{f}) \circ p
\end{aligned}$$

and

$$\varepsilon_D \circ \bar{f} \circ p = \varepsilon_D \circ f = \varepsilon_C = \bar{\varepsilon} \circ p$$

and since  $p$  is surjective, we conclude.  $\square$

**Notation 1.36.** For every  $k$ -vector space  $V$  we will denote by  $V^*$  the dual of  $V$  i.e.  $V^* = \text{Hom}_k(V, k)$ . We will also denote by  $\omega = \omega_V : V \rightarrow V^{**}$  the canonical morphism defined by setting  $\omega(x) = \tilde{x}$  where  $\tilde{x} = \text{ev}_x : V^* \rightarrow k$  is the evaluation in  $x$ :  $\text{ev}_x(f) = f(x)$  for every  $f \in V^*$ .

**Lemma 1.37.** For any vector space  $V$ ,  $\omega_V : V \rightarrow V^{**}$  is a monomorphism. Moreover, for any  $\alpha \in V^{**}$  and for any finite subset  $F = \{\xi_1, \dots, \xi_n\}$  of  $V^*$ , there exists an element  $x \in V$  such that

$$\alpha(\xi_i) = \xi_i(x) = \tilde{x}(\xi_i).$$

*Proof.* Let  $x \in V, x \neq 0$ . Then there exists a  $k$ -linear morphism  $\xi : V \rightarrow k$  such that  $\xi(x) \neq 0$  so that  $\tilde{x}(\xi) = \xi(x) \neq 0$ . We deduce that  $\omega_V(x) = \tilde{x} \neq 0$ .



Let now  $\alpha \in V^{**}$  and let  $F = \{\xi_1, \dots, \xi_n\}$  be a finite subset of  $V^*$ . Set  $U = \{(\xi_1(x), \dots, \xi_n(x)) \mid x \in V\} \subseteq k^n$ . Assume that

$$y = (\alpha(\xi_1), \dots, \alpha(\xi_n)) \in k^n \setminus U.$$

Then there exists a  $k$ -linear map  $\zeta : k^n \rightarrow k$  such that  $\zeta(U) = \{0\}$  and  $\zeta(y) \neq 0$ . Let  $e_1, \dots, e_n$  be the canonical basis of  $k^n$  and let  $\theta : V \rightarrow k$  be the linear map defined by

$$\theta = \sum_{i=1}^n \xi_i \zeta(e_i).$$

Then we have

$$\theta(x) = \sum_{i=1}^n \xi_i(x) \zeta(e_i) = \zeta\left(\sum_{i=1}^n \xi_i(x) e_i\right) = \zeta((\xi_1(x), \dots, \xi_n(x))) = 0 \quad \text{for every } x \in V$$

and hence  $\theta = 0$ . Therefore we deduce that

$$\begin{aligned} 0 &= \alpha(\theta) = \alpha\left(\sum_{i=1}^n \xi_i \zeta(e_i)\right) = \sum_{i=1}^n \alpha(\xi_i) \zeta(e_i) = \zeta\left(\sum_{i=1}^n \alpha(\xi_i) e_i\right) \\ &= \zeta((\alpha(\xi_1), \dots, \alpha(\xi_n))) = \zeta(y) \neq 0. \quad \text{Contradiction.} \end{aligned}$$

□

**Proposition 1.38.** *Let  $V$  and  $W$  be  $k$ -vector spaces. Then, for every  $v^* \in V^*$ ,  $w^* \in W^*$ , the assignment  $v \otimes w \mapsto v^*(v) w^*(w)$  defines a  $k$ -linear map  $\Lambda_{v^*, w^*} : V \otimes W \rightarrow k$ . Moreover the assignment  $v^* \otimes w^* \mapsto \Lambda_{v^*, w^*}$  defines an injective  $k$ -linear map*

$$\Lambda = \Lambda_{V, W} : V^* \otimes W^* \rightarrow (V \otimes W)^*$$

which is also bijective whenever  $W$  has finite dimension.

*Proof.* It is easy to check that the map  $\Gamma_{v^*, w^*} : V \times W \rightarrow k$  defined by setting  $\Gamma_{v^*, w^*}((v, w)) = v^*(v) w^*(w)$  is bilinear. Thus we can consider the map  $\Gamma : V^* \times W^* \rightarrow (V \otimes W)^*$  defined by setting  $\Gamma((v^*, w^*)) = \Lambda_{v^*, w^*}$ . Even this map is bilinear so that it gives rise to the  $k$ -linear map  $\Lambda$ . Let us prove that  $\Lambda$  is injective. Let

$n \in \mathbb{N}$ ,  $n \geq 1$ , and let  $v_1^*, \dots, v_n^* \in V^*$  and  $w_1^*, \dots, w_n^* \in W^*$  such that the element  $\sum_{i=1}^n v_i^* \otimes w_i^* \neq 0$  in  $V^* \otimes W^*$ . We can assume w.l.o.g. that  $v_1^*, \dots, v_n^*$  are linearly independent and that  $w_1^* \neq 0$ . By expanding  $F = \{v_1^*, \dots, v_n^*\}$  to a basis of  $V$ , we can construct a  $k$ -linear map  $\alpha : V^* \rightarrow k$  such that  $\alpha(v_1^*) = 1$  and  $\alpha(v_j^*) = 0$  for every  $j = 2, \dots, n$ . In view of Lemma 1.37 there exists a  $v \in V$  such that

$$\alpha(v_i^*) = v_i^*(v) \quad \text{for every } i = 1, \dots, n.$$

Therefore we get

$$v_1^*(v) = 1 \quad \text{and} \quad v_j^*(v) = 0 \quad \text{for every } j = 2, \dots, n.$$

Since  $w_1^* \neq 0$  there exists a  $w \in W$  such that  $w_1^*(w) \neq 0$ . Thus we obtain

$$\Lambda \left( \sum_{i=1}^n v_i^* \otimes w_i^* \right) (v \otimes w) = \sum_{i=1}^n v_i^*(v) w_i^*(w) = v_1^*(v) w_1^*(w) = w_1^*(w) \neq 0$$

and hence we deduce that  $\Lambda \left( \sum_{i=1}^n v_i^* \otimes w_i^* \right) \neq 0$ .

Assume now that  $\dim(W) < \infty$  and let  $w_1, \dots, w_m$  be a basis of  $W$  and let  $w_1^*, \dots, w_m^*$  denote the dual basis of  $W^*$ . Let  $\xi \in (V \otimes W)^*$  and let  $\xi_i \in V^*$  be defined by setting  $\xi_i(v) = \xi(v \otimes w_i)$ , for every  $v \in V$ . Then, for every  $v \in V$  and  $j = 1, \dots, m$  we have

$$\Lambda \left( \sum_{i=1}^n \xi_i \otimes w_i^* \right) (v \otimes w_j) = \sum_{i=1}^n \xi_i(v) w_i^*(w_j) = \xi_j(v) = \xi(v \otimes w_j)$$

and hence we deduce that  $\Lambda \left( \sum_{i=1}^n \xi_i \otimes w_i^* \right) = \xi$ .  $\square$

**Proposition 1.39.** *The  $k$ -linear maps  $\Lambda_{V,W}$  give rise to a functorial morphism  $\Lambda : (-)^* \otimes (-)^* \rightarrow (- \otimes -)^*$ . Moreover for given vector spaces  $U, V, W$ , we have*

$$(\Lambda_{U,V \otimes W}) \circ (U^* \otimes \Lambda_{V,W}) = \Lambda_{U \otimes V, W} \circ (\Lambda_{U,V} \otimes W^*).$$

*Proof.* Let  $\alpha : U \rightarrow V$  and  $\beta : T \rightarrow W$  be  $k$ -linear maps. We have to prove that

$$\Lambda_{U,T} \circ (\alpha^* \otimes \beta^*) = (\alpha \otimes \beta)^* \circ \Lambda_{V,W}.$$

For given  $v^* \in V^*, w^* \in W^*, u \in U$  and  $t \in T$  we compute

$$\begin{aligned} & ([\Lambda_{U,T} \circ (\alpha^* \otimes \beta^*)] (v^* \otimes w^*)) (u \otimes t) = [\Lambda_{U,T} (\alpha^* (v^*) \otimes \beta^* (w^*))] (u \otimes t) \\ & = [\Lambda_{U,T} ((v^* \circ \alpha) \otimes (w^* \circ \beta))] (u \otimes t) = [(v^* \circ \alpha) (u)] [(w^* \circ \beta) (t)] \\ & = v^*(\alpha(u)) w^*(\beta(t)) = [\Lambda_{V,W} (v^* \otimes w^*)] (\alpha(u) \otimes \beta(t)) \\ & = ([\Lambda_{V,W} (v^* \otimes w^*)] \circ (\alpha \otimes \beta)) (u \otimes t) = [(\alpha \otimes \beta)^* (\Lambda_{V,W} (v^* \otimes w^*))] (u \otimes t) \\ & = ([(\alpha \otimes \beta)^* \circ \Lambda_{V,W}] (v^* \otimes w^*)) (u \otimes t) \end{aligned}$$

Let now  $u^* \in U^*, v^* \in V^*, w^* \in W^*$  and  $u \in U, v \in V, w \in W$ . We have

$$\begin{aligned} & \{[(\Lambda_{U,V \otimes W}) \circ (U^* \otimes \Lambda_{V,W})] (u^* \otimes v^* \otimes w^*)\} (u \otimes v \otimes w) \\ & = [(\Lambda_{U,V \otimes W}) (u^* \otimes \Lambda_{v^*,w^*})] (u \otimes v \otimes w) = u^*(u) \Lambda_{v^*,w^*} (v \otimes w) \\ & = u^*(u) v^*(v) w^*(w) = \Lambda_{u^*,v^*} (u \otimes v) w^*(w) \\ & = [(\Lambda_{U \otimes V, W}) (\Lambda_{u^*,v^*} \otimes w^*)] (u \otimes v \otimes w) \\ & = \{[\Lambda_{U \otimes V, W} \circ (\Lambda_{U,V} \otimes W^*)] (u^* \otimes v^* \otimes w^*)\} (u \otimes v \otimes w). \end{aligned}$$

$\square$

**Proposition 1.40.** *Let  $(A, m, u)$  be a finite dimensional algebra. Then  $A^* = \text{Hom}_k(A, k)$  has a natural coalgebra structure defined by setting*

$$\Delta_{A^*} : A^* \xrightarrow{m^*} (A \otimes A)^* \xrightarrow{\Lambda_{A,A}^{-1}} A^* \otimes A^* \quad \text{and} \quad \varepsilon_{A^*} : A^* \xrightarrow{u^*} k^* \xrightarrow{ev_1} k$$

*This coalgebra is called the **dual coalgebra** of the algebra  $A$ .*

*Proof.* Let  $\alpha : U \rightarrow V$  and  $\beta : T \rightarrow W$  be  $k$ -linear maps between finite dimensional vector spaces. Note that, by Proposition 1.39, we have

$$(1.10) \quad (\alpha^* \otimes \beta^*) \circ \Lambda_{V,W}^{-1} = \Lambda_{U,T}^{-1} \circ (\alpha \otimes \beta)^*$$

and

$$(1.11) \quad (\Lambda_{U,V}^{-1} \otimes W^*) \circ \Lambda_{U \otimes V, W}^{-1} = (U^* \otimes \Lambda_{V,W}^{-1}) (\Lambda_{U,V \otimes W}^{-1})$$

We compute

$$\begin{aligned} (\Delta_{A^*} \otimes A^*) \circ \Delta_{A^*} &= [(\Lambda_{A,A}^{-1} \circ m^*) \otimes A^*] \circ (\Lambda_{A,A}^{-1} \circ m^*) \\ &= (\Lambda_{A,A}^{-1} \otimes A^*) \circ (m^* \otimes A^*) \circ \Lambda_{A,A}^{-1} \circ m^* \\ &\stackrel{(1.10)}{=} (\Lambda_{A,A}^{-1} \otimes A^*) \circ \Lambda_{A \otimes A, A}^{-1} \circ (m \otimes A)^* \circ m^* \\ &= (\Lambda_{A,A}^{-1} \otimes A^*) \circ \Lambda_{A \otimes A, A}^{-1} \circ [m \circ (m \otimes A)]^* \\ &= (\Lambda_{A,A}^{-1} \otimes A^*) \circ \Lambda_{A \otimes A, A}^{-1} \circ [m \circ (A \otimes m)]^* \\ &= (\Lambda_{A,A}^{-1} \otimes A^*) \circ \Lambda_{A \otimes A, A}^{-1} \circ (A \otimes m)^* \circ m^* \\ &\stackrel{(1.11)}{=} (A^* \otimes \Lambda_{A,A}^{-1}) \circ \Lambda_{A, A \otimes A}^{-1} \circ (A \otimes m)^* \circ m^* \\ &\stackrel{(1.10)}{=} (A^* \otimes \Lambda_{A,A}^{-1}) \circ (A^* \otimes m^*) \circ \Lambda_{A,A}^{-1} \circ m^* \\ &= [A^* \otimes (\Lambda_{A,A}^{-1} \circ m^*)] \circ \Lambda_{A,A}^{-1} \circ m^* = (A^* \otimes \Delta_{A^*}) \circ \Delta_{A^*} \end{aligned}$$

and

$$\begin{aligned} l_{A^*} \circ (\varepsilon_{A^*} \otimes A^*) \circ \Delta_{A^*} &= l_{A^*} \circ ((ev_1) \circ u^* \otimes A^*) \circ \Lambda_{A,A}^{-1} \circ m^* \\ &= l_{A^*} \circ (ev_1 \otimes A^*) \circ (u^* \otimes A^*) \circ \Lambda_{A,A}^{-1} \circ m^* = \\ &\stackrel{(1.10)}{=} l_{A^*} \circ (ev_1 \otimes A^*) \circ \Lambda_{k,A}^{-1} \circ (u \otimes A)^* \circ m^* = l_{A^*} \circ (ev_1 \otimes A^*) \circ \Lambda_{k,A}^{-1} \circ [m \circ (u \otimes A)]^* \\ &= l_{A^*} \circ (ev_1 \otimes A^*) \circ \Lambda_{k,A}^{-1} \circ (l_A)^* \end{aligned}$$

Now we have  $\Lambda_{k,A}^{-1}(a^* \circ l_A) = \text{Id}_k \otimes a^*$  in fact

$$\begin{aligned} \Lambda_{k,A}(\text{Id}_k \otimes a^*)(x \otimes a) &= x \cdot a^*(a) = a^*(xa) = (a^* \circ l_A)(x \otimes a) \\ &\text{for every } x \in k \text{ and } a \in A. \end{aligned}$$

It follows that

$$\begin{aligned} [l_{A^*} \circ (ev_1 \otimes A^*) \circ \Lambda_{k,A}^{-1} \circ (l_A)^*](a^*) &= [l_{A^*} \circ (ev_1 \otimes A^*)] (\Lambda_{k,A}^{-1}(a^* \circ l_A)) \\ &= [l_{A^*} \circ (ev_1 \otimes A^*)] (\text{Id}_k \otimes a^*) = l_{A^*}(1 \otimes a^*) = a^*. \end{aligned}$$

A similar proof shows that  $r_{A^*} \circ (A^* \otimes \varepsilon_{A^*}) \circ \Delta_{A^*} = I_{A^*}$  □

**1.41.** Let  $(A, m, u)$  be a finite dimensional algebra and let  $f \in A^*$ . Then

$$\Delta_{A^*}(f) = \Lambda_{A,A}^{-1} \circ m^*(f) = \sum f_1 \otimes f_2$$

where  $\sum f_1 \otimes f_2$  is uniquely determined by

$$\Lambda_{A,A} \left( \sum f_1 \otimes f_2 \right) = m^*(f)$$

i.e. for every  $a, b \in A$

$$\Lambda_{A,A} \left( \sum f_1 \otimes f_2 \right) (a \otimes b) = m^*(f) (a \otimes b)$$

since

$$\Lambda_{A,A} \left( \sum f_1 \otimes f_2 \right) (a \otimes b) = \sum f_1(a) f_2(b)$$

and

$$m^*(f) (a \otimes b) = f(m(a \otimes b)) = f(ab)$$

we conclude that  $\sum f_1 \otimes f_2$  is uniquely determined by

$$(1.12) \quad \sum f_1(a) f_2(b) = f(ab) \quad \text{for every } a, b \in A.$$

Moreover

$$\varepsilon_{A^*}(f) = (ev_{1_k} \circ u^*)(f) = (f \circ u)(1_k) = f(1_A)$$

**Exercise 1.42.** Let  $M$  be a finite monoid and let  $kM$  the monoid algebra over  $M$ . Then in  $(kM)^*$  we can consider the so called "dual basis"  $(x^*)_{x \in M}$  where  $x^*$  is defined by setting  $x^*(y) = \delta_{x,y}$ . Let  $\Delta = \Delta_{(kM)^*}$  and let us compute  $\Delta(x^*)$ . Accordingly to (1.12) we have:

$$\begin{aligned} \Delta(x^*) &= \sum f_1 \otimes f_2 \quad \text{such that} \\ \sum f_1(y) f_2(z) &= x^*(yz) \quad \text{for every } y, z \in M \end{aligned}$$

Since  $x^*(yz) = 1$  if and only if  $yz = x$  and  $x^*(yz) = 0$  otherwise, and since  $\sum_{\substack{s,t \in M \\ st=x}} s^* \otimes t^*$  has the property that

$$\begin{aligned} \sum_{\substack{s,t \in M \\ st=x}} s^*(y) t^*(z) &= y^*(y) z^*(z) = 1 \quad \text{if } yz = x \quad \text{and} \\ \sum_{\substack{s,t \in M \\ st=x}} s^*(y) t^*(z) &= 0 \quad \text{otherwise.} \end{aligned}$$

We conclude that

$$\Delta(x^*) = \sum_{\substack{s,t \in M \\ st=x}} s^* \otimes t^*.$$

A computation on  $\varepsilon^*$  reveals that  $(kM)^*$  is just the coalgebra of the semigroup  $M$  as introduced in Example 1.12.

**Exercise 1.43.** Prove that for  $A = M_n(k)$ , the algebra of the  $n \times n$  matrices,  $A^* = M^c(n, k)$ .

**Exercise 1.44.** Prove that for an oriented finite graph  $\Gamma$ , the dual coalgebra of the path algebra of  $\Gamma$  is the path coalgebra of  $\Gamma$ .

**1.45.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $(A, m, u)$  be a  $k$ -algebra. Then

$$\text{Hom}_k(C, A)$$

is always an algebra, called convolution algebra. The multiplication  $*$  of this algebra is defined by setting, for every  $f, g \in \text{Hom}_k(C, A)$  and  $c \in C$

$$(1.13) \quad (f * g)(c) = \sum f(c_1) \cdot g(c_2)$$

**Proposition 1.46.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $(A, m, u)$  be a  $k$ -algebra. Then  $\text{Hom}_k(C, A)$ , with respect to the product defined in (1.13) becomes an algebra whose identity is  $u \circ \varepsilon$ .

*Proof.* Let  $f, g, h \in \text{Hom}_k(C, A)$ . For every  $c \in C$ , we calculate

$$\begin{aligned} ((f * g) * h)(c) &= \sum (f * g)(c_1) \cdot h(c_2) = \sum (f(c_{1_1}) \cdot g(c_{1_2})) \cdot h(c_2) = \\ &= \sum f(c_1) \cdot g(c_{2_1}) \cdot h(c_{2_2}) = \sum f(c_1) \cdot (g * h)(c_2) \\ &= (f * (g * h))(c) \end{aligned}$$

and

$$(f * (u \circ \varepsilon))(c) = \sum f(c_1) \cdot (\varepsilon(c_2) u(1_k)) = f\left(\sum c_1 \varepsilon(c_2)\right) \cdot 1_A = f(c).$$

Thus we get that  $f * (u \circ \varepsilon) = f$ . A similar proof shows that  $(u \circ \varepsilon) * f = f$ .  $\square$

**Proposition 1.47.** Let  $\varphi : C_2 \rightarrow C_1$  be a morphism of  $k$ -coalgebras and let  $\psi : A_1 \rightarrow A_2$  be a morphism of  $k$ -algebras. Then  $\text{Hom}(\varphi, \psi) : \text{Hom}(C_1, A_1) \rightarrow \text{Hom}(C_2, A_2)$  is an algebra morphism.

*Proof.* Let  $f, g \in \text{Hom}(C_1, A_1)$ . Then  $\text{Hom}(\varphi, \psi)(f * g) = \psi \circ (f * g) \circ \varphi$  and we have

$$\begin{aligned} [\text{Hom}(\varphi, \psi)(f * g)](c) &= [\psi \circ (f * g) \circ \varphi](c) = \psi \left[ f \sum (\varphi(c)_1) g(\varphi(c)_2) \right] \\ &\stackrel{\varphi \text{ coalg. morph}}{=} \psi \left[ \sum f(\varphi(c_1)) g(\varphi(c_2)) \right] = \stackrel{\psi \text{ alg. morph}}{=} \sum \psi(f(\varphi(c_1))) \psi(g(\varphi(c_2))) = \\ &= [(\psi \circ f \circ \varphi) * (\psi \circ g \circ \varphi)](c) = [\text{Hom}(\varphi, \psi)(f) * \text{Hom}(\varphi, \psi)(g)](c) \end{aligned}$$

and

$$\text{Hom}(\varphi, \psi)(u_{A_1} \circ \varepsilon_{C_1}) = \psi \circ (u_{A_1} \circ \varepsilon_{C_1}) \circ \varphi = (\psi \circ u_{A_1}) \circ (\varepsilon_{C_1} \circ \varphi) = \stackrel{\psi \text{ alg. morph, } \varphi \text{ coalg. morph}}{=} u_{A_2} \circ \varepsilon_{C_2}$$

$\square$

**Example 1.48.** In particular, we can consider the case when  $A = k$ . In this case  $\text{Hom}_k(C, A) = C^*$  and, in view of Proposition 1.47 the assignment  $C \mapsto C^*$  and  $f \mapsto f^*$  defines a covariant functor  $^* : \text{Coalg}_k \rightarrow \text{Alg}_k$ .

**Exercise 1.49.** Prove that for the divided power coalgebra  $C$  (see example 1) in Example 1.12)  $C^*$  is isomorphic to the formal power series ring  $k[[X]]$ .

**Definition 1.50.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $g \in C$ . The element  $g$  is called a grouplike element if  $g \neq 0$  and  $\Delta(g) = g \otimes g$ . We will denote by  $G(C)$  the set of grouplike elements of  $C$ .

**Lemma 1.51.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $g \in C$  such that  $\Delta(g) = g \otimes g$ . Then

$$g \neq 0 \iff \varepsilon(g) = 1.$$

*Proof.* Since  $\Delta(g) = g \otimes g$  we get that  $g = \varepsilon(g)g$ . From  $g \neq 0$  we deduce that  $\varepsilon(g) = 1$ .  $\square$

**Proposition 1.52.** Let  $A$  be a finite dimensional algebra. Then

$$G(A^*) = \text{Alg}(A, k)$$

where  $\text{Alg}(A, k)$  is the set of algebra morphisms from  $A$  to  $k$ .

*Proof.* Let  $f \in A^*$ . Then  $\Delta_{A^*}(f) = \sum f_1 \otimes f_2$  is uniquely determined by

$$\sum f_1(a) f_2(b) = f(ab) \quad \text{for every } a, b \in A.$$

Hence  $\Delta_{A^*}(f) = f \otimes f$  if and only if  $f(a)f(b) = f(ab)$  for every  $a, b \in A$ . Since  $\varepsilon_{A^*}(f) = f(1)$ , we conclude.  $\square$

**Example 1.53.** Let us consider the matrix coalgebra  $M^C(n, k)$ . Then  $M^C(n, k) = (M_n(k))^*$  so that, by Proposition 1.52,

$$G(M^C(n, k)) = \text{Alg}(M_n(k), k).$$

Let  $\varphi : M_n(k) \rightarrow k$  be an algebra morphism. Then  $\text{Ker}(\varphi) = \{0\}$  which is impossible if  $n > 1$ . Hence we deduce that  $G(M^C(n, k))$  is empty.

**Theorem 1.54.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and assume that  $G(C)$  is nonempty. Then the set  $G(C)$  is a linearly independent subset of  $C$ .

*Proof.* Assume that  $G(C)$  is not linearly independent. Since any grouplike element is linearly independent, there exists an  $n \in \mathbb{N}$ ,  $n \geq 1$  such that any subset of  $n$  elements in  $G(C)$  is linearly independent but there is a subset  $\{g_1, \dots, g_n, g_{n+1}\}$ , consisting of  $n + 1$  distinct elements of  $G(C)$ , which is not linearly independent. Hence there exists  $\lambda_1, \dots, \lambda_n \in k$  such that

$$g_{n+1} = \lambda_1 g_1 + \dots + \lambda_n g_n.$$

By applying  $\Delta$  we get

$$g_{n+1} \otimes g_{n+1} = \sum_{i=1}^n \lambda_i g_i \otimes g_i$$

and hence

$$\sum_{t=1}^n \lambda_t g_t \otimes \sum_{s=1}^n \lambda_s g_s = \sum_{i=1}^n \lambda_i g_i \otimes g_i$$

so that

$$\sum_{t,s=1}^n \lambda_t \lambda_s g_t \otimes g_s = \sum_{i=1}^n \lambda_i g_i \otimes g_i$$

Then, since the set  $\{g_t \otimes g_s \mid t, s = 1, \dots, n\}$  is linearly independent, for any  $t, s$  with  $t \neq s$  we get that  $\lambda_t \lambda_s = 0$ . This forces, by a possible renumbering of  $g_1, \dots, g_n$ ,  $n = 1$  and  $g_{n+1} = \lambda_1 g_1$ . Since  $1 = \varepsilon(g_{n+1}) = \lambda_1 \varepsilon(g_1)$  we obtain that  $\lambda_1 = 1$  and  $g_{n+1} = g_1$ , a contradiction.  $\square$

**Remark 1.55.** *Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and assume that  $G(C)$  is nonempty. Then the subspace  $kG(C)$  spanned by  $G(C)$  is a subcoalgebra of  $C$ .*

# Chapter 2

## Comodules and Rational Modules

**Definitions 2.1.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra. A right  $C$ -comodule is a pair  $(M, \rho^M)$  where

- $M$  is a  $k$ -vector space
- $\rho^M : M \rightarrow M \otimes C$  is a  $k$ -linear map such that

$$(2.1) \quad (M \otimes \Delta) \circ \rho^M = (\rho^M \otimes C) \circ \rho^M \quad \text{and} \quad r_M \circ (M \otimes \varepsilon) \circ \rho^M = M.$$

A left  $C$ -comodule is a pair  $(N, {}^N\rho)$  where

- $N$  is a  $k$ -vector space
- ${}^N\rho : N \rightarrow C \otimes N$  is a  $k$ -linear map such that

$$(2.2) \quad (\Delta \otimes N) \circ {}^N\rho = (C \otimes {}^N\rho) \circ {}^N\rho \quad \text{and} \quad l_N \circ (\varepsilon \otimes N) \circ {}^N\rho = N.$$

**Definition 2.2.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra and let  $(M, \rho^M)$  be a right  $C$ -comodule. We define, by recursion, a sequence  $(\rho_n^M)_{n \geq 1}$  by setting

$$\rho_1^M = \rho^M \quad \text{and} \quad \rho_n^M = (\rho^M \otimes C^{n-1}) \circ \rho_{n-1}^M \quad \text{for every } n \in \mathbb{N}, n \geq 2.$$

**Proposition 2.3.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra and let  $(M, \rho^M)$  be a right  $C$ -comodule. Then

$$(2.3) \quad \rho_n^M = (M \otimes C^{t-1} \otimes \Delta \otimes C^{n-1-t}) \circ \rho_{n-1}^M \quad \text{for every } n, t \in \mathbb{N}, n \geq 2 \quad \text{and } 1 \leq t \leq n-1.$$

*Proof.* It is similar to that of Lemma 1.15. □

**Notation 2.4.** Let  $(M, \rho^M)$  be a right  $C$ -comodule. For every  $x \in M$  we will write

$$\rho^M(x) = \sum x_{(0)} \otimes x_{(1)}$$



or even

$$\rho^M(x) = \sum x_0 \otimes x_1.$$

Note that, using this notation, equalities in (2.1) can be rewritten as

$$\sum x_{(0)} \otimes x_{(1)_1} \otimes x_{(1)_2} = \sum x_{(0)_{(0)}} \otimes x_{(0)_{(1)}} \otimes x_{(1)} \quad \text{and} \quad \sum x_{(0)} \varepsilon(x_{(1)}) = x$$

for every  $x \in M$ .

**Notation 2.5.** More generally, for any  $n \in \mathbb{N}$ ,  $n \geq 1$  we write

$$\rho_n^M(x) = \sum x_{(0)} \otimes \dots \otimes x_{(n)}$$

Using this notation, equality (2.3) gives rise to

$$\sum x_{(0)} \otimes \dots \otimes x_{(n)} = \sum x_{(0)} \otimes \dots \otimes x_{(t-1)} \otimes x_{(t)_1} \otimes x_{(t)_2} \otimes x_{(t+1)} \dots \otimes x_{(n-1)}.$$

**Definition 2.6.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra and let  $(N, {}^N\rho)$  be a left  $C$ -comodule. We define, by recursion, a sequence  $({}^N\rho_n)_{n \geq 1}$  by setting

$${}^N\rho_1 = {}^N\rho \quad \text{and} \quad {}^N\rho_n = (C^{n-1} \otimes {}^N\rho) \circ {}^N\rho_{n-1} \quad \text{for every } n \in \mathbb{N}, n \geq 2.$$

**Notation 2.7.** Let  $(N, {}^N\rho)$  be a left  $C$ -comodule. For every  $x \in N$  we will write

$${}^N\rho(x) = \sum x_{(-1)} \otimes x_{(0)}$$

or even

$${}^N\rho(x) = \sum x_{-1} \otimes x_0.$$

Note that, using this notation, equalities in (2.2) can be rewritten as

$$\sum x_{(-1)_1} \otimes x_{(-1)_2} \otimes x_{(0)} = \sum x_{(-1)} \otimes x_{(0)_{(-1)}} \otimes x_{(0)_{(0)}} \quad \text{and} \quad \sum \varepsilon(x_{(-1)}) x_{(0)} = x$$

for every  $x \in N$ .

**Notation 2.8.** More generally, for any  $n \in \mathbb{N}$ ,  $n \geq 1$  we write

$${}^N\rho_n(x) = \sum x_{(-n)} \otimes \dots \otimes x_{(0)}$$

Using this notation, an equality analogous to (2.3) gives rise to

$$\sum x_{(-n)} \otimes \dots \otimes x_{(0)} = \sum x_{(-n+1)} \otimes \dots \otimes x_{(-t-1)} \otimes x_{(-t)_1} \otimes x_{(-t)_2} \otimes x_{(-t+1)} \dots \otimes x_{(0)}.$$

**Remarks 2.9.** 1) Both for right and for left comodules, using the same criteria involved in the case of coalgebras, others formulas can be deduced.

2) Both for right and for left comodules, sometimes we will need to use as brackets the symbols  $\square$  or even  $\langle \rangle$ .

**Definitions 2.10.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $(M_1, \rho^{M_1})$  and  $(M_2, \rho^{M_2})$  be right  $C$ -comodules. A  $k$ -linear map  $f : M_1 \rightarrow M_2$  is called a morphism of (right) comodules (or right colinear map) if

$$(f \otimes C) \circ \rho^{M_1} = \rho^{M_2} \circ f$$

i.e. if

$$\sum f(x_0) \otimes x_1 = \sum f(x)_0 \otimes f(x)_1 \quad \text{for every } x \in M_1.$$

We will denote by  $\mathcal{M}^C$  the category of right  $C$ -comodules.

Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $(N_1, {}^{N_1}\rho)$  and  $(N_2, {}^{N_2}\rho)$  be left  $C$ -comodules. A  $k$ -linear map  $f : N_1 \rightarrow N_2$  is called a morphism of (left) comodules (or left colinear map) if

$$(C \otimes f) \circ {}^{N_1}\rho = {}^{N_2}\rho \circ f$$

i.e. if

$$\sum x_{-1} \otimes f(x_0) = \sum f(x)_{-1} \otimes f(x)_0 \quad \text{for every } x \in N_1.$$

We will denote by  ${}^C\mathcal{M}$  the category of left  $C$ -comodules.

**Exercise 2.11.** Let  $(C, \Delta, \varepsilon)$  be a coalgebra and let  $(M, \rho^M)$  be a right  $C$ -comodule. Prove that  $\rho^M$  is injective.

**Exercise 2.12.** Let  $f : (M_1, \rho^{M_1}) \rightarrow (M_2, \rho^{M_2})$  be a comodule morphism and assume that  $f$  is bijective. Show that  $f^{-1}$  is a comodule morphism.

**Definition 2.13.** A subspace  $L$  of a right  $C$ -comodule  $(M, \rho^M)$  is called a  $C$ -subcomodule if

$$\rho^M(L) \subseteq L \otimes C.$$

In this case  $L$  itself becomes in a natural way a right  $C$ -comodule by setting

$$\rho^L = \left( (\rho^M)|_L \right)^{L \otimes C}.$$

In this way the natural inclusion  $i_L : L \rightarrow M$  becomes automatically a morphism of comodules.

**Remark 2.14.** An analogous definition holds for left  $C$ -comodules.

**Example 2.15.** Any coalgebra  $C$  can be regarded as a right  $C$ -comodule by setting  $\rho^C = \Delta$ . The subcomodules of this particular comodule are just the right coideals of  $C$ .

**Exercise 2.16.** Let  $f : M_1 \rightarrow M_2$  be a morphism of right  $C$ -comodules. Prove that  $\text{Ker}(f)$  is a subcomodule of  $M_1$  and  $\text{Im}(f)$  is a subcomodule of  $M_2$ .

**Theorem 2.17. (The Fundamental Theorem of the Quotient Comodule)** Let  $(M, \rho^M)$  be a right  $C$ -comodule, let  $L$  be a subcomodule of  $M$  and let  $p = p_L : M \rightarrow M/L$  be the canonical projection. Then  $M/L$  can be endowed by a unique comodule structure (called quotient comodule) such that  $p$  becomes a comodule morphism. Moreover given any morphism  $f : M \rightarrow M'$  of right  $C$ -comodules such that  $L \subseteq \text{Ker}(f)$ , there exists a unique comodule morphism  $\bar{f} : M/L \rightarrow M'$  such that  $f = \bar{f} \circ p$ .

*Proof.* Since  $\rho^M(L) \subseteq L \otimes C$ , we get that  $[(p \otimes C) \circ \rho^M](L) = \{0\}$ . Hence there exists a unique  $k$ -linear map  $\rho^{M/L} : M/L \rightarrow M/L \otimes C$  such that  $\rho^{M/L} \circ p = (p \otimes C) \circ \rho^M$  and we have

$$\begin{aligned} (M/L \otimes \Delta) \circ \rho^{M/L} \circ p &= (M/L \otimes \Delta) \circ (p \otimes C) \circ \rho^M \\ &= (p \otimes \Delta) \circ \rho^M = (p \otimes C \otimes C) \circ (M \otimes \Delta) \circ \rho^M \\ &= (p \otimes C \otimes C) \circ (\rho^M \otimes C) \circ \rho^M \\ &= ((p \otimes C) \circ \rho^M \otimes C) \circ \rho^M \\ &= (\rho^{M/L} \circ p \otimes C) \circ \rho^M = (\rho^{M/L} \otimes C) \circ (p \otimes C) \circ \rho^M \\ &= (\rho^{M/L} \otimes C) \circ \rho^{M/L} \circ p. \end{aligned}$$

Since  $p$  is surjective, we get that  $(M/L \otimes \Delta) \circ \rho^{M/L} = (\rho^{M/L} \otimes C) \circ \rho^{M/L}$ . Let us compute

$$\begin{aligned} r_{M/L} \circ (M/L \otimes \varepsilon) \circ \rho^{M/L} \circ p &= r_{M/L} \circ (M/L \otimes \varepsilon) \circ (p \otimes C) \circ \rho^M \\ &= r_{M/L} \circ (p \otimes k) \circ (M \otimes \varepsilon) \circ \rho^M \\ &\stackrel{(1.1)}{=} p \circ r_M \circ (M \otimes \varepsilon) \circ \rho^M = p \end{aligned}$$

Since  $p$  is surjective, we get that  $r_{M/L} \circ (M/L \otimes \varepsilon) \circ \rho^{M/L} = \text{Id}_{M/L}$  and hence  $(M/L, \rho^{M/L})$  is a right  $C$ -comodule. Note that  $p$  becomes automatically a comodule morphism.

Let now  $f : M \rightarrow M'$  be a comodule morphism and assume that  $L$  is contained in  $\text{Ker}(f)$ . Then there exists a unique  $k$ -linear map  $\bar{f} : M/L \rightarrow M'$  such that  $\bar{f} \circ p = f$ . Let us check that  $\bar{f}$  is a comodule morphism. Indeed we have

$$\begin{aligned} (\bar{f} \otimes C) \circ \rho^{M/L} \circ p &= (\bar{f} \otimes C) \circ (p \otimes C) \circ \rho^M = (f \otimes C) \circ \rho^M = \rho^{M'} \circ f \\ &= \rho^{M'} \circ \bar{f} \circ p. \end{aligned}$$

Since  $p$  is surjective we deduce that  $(\bar{f} \otimes C) \circ \rho^{M/L} = \rho^{M'} \circ \bar{f}$ . □

**Exercise 2.18.** Let  $(L_i)_{i \in I}$  be a family of subcomodules of a right comodule  $(M, \rho^M)$ . Show that both  $\sum_{i \in I} L_i$  and  $\bigcap_{i \in I} L_i$  are subcomodules of  $M$ .

**2.19.** Let  $C$  be a coalgebra and let  $M$  be a  $k$ -vector space. Let  $W \subseteq M^*$  and let  $ev_{M,W} : M \otimes W \rightarrow k$  be the evaluation map. For every  $k$ -linear map  $\rho : M \rightarrow M \otimes C$  set

$$\mu_\rho : C^* \otimes M \xrightarrow{\tau_{C^*,M}} M \otimes C^* \xrightarrow{\rho \otimes C^*} M \otimes C \otimes C^* \xrightarrow{M \otimes ev_{C,C^*}} M \otimes k \xrightarrow{r_M} M.$$

**Lemma 2.20.** *Using the notation of 2.19, let*

$$\theta := m_k \circ (ev_{C,C^*} \otimes ev_{C,C^*}) \circ (C \otimes \tau_{C,C^*} \otimes C^*) : C \otimes C \otimes C^* \otimes C^* \rightarrow k.$$

Then the map

$$\Theta : \text{Hom}(M, M \otimes C \otimes C) \rightarrow \text{Hom}(M \otimes C^* \otimes C^*, M \otimes k) :$$

defined by setting

$$\Theta(\gamma) = (M \otimes \theta) \circ (\gamma \otimes C^* \otimes C^*) \quad \text{for every } \gamma \in \text{Hom}(M, M \otimes C \otimes C)$$

is injective.

*Proof.* Note that, for every  $x \in M, c, d \in C$  and  $f, g \in C^*$ , we have

$$(2.4) \quad (M \otimes \theta)(x \otimes c \otimes d \otimes f \otimes g) = x \otimes f(c)g(d) = [M \otimes (m_k \circ (f \otimes g))](x \otimes c \otimes d).$$

Let  $\gamma \in \text{Hom}(M, M \otimes C \otimes C)$  and let  $x \in M, f, g \in C^*$ . Let us compute

$$\begin{aligned} \Theta(\gamma)(x \otimes f \otimes g) &= [(M \otimes \theta) \circ (\gamma \otimes C^* \otimes C^*)](x \otimes f \otimes g) \\ &= (M \otimes \theta)(\gamma(x) \otimes f \otimes g) \stackrel{(2.4)}{=} [M \otimes (m_k \circ (f \otimes g))](\gamma(x)). \end{aligned}$$

Let  $\gamma, \xi \in \text{Hom}(M, M \otimes C \otimes C)$  and assume that  $\Theta(\gamma) = \Theta(\xi)$ . From the foregoing, we deduce that, for every  $x \in M, f, g \in C^*$ , we have

$$(2.5) \quad [M \otimes (m_k \circ (f \otimes g))](\gamma(x)) = [M \otimes (m_k \circ (f \otimes g))](\xi(x)).$$

Now assume that there exists an  $x \in M$  such that

$$y = \gamma(x) - \xi(x) \neq 0.$$

Let  $(e_i)_{i \in I}$  be a basis of  $C$ . Then there exist  $x_{i,j} \in M, i, j \in F$  where  $F$  is a finite subset of  $I$  such that

$$y = \sum_{i,j \in F} x_{i,j} \otimes e_i \otimes e_j.$$

Let  $(e_i^*)_{i \in I}$  be the dual system of  $(e_i)_{i \in I}$ . Then for any  $s, t \in F$  we get

$$(M \otimes (m_k \circ (e_s^* \otimes e_t^*))) \left( \sum_{i,j \in F} x_{i,j} \otimes e_i \otimes e_j \right) = x_{s,t}.$$

Since  $y \neq 0$ , there exist  $s_0, t_0$  such that  $x_{s_0, t_0} \neq 0$ . This contradicts (2.5).  $\square$

The proof of the following theorem is mostly due to Alessandro Ardizzoni. We thank him for this great help.

**Theorem 2.21.** *Using the notation of 2.19, we have that*

$$(M, \rho) \text{ is a right } C\text{-comodule} \iff (M, \mu_\rho) \text{ is a left } C^*\text{-module.}$$

*Proof.* Set  $ev = ev_{C, C^*}$ . Let us prove that

$$(2.6) \quad ev \circ (C \otimes m_{C^*}) = m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*) \circ (\Delta_C \otimes C^* \otimes C^*)$$

Let  $c \in C, f, g \in C^*$ . We compute

$$[ev \circ (C \otimes m_{C^*})](c \otimes f \otimes g) = (f * g)(c)$$

and

$$\begin{aligned} & [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*) \circ (\Delta_C \otimes C^* \otimes C^*)](c \otimes f \otimes g) \\ &= m_k (ev \otimes ev) (C \otimes \tau_{C, C^*} \otimes C^*) \left( \sum c_1 \otimes c_2 \otimes f \otimes g \right) = \\ &= m_k (ev \otimes ev) \left( \sum c_1 \otimes f \otimes c_2 \otimes g \right) = \sum f(c_1) g(c_2). \end{aligned}$$

By definition of  $f * g$  we deduce (2.6). From this we get that

$$\begin{aligned} \mu_\rho \circ (m_{C^*} \otimes M) &= r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ \tau_{C^*, M} \circ (m_{C^*} \otimes M) \\ &\stackrel{(1.3)}{=} r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ (M \otimes m_{C^*}) \circ \tau_{C^* \otimes C^*, M} \\ &= r_M \circ (M \otimes ev \circ (C \otimes m_{C^*})) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*, M} \\ &\stackrel{(2.6)}{=} r_M \circ (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*) \circ (\Delta_C \otimes C^* \otimes C^*)]) \\ &\quad \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*, M} \\ &= r_M \circ (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*)]) \\ &\quad \circ (M \otimes \Delta_C \otimes C^* \otimes C^*) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*, M} \\ &= r_M \circ (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*)]) \\ &\quad \circ [(M \otimes \Delta_C) \circ \rho \otimes C^* \otimes C^*] \circ \tau_{C^* \otimes C^*, M} \end{aligned}$$

and hence we have

$$(2.7) \quad \mu_\rho \circ (m_{C^*} \otimes M) = r_M \circ (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*)]) \circ [(M \otimes \Delta_C) \circ \rho \otimes C^* \otimes C^*] \circ \tau_{C^* \otimes C^*, M}$$

Now it is easy to check that

$$(2.8) \quad (\tau_{C^*, M} \otimes C^*) \circ \tau_{C^*, C^* \otimes M} = (M \otimes \tau_{C^*, C^*}) \circ \tau_{C^* \otimes C^*, M}.$$

We compute

$$\begin{aligned}
& \mu_\rho \circ (C^* \otimes \mu_\rho) = r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ \tau_{C^*,M} \circ (C^* \otimes \mu_\rho) \\
\stackrel{(1.3)}{=} & r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ (\mu_\rho \otimes C^*) \circ \tau_{C^*,C^* \otimes M} = r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ \\
& (r_M \otimes C^*) \circ (M \otimes ev \otimes C^*) \circ (\rho \otimes C^* \otimes C^*) \circ (\tau_{C^*,M} \otimes C^*) \circ \tau_{C^*,C^* \otimes M} \\
& \stackrel{(2.8)}{=} r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ \\
& (r_M \otimes C^*) \circ (M \otimes ev \otimes C^*) \circ (\rho \otimes C^* \otimes C^*) \circ (M \otimes \tau_{C^*,C^*}) \circ \tau_{C^* \otimes C^*,M} \\
& = r_M \circ (M \otimes ev) \circ (\rho \otimes r_M \otimes C^*) \circ \\
& (M \otimes ev \otimes C^*) \circ (M \otimes C \otimes \tau_{C^*,C^*}) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M} \\
& \stackrel{(1.1)}{=} r_M \circ (M \otimes ev) \circ \\
& (r_{M \otimes C} \circ (\rho \otimes k) \otimes C^*) \circ (M \otimes ev \otimes C^*) \circ (M \otimes C \otimes \tau_{C^*,C^*}) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M} \\
& \stackrel{1.2}{=} r_M \circ (M \otimes ev) \circ (M \otimes r_C \otimes C^*) \\
& \circ (\rho \otimes k \otimes C^*) \circ (M \otimes ev \otimes C^*) \circ (M \otimes C \otimes \tau_{C^*,C^*}) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M} \\
& = r_M \circ (M \otimes ev) \circ (M \otimes r_C \otimes C^*) \circ (M \otimes C \otimes ev \otimes C^*) \circ \\
& \circ (\rho \otimes C \otimes C^* \otimes C^*) \circ (M \otimes C \otimes \tau_{C^*,C^*}) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M} \\
& = r_M \circ (M \otimes ev) \circ (M \otimes r_C \otimes C^*) \circ (M \otimes C \otimes ev \otimes C^*) \circ \\
& \circ (M \otimes C \otimes C \otimes \tau_{C^*,C^*}) \circ (\rho \otimes C \otimes C^* \otimes C^*) \circ (\rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M} \\
& = r_M \circ (M \otimes [ev \circ (r_C \otimes C^*) \circ (C \otimes ev \otimes C^*) \circ (C \otimes C \otimes \tau_{C^*,C^*})]) \\
& \circ ((\rho \otimes C) \circ \rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M}
\end{aligned}$$

Now it is easy to prove that

$$(2.9) \quad [ev \circ (r_C \otimes C^*) \circ (C \otimes ev \otimes C^*) \circ (C \otimes C \otimes \tau_{C^*,C^*})] = [m_k (ev \otimes ev) (C \otimes \tau_{C,C^*} \otimes C^*)].$$

In fact, for every  $c, d \in C, f, g \in C^*$  we have

$$\begin{aligned}
& [ev \circ (r_C \otimes C^*) \circ (C \otimes ev \otimes C^*) \circ (C \otimes C \otimes \tau_{C^*,C^*})] (c \otimes d \otimes f \otimes g) \\
& = [ev \circ (r_C \otimes C^*) \circ (C \otimes ev \otimes C^*)] (c \otimes d \otimes g \otimes f) = g(d) f(c)
\end{aligned}$$

and

$$[m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C,C^*} \otimes C^*)] (c \otimes d \otimes f \otimes g) = m_k (ev \otimes ev) (c \otimes d \otimes g \otimes f) = f(c) g(d).$$

Thus we obtain

$$(2.10) \quad \mu_\rho \circ (C^* \otimes \mu_\rho) = r_M \circ (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C,C^*} \otimes C^*)]) \circ ((\rho \otimes C) \circ \rho \otimes C^* \otimes C^*) \circ \tau_{C^* \otimes C^*,M}.$$

Now, for every  $m \in M, c \in C$ , we have

$$(2.11) \quad [r_M \circ (M \otimes ev)] (m \otimes c \otimes \varepsilon) = m \cdot \varepsilon(c) = [r_M \circ (M \otimes \varepsilon)] (m \otimes c)$$

so that

$$\begin{aligned} (\mu_\rho \circ (u_{C^*} \otimes M) \circ l_M^{-1})(x) &= \mu_\rho(\varepsilon \otimes x) = [r_M \circ (M \otimes ev) \circ (\rho \otimes C^*) \circ \tau_{C^*, M}](\varepsilon \otimes x) = \\ &= [r_M \circ (M \otimes ev) \circ (\rho \otimes C^*)](x \otimes \varepsilon) = [r_M \circ (M \otimes ev)](\rho(x) \otimes \varepsilon) \\ &\stackrel{(2.11)}{=} [r_M \circ (M \otimes \varepsilon)](\rho(x)) = [r_M \circ (M \otimes \varepsilon) \circ \rho](x) \end{aligned}$$

and hence we get

$$(2.12) \quad \mu_\rho \circ (u_{C^*} \otimes M) \circ l_M^{-1} = r_M \circ (M \otimes \varepsilon) \circ \rho$$

$\Rightarrow$ ) Since  $(\rho \otimes C) \circ \rho = (M \otimes \Delta) \circ \rho$ , from (2.7) and from (2.10) we get  $\mu_\rho \circ (m_{C^*} \otimes M) = \mu_\rho \circ (C^* \otimes \mu_\rho)$ . On the other hand, since  $r_M \circ (M \otimes \varepsilon) \circ \rho = \text{Id}_M$ , from (2.12) we get  $\mu_\rho \circ (u_{C^*} \otimes M) \circ l_M^{-1} = \text{Id}_M$ .

$\Leftarrow$ ) Conversely, since  $\mu_\rho \circ (m_{C^*} \otimes M) = \mu_\rho \circ (C^* \otimes \mu_\rho)$ , from (2.7) and (2.10), we get

$$(2.13) \quad \begin{aligned} &(M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*)]) \circ [(M \otimes \Delta_C) \circ \rho \otimes C^* \otimes C^*] \\ &= (M \otimes [m_k \circ (ev \otimes ev) \circ (C \otimes \tau_{C, C^*} \otimes C^*)]) \circ ((\rho \otimes C) \circ \rho \otimes C^* \otimes C^*). \end{aligned}$$

Set  $\gamma = (M \otimes \Delta_C) \circ \rho$  and  $\xi = (\rho \otimes C) \circ \rho$ . Using the notations of Lemma 2.20 this means that

$$\Theta(\gamma) = \Theta(\xi).$$

Since  $\Theta$  is injective, we deduce that  $\gamma = \xi$ .

Since  $\mu_\rho \circ (u_{C^*} \otimes M) \circ l_M^{-1} = \text{Id}_M$ , from (2.12) we get  $r_M \circ (M \otimes \varepsilon) \circ \rho = \text{Id}_M$ .  $\square$

**Proposition 2.22.** *The assignment  $(M, \rho^M) \mapsto (M, \mu_{\rho^M})$  gives rise to a functor  $H : \mathcal{M}^C \rightarrow {}_{C^*}\mathcal{M}$*

*Proof.* Let  $\gamma : M \rightarrow M'$  be a comodule morphism. Given  $f \in C^*$  and  $x \in M$  let us compute

$$\gamma(f \cdot x) = \gamma\left(\sum x_0 f(x_1)\right) = \sum \gamma(x_0) f(x_1) = \left(\sum (\gamma(x))_0 f((\gamma(x))_1)\right) = f \cdot (\gamma(x)).$$

From this we deduce that  $\gamma$  is a morphism of left  $C^*$ -modules.  $\square$

**2.23.** *Let  $M$  be a vector space. The map  $\zeta : M \times C \rightarrow \text{Hom}(C^*, M)$  defined by setting*

$$[\zeta((x, c))](f) = xf(c) \quad \text{for every } x \in M, c \in C, f \in C^*$$

*is bilinear so that it gives rise to a  $k$ -linear map  $\alpha_M : M \otimes C \rightarrow \text{Hom}(C^*, M)$  such that*

$$(\alpha_M(x \otimes c))(f) = xf(c) \quad \text{for every } x \in M, c \in C, f \in C^*.$$

**Proposition 2.24.** *Within the assumptions and notations of 2.23, the map  $\alpha_M : M \otimes C \rightarrow \text{Hom}(C^*, M)$  is injective.*

*Proof.* Let  $z = \sum_{i=1, \dots, n} x_i \otimes c_i \in M \otimes C$  and suppose that  $z \neq 0$  and  $\alpha_M(z) = 0$ . We can assume, w.l.o.g. that  $c_1, \dots, c_n$  are linearly independent and that  $x_1 \neq 0$ . Let  $c_i^* \in C^*$  such that  $c_i^*(c_j) = \delta_{i,j}$ . Then

$$0 = \alpha_M(z)(c_1^*) = \alpha_M\left(\sum_{i=1}^n x_i \otimes c_i\right)(c_1^*) = \sum_{i=1}^n x_i c_1^*(c_i) = x_1 \neq 0,$$

contradiction.  $\square$

**2.25.** Let  $(M, {}^M\mu)$  be a left  $C^*$ -module. Then we can consider the  $k$ -linear map  $\beta_M : M \rightarrow \text{Hom}(C^*, M)$  defined by setting

$$\beta_M(x) = r_x : C^* \rightarrow M \quad \text{where} \quad r_x(f) = f \cdot x.$$

**Definition 2.26.** A left  $C^*$ -module  $(M, {}^M\mu)$  is called rational when there exists a  $k$ -linear map  $\delta^M : M \rightarrow M \otimes C$  such that

$$\alpha_M \circ \delta^M = \beta_M.$$

We will denote by  $\text{Rat}(C^*\mathcal{M})$  the full subcategory of  $C^*\mathcal{M}$  whose objects are exactly the rational modules.

**Remark 2.27.** Note that if  $\delta, \delta' : M \rightarrow M \otimes C$  satisfy  $\alpha_M \circ \delta = \beta_M = \alpha_M \circ \delta'$ , then, since  $\alpha_M$  is injective, we get  $\delta = \delta'$ . Thus we will write  $(M, {}^M\mu, \delta^M) \in \text{Rat}(C^*\mathcal{M})$  to specify the unique map  $\delta^M$  such that  $\alpha_M \circ \delta^M = \beta_M$ .

**Proposition 2.28.** Let  $(M, {}^M\mu)$  be a left  $C^*$ -module.  $M$  is rational if and only if for any  $x \in M$  there exist  $n \in \mathbb{N}$ ,  $n \geq 1$ ,  $y_1, \dots, y_n \in M$  and  $c_1, \dots, c_n \in C$  such that

$$f \cdot x = \sum_{i=1}^n y_i f(c_i) \quad \text{for any } f \in C^*.$$

In this case

$$\delta^M(x) = \sum_{i=1}^n y_i \otimes c_i \quad \text{for any } x \in M.$$

*Proof.* Assume that  $M$  is rational and let  $\delta^M : M \rightarrow M \otimes C$  such that  $\alpha_M \circ \delta^M = \beta_M$ . For  $x \in M$  let

$$\delta^M(x) = \sum_{i=1}^n y_i \otimes c_i \quad \text{where } n \in \mathbb{N}, n \geq 1, y_1, \dots, y_n \in M, c_1, \dots, c_n \in C.$$

Then, for any  $f \in C^*$ , we have

$$f \cdot x = [\beta_M(x)](f) = [\alpha_M(\delta^M(x))](f) = \alpha_M\left(\sum_{i=1}^n y_i \otimes c_i\right)(f) = \sum_{i=1}^n y_i f(c_i)$$



Conversely assume that for any  $x \in M$  there exist  $n \in \mathbb{N}$ ,  $n \geq 1$ ,  $y_1, \dots, y_n \in M$  and  $c_1, \dots, c_n \in C$  such that  $f \cdot x = \sum_{i=1}^n y_i f(c_i)$  for any  $f \in C^*$ . Then, given  $x \in M$ , for any  $f \in C^*$ , we have

$$[\beta_M(x)](f) = f \cdot x = \sum_{i=1}^n y_i f(c_i) = \alpha_M \left( \sum_{i=1}^n y_i \otimes c_i \right) (f)$$

i.e.

$$\beta_M(x) = \alpha_M \left( \sum_{i=1}^n y_i \otimes c_i \right).$$

Since  $\alpha_M$  is injective, we define a map  $\delta^M : M \rightarrow M \otimes C$  by setting

$$\delta^M(x) = \sum_{i=1}^n y_i \otimes c_i \quad \text{for any } x \in M.$$

Then

$$\alpha_M(\delta^M(x)) = \alpha_M \left( \sum_{i=1}^n y_i \otimes c_i \right) = \beta_M(x)$$

so that  $\alpha_M \circ \delta^M = \beta_M$ . Since  $\alpha_M$  is injective and both  $\alpha_M$  and  $\beta_M$  are  $k$ -linear, it follows that  $\delta^M$  is  $k$ -linear too.  $\square$

**Lemma 2.29.** *Using the assumptions and notations of Proposition 2.22, for every  $(M, \rho^M) \in \mathcal{M}^C$  we have that  $(M, \mu_{\rho^M}, \rho^M)$  is a rational module. Therefore  $\text{Im}(H) \subseteq \text{Rat}(C^*\mathcal{M})$ .*

*Proof.* Let  $(M, \rho^M)$  be a right  $C$ -comodule and let us consider the associated left  $C^*$ -module  $((M, \mu_{\rho^M}))$ . Then, for every  $x \in M$  and  $f \in C^*$ , we compute

$$[(\alpha_M \circ \rho^M)(x)](f) = \left[ \alpha_M \left( \sum x_0 \otimes x_1 \right) \right] (f) = \sum x_0 f(x_1) = f \cdot x = [\beta_M(x)](f).$$

Therefore we deduce that

$$\alpha_M \circ \rho^M = \beta_M.$$

$\square$

**Theorem 2.30.** *The assignment  $(M, \rho^M) \mapsto (M, \mu_{\rho^M}, \rho^M)$  gives rise to a category isomorphism  $\Gamma : \mathcal{M}^C \rightarrow \text{Rat}(C^*\mathcal{M})$ .*

*Proof.* In view of Lemma 2.29, the image of the functor  $H : \mathcal{M}^C \rightarrow C^*\mathcal{M}$  in Proposition 2.22 is contained in  $\text{Rat}(C^*\mathcal{M})$  and hence we can consider the functor  $\Gamma = H^{\text{Rat}(C^*\mathcal{M})}$ .

Now assume that  $(M, \mu, \delta^M)$  is rational. For  $x \in M$ , let

$$\delta^M(x) = \sum_{i=1}^n y_i \otimes c_i \quad \text{where } n \in \mathbb{N}, n \geq 1, y_1, \dots, y_n \in M, c_1, \dots, c_n \in C.$$

Then, for any  $f \in C^*$  we have

$$\begin{aligned} \mu_{\delta^M}(f \otimes x) &= [r_M \circ (M \otimes ev) \circ (\delta^M \otimes C^*) \circ \tau_{C^*, M}](f \otimes x) = \\ &= [r_M \circ (M \otimes ev)] \left( \left( \sum_{i=1}^n y_i \otimes c_i \right) \otimes f \right) = \sum_{i=1}^n y_i f(c_i) = \alpha_M \left( \sum_{i=1}^n y_i \otimes c_i \right) (f) = \\ &= [\alpha_M(\delta^M(x))](f) = [\beta_M(x)](f) = f \cdot x = {}^M\mu(f \otimes x) \end{aligned}$$

Thus

$$(2.14) \quad \mu_{\delta^M} = {}^M\mu$$

and hence, by Theorem 2.21, we deduce that  $(M, \delta^M)$  is a right  $C$ -comodule.

Now we want to prove that the assignment  $(M, {}^M\mu, \delta^M) \mapsto (M, \delta^M)$  gives rise to a functor  $\Lambda : \text{Rat}(C^*M) \rightarrow \mathcal{M}^C$ . Thus let  $(M, {}^M\mu, \delta^M)$  and  $(M', {}^{M'}\mu, \delta^{M'})$  be rational modules and let  $\gamma : M \rightarrow M'$  be a morphism of left  $C^*$ -modules. We will prove that  $\gamma : (M, \delta^M) \rightarrow (M', \delta^{M'})$  is a morphism of comodules. For any  $t \in M, c \in C, f \in C^*$  we have

$$[\alpha_M((t \otimes c))](f) = tf(c)$$

so that

$$\begin{aligned} \{[\alpha_{M'} \circ (\gamma \otimes C)](t \otimes c)\}(f) &= [\alpha_{M'}(\gamma(t) \otimes c)](f) = \gamma(t) f(c) \\ &= \gamma(tf(c)) = \gamma[\alpha_M(t \otimes c)](f) \end{aligned}$$

and hence

$$(2.15) \quad \{[\alpha_{M'} \circ (\gamma \otimes C)](t \otimes c)\}(f) = \gamma[\alpha_M(t \otimes c)](f).$$

Now, for every  $x \in M$  and  $f \in C^*$ , we have

$$\begin{aligned} \{[\alpha_{M'} \circ (\gamma \otimes C) \circ \delta^M](x)\}(f) &= \{[\alpha_{M'} \circ (\gamma \otimes C)](\delta^M(x))\}(f) \\ &\stackrel{(2.15)}{=} \gamma[\alpha_M(\delta^M(x))](f) = \gamma[\beta_M(x)](f) = \gamma(f \cdot x) \end{aligned}$$

and

$$\left\{ [\alpha_{M'} \circ \delta^{M'} \circ \gamma](x) \right\} (f) = (\beta_{M'}(\gamma(x)))(f) = f \cdot \gamma(x).$$

Since  $\gamma$  is a morphism of left  $C^*$ -modules, for every  $x \in M$  and  $f \in C^*$ , we obtain that

$$\{[\alpha_{M'} \circ (\gamma \otimes C) \circ \delta^M](x)\}(f) = \left\{ [\alpha_{M'} \circ \delta^{M'} \circ \gamma](x) \right\} (f)$$

and hence

$$\alpha_{M'} \circ (\gamma \otimes C) \circ \delta^M = \alpha_{M'} \circ \delta^{M'} \circ \gamma.$$

Since  $\alpha_{M'}$  is injective we get

$$(\gamma \otimes C) \circ \delta^M = \delta^{M'} \circ \gamma.$$

Hence we obtain a functor  $\Lambda : \text{Rat}({}_{C^*}\mathcal{M}) \rightarrow \mathcal{M}^C$  such that

$$\Lambda(M, {}^M\mu, \delta^M) = (M, \delta^M) \quad \text{and} \quad \Lambda(f) = f \quad \text{for any morphism } f \text{ in } {}_{C^*}\mathcal{M}.$$

Let us prove that the functors  $\Gamma$  and  $\Lambda$  give rise to an isomorphism of categories between  $\mathcal{M}^C$  and  $\text{Rat}({}_{C^*}\mathcal{M})$ .

Let  $(M, \rho^M) \in \mathcal{M}^C$ . Then  $\Gamma(M, \rho^M) = (M, \mu_{\rho^M}, \rho^M)$  and hence  $\Lambda(\Gamma(M, \rho^M)) = (M, \rho^M)$ . Conversely, let  $(M, {}^M\mu, \delta^M) \in \text{Rat}({}_{C^*}\mathcal{M})$ . Then  $\Lambda(M, {}^M\mu, \delta^M) = (M, \delta^M)$  and hence  $\Gamma(\Lambda(M, {}^M\mu, \delta^M)) = \Gamma(M, \delta^M) = (M, \mu_{\delta^M}, \delta^M) \stackrel{2.14}{=} (M, {}^M\mu, \delta^M)$ .  $\square$

**Exercise 2.31.** Let  $C$  be a coalgebra and let  $f : M \rightarrow N$  be an isomorphism in  ${}_{C^*}\mathcal{M}$ . Show that, if  $M$  is rational, also  $N$  is rational.

**Theorem 2.32.** Let  $C$  be a coalgebra. The full subcategory  $\text{Rat}({}_{C^*}\mathcal{M})$  of  ${}_{C^*}\mathcal{M}$  is closed under submodules, quotients and direct sums.

*Proof.* Let  $(M, {}^M\mu, \delta^M) \in \text{Rat}({}_{C^*}\mathcal{M})$  and let  $L$  be a  $C^*$ -submodule of  $M$ .

Since  $M$  is rational, by Proposition 2.28, for every  $l \in L$ , there exist  $n \in \mathbb{N}$ ,  $n \geq 1$ ,  $y_1, \dots, y_n \in M$  and  $c_1, \dots, c_n \in C$  such that

$$f \cdot l = \sum_{i=1}^n y_i f(c_i) \quad \text{for any } f \in C^*.$$

We can assume  $c_1, \dots, c_n$  linearly independent and denote by  $c_j^*$  the elements of  $C^*$  defined by  $c_j^*(c_i) = \delta_{i,j}$ . Then we obtain

$$L \ni c_j^* \cdot l = y_j \quad \text{for every } j = 1, \dots, n.$$

Hence, by Proposition 2.28, we conclude that  $L$  is rational with  $\delta^L = \left( (\delta^M)_{|L} \right)^{L \otimes C}$ .

Now we apply again Proposition 2.28 to get that, for every  $x \in M$ , there exist  $n \in \mathbb{N}$ ,  $n \geq 1$ ,  $y_1, \dots, y_n \in M$  and  $c_1, \dots, c_n \in C$  such that

$$f \cdot x = \sum_{i=1}^n y_i f(c_i) \quad \text{for any } f \in C^*.$$

Then

$$f \cdot (x + L) = (f \cdot x) + L = \left( \sum_{i=1}^n y_i f(c_i) \right) + L = \sum_{i=1}^n (y_i + L) f(c_i)$$

and hence, using one more time Proposition 2.28, we conclude that  $M/L$  is rational.

Let now  $(M_i, {}^{M_i}\mu, \delta^{M_i})_{i \in I}$  be a family in  $\text{Rat}({}_{C^*}\mathcal{M})$ . Let

$$\psi : \bigoplus_{i \in I} (M_i \otimes C) \rightarrow \left( \bigoplus_{i \in I} M_i \right) \otimes C$$

be the natural isomorphism, i.e. for every  $t_i \in M_i$  and  $c_i \in C$  we have

$$\psi((t_i \otimes c_i)_{i \in I}) = \sum_{i \in I} \varepsilon_i(t_i) \otimes c_i = \sum_{i \in I} (\varepsilon_i \otimes C)(t_i \otimes c_i).$$

Set

$$\delta^{\oplus_{i \in I} M_i} = \psi \circ (\oplus_{i \in I} \delta^{M_i}).$$

Then, for every  $(x_i)_{i \in I} \in \bigoplus_{i \in I} M_i$ , we get

$$[\psi \circ (\oplus_{i \in I} \delta^{M_i})](x_i)_{i \in I} = \psi((\delta_{M_i}(x_i))_{i \in I}) = \sum_{i \in I} (\varepsilon_i \otimes C)(\delta_{M_i}(x_i)).$$

Let  $(x_i)_{i \in I} \in \bigoplus_{i \in I} M_i, c \in C, f \in C^*$  and let us compute

$$\begin{aligned} [(\alpha_{\oplus_{i \in I} M_i}) \circ (\varepsilon_i \otimes C)(x_i \otimes c)](f) &= [(\alpha_{\oplus_{i \in I} M_i})(\varepsilon_i(x_i) \otimes c)](f) = \varepsilon_i(x_i) f(c) \\ &= \varepsilon_i(x_i f(c)) = \varepsilon_i[\alpha_{M_i}(x_i \otimes c)(f)]. \end{aligned}$$

Hence we deduce that

$$\begin{aligned} [(\alpha_{\oplus_{i \in I} M_i} \circ \delta^{\oplus_{i \in I} M_i})(x_i)_{i \in I}](f) &= [(\alpha_{\oplus_{i \in I} M_i} \circ \psi \circ (\oplus_{i \in I} \delta^{M_i}))(x_i)_{i \in I}](f) \\ &= \left[ \alpha_{\oplus_{i \in I} M_i} \left( \sum_{i \in I} (\varepsilon_i \otimes C)(\delta_{M_i}(x_i)) \right) \right](f) = \sum_{i \in I} \varepsilon_i \{ [\alpha_{M_i}(\delta_{M_i}(x_i))](f) \} \\ &= \sum_{i \in I} \varepsilon_i [(\beta_{M_i}(x_i))(f)] = \sum_{i \in I} \varepsilon_i(f \cdot x_i) = f \cdot (x_i)_{i \in I} = [\beta_{\oplus_{i \in I} M_i}((x_i)_{i \in I})](f) \end{aligned}$$

i.e.

$$\alpha_{\oplus_{i \in I} M_i} \circ \delta^{\oplus_{i \in I} M_i} = \beta_{\oplus_{i \in I} M_i}.$$

□

**Theorem 2.33.** *Let  $(M, \rho^M)$  be a right  $C$ -comodule and let  $x \in M$ . Then  $C^*x$  is the minimal subcomodule of  $M$  containing  $x$ . Moreover  $\dim_k(C^*x) < \infty$ .*

*Proof.* The first assertion follows from Theorem 2.32 and Theorem 2.30. Let  $x \in M$  and write  $\rho(x) = \sum_{i=1, \dots, n} y_i \otimes c_i$ . Then

$$f \cdot x = \sum_{i=1}^n y_i f(c_i) \in \sum_{i=1}^n k y_i \quad \text{for any } f \in C^*$$

so that

$$C^*x \leq \sum_{i=1}^n k y_i.$$

□

**Theorem 2.34.** Let  $(M, {}^M\mu) \in {}_{C^*}\mathcal{M}$  and let  $\text{Rat}(M) = \{L \leq_{C^*} M \mid L \in \text{Rat}({}_{C^*}\mathcal{M})\}$ . Set

$$(2.16) \quad \text{rat}(M) = \sum_{L \in \text{Rat}(M)} L.$$

Then  $\text{rat}(M) \in {}_{C^*}\mathcal{M}$  and it is the maximal submodule of  $M$  which is a rational module. Moreover if  $f : M \rightarrow M'$  is a morphism in  ${}_{C^*}\mathcal{M}$ , then

- 1)  $f(\text{rat}(M)) \subseteq \text{rat}(M')$ ,
- 2)  $\text{Ker}(f|_{\text{rat}(M)}) = \text{rat}(\text{Ker}(f))$ .

*Proof.* For every  $L \in \text{Rat}(M)$ , let  $i_L : L \rightarrow M$  be the canonical inclusion and let  $\Phi$  be the codiagonal morphism of the family  $(i_L)_{L \in \text{Rat}(M)}$  :

$$\Phi : \bigoplus_{L \in \text{Rat}(M)} L \rightarrow M.$$

Then  $\text{Im}(\Phi) = \sum_{L \in \text{Rat}(M)} L$  and, in view of Theorem 2.32, we obtain that  $\text{Im}(\Phi) \in \text{Rat}({}_{C^*}\mathcal{M})$ .

Let now  $f : M \rightarrow M'$  be a morphism in  ${}_{C^*}\mathcal{M}$ . Then  $f(\text{rat}(M))$  is a quotient of  $\text{rat}(M)$  and hence, by Theorem 2.32,  $f(\text{rat}(M)) \in \text{Rat}(M')$ . Moreover, by the same Theorem we have that any  $C^*$ -submodule of  $\text{rat}(M)$  is rational so that  $\text{Ker}(f) \cap \text{rat}(M) \subseteq \text{rat}(\text{Ker}(f))$  and we get

$$\text{Ker}(f|_{\text{rat}(M)}) = \text{Ker}(f) \cap \text{rat}(M) \subseteq \text{rat}(\text{Ker}(f)) \subseteq \text{Ker}(f) \cap \text{rat}(M).$$

□

**Proposition 2.35.** Let  $(M, {}^M\mu) \in {}_{C^*}\mathcal{M}$ . Then

$$\text{rat}(M) = \beta_M^{\leftarrow}(\alpha_M(M \otimes C))$$

*Proof.* Let  $L$  be a  $C^*$ -submodule of  $M$  and assume that  $(L, \mu^L, \delta^L) \in \text{Rat}({}_{C^*}\mathcal{M})$ . Then  $\beta_L = \alpha_L \circ \delta_L$ . Let  $i_L : L \rightarrow M$  be the canonical inclusion. Then

$$(2.17) \quad \text{Hom}(C^*, i_L) \circ \alpha_L = \alpha_M \circ (i_L \otimes C).$$

and

$$(2.18) \quad \beta_M \circ i_L = \text{Hom}(C^*, i_L) \circ \beta_L$$

Hence

$$\beta_M \circ i_L \stackrel{(2.18)}{=} \text{Hom}(C^*, i_L) \circ \beta_L = \text{Hom}(C^*, i_L) \circ \alpha_L \circ \delta_L \stackrel{(2.17)}{=} \alpha_M \circ (i_L \otimes C) \circ \delta_L$$

so that

$$(2.19) \quad \beta_M \circ i_L = \alpha_M \circ (i_L \otimes C) \circ \delta_L$$

$$\beta_M(L) = \beta_M \circ i_L(L) = [\alpha_M \circ (i_L \otimes C) \circ \delta_L](L) \subseteq \alpha_M(M \otimes C)$$

and hence

$$L \subseteq \beta_M^{\leftarrow} \beta_M(L) \subseteq \beta_M^{\leftarrow}(\alpha_M(M \otimes C)).$$

Conversely, let us prove that  $X = \beta_M^{\leftarrow}(\alpha_M(M \otimes C))$  is a rational  $H^*$ -submodule of  $M$ . Let  $g \in C^*$  and let  $x \in X$ . Then there exist  $n \in \mathbb{N}, n \geq 1, x_1, \dots, x_n \in M$  and  $c_1, \dots, c_n \in C$  such that

$$\beta_M(x) = \alpha_M \left( \sum_{i=1}^n x_i \otimes c_i \right).$$

i.e.

$$[\beta_M(x)](f) = \left[ \alpha_M \left( \sum_{i=1}^n x_i \otimes c_i \right) \right](f) \quad \text{for every } f \in C^*$$

so that

$$f \cdot x = [\beta_M(x)](f) = \left[ \alpha_M \left( \sum_{i=1}^n x_i \otimes c_i \right) \right](f) = \sum_{i=1}^n x_i f(c_i) \quad \text{for every } f \in C^*$$

and hence we get

$$(2.20) \quad f \cdot x = \sum_{i=1}^n x_i f(c_i) \quad \text{for every } f \in C^*.$$

Thus we have

$$\begin{aligned} [\beta_M(gx)](f) &= f(gx) = (f * g) x \stackrel{(2.20)}{=} \sum_{i=1}^n x_i [(f * g)(c_i)] \\ &= \sum_{i=1}^n \sum x_i f[(c_i)_1] g[(c_i)_2] = \left[ \alpha_M \left( \sum_{i=1}^n x_i \otimes c_{i1} g[(c_i)_2] \right) \right](f) \end{aligned}$$

i.e.

$$[\beta_M(gx)](f) = \left[ \alpha_M \left( \sum_{i=1}^n x_i \otimes c_{i1} g[(c_i)_2] \right) \right](f) \quad \text{for every } f \in C^*$$

which means that

$$\beta_M(gx) = \alpha_M \left( \sum_{i=1}^n x_i \otimes c_{i1} g[(c_i)_2] \right) \in \alpha_M(M \otimes C)$$

and hence we get that  $gx \in X$ . Therefore  $X$  is a left  $C^*$ -submodule of  $M$ .

Thus we can apply to the left  $C^*$ -module  $X$  Proposition 2.28. Since, for any  $x \in X$  there exist  $n \in \mathbb{N}, n \geq 1, x_1, \dots, x_n \in M$  and  $c_1, \dots, c_n \in C$  such that (2.20) holds, we conclude, in view of Proposition 2.28, that  $X$  is rational.  $\square$

**Theorem 2.36.** *Let  $(C, \Delta, \varepsilon)$  be a finite dimensional coalgebra. Then  $\text{Rat}(C^*\mathcal{M}) = {}_{C^*}\mathcal{M}$*

*Proof.* In view of Theorem 2.34, we have only to prove that  $C^* \in \text{Rat}(C^*\mathcal{M})$ .

Let  $n \in \mathbb{N}, n \geq 1$  and let  $e_1, \dots, e_n$  be a basis of  $C$ . Let  $e_1^*, \dots, e_n^*$  the corresponding dual basis. Then, for every  $f \in C^*$

$$f = \sum_{i=1}^n e_i^* f(e_i)$$

and hence, given  $\gamma \in C^*$ , for every  $f \in C^*$  we have

$$f \cdot \gamma = \left( \sum_{i=1}^n e_i^* f(e_i) \right) \cdot \gamma = \sum_{i=1}^n (e_i^* \cdot \gamma) f(e_i).$$

Since  $e_i^* \cdot \gamma \in C^*$  for every  $i = 1 \dots n$ , in view of 2.28, we conclude.  $\square$

**Definition 2.37.** *Let  $R$  be a ring and let  $M \in {}_R\mathcal{M}$ . The Wisbauer category  $\sigma[M]$  is the smallest full subcategory of  ${}_R\mathcal{M}$  which contains  $M$  and is closed under submodules, quotients and direct sums.*

**PROPOSAL FOR A DEEPER UNDERSTANDING:** Introduce the concept of Grothendieck category. Prove that  $\text{Rat}(C^*\mathcal{M})$  is a Grothendieck category and that

$$\text{Rat}(C^*\mathcal{M}) = \sigma(C^*C).$$

**Notation 2.38.** *We will denote by  $\text{Vec}_k$  the category of  $k$ -vector spaces i.e. of symmetric  $k$ -bimodules.*

**Proposition 2.39.** *Let  $(C, \Delta, \varepsilon)$  be a coalgebra, let  $V \in \text{Vec}_k$  and  $(M, \rho^M) \in \mathcal{M}^C$ . Then the assignments  $V \mapsto (V \otimes M, V \otimes \rho^M)$  and  $f \mapsto f \otimes M$  define a functor  $F_M : \text{Vec}_k \rightarrow \mathcal{M}^C$ .*

*Proof.* We compute

$$\begin{aligned} (V \otimes M \otimes \Delta) \circ (V \otimes \rho^M) &= V \otimes [(M \otimes \Delta) \circ \rho^M] = V \otimes [(\rho^M \otimes C) \circ \rho^M] \\ &= ((V \otimes \rho^M) \otimes C) \circ (V \otimes \rho^M) \end{aligned}$$

and

$$\begin{aligned} r_{V \otimes M} \circ (V \otimes M \otimes \varepsilon) \circ (V \otimes \rho^M) &\stackrel{(1,2)}{=} (V \otimes r_M) \circ (V \otimes M \otimes \varepsilon) \circ (V \otimes \rho^M) \\ &= (V \otimes [r_M \circ (M \otimes \varepsilon) \circ (\rho^M)]) = V \otimes M. \end{aligned}$$

Moreover, for any  $k$ -linear map  $f : V \rightarrow V'$  we have

$$(f \otimes M \otimes C) \circ (V \otimes \rho^M) = (f \otimes \rho^M) = (V' \otimes \rho^M) \circ (f \otimes M).$$

$\square$

**Theorem 2.40.** *Let  $(C, \Delta, \varepsilon)$  be a coalgebra. The functor  $F = F_C : Vec_k \rightarrow \mathcal{M}^C$  is a right adjoint of the forgetful functor  $U : \mathcal{M}^C \rightarrow Vec_k$ .*

*Proof.* Let  $(M, \rho^M) \in \mathcal{M}^C$ . Then  $\rho^M : (M, \rho^M) \rightarrow (M \otimes C, M \otimes \Delta)$  is a comodule morphism. Indeed we have

$$(\rho^M \otimes C) = (M \otimes \Delta) \circ \rho^M.$$

Let us check that the family  $(\rho^M)_{M \in \mathcal{M}^C}$  gives rise to a functorial morphism

$$\rho : \text{Id}_{\mathcal{M}^C} \rightarrow FU.$$

Let  $f : M \rightarrow M'$  be a right comodule morphism. This means that

$$(f \otimes C) \circ \rho^M = \rho^{M'} \circ f$$

and this is what is needed for  $\rho$  to be a functorial morphism.

Let now  $V$  be a vector space and set

$$\epsilon_V = r_V \circ (V \otimes \varepsilon) : V \otimes C \rightarrow V.$$

Let us check that the family  $(\epsilon_V)_{V \in Vec_k}$  gives rise to a functorial morphism

$$\epsilon : UF \rightarrow \text{Id}_{Vec_k}.$$

In fact, given a  $k$ -linear map  $h : V \rightarrow V'$ , we have

$$\begin{aligned} h \circ \epsilon_V &= h \circ r_V \circ (V \otimes \varepsilon) \stackrel{(1.1)}{=} r_{V'} \circ (h \otimes k) \circ (V \otimes \varepsilon) = r_{V'} \circ (h \otimes \varepsilon) \\ &= r_{V'} \circ (V' \otimes \varepsilon) \circ (h \otimes C) = \epsilon_{V'} \circ (h \otimes C). \end{aligned}$$

Let us prove that  $\rho$  and  $\epsilon$  fulfill the requirements for being the unit, resp. the counit, for an adjunction  $(U, F)$ . Thus let  $(M, \rho^M) \in \mathcal{M}^C$ , let  $V \in Vec_k$  and let us compute

$$\epsilon_{U(M, \rho^M)} \circ U(\rho^M) = r_M \circ (M \otimes \varepsilon) \circ \rho^M = \text{Id}_M = \text{Id}_{U(M, \rho^M)}$$

and

$$\begin{aligned} F(\epsilon_V) \circ \rho_{F(V)} &= F(r_V \circ (V \otimes \varepsilon)) \circ (V \otimes \Delta) = (r_V \otimes C) \circ (V \otimes \varepsilon \otimes C) \circ (V \otimes \Delta) \\ &\stackrel{(1.2)}{=} (V \otimes l_C) \circ (V \otimes \varepsilon \otimes C) \circ (V \otimes \Delta) = \text{Id}_{V \otimes C}. \end{aligned}$$

□

**Corollary 2.41.** *For any  $k$ -vector space  $V$ ,  $F(V)$  is an injective object in  $\mathcal{M}^C$ .*

*Proof.* In view of Theorem 2.40, the functor  $\text{Hom}_{\mathcal{M}^C}(-, F(V))$  is isomorphic to the functor  $\text{Hom}(U(-), V)$ . Since  $U$  and  $\text{Hom}(-, V)$  are exact functors, we conclude. □



**Proposition 2.42.** *Let  $(C, \Delta, \varepsilon)$  be a coalgebra. Then  $(C, \Delta)$  is an injective cogenerator of  $\mathcal{M}^C$ .*

*Proof.* By Corollary 2.41, we have that  $F(k)$  is an injective object in  $\mathcal{M}^C$ . Now  $l_C : F(k) = (k \otimes C, k \otimes \Delta) \rightarrow (C, \Delta)$  is colinear. In fact, by (1.1), we have

$$(l_C \otimes C) \circ (k \otimes \Delta) = l_{C \otimes C} \circ (k \otimes \Delta) = \Delta \circ l_C.$$

Thus  $l_C$  is an isomorphism in  $\mathcal{M}^C$  and hence  $C$  is an injective object in  $\mathcal{M}^C$ . Let now  $(M, \rho^M) \in \mathcal{M}^C$  and let  $\lambda : M \rightarrow k^{(X)}$  be an isomorphism of vector spaces. It is easy to check that the usual isomorphism  $\psi : k^{(X)} \otimes C \rightarrow C^{(X)}$  is a colinear map from  $F(k^{(X)})$  into  $(C, \Delta)^{(X)}$ . Since  $\rho_M : (M, \rho^M) \rightarrow FU(M) \simeq F(k^{(X)})$  is an injective colinear map, we conclude.  $\square$

**Definitions 2.43.** *Let  $(C, \Delta_C, \varepsilon_C)$  and  $(D, \Delta_D, \varepsilon_D)$  be coalgebras. A  $C$ - $D$ -bicomodule is a triple  $(M, {}^M\rho, \rho^M)$  such that  $(M, {}^M\rho) \in {}^C\mathcal{M}$ ,  $(M, \rho^M) \in \mathcal{M}^D$  and*

$$(2.21) \quad ({}^M\rho \otimes D) \circ \rho^M = (C \otimes \rho^M) \circ {}^M\rho.$$

*A  $k$ -linear map  $f : M \rightarrow M'$  between two  $C$ - $D$ -bicomodules is called a morphism of  $C$ - $D$ -bicomodules if it is both left  $C$ -colinear and right  $D$ -colinear. The category of  $C$ - $D$ -bicomodules we will denote by  ${}^C\mathcal{M}^D$*

**Proposition 2.44.** *Let  $(C, \Delta_C, \varepsilon_C)$  and  $(D, \Delta_D, \varepsilon_D)$  be coalgebras and let  $(M, {}^M\rho) \in {}^C\mathcal{M}$  and  $(N, \rho^N) \in \mathcal{M}^D$ . Then  $(M \otimes N, {}^M\rho \otimes N, M \otimes \rho^N) \in {}^C\mathcal{M}^D$ .*

*Proof.* By Proposition 2.39,  $(M \otimes N, {}^M\rho \otimes N) \in {}^C\mathcal{M}$  and  $(M \otimes N, M \otimes \rho^N) \in \mathcal{M}^D$ . Since we also have

$$({}^M\rho \otimes N \otimes D) \circ (M \otimes \rho^N) = ({}^M\rho \otimes \rho^N) = (C \otimes M \otimes \rho^N) \circ ({}^M\rho \otimes N),$$

we conclude.  $\square$

**Remark 2.45.** *From the foregoing, we deduce that (2.21) can be read both as*

- $\rho^M : (M, {}^M\rho) \rightarrow (M \otimes D, {}^M\rho \otimes D)$  is a morphism in  ${}^C\mathcal{M}$  (and hence in  ${}^C\mathcal{M}^D$ ) or
- ${}^M\rho : (M, \rho^M) \rightarrow (C \otimes M, C \otimes \rho^M)$  is a morphism in  $\mathcal{M}^D$  (and hence in  ${}^C\mathcal{M}^D$ ).

**Remark 2.46.** *Let  $D = (k, \Delta_k = r_k^{-1}, \varepsilon_k = \text{Id}_k)$ . Then  ${}^C\mathcal{M}^D = {}^C\mathcal{M}$ .*

**Definition 2.47.** *Let  $(C, \Delta_C, \varepsilon_C)$ ,  $(D, \Delta_D, \varepsilon_D)$ ,  $(E, \Delta_E, \varepsilon_E)$  be coalgebras and let  $(M, {}^M\rho, \rho^M) \in {}^D\mathcal{M}^C$  and  $(N, {}^N\rho, \rho^N) \in {}^C\mathcal{M}^E$ . The cotensor product of the comodules  $M$  and  $N$  is the  $k$ -subspace  $M \square_C N$  of  $M \otimes N$  defined by setting*

$$M \square_C N = \text{Ker}(\rho^M \otimes N - M \otimes {}^N\rho).$$

**Lemma 2.48.** *Let  $L, M, N \in \text{Vec}_k$  and assume that  $L \leq M$ . Let  $i_L : L \rightarrow M$  and  $i_{L \otimes N} : L \otimes N \rightarrow M \otimes N$  be the canonical inclusions. Then*

$$i_{L \otimes N} = i_L \otimes N.$$

*Proof.* Since the functor  $\otimes N$  is left exact we get that

$$i_L \otimes N : L \otimes N \rightarrow M \otimes N$$

is injective and hence it coincides with the canonical inclusion  $i_{L \otimes N}$ .  $\square$

**Proposition 2.49.** *Let  $(C, \Delta_C, \varepsilon_C)$ ,  $(D, \Delta_D, \varepsilon_D)$  and  $(E, \Delta_E, \varepsilon_E)$  be coalgebras. The assignment  $(M, N) \mapsto M \square_C N$  defines a left exact functor*

$$\square_C : {}^D \mathcal{M}^C \times {}^C \mathcal{M}^E \rightarrow {}^D \mathcal{M}^E.$$

*Proof.* Since  $\otimes E$  is an exact functor, we have that  $(M \square_C N) \otimes E = \text{Ker}(\rho^M \otimes N \otimes E - M \otimes {}^N \rho \otimes E)$ . Since  $N \in {}^C \mathcal{M}^E$ , we have  $({}^N \rho \otimes E) \circ \rho^N = (C \otimes \rho^N) \circ {}^N \rho$ . From this, it follows that

$$\begin{aligned} (\rho^M \otimes N \otimes E - M \otimes {}^N \rho \otimes E) \circ (M \otimes \rho^N) &= (\rho^M \otimes \rho^N - M \otimes [({}^N \rho \otimes E) \circ \rho^N]) \\ &= (\rho^M \otimes \rho^N - M \otimes [(C \otimes \rho^N) \circ {}^N \rho]) = (M \otimes C \otimes \rho^N) \circ (\rho^M \otimes N - M \otimes {}^N \rho). \end{aligned}$$

Therefore

$$\begin{aligned} (\rho^M \otimes N \otimes E - M \otimes {}^N \rho \otimes E) \circ (M \otimes \rho^N) \circ i_{M \square_C N} &= \\ = (M \otimes C \otimes \rho^N) \circ (\rho^M \otimes N - M \otimes {}^N \rho) \circ i_{M \square_C N} &= 0 \end{aligned}$$

and hence

$$(\rho^M \otimes N \otimes E - M \otimes {}^N \rho \otimes E) \circ (M \otimes \rho^N) \circ i_{M \square_C N} = 0.$$

Hence there exists a unique map  $\rho^{M \square_C N} : M \square_C N \rightarrow (M \square_C N) \otimes E$  such that

$$(2.22) \quad (i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N} = (i_{(M \square_C N) \otimes E}) \circ \rho^{M \square_C N} = (M \otimes \rho^N) \circ i_{M \square_C N}$$

where  $i_{M \square_C N}$  and  $i_{(M \square_C N) \otimes E}$  denote the obvious canonical inclusions. Then we compute

$$\begin{aligned} (i_{M \square_C N} \otimes E \otimes E) \circ (\rho^{M \square_C N} \otimes E) \circ \rho^{M \square_C N} &= (([i_{M \square_C N} \otimes E] \circ \rho^{M \square_C N}) \otimes E) \circ \rho^{M \square_C N} \\ &= ([ (M \otimes \rho^N) \circ i_{M \square_C N} ] \otimes E) \circ \rho^{M \square_C N} = \\ &= ((M \otimes \rho^N) \otimes E) \circ (i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N} = \\ &= ((M \otimes \rho^N) \otimes E) \circ (M \otimes \rho^N) \circ i_{M \square_C N} \\ &= (M \otimes (\rho^N \otimes E)) \circ \rho^N \circ i_{M \square_C N} \\ &= (M \otimes (N \otimes \Delta_E)) \circ \rho^N \circ i_{M \square_C N} \\ &= (M \otimes N \otimes \Delta_E) \circ (M \otimes \rho^N) \circ i_{M \square_C N} \\ &= (M \otimes N \otimes \Delta_E) \circ (i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N} \\ &= (i_{M \square_C N} \otimes \Delta_E) \circ \rho^{M \square_C N} \\ &= (i_{M \square_C N} \otimes E \otimes E) \circ (M \square_C N \otimes \Delta_E) \circ \rho^{M \square_C N}. \end{aligned}$$

Since  $i_{M \square_C N} \otimes E \otimes E$  is injective, we conclude that  $\rho^{M \square_C N}$  is coassociative.

Let us compute

$$\begin{aligned}
& i_{(M \square_C N)} \circ r_{(M \square_C N)} \circ (M \square_C N \otimes \varepsilon_E) \circ \rho^{M \square_C N} \stackrel{(1.1)}{=} \\
& = r_{M \otimes N} \circ (i_{M \square_C N} \otimes k) \circ (M \square_C N \otimes \varepsilon_E) \circ \rho^{M \square_C N} \\
& = r_{M \otimes N} \circ (i_{M \square_C N} \otimes \varepsilon_E) \circ \rho^{M \square_C N} \\
& = r_{M \otimes N} \circ (M \otimes N \otimes \varepsilon_E) \circ (i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N} \\
& = r_{M \otimes N} \circ (M \otimes N \otimes \varepsilon_E) \circ (M \otimes {}^N \rho) \circ i_{M \square_C N} \\
& = r_{M \otimes N} \circ (M \otimes [(N \otimes \varepsilon_E) \circ {}^N \rho]) \circ i_{M \square_C N} \\
& \stackrel{(1.2)}{=} (M \otimes r_N) (M \otimes [(N \otimes \varepsilon_E) \circ {}^N \rho]) \circ i_{M \square_C N} \\
& = (M \otimes [r_N \circ (N \otimes \varepsilon_E) \circ {}^N \rho]) \circ i_{M \square_C N} \\
& = (M \otimes N) \circ i_{M \square_C N} = i_{M \square_C N}.
\end{aligned}$$

Since  $i_{M \square_C N}$  is injective, we conclude that  $(M \square_C N, \rho^{M \square_C N}) \in \mathcal{M}^E$ . An analogous procedure endows  $M \square_C N$  with a left  $D$ -comodule structure uniquely defined by

$$(D \otimes i_{M \square_C N}) \circ {}^{M \square_C N} \rho = (i_{D \otimes (M \square_C N)}) \circ {}^{M \square_C N} \rho = ({}^M \rho \otimes N) \circ i_{M \square_C N}.$$

Let us prove that  $(M \square_C N, {}^{M \square_C N} \rho, \rho^{M \square_C N}) \in {}^D \mathcal{M}^E$  i.e. that

$$({}^{M \square_C N} \rho \otimes E) \circ \rho^{M \square_C N} = (D \otimes \rho^{M \square_C N}) \circ {}^{M \square_C N} \rho.$$

Let us compute

$$\begin{aligned}
& (D \otimes i_{M \square_C N} \otimes E) \circ ({}^{M \square_C N} \rho \otimes E) \circ \rho^{M \square_C N} = ({}^M \rho \otimes N \otimes E) \circ (i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N} \\
& = ({}^M \rho \otimes N \otimes E) \circ (M \otimes \rho^N) \circ i_{M \square_C N} \\
& = ({}^M \rho \otimes \rho^N) \circ i_{M \square_C N} \\
& = (D \otimes M \otimes \rho^N) \circ ({}^M \rho \otimes N) \circ i_{M \square_C N} \\
& = (D \otimes M \otimes \rho^N) \circ (D \otimes i_{M \square_C N}) \circ {}^{M \square_C N} \rho \\
& = (D \otimes [(M \otimes \rho^N) \circ i_{M \square_C N}]) \circ {}^{M \square_C N} \rho \\
& = (D \otimes [(i_{M \square_C N} \otimes E) \circ \rho^{M \square_C N}]) \circ {}^{M \square_C N} \rho \\
& = (D \otimes i_{M \square_C N} \otimes E) \circ (D \otimes \rho^{M \square_C N}) \circ {}^{M \square_C N} \rho.
\end{aligned}$$

Since  $D \otimes i_{M \square_C N} \otimes E$  is injective, we get that  $M \square_C N \in {}^D \mathcal{M}^E$ .

Let now  $f : M \rightarrow M'$  and  $g : N \rightarrow N'$  be morphism in  ${}^D \mathcal{M}^C$  and in  ${}^C \mathcal{M}^E$  respectively. Let us prove that  $(\rho^{M'} \otimes N' - M' \otimes {}^{N'} \rho) \circ (f \otimes g) \circ i_{M \square_C N} = 0$ .

We compute

$$\begin{aligned}
(\rho^{M'} \otimes N') \circ (f \otimes g) \circ i_{M \square_C N} &= (\rho^{M'} \otimes N') \circ (f \otimes N') \circ (M \otimes g) \circ i_{M \square_C N} \\
&= (f \otimes C \otimes N') \circ (\rho^M \otimes N') \circ (M \otimes g) \circ i_{M \square_C N} \\
&= (f \otimes C \otimes N') \circ (M \otimes C \otimes g) \circ (\rho^M \otimes N) \circ i_{M \square_C N} \\
&= (f \otimes C \otimes N') \circ (M \otimes C \otimes g) \circ (M \otimes {}^N \rho) \circ i_{M \square_C N} \\
&= (f \otimes C \otimes N') \circ (M \otimes [(C \otimes g) \circ {}^N \rho]) \circ i_{M \square_C N} \\
&= (f \otimes C \otimes N') \circ \left( M \otimes [{}^N \rho \circ g] \right) \circ i_{M \square_C N} \\
&= \left( M' \otimes {}^N \rho \right) \circ (f \otimes g) \circ i_{M \square_C N}.
\end{aligned}$$

Hence  $(\rho^{M'} \otimes N' - M' \otimes {}^N \rho) \circ (f \otimes g) \circ i_{M \square_C N} = 0$ . Therefore there exists a unique map  $(f \square_C g) : M \square_C N \rightarrow M' \square_C N'$  such that

$$(2.23) \quad i_{M' \square_C N'} \circ (f \square_C g) = (f \otimes g) \circ i_{M \square_C N}.$$

It is now easy to check that, in this way we get a functor  $\square_C : {}^D \mathcal{M}^C \times {}^C \mathcal{M}^E \rightarrow {}^D \mathcal{M}^E$ . Let us check it is left exact. Let

$$0 \rightarrow N' \xrightarrow{f} N \xrightarrow{g} N'' \rightarrow 0$$

be an exact sequence in  ${}^C \mathcal{M}^E$  and let  $M \in {}^D \mathcal{M}^C$ . Then we can consider the commutative diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & M \square_C N' & \xrightarrow{M \square_C f} & M \square_C N & \xrightarrow{M \square_C g} & M \square_C N'' \\
& & \downarrow i_{M \square_C N'} & & \downarrow i_{M \square_C N} & & \downarrow i_{M \square_C N''} \\
0 & \longrightarrow & M \otimes N' & \xrightarrow{M \otimes f} & M \otimes N & \xrightarrow{M \otimes g} & M \otimes N'' \longrightarrow 0 \\
& & \downarrow \gamma_{M, N'} & & \downarrow \gamma_{M, N} & & \downarrow \gamma_{M, N''} \\
0 & \longrightarrow & M \otimes C \otimes N' & \xrightarrow{M \otimes C \otimes f} & M \otimes C \otimes N & \xrightarrow{M \otimes C \otimes g} & M \otimes C \otimes N'' \longrightarrow 0
\end{array}$$

where, for each  $M, N$  we have

$$\gamma_{M, N} = \rho^M \otimes N - M \otimes {}^N \rho.$$

Note that the first row of the diagram is exact in view of the Snake's Lemma.  $\square$

**Lemma 2.50.** *Let  $C$  be a coalgebra and let  $M$  be a right  $C$ -comodule. Then*

$$(2.24) \quad M \simeq M \square_C C$$

*Proof.* Since  $M \square_C C = \text{Ker}(\rho^M \otimes C - M \otimes \Delta)$  and since  $(\rho^M \otimes C - M \otimes \Delta) \circ \rho^M = 0$  and  $\rho^M$  is injective, there exists a unique isomorphism  $\phi_M : M \rightarrow M \square_C C$  such that

$$i_{M \square_C C} \circ \phi_M = \rho^M.$$

$\square$

**Proposition 2.51.** *Let  $\varphi : C \rightarrow D$  be a coalgebra morphism and let  $(M, \rho^M) \in \mathcal{M}^C$ . Set*

$$(2.25) \quad \rho_D^M = (M \otimes \varphi) \circ \rho^M$$

*Then  $(M, \rho_D^M) \in \mathcal{M}^D$  and the assignment  $(M, \rho^M) \mapsto (M, \rho_D^M)$  yields an exact functor*

$$(-)_\varphi : \mathcal{M}^C \rightarrow \mathcal{M}^D$$

*Proof.* Let us compute

$$\begin{aligned} & (\rho_D^M \otimes D) \circ \rho_D^M \stackrel{(2.25)}{=} (M \otimes \varphi \otimes D) \circ (\rho^M \otimes D) \circ (M \otimes \varphi) \circ \rho^M \\ = & (M \otimes \varphi \otimes D) \circ (\rho^M \otimes \varphi) \circ \rho^M = (M \otimes \varphi \otimes D) \circ (M \otimes C \otimes \varphi) \circ (\rho^M \otimes C) \circ \rho^M \\ = & (M \otimes \varphi \otimes \varphi) \circ (M \otimes \Delta_C) \circ \rho^M = (M \otimes [(\varphi \otimes \varphi) \circ \Delta_C]) \circ \rho^M \stackrel{\text{iscoalgmorphism}}{=} \\ = & (M \otimes [\Delta_D \circ \varphi]) \circ \rho^M = (M \otimes \Delta_D) \circ (M \otimes \varphi) \circ \rho^M \stackrel{(2.25)}{=} (M \otimes \Delta_D) \circ \rho_D^M \end{aligned}$$

and

$$\begin{aligned} & r^M \circ (M \otimes \varepsilon_D) \circ \rho_D^M = r^M \circ (M \otimes \varepsilon_D) \circ (M \otimes \varphi) \circ \rho^M \\ = & r^M \circ (M \otimes (\varepsilon_D \circ \varphi)) \circ \rho^M \stackrel{\text{iscoalgmorphism}}{=} r^M \circ (M \otimes \varepsilon_C) \circ \rho^M = M. \end{aligned}$$

Thus we get that  $(M, \rho_D^M) \in \mathcal{M}^D$ . Let now  $f : M \rightarrow M'$  be a morphism in  $\mathcal{M}^C$  and let us check that  $f : (M, \rho_D^M) \rightarrow (M', \rho_D^{M'})$  is a morphism in  $\mathcal{M}^D$ . In fact we have

$$\begin{aligned} & (f \otimes D) \circ \rho_D^M \stackrel{(2.25)}{=} (f \otimes D) \circ (M \otimes \varphi) \circ \rho^M = (f \otimes \varphi) \circ \rho^M = \\ = & (M' \otimes \varphi) \circ (f \otimes C) \circ \rho^M \stackrel{\text{fiscolin}}{=} (M' \otimes \varphi) \circ \rho^{M'} \circ f = \rho_D^{M'} \circ f. \end{aligned}$$

□

**Lemma 2.52.** *Let  $C, D$  and  $E$  be coalgebras and let  $\varphi : C \rightarrow D$  be a coalgebra morphism. Let  $(M, {}^M\rho, \rho^M) \in {}^E\mathcal{M}^C$ . Then  $(M, {}^M\rho, \rho_D^M) \in {}^E\mathcal{M}^D$ .*

*Proof.* Since  $(M, {}^M\rho, \rho^M) \in {}^E\mathcal{M}^C$  we have that  $({}^M\rho \otimes C) \circ \rho^M = (E \otimes \rho^M) \circ {}^M\rho$ . Let us compute

$$\begin{aligned} & ({}^M\rho \otimes D) \circ \rho_D^M \stackrel{(2.25)}{=} ({}^M\rho \otimes D) \circ (M \otimes \varphi) \circ \rho^M = ({}^M\rho \otimes \varphi) \circ \rho^M \\ = & (E \otimes M \otimes \varphi) \circ ({}^M\rho \otimes C) \circ \rho^M \stackrel{(2.21)}{=} (E \otimes M \otimes \varphi) \circ (E \otimes \rho^M) \circ {}^M\rho \\ & \stackrel{(2.25)}{=} (E \otimes \rho_D^M) \circ {}^M\rho \end{aligned}$$

□

**Theorem 2.53.** *Let  $\varphi : C \rightarrow D$  be a coalgebra morphism and let us consider  $C$  endowed with its  $D$ - $C$ -bicomodule structure:*

$$(C, {}^C_D\rho = (\varphi \otimes C) \circ \Delta_C, \Delta_C) \in {}^D\mathcal{M}^C.$$

For any  $(N, \rho^N) \in \mathcal{M}^D$  we set

$$(2.26) \quad N^\varphi = (N \square_D C, \rho^{N \square_D C} = N \square_D \Delta_C) \in \mathcal{M}^C$$

Then the assignment  $(N, \rho^N) \mapsto N^\varphi$  yields a functor

$$(-)^\varphi : \mathcal{M}^D \rightarrow \mathcal{M}^C$$

which is a right adjoint of  $(-)_\varphi$ .

*Proof.* By Proposition 2.49, we have only to prove the adjunction statement. Let  $(M, \rho^M) \in \mathcal{M}^C$  and let us compute

$$\begin{aligned} (\rho_D^M \otimes C) \circ \rho^M &\stackrel{(2.25)}{=} (M \otimes \varphi \otimes C) \circ (\rho^M \otimes C) \circ \rho^M = \\ &\stackrel{\text{Miscoomod}}{=} (M \otimes \varphi \otimes C) \circ (M \otimes \Delta_C) \circ \rho^M = \\ &= (M \otimes (\varphi \otimes C) \circ \Delta_C) \circ \rho^M \stackrel{(2.25)}{=} (M \otimes {}^C_D\rho) \circ \rho^M. \end{aligned}$$

Therefore there exists a linear map  $\gamma_M : M \rightarrow (M_\varphi)^\varphi = M_\varphi \square_D C$  such that

$$(2.27) \quad \rho^M = i_{M_\varphi \square_D C} \circ \gamma_M.$$

Let us prove that  $\gamma_M$  is a morphism in  $\mathcal{M}^C$ . We compute

$$\begin{aligned} (i_{M_\varphi \square_D C} \otimes C) \circ \rho^{(M_\varphi)^\varphi} \circ \gamma_M &= (i_{M_\varphi \square_D C} \otimes C) \circ \rho^{M_\varphi \square_D C} \circ \gamma_M \\ &\stackrel{(2.22)}{=} [M_\varphi \otimes \Delta_C] \circ i_{M_\varphi \square_D C} \circ \gamma_M \\ &\stackrel{(2.27)}{=} (M \otimes \Delta_C) \circ \rho^M = \\ &= (\rho^M \otimes C) \circ \rho^M \stackrel{(2.27)}{=} [(i_{M_\varphi \square_D C} \circ \gamma_M) \otimes C] \\ &= (i_{M_\varphi \square_D C} \otimes C) \circ (\gamma_M \otimes C) \circ \rho^M. \end{aligned}$$

Now we prove that  $(\gamma_M)_{M \in \mathcal{M}^C}$  yields a functorial morphism  $\gamma : \text{Id}_{\mathcal{M}^C} \rightarrow ((-)_\varphi)^\varphi$ .

Thus let  $f : (M, \rho^M) \rightarrow (M', \rho^{M'})$  be a morphism in  $\mathcal{M}^C$  and let us compute

$$\begin{aligned} i_{M'_\varphi \square_D C} \circ ((f)_\varphi)^\varphi \circ \gamma_M &= i_{M'_\varphi \square_D C} \circ (f \square_D C) \circ \gamma_M \stackrel{(2.23)}{=} (f \otimes C) \circ i_{M_\varphi \square_D C} \circ \gamma_M = \\ &\stackrel{(2.27)}{=} (f \otimes C) \circ \rho^M \stackrel{\text{fiscol}}{=} \rho^{M'} \circ f \stackrel{(2.27)}{=} i_{M'_\varphi \square_D C} \circ \gamma_{M'} \circ f. \end{aligned}$$

Since  $i_{M'_\varphi \square_D C}$  is injective, we conclude.

Now, for every  $(N, \rho^N) \in \mathcal{M}^D$ , let us consider the map

$$(2.28) \quad \lambda_N = r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C} : (N^\varphi)_\varphi = (N \square_D C)_\varphi \rightarrow N$$

and let us prove it is a morphism in  $\mathcal{M}^D$ . Thus, let us compute

$$\begin{aligned}
& (\lambda_N \otimes D) \circ \rho^{(N^\varphi)_\varphi} \stackrel{(2.28)}{=} (r_N \otimes D) \circ (N \otimes \varepsilon_C \otimes D) \circ (i_{N \square_D C} \otimes D) \circ \rho^{(N^\varphi)_\varphi} \\
& \stackrel{(2.25)}{=} (r_N \otimes D) \circ (N \otimes \varepsilon_C \otimes D) \circ (i_{N \square_D C} \otimes D) \circ (N \square_D C \otimes \varphi) \circ \rho^{N \square_D C} \\
& = (r_N \otimes D) \circ (N \otimes \varepsilon_C \otimes D) \circ (N \otimes C \otimes \varphi) \circ (i_{N \square_D C} \otimes C) \circ \rho^{N \square_D C} \\
& \stackrel{(2.22)}{=} (r_N \otimes D) \circ (N \otimes \varepsilon_C \otimes D) \circ (N \otimes C \otimes \varphi) \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
& \stackrel{\varphi\text{iscoalgmorph}}{=} (r_N \otimes D) \circ (N \otimes (\varepsilon_D \circ \varphi) \otimes D) \circ (N \otimes C \otimes \varphi) \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
& = (r_N \otimes D) \circ (N \otimes \varepsilon_D \otimes D) \circ (N \otimes \varphi \otimes \varphi) \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
& = (r_N \otimes D) \circ (N \otimes \varepsilon_D \otimes D) \circ (N \otimes [(\varphi \otimes \varphi) \circ \Delta_C]) \circ i_{N \square_D C} \\
& \stackrel{\varphi\text{iscoalgmorph}}{=} (r_N \otimes D) \circ (N \otimes \varepsilon_D \otimes D) \circ (N \otimes [\Delta_D \circ \varphi]) \circ i_{N \square_D C} \\
& = (r_N \otimes D) \circ (N \otimes \varepsilon_D \otimes D) \circ (N \otimes \Delta_D) \circ (N \otimes \varphi) \circ i_{N \square_D C} \\
& \stackrel{(1.2)}{=} (N \otimes l_D) \circ (N \otimes \varepsilon_D \otimes D) \circ (N \otimes \Delta_D) \circ (N \otimes \varphi) \circ i_{N \square_D C} \\
& = (N \otimes [l_D \circ (\varepsilon_D \otimes D) \circ \Delta_D]) \circ (N \otimes \varphi) \circ i_{N \square_D C} \\
& \stackrel{\text{Discoalg}}{=} (N \otimes \varphi) \circ i_{N \square_D C} \\
& \stackrel{\text{Discoalg}}{=} (N \otimes [r_D \circ (D \otimes \varepsilon_D) \circ \Delta_D]) \circ (N \otimes \varphi) \circ i_{N \square_D C} \\
& = (N \otimes r_D) \circ (N \otimes (D \otimes \varepsilon_D) \circ \Delta_D) \circ (N \otimes \varphi) \circ i_{N \square_D C} \\
& \stackrel{(1.2)}{=} r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_D) \circ (N \otimes [\Delta_D \circ \varphi]) \circ i_{N \square_D C} \\
& \stackrel{\varphi\text{iscoalgmorph}}{=} r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_D) \circ (N \otimes [(\varphi \otimes \varphi) \circ \Delta_C]) \circ i_{N \square_D C} \\
& = r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_D) \circ (N \otimes \varphi \otimes \varphi) \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
& = r_{N \otimes D} \circ (N \otimes D \otimes (\varepsilon_D \circ \varphi)) \circ (N \otimes \varphi \otimes C) \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
& \stackrel{\varphi\text{iscoalgmorph}}{=} r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_C) \circ (N \otimes (\varphi \otimes C) \circ \Delta_C) \circ i_{N \square_D C} \\
& = r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_C) \circ (N \otimes \overset{C}{D}\rho) \circ i_{N \square_D C} \\
& \stackrel{(\text{defcot})}{=} r_{N \otimes D} \circ (N \otimes D \otimes \varepsilon_C) \circ (\rho^N \otimes C) \circ i_{N \square_D C} = r_{N \otimes D} \circ (\rho^N \otimes \varepsilon_C) \circ i_{N \square_D C} \\
& = r_{N \otimes D} \circ (\rho^N \otimes k) \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C} \stackrel{(1.1)}{=} \rho^N \circ r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C} \stackrel{(2.28)}{=} \rho^N \circ \lambda_N
\end{aligned}$$

Let us prove that  $(\lambda_N)_{N \in \mathcal{M}^D}$  yields a functorial morphism

$$\lambda : ((-)^{\varphi})_{\varphi} = (- \square_D C)_{\varphi} \rightarrow \text{Id}_{\mathcal{M}^D}.$$

Hence let  $h : N \rightarrow N'$  be a morphism in  $\mathcal{M}^D$  and let us compute

$$\begin{aligned}
\lambda_{N'} \circ (h \square_D C) &\stackrel{(2.28)}{=} r_{N'} \circ (N' \otimes \varepsilon_C) \circ i_{N' \square_D C} \circ (h \square_D C) = \\
&\stackrel{(2.23)}{=} r_{N'} \circ (N' \otimes \varepsilon_C) \circ (h \otimes C) \circ i_{N \square_D C} \\
&= r_{N'} \circ (h \otimes k) \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C} \\
&\stackrel{(1.1)}{=} h \circ r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C} \stackrel{(2.28)}{=} h \circ \lambda_N.
\end{aligned}$$

Let us prove that  $\gamma$  and  $\lambda$  give rise to an adjunction. Given  $(M, \rho^M) \in \mathcal{M}^C$ , let us compute

$$\begin{aligned}
\lambda_{M_\varphi} \circ (\gamma_M)_\varphi &\stackrel{(2.28)}{=} r_M \circ (M \otimes \varepsilon_C) \circ i_{M_\varphi \square_D C} \circ \gamma_M \stackrel{(2.27)}{=} r_M \circ (M \otimes \varepsilon_C) \circ \rho^M \\
&= \text{Id}_M = \text{Id}_{M_\varphi}.
\end{aligned}$$

Given  $(N, \rho^N) \in \mathcal{M}^D$ , let us compute

$$\begin{aligned}
i_{N \square_D C} \circ (\lambda_N)^\varphi \circ \gamma_{N^\varphi} &\stackrel{(2.28)}{=} i_{N \square_D C} \circ (r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C})^\varphi \circ \gamma_{N^\varphi} = \\
&\stackrel{(2.26)}{=} i_{N \square_D C} \circ [(r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C}) \square_D C] \circ \gamma_{N \square_D C} = \\
&\stackrel{(2.23)}{=} [(r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C}) \otimes C] \circ i_{(N \square_D C)^\varphi \square_D C} \circ \gamma_{N \square_D C} = \\
&\stackrel{(2.27)}{=} [(r_N \circ (N \otimes \varepsilon_C) \circ i_{N \square_D C}) \otimes C] \circ \rho^{N \square_D C} = \\
&= [(r_N \circ (N \otimes \varepsilon_C)) \otimes C] \circ (i_{N \square_D C} \otimes C) \circ \rho^{N \square_D C} = \\
&\stackrel{(2.22)}{=} [(r_N \circ (N \otimes \varepsilon_C)) \otimes C] \circ (N \otimes \rho^C) \circ i_{N \square_D C} \\
&= [(r_N \circ (N \otimes \varepsilon_C)) \otimes C] \circ (N \otimes \Delta_C) \circ i_{N \square_D C} \\
&= (r_N \otimes C) \circ (N \otimes (\varepsilon_C \otimes C) \circ \Delta_C) \circ i_{N \square_D C} \\
&\stackrel{(1.2)}{=} (N \otimes l_C) \circ (N \otimes (\varepsilon_C \otimes C) \circ \Delta_C) \circ i_{N \square_D C} \\
&\stackrel{\text{Ciscoalg}}{=} i_{N \square_D C}
\end{aligned}$$

□

**Exercise 2.54.** Apply Theorem 2.53 to the particular case when the coalgebra morphism is  $\varepsilon_C : (C, \Delta_C, \varepsilon_C) \rightarrow (k, \Delta_k = r_k^{-1} = l_k^{-1}, \varepsilon_k = \text{Id}_k)$ . (See 1.26. Show that  $(-)_\varepsilon_C : \mathcal{M}^C \rightarrow \mathcal{M}^k = \text{Vec}_k$  is just the forgetful functor  $U$  and  $(-)^{\varepsilon_C} : \mathcal{M}^k = \text{Vec}_k \rightarrow \mathcal{M}^C$  is just the functor  $F_C$ . Therefore Theorem 2.40 can be obtained as a particular case of Theorem 2.53.



# Chapter 3

## Bialgebras and Hopf Algebras

**Theorem 3.1.** *Let us consider a 5th-uple  $(B, m_B, u_B, \Delta_B, \varepsilon_B)$  such that  $(B, m_B, u_B)$  is an algebra,  $(B, \Delta_B, \varepsilon_B)$  is a coalgebra. The following assertions are equivalent:*

- (a) *The maps  $\Delta_B$  and  $\varepsilon_B$  are algebra morphisms.*
- (b) *The maps  $m_B$  and  $u_B$  are coalgebra morphisms.*

*Proof.* Recall that, in view of (1.8), we have

$$\Delta_{B \otimes B} = (B \otimes \tau_{B,B} \otimes B) \circ (\Delta_B \otimes \Delta_B) \quad \text{and} \quad \varepsilon_{B \otimes B} = l_k \circ (\varepsilon_B \otimes \varepsilon_B).$$

Analogously

$$m_{B \otimes B} = (m_B \otimes m_B) \circ (B \otimes \tau_{B,B} \otimes B) \quad \text{and} \quad u_{B \otimes B} = (u_B \otimes u_B) \circ (l_k)^{-1}.$$

$\Delta_B$  is an algebra morphism means

$$m_{B \otimes B} \circ (\Delta_B \otimes \Delta_B) = \Delta_B \circ m_B \quad \text{and} \quad \Delta_B \circ u_B = u_{B \otimes B}$$

i.e.

$$(3.1) \quad (m_B \otimes m_B) \circ (B \otimes \tau_{B,B} \otimes B) \circ (\Delta_B \otimes \Delta_B) = \Delta_B \circ m_B$$

and

$$(3.2) \quad \Delta_B \circ u_B \circ l_k = u_B \otimes u_B$$

$\varepsilon_B$  is an algebra morphism means

$$(3.3) \quad \varepsilon_B \circ m_B = m_k \circ (\varepsilon_B \otimes \varepsilon_B) = l_k \circ (\varepsilon_B \otimes \varepsilon_B)$$

and

$$(3.4) \quad \varepsilon_B \circ u_B = u_k = \text{Id}_k.$$

$m_B$  is a coalgebra morphism means

$$\Delta_B \circ m_B = (m_B \otimes m_B) \circ \Delta_{B \otimes B} \quad \text{and} \quad \varepsilon_B \circ m_B = \varepsilon_{B \otimes B}$$

i.e.

$$(3.5) \quad \Delta_B \circ m_B = (m_B \otimes m_B) \circ (B \otimes \tau_{B,B} \otimes B) \circ (\Delta_B \otimes \Delta_B)$$

and

$$(3.6) \quad \varepsilon_B \circ m_B = l_k \circ (\varepsilon_B \otimes \varepsilon_B)$$

$u_B$  is a coalgebra morphism means

$$\Delta_B \circ u_B = (u_B \otimes u_B) \circ \Delta_{k \otimes k} \quad \text{and} \quad \varepsilon_B \circ u_B = \varepsilon_k$$

i.e.

$$(3.7) \quad \Delta_B \circ u_B \circ l_k = u_B \otimes u_B$$

and

$$(3.8) \quad \varepsilon_B \circ u_B = \text{Id}_k.$$

Since (3.1) = (3.5), (3.2) = (3.7), (3.3) = (3.6) and (3.4) = (3.8), we conclude.  $\square$

**Definition 3.2.** A bialgebra over  $k$  is a 5th-uple  $(B, m_B, u_B, \Delta_B, \varepsilon_B)$  such that  $(B, m_B, u_B)$  is an algebra,  $(B, \Delta_B, \varepsilon_B)$  is a coalgebra and the equivalent conditions in Theorem 3.1 hold.

**Remark 3.3.** Using the sigma notation, (3.1) can be written as

$$(3.9) \quad \sum (a \cdot b)_1 \otimes (a \cdot b)_1 = \sum a_1 b_1 \otimes a_2 b_2,$$

(3.2) can be written as

$$(3.10) \quad \sum (1_B)_1 \otimes (1_B)_2 = 1_B \otimes 1_B,$$

(3.3) can be written as

$$(3.11) \quad \varepsilon_B(ab) = \varepsilon_B(a) \cdot \varepsilon_B(b)$$

(3.4) can be written as

$$(3.12) \quad \varepsilon_B(1_B) = 1_k.$$

**Definition 3.4.** Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H)$  be a bialgebra. Set  $H^c = (H, \Delta_H, \varepsilon_H)$  and  $H^a = (H, m_H, u_H)$ . A linear map  $S : H \rightarrow H$  is called an antipode for  $H$  if  $S$  is an inverse for  $\text{Id}_H$  in the convolution algebra  $\text{Hom}(H^c, H^a)$  i.e.

$$S * \text{Id}_H = u_H \circ \varepsilon_H = \text{Id}_H * S.$$

This means that, for every  $h \in H$

$$(3.13) \quad \sum S(h_1) \cdot h_2 = \varepsilon_H(h) 1_H = \sum h_1 \cdot S(h_2).$$

**Remark 3.5.** *If a bialgebra has an antipode, then this antipode is unique. (Why?)*

**Definition 3.6.** *An Hopf algebra is a 6th-uple  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S)$  where  $(H, m_H, u_H, \Delta_H, \varepsilon_H)$  i.e. a bialgebra and  $S$  is an antipode for  $H$ .*

**Theorem 3.7.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra. Then:*

- 1)  $S(gh) = S(h)S(g)$  for every  $g, h \in H$ .
- 2)  $S(1_H) = 1_H$ .
- 3)  $\Delta(S(h)) = \sum S(h_2) \otimes S(h_1)$  for every  $h \in H$ .
- 4)  $\varepsilon(S(h)) = \varepsilon(h)$  for every  $h \in H$ .

*Properties 1) and 2) mean that  $S$  is an algebra antihomomorphism. Properties 3) and 4) mean that  $S$  is a coalgebra antihomomorphism.*

*Proof.* 1) Let  $g, h \in H$  and let us compute

$$\begin{aligned}
S(gh) &= S\left(\sum g_1 \varepsilon(g_2) h\right) = S\left[\left(\sum g_1 h\right) \varepsilon(g_2)\right] = \sum S(g_1 h_1 \varepsilon(h_2)) \varepsilon(g_2) \\
&= \sum S(g_1 h_1 \varepsilon(h_2)) g_{2_1} S(g_{2_2}) = \sum S(g_{1_1} h_1 \varepsilon(h_2)) g_{1_2} S(g_2) \\
&= \sum S(g_{1_1} h_1) g_{1_2} \varepsilon(h_2) S(g_2) = \sum S(g_{1_1} h_1) g_{1_2} h_{2_1} S(h_{2_2}) S(g_2) = \\
&= \sum S(g_{1_1} h_{1_1}) g_{1_2} h_{1_2} S(h_2) S(g_2) \stackrel{(3.9)}{=} \sum S((g_1 h_1)_1) (g_1 h_1)_2 S(h_2) S(g_2) = \\
&\stackrel{(3.13)}{=} \sum \varepsilon(g_1 h_1) S(h_2) S(g_2) \stackrel{(3.11)}{=} \sum \varepsilon(g_1) \varepsilon(h_1) S(h_2) S(g_2) \\
&= \sum S(\varepsilon(h_1) h_2) S(\varepsilon(g_1) g_2) = S(h) S(g)
\end{aligned}$$

2) We know that

$$(S * \text{Id}_H)(1_H) = (u \circ \varepsilon)(1_H)$$

Since  $\Delta(1_H) = 1_H \otimes 1_H$  and  $\varepsilon(1_H) = 1_k$ , this means that

$$S(1_H) \cdot 1_H = u(1_k)$$

and hence

$$S(1_H) = 1_H.$$

3) In this proof, for every  $h \in H$  we will simply write  $\Delta(h) = h_1 \otimes h_2$ , summation understood.

Let  $h \in H$ . Since

$$\varepsilon(h) 1_H = S(h_1) h_2$$

we get

$$\varepsilon(h) 1_H \otimes 1_H = \varepsilon(h) \Delta(1_H) = \Delta(\varepsilon(h) 1_H) = \Delta(S(h_1) h_2) = S(h_1)_1 h_{2_1} \otimes S(h_1)_2 h_{2_2}$$

so that

$$(3.14) \quad \varepsilon(h) 1_H \otimes 1_H = S(h_1)_1 h_{2_1} \otimes S(h_1)_2 h_{2_2}$$

and hence

$$\begin{aligned} [S(h)]_1 \otimes [S(h)]_2 &= [S(h_1)]_1 \varepsilon(h_2) \otimes [S(h_1)]_2 = [S(h_1)]_1 h_{2_1} S(h_{2_2}) \otimes [S(h_1)]_2 \\ &= [S(h_1)]_1 h_2 S(h_3) \otimes [S(h_1)]_2 \\ &= [S(h_1)]_1 h_{2_1} \varepsilon(h_{2_2}) S(h_3) \otimes [S(h_1)]_2 \\ &= [S(h_1)]_1 h_2 S(h_4) \otimes [S(h_1)]_2 \varepsilon(h_3) \\ &= [S(h_1)]_1 h_2 S(h_5) \otimes [S(h_1)]_2 h_3 S(h_4) \\ &= [S(h_{1_{1_1}})]_1 h_{1_{2_1}} S(h_2) \otimes [S(h_{1_{1_1}})]_2 (h_{1_{2_2}}) S(h_{1_2}) \\ &\stackrel{(3.14)}{=} \varepsilon(h_{1_1}) S(h_2) \otimes S(h_{1_2}) = S(h_2) \otimes S(\varepsilon(h_{1_1}) h_{1_2}) = S(h_2) \otimes S(h_1) \end{aligned}$$

4) Let  $h \in H$ . We compute

$$\begin{aligned} \varepsilon(S(h)) &= \varepsilon\left(S\left(\sum \varepsilon(h_1) h_2\right)\right) = \sum \varepsilon(h_1) \varepsilon(S(h_2)) \stackrel{(3.11)}{=} \\ &= \varepsilon(h_1 S(h_2)) = \varepsilon\left(\sum h_1 S(h_2)\right) = \varepsilon(\varepsilon(h)) = \varepsilon(1_H) = \varepsilon(h) 1_k = \varepsilon(h). \end{aligned}$$

□

**Proposition 3.8.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra. Then the following statements are equivalent:*

- (a)  $\sum S(h_2) h_1 = \varepsilon(h) 1_H$  for every  $h \in H$ .
- (b)  $\sum h_2 S(h_1) = \varepsilon(h) 1_H$  for every  $h \in H$ .
- (c)  $S \circ S = \text{Id}_H$ .

*Proof.* In this proof, for every  $h \in H$  we will simply write  $\Delta(h) = h_1 \otimes h_2$ , summation understood.

(a)  $\Rightarrow$  (c) Let  $h \in H$ . From (a) we deduce that

$$(3.15) \quad \varepsilon(h) 1_H = S(S(h_2) h_1) = S(h_1) [(S \circ S)(h_2)]$$

and hence we get

$$\begin{aligned} h &= h_1 \varepsilon(h_2) \stackrel{(3.15)}{=} h_1 S(h_{2_1}) [(S \circ S)(h_{2_2})] = h_{1_1} S(h_{1_2}) [(S \circ S)(h_2)] \\ &= \varepsilon(h_1) [(S \circ S)(h_2)] = (S \circ S)(\varepsilon(h_1) h_2) = (S \circ S)(h). \end{aligned}$$

(c)  $\Rightarrow$  (a) Let  $h \in H$ . Then

$$\varepsilon(h) 1_H = S(\varepsilon(h) 1_H) = S[S(h_1) h_2] = S(h_2) S(S(h_1)) = S(h_2) h_1$$

(b)  $\Rightarrow$  (c) Let  $h \in H$ . From (b) we deduce that

$$(3.16) \quad \varepsilon(h) 1_H = S(h_2 S(h_1)) = [(S \circ S)(h_1)] S(h_2)$$

and hence we get

$$\begin{aligned} h &= \varepsilon(h_1) h_2 \stackrel{(3.16)}{=} [(S \circ S)(h_1)] S(h_2) h_2 = [(S \circ S)(h_1)] S(h_2) h_2 \\ &= [(S \circ S)(h_1)] \varepsilon(h_2) = [(S \circ S)(h_1 \varepsilon(h_2))] = (S \circ S)(h). \end{aligned}$$

(c)  $\Rightarrow$  (b) Let  $h \in H$ . Then

$$\varepsilon(h) 1_H = S(\varepsilon(h) 1_H) = S[h_1 S(h_2)] = S(S(h_2)) S(h_1) = h_2 S(h_1)$$

□

**Corollary 3.9.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra. If  $H$  is either commutative or cocommutative, then  $S^2 = \text{Id}_H$ .*

*Proof.* Assume that  $H$  is commutative. Then, for every  $h \in H$ , we have

$$\varepsilon(h) 1_H = \sum h_1 S(h_2) = \sum S(h_2) h_1.$$

Assume that  $H$  is cocommutative. Then, for every  $h \in H$ , we have

$$\varepsilon(h) 1_H = \sum h_1 S(h_2) = \sum h_2 S(h_1).$$

□

**Proposition 3.10.** *Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a finite dimensional Hopf algebra. Then  $(H^*, m_{H^*}, u_{H^*}, \Delta_{H^*}, \varepsilon_{H^*}, S_{H^*})$  is an Hopf algebra where  $\Delta_{H^*} : H^* \xrightarrow{(m_H)^*} (H \otimes H)^* \xrightarrow{\Lambda_{H,H}^{-1}} H^* \otimes H^*$  and  $\varepsilon_{H^*} : H^* \xrightarrow{(u_H)^*} k^* \xrightarrow{ev_1} k$   
 $m_{H^*} : H^* \otimes H^* \xrightarrow{\Lambda_{H,H}} (H \otimes H)^* \xrightarrow{(\Delta_H)^*} H^*$  and  $u_{H^*} = k \xrightarrow{(ev_1)^{-1}} k^* \xrightarrow{(\varepsilon_H)^*} H^*$   
and  $S_{H^*} : H^* \xrightarrow{(S_H)^*} H^*$ .*

*Proof.* By Proposition 1.40 we know that  $(H^*, \Delta_{H^*}, \varepsilon_{H^*})$  is a coalgebra and by Proposition 1.46 we know that  $(H^*, m_{H^*}, u_{H^*})$  is an algebra. For every  $f, g \in H^*$  and  $x, y \in H$ , we compute

$$\begin{aligned} (f * g)(xy) &= \sum f((xy)_1) g((xy)_2) = \sum f(x_1 y_1) g(x_2 y_2) \\ &= \sum f_1(x_1) f_2(y_1) g_1(x_2) g_2(y_2) = \sum f_1(x_1) g_1(x_2) f_2(y_1) g_2(y_2) \\ &= \sum (f_1 * g_1)(x) (f_2 * g_2)(y). \end{aligned}$$

Since  $\Delta_{H^*}(f * g) = \sum (f * g)_1 \otimes (f * g)_2$  is uniquely determined by

$$(f * g)(xy) = \sum [(f * g)_1(x)] [(f * g)_2(y)] \quad \text{for every } x, y \in H$$

we deduce that

$$\sum (f * g)_1 \otimes (f * g)_2 = \sum (f_1 * g_1) \otimes (f_2 * g_2).$$

We also compute

$$\Delta_{H^*}(1_{H^*}) = \Delta_{H^*}(\varepsilon_H) = \sum (\varepsilon_H)_1 \otimes (\varepsilon_H)_2$$

which is uniquely determined by

$$\varepsilon_H(xy) = \sum (\varepsilon_H)_1(x) (\varepsilon_H)_2(y) \quad \text{for every } x, y \in H.$$

Since

$$\varepsilon_H(xy) = \varepsilon_H(x) \varepsilon_H(y) \quad \text{for every } x, y \in H$$

we deduce that

$$\Delta_{H^*}(\varepsilon_H) = \varepsilon_H \otimes \varepsilon_H.$$

Therefore  $\Delta_{H^*}$  is an algebra morphism. For every  $f, g \in H^*$ , let us compute

$$\varepsilon_{H^*}(f * g) = (f * g)(1_H) = f(1_H)g(1_H) = \varepsilon_{H^*}(f) \varepsilon_{H^*}(g).$$

We have also

$$\varepsilon_{H^*}(1_{H^*}) = \varepsilon_{H^*}(\varepsilon_H) = \varepsilon_H(1_H) = 1_k.$$

Therefore also  $\varepsilon_{H^*}$  is an algebra morphism.

Let now  $f \in H^*$  and let us compute

$$(S_{H^*} * \text{Id}_{H^*})(f) = \sum S_{H^*}(f_1) * f_2 = \sum (f_1 \circ S_H) * f_2.$$

For every  $x \in H$  we compute

$$\begin{aligned} & \left[ \sum (f_1 \circ S_H) * f_2 \right] (x) = \sum (f_1 \circ S_H)(x_1) f_2(x_2) = \\ & = \sum f_1(S_H(x_1)) f_2(x_2) = \sum f[S_H(x_1) x_2] = f(\varepsilon_H(x)) = f(1_H) \varepsilon_H(x) = \varepsilon_{H^*}(f) \varepsilon_H(x). \end{aligned}$$

We deduce that

$$(S_{H^*} * \text{Id}_{H^*})(f) = \sum (f_1 \circ S_H) * f_2 = \varepsilon_{H^*}(f) \varepsilon_H = (u_{H^*} \circ \varepsilon_{H^*})(f) \quad \text{for every } f \in H^*$$

i.e. that

$$S_{H^*} * \text{Id}_{H^*} = u_{H^*} \circ \varepsilon_{H^*}.$$

The proof that  $\text{Id}_{H^*} * S_{H^*} = u_{H^*} \circ \varepsilon_{H^*}$  is similar.  $\square$

**Proposition 3.11.** *Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a finite dimensional Hopf algebra and let  $\omega : H \rightarrow H^{**}$  the natural isomorphism:*

$$\omega(x)(f) = f(x) \quad \text{for any } f \in H^* \text{ and } x \in H.$$

Then

$$\omega : (H, m_H, u_H, \Delta_H, \varepsilon_H, S_H) \rightarrow (H^*, m_{H^*}, u_{H^*}, \Delta_{H^*}, \varepsilon_{H^*}, S_{H^*})$$

is an isomorphism of Hopf algebras.

*Proof.* Let  $\alpha$  and  $\beta \in H^{**}$ . Then

$$(\alpha * \beta)(f) = \sum \alpha(f_1) \beta(f_2) \quad \text{for any } f \in H^*$$

where  $\Delta(f) = \sum f_1 \otimes f_2$  is uniquely determined by

$$f(ab) = \sum f_1(a) f_2(b) \quad \text{for any } a, b \in H.$$

We have

$$[\omega(x) * \omega(y)](f) = \sum f_1(x) f_2(y) = f(xy) = \omega(xy)(f) \quad \text{for any } f \in H^* \text{ and } x, y \in H.$$

and hence  $\omega(x) * \omega(y) = \omega(xy)$ . Now we know that

$$\Delta_{H^{**}}(\omega(x)) = \sum [\omega(x)]_1 \otimes [\omega(x)]_2$$

uniquely determined by

$$\omega(x)(f * g) = \sum [\omega(x)]_1(f) [\omega(x)]_2(g) \quad \text{for any } f, g \in H^* \text{ and } x \in H.$$

We compute

$$\sum \omega(x_1)(f) \omega(x_2)(g) = \sum f(x_1) g(x_2) = (f * g)(x) \quad \text{for any } f, g \in H^* \text{ and } x \in H.$$

Since

$$\omega(x)(f * g) = (f * g)(x) \quad \text{for any } f, g \in H^* \text{ and } x \in H$$

we conclude that

$$\sum [\omega(x)]_1(f) [\omega(x)]_2(g) = \sum [\omega(x_1)(f)] [\omega(x_2)(g)] \quad \text{for any } f, g \in H^* \text{ and } x \in H$$

i.e. that

$$\sum [\omega(x)]_1 \otimes [\omega(x)]_2 = \sum \omega(x_1) \otimes \omega(x_2) \quad \text{for any } x \in H.$$

Moreover we have

$$[\omega(1_H)](f) = f(1_H) = 1_{H^{**}}(f) \quad \text{for any } f \in H^*$$

and

$$[\varepsilon_{H^{**}} \circ \omega](x) = \varepsilon_{H^{**}}(\omega(x)) = \omega(x)(\varepsilon_H) = \varepsilon_H(x) \quad \text{for any } x \in H.$$

Hence  $\omega(1_H) = 1_{H^{**}}$  and  $\varepsilon_{H^{**}} \circ \omega = \varepsilon_H$ . □

**Definition 3.12.** Let  $(A, m_A, u_A, \Delta_A, \varepsilon_A)$  and  $(B, m_B, u_B, \Delta_B, \varepsilon_B, S_B)$  be bialgebras. A  $k$ -linear map  $f : A \rightarrow B$  is called a bialgebra morphism if  $f : (A, m_A, u_A) \rightarrow (B, m_B, u_B)$  is an algebra homomorphism and  $f : (A, \Delta_A, \varepsilon_A) \rightarrow (B, \Delta_B, \varepsilon_B)$  is a coalgebra homomorphism.

**Definition 3.13.** Let  $(A, m, u, \Delta, \varepsilon)$  be a bialgebra. A vector subspace  $I$  of  $A$  is called a bi-ideal of  $A$  if

- $I$  is an ideal of the algebra  $(A, m, u)$ ,
- $I$  is a coideal of the coalgebra  $(A, \Delta, \varepsilon)$ .

**Theorem 3.14. (The Fundamental Theorem of the Quotient Bialgebra)** Let  $(A, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra, let  $I$  be a bi-ideal of  $A$  and let  $p = p_I : A \rightarrow A/I$  be the canonical projection. Then  $A/I$  can be endowed by a unique bialgebra structure (called quotient bialgebra) such that  $p$  becomes a morphism of bialgebras. Moreover given any bialgebra morphism  $f : A \rightarrow L$  such that  $I \subseteq \text{Ker}(f)$ , there exists a unique bialgebra morphism  $\bar{f} : A/I \rightarrow L$  such that  $f = \bar{f} \circ p$ .

*Proof.* Exercise. □

**Definition 3.15.** Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  and  $(B, m_B, u_B, \Delta_B, \varepsilon_B, S_B)$  be Hopf algebras. A  $k$ -linear map  $f : A \rightarrow B$  is called a Hopf algebra morphism if it is a bialgebra morphism.

**Proposition 3.16.** Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  and  $(B, m_B, u_B, \Delta_B, \varepsilon_B, S_B)$  be Hopf algebras and let  $f : H \rightarrow B$  be a Hopf algebra morphism. Then  $S_B \circ f = f \circ S_H$ .

*Proof.* For every  $x \in H$ , let us compute

$$[(S_B \circ f) * f](x) = \sum S_B(f(x_1)) \cdot_B f(x_2) = \sum S_B([f(x)]_1) \cdot_B [f(x)]_2 = \varepsilon_B(f(x)) 1_B = \varepsilon_H(x) 1_B.$$

Thus we get that

$$(3.17) \quad (S_B \circ f) * f = 1_{\text{Hom}(H, B)}.$$

For every  $x \in H$ , let us also compute

$$\begin{aligned} [f * (f \circ S_H)](x) &= \sum f(x_1) \cdot_B f(S_H(x_2)) = f \left[ \sum x_1 \cdot_H S_H(x_2) \right] = f(\varepsilon_H(x)) = \varepsilon_H(x) f(1_H) \\ &= \varepsilon_H(x) 1_B. \end{aligned}$$

Thus we get that

$$(3.18) \quad f * (f \circ S_H) = 1_{\text{Hom}(H, B)}.$$

From (3.17) and (3.18) we deduce that  $f$  is invertible in  $\text{Hom}(H, B)$  and that its two-sided inverse is

$$S_B \circ f = f \circ S_H.$$

□

**Definition 3.17.** Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra. A vector subspace  $I$  of  $H$  is called a Hopf ideal of  $H$  if



- $I$  is an ideal of the algebra  $(H, m, u)$ ,
- $I$  is a coideal of the coalgebra  $(H, \Delta, \varepsilon)$  and
- $S(I) \subseteq I$ .

**Theorem 3.18. The Fundamental Theorem of the Quotient Hopf Algebra)** Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra, let  $I$  be a Hopf ideal of  $H$ . and let  $p = p_I : H \rightarrow H/I$  be the canonical projection. Then  $H/I$  can be endowed by a unique Hopf algebra structure (called quotient Hopf algebra) such that  $p$  becomes a morphism of Hopf algebras. Moreover given any Hopf algebra morphism  $f : H \rightarrow L$  such that  $I \subseteq \text{Ker}(f)$ , there exists a unique Hopf algebra morphism  $\bar{f} : H/I \rightarrow L$  such that  $f = \bar{f} \circ p$ .

*Proof.* Exercise. □

**Exercise 3.19.** From Sweedler's book we quote these exercise for practicing sigma notation. Let  $(H, m, u, \Delta, \varepsilon, S)$  be an Hopf algebra and let  $h, f, g \in H$ . Show that

$$\begin{aligned}
 h_1 S(h_2) \otimes h_3 &= 1_H \otimes h \\
 S(h_1) h_2 \otimes h_3 &= 1_H \otimes h \\
 h_1 \otimes S(h_2) h_3 &= h \otimes 1_H \\
 h_1 \otimes h_2 S(h_3) &= h \otimes 1_H \\
 h_1 \otimes \dots \otimes h_{i-1} \otimes h_i S(h_{i+1}) \otimes h_{i+2} \otimes \dots \otimes h_n &= h_1 \otimes \dots \otimes h_{n-2} \\
 h_1 \otimes \dots \otimes h_{i-1} \otimes S(h_i) h_{i+1} \otimes h_{i+2} \otimes \dots \otimes h_n &= h_1 \otimes \dots \otimes h_{n-2} \\
 h_1 S(g_1 f h_2) g_2 &= \varepsilon(gh) S(f) \\
 h_1 \otimes \dots \otimes h_{i-1} \otimes \Delta S(h_i) \otimes h_{i+1} \dots \otimes h_{n-1} \\
 = h_1 \otimes \dots \otimes h_{i-1} \otimes S(h_{i+1}) \otimes S(h_i) \otimes h_{i+2} \dots \otimes h_n \\
 (1_H \otimes S(h_1) h_2) [\Delta S(h_3)] &= \Delta S(h) \\
 (1_H \otimes S(h_3) h_1) [\Delta S(h_2)] &= (S \otimes S) \Delta(h)
 \end{aligned}$$

# Chapter 4

## Hopf Modules

Throughout this section  $H = (H, m, u, \Delta, \varepsilon, S)$  will be a Hopf algebra.

**Proposition 4.1.** *Let  $(M, \mu^M), (N, \mu^N) \in \mathcal{M}_H$ . Set*

$$\mu^{M \otimes N} : M \otimes N \otimes H \xrightarrow{M \otimes N \otimes \Delta} M \otimes N \otimes H \otimes H \xrightarrow{M \otimes \tau_{N,H} \otimes H} M \otimes H \otimes N \otimes H \xrightarrow{\mu^M \otimes \mu^N} M \otimes N.$$

*Then  $(M \otimes N, \mu^{M \otimes N}) \in \mathcal{M}_H$ .*

*Proof.* It is easy to check that

$$(4.1) \quad [\tau_{N,H \otimes H} \otimes H] \circ [N \otimes H \otimes \tau_{H,H}] = [H \otimes \tau_{N \otimes H, H}] \circ [\tau_{N,H} \otimes H \otimes H]$$

$$\begin{aligned}
\mu^{M \otimes N} \circ (M \otimes N \otimes m) &= (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes \Delta) \circ (M \otimes N \otimes m) \\
&= (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes [\Delta \circ m]) = \\
&\stackrel{(3.1)}{=} (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes m \otimes m) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&\quad = (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \circ (N \otimes m) \otimes m) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&\stackrel{(1.3)}{=} (\mu^M \otimes \mu^N) \circ (M \otimes (m \otimes N) \circ \tau_{N,H \otimes H} \otimes m) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&= (\mu^M \otimes \mu^N) \circ (M \otimes m \otimes N \otimes m) \circ (M \otimes \tau_{N,H \otimes H} \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&= (\mu^M \circ (M \otimes m) \otimes \mu^N \circ (N \otimes m)) \circ (M \otimes \tau_{N,H \otimes H} \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&= (\mu^M \circ (\mu^M \otimes H) \otimes \mu^N \circ (\mu^N \otimes H)) \circ (M \otimes \tau_{N,H \otimes H} \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&\quad = (\mu^M \otimes \mu^N) \circ (\mu^M \otimes H \otimes \mu^N \otimes H) \\
&\quad \circ (M \otimes \tau_{N,H \otimes H} \otimes H \otimes H) \circ (M \otimes N \otimes H \otimes \tau_{H,H} \otimes H) \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&\stackrel{(4.1)}{=} (\mu^M \otimes \mu^N) \circ (\mu^M \otimes H \otimes \mu^N \otimes H) \circ (M \otimes H \otimes \tau_{N \otimes H, H} \otimes H) \circ (M \otimes \tau_{N,H} \otimes H \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&= (\mu^M \otimes \mu^N) \circ (\mu^M \otimes (H \otimes \mu^N) \circ \tau_{N \otimes H, H} \otimes H) \circ (M \otimes \tau_{N,H} \otimes H \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&\stackrel{(1.3)}{=} (\mu^M \otimes \mu^N) \circ (\mu^M \otimes \tau_{N,H} \circ (\mu^N \otimes H) \otimes H) \circ (M \otimes \tau_{N,H} \otimes H \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes \Delta \otimes \Delta) \\
&= (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (\mu^M \otimes \mu^N \otimes H \otimes H) \circ (M \otimes \tau_{N,H} \otimes H \otimes H \otimes H) \\
&\quad \circ (M \otimes N \otimes H \otimes H \otimes \Delta) \circ (M \otimes N \otimes \Delta \otimes H) \\
&\quad = (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes \Delta) \circ \\
&\quad \circ (\mu^M \otimes \mu^N \otimes H) \circ (M \otimes \tau_{N,H} \otimes H \otimes H) \circ (M \otimes N \otimes \Delta \otimes H) \\
&\quad = \mu^{M \otimes N} \circ (\mu^{M \otimes N} \otimes H)
\end{aligned}$$

It is easy to prove that

$$(4.2) \quad (r_M \otimes N \otimes k) \circ (M \otimes \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) = \text{Id}_{M \otimes N \otimes k}$$

We have

$$\begin{aligned}
\mu^{M \otimes N} \circ (M \otimes N \otimes u) &= (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes \Delta) \circ (M \otimes N \otimes u) \\
&\stackrel{(3.7)}{=} (\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes u \otimes u) \circ (M \otimes N \otimes l_K^{-1}) = \\
&= (\mu^M \otimes \mu^N) \circ (M \otimes H \otimes N \otimes u) \circ (M \otimes \tau_{N,H} \otimes k) \circ (M \otimes N \otimes u \otimes k) \circ (M \otimes N \otimes l_K^{-1}) = \\
&= (\mu^M \otimes \mu^N) \circ (M \otimes H \otimes N \otimes u) \circ (M \otimes \tau_{N,H} \circ (N \otimes u) \otimes k) \circ (M \otimes N \otimes l_K^{-1}) = \\
&\stackrel{(1.3)}{=} (\mu^M \otimes \mu^N) \circ (M \otimes H \otimes N \otimes u) \circ (M \otimes (u \otimes N) \circ \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) \\
&\quad = (\mu^M \otimes \mu^N) \circ (M \otimes H \otimes N \otimes u) \circ (M \otimes u \otimes N \otimes k) \\
&\quad \quad \circ (M \otimes \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) \\
&= (\mu^M (M \otimes u) \otimes N) \circ (M \otimes k \otimes \mu^N \circ (N \otimes u)) \circ (M \otimes \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) \\
&\quad = (r_M \otimes N) \circ (M \otimes k \otimes r_N) \circ (M \otimes \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) \\
&\quad = (M \otimes r_N) \circ (r_M \otimes N \otimes k) \circ (M \otimes \tau_{N,k} \otimes k) \circ (M \otimes N \otimes l_K^{-1}) \\
&\quad \stackrel{(4.2)}{=} (M \otimes r_N) = r_{M \otimes N}.
\end{aligned}$$

Let us prove the same statement directly. For all  $x \in M, y \in N$  and  $a \in H$ , we have

$$\begin{aligned}
\mu^{M \otimes N} (x \otimes y \otimes a) &= [(\mu^M \otimes \mu^N) \circ (M \otimes \tau_{N,H} \otimes H) \circ (M \otimes N \otimes \Delta)] (x \otimes y \otimes a) \\
&= \sum x a_1 \otimes y a_2
\end{aligned}$$

so that, for all  $x \in M, y \in N$  and  $a, b \in H$  we deduce that

$$\begin{aligned}
&[\mu^{M \otimes N} \circ (M \otimes N \otimes m)] (x \otimes y \otimes a \otimes b) = (x \otimes y) (ab) \\
&= \sum x (ab)_1 \otimes y (ab)_2 = \sum x (a_1 b_1) \otimes y (a_2 b_2) = \sum (x a_1) b_1 \otimes (y a_2) b_2 \\
&= \left[ \sum (x a_1) \otimes (y a_2) \right] b = [(x \otimes y) a] b = [\mu^{M \otimes N} \circ (\mu^{M \otimes N} \otimes H)] (x \otimes y \otimes a \otimes b)
\end{aligned}$$

and

$$[\mu^{M \otimes N} \circ (M \otimes N \otimes u)] (x \otimes y \otimes 1_k) = (x \otimes y) 1_H = x 1_H \otimes y 1_H = x \otimes y = r_{M \otimes N} (x \otimes y \otimes 1_k).$$

□

**Proposition 4.2.** *Let  $(M, \rho^M), (N, \rho^N) \in \mathcal{M}^H$ . Set*

$$\rho^{M \otimes N} : M \otimes N \xrightarrow{\rho^M \otimes \rho^N} M \otimes H \otimes N \otimes H \xrightarrow{M \otimes \tau_{H,N} \otimes H} M \otimes N \otimes H \otimes H \xrightarrow{M \otimes N \otimes m} M \otimes N \otimes H.$$

*Then  $(M \otimes N, \rho^{M \otimes N}) \in \mathcal{M}^H$ .*

*Proof.* The Proof is dual to that of Proposition 4.1 and is left to the reader. □

**Definition 4.3.** *A right  $H$ -Hopf module is a triple  $(M, \mu^M, \rho^M)$  where*

- $(M, \mu^M) \in \mathcal{M}_H$ ,

- $(M, \rho^M) \in \mathcal{M}^H$  and
- $\rho^M : M \rightarrow M \otimes H$  satisfies

$$(4.3) \quad \rho^M \circ \mu^M = (\mu^M \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (\rho^M \otimes \Delta)$$

which means that

$$\sum (x \cdot h)_{(0)} \otimes (x \cdot h)_{(1)} = \sum x_{(0)} h_1 \otimes x_{(1)} h_2 \quad \text{for every } x \in M \text{ and } h \in H.$$

**Proposition 4.4.** *Given a triple  $(M, \mu^M, \rho^M)$ , where  $(M, \mu^M) \in \mathcal{M}_H$  and  $(M, \rho^M) \in \mathcal{M}^H$ , the following assertions are equivalent*

- $(M, \mu^M, \rho^M)$  is a right  $H$ -Hopf module.
- $\rho^M : (M, \mu^M) \rightarrow (M \otimes H, \mu^{M \otimes H})$  is a morphism in  $\mathcal{M}_H$ .
- $\mu^M : (M \otimes H, \rho^{M \otimes H}) \rightarrow (M, \rho^M)$  is a morphism in  $\mathcal{M}^H$ .

*Proof.*  $\rho^M : (M, \mu^M) \rightarrow (M \otimes H, \mu^{M \otimes H})$  is a morphism in  $\mathcal{M}_H$  means that

$$\begin{aligned} \rho^M \circ \mu^M &= \mu^{M \otimes H} \circ (\rho^M \otimes H) \\ &= (\mu^M \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (M \otimes H \otimes \Delta) \circ (\rho^M \otimes H) \\ &= (\mu^M \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (\rho^M \otimes \Delta). \end{aligned}$$

$\mu^M : (M \otimes H, \rho^{M \otimes H}) \rightarrow (M, \rho^M)$  is a morphism in  $\mathcal{M}^H$  means that

$$\begin{aligned} \rho^M \circ \mu^M &= (\mu^M \otimes H) \circ \rho^{M \otimes H} = (\mu^M \otimes H) \circ (M \otimes H \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (\rho^M \otimes \Delta) \\ &= (\mu^M \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (\rho^M \otimes \Delta). \end{aligned}$$

□

**Definition 4.5.** *Let  $(M, \mu^M, \rho^M)$  and  $(M', \mu^{M'}, \rho^{M'})$  be right  $H$ -Hopf modules. A linear map  $f : M \rightarrow M'$  is called a morphism of right  $H$ -Hopf modules if it is both a module and a comodule morphism. We will denote by  $\mathcal{M}_H^H$  the category of right  $H$ -Hopf modules.*

**Proposition 4.6.** *Let  $V \in \text{Vec}_k$  and let  $M \in \mathcal{M}_H^H$ . Then  $(V \otimes M, V \otimes \mu^M, V \otimes \rho^M) \in \mathcal{M}_H^H$ . Moreover the assignment  $V \mapsto (V \otimes M, V \otimes \mu^M, V \otimes \rho^M)$  and  $f \mapsto f \otimes M$  yield a functor*

$$F_M : \text{Vec}_k \rightarrow \mathcal{M}_H^H$$

*Proof.* By Proposition 2.39, we know that  $(V \otimes M, V \otimes \rho^M) \in \mathcal{M}^H$ . On the other hand it is easy to show that  $(V \otimes M, V \otimes \mu^M) \in \mathcal{M}_H$ . Let us check the compatibility relation:

$$\rho^{V \otimes M} \circ \mu^{V \otimes M} = (\mu^{V \otimes M} \otimes m) \circ (V \otimes M \otimes \tau_{H,H} \otimes H) \circ (\rho^{V \otimes M} \otimes \Delta).$$

We compute

$$\begin{aligned}
& (\mu^{V \otimes M} \otimes m) \circ (V \otimes M \otimes \tau_{H,H} \otimes H) \circ (\rho^{V \otimes M} \otimes \Delta) = \\
& (V \otimes \mu^M \otimes m) \circ (V \otimes M \otimes \tau_{H,H} \otimes H) \circ (V \otimes \rho^M \otimes \Delta) = \\
& = (V \otimes [(\mu^M \otimes m) \circ (M \otimes \tau_{H,H} \otimes H) \circ (\rho^M \otimes \Delta)]) = \\
& \stackrel{M \in \mathcal{M}_H^H}{=} V \otimes (\rho^M \circ \mu^M) = (V \otimes \rho^M) \circ (V \otimes \mu^M) = \rho^{V \otimes M} \circ \mu^{V \otimes M}.
\end{aligned}$$

Let now  $f : V \rightarrow V'$  be a  $k$ -linear map. We compute

$$\begin{aligned}
((f \otimes M) \otimes H) \circ \rho^{V \otimes M} &= ((f \otimes M) \otimes H) \circ (V \otimes \rho^M) = \\
&= (f \otimes \rho^M) = \\
&= (V' \otimes \rho^M) \circ (f \otimes M) = \rho^{V' \otimes M} \circ (f \otimes M)
\end{aligned}$$

and

$$\begin{aligned}
(f \otimes M) \circ \mu^{V \otimes M} &= (f \otimes M) \circ (V \otimes \mu^M) \\
&= (f \otimes \mu^M) \\
&= (V' \otimes \mu^M) \circ (f \otimes M \otimes H).
\end{aligned}$$

Thus  $F_M(f)$  is a morphism in  $\mathcal{M}_H^H$ . □

**Lemma 4.7.**  $(H, m, \Delta) \in \mathcal{M}_H^H$ .

*Proof.* We know that  $(H, m) \in \mathcal{M}^H$  and  $(H, \Delta) \in \mathcal{M}_H$ . Moreover

$$\Delta \circ m \stackrel{3.9}{=} (m \otimes m) \circ (H \otimes \tau_{H,H} \otimes H) \circ (\Delta \otimes \Delta).$$

□

**Definition 4.8.** Let  $(M, \rho^M) \in \mathcal{M}^H$ . Set

$$M^{coH} = \{x \in M \mid \rho^M(x) = x \otimes 1_H\}$$

$M^{coH}$  is called the subspace of coinvariants in  $M$ .

**Remark 4.9.** Let  $(M, \rho^M) \in \mathcal{M}^H$  and let  $\lambda_M : M \rightarrow M \otimes H$  be the linear map defined by setting

$$\lambda_M(x) = x \otimes 1_H \quad \text{for every } x \in M.$$

Then

$$M^{coH} = \text{Ker}(\rho^M - \lambda_M)$$

Note that, if  $f : M \rightarrow M'$  is a  $k$ -linear map, then

$$(4.4) \quad (f \otimes H) \circ \lambda^M = \lambda_{M'} \circ f$$

**Proposition 4.10.** *The assignment  $M \mapsto M^{coH}$  yields a functor*

$$(-)^{coH} : \mathcal{M}^H \rightarrow Vec_k$$

*Proof.* Let  $i_{M^{coH}} : M^{coH} \rightarrow M$  be the canonical inclusion. Then we compute

$$\begin{aligned} (\rho^{M'} - \lambda_{M'}) \circ f \circ i_{M^{coH}} &= \rho^{M'} = [(f \otimes H) \circ \rho^M] \circ i_{M^{coH}} - \lambda_{M'} \circ f \circ i_{M^{coH}} \\ &= [(f \otimes H) \circ \lambda^M] \circ i_{M^{coH}} - \lambda_{M'} \circ f \circ i_{M^{coH}} \stackrel{(4.4)}{=} 0 \end{aligned}$$

It follows that there is a unique linear map  $f^{coH} : M^{coH} \rightarrow M'^{coH}$  such that

$$i_{M'^{coH}} \circ f^{coH} = f \circ i_{M^{coH}}.$$

It is now easy to check that this gives rise to a functor.  $\square$

**Theorem 4.11.** *(The Fundamental Theorem of Hopf Modules) Let  $H$  be a Hopf algebra and let*

$$G : \mathcal{M}_H^H \rightarrow Vec_k$$

*be the restriction to  $\mathcal{M}_H^H$  of the functor  $(-)^{coH}$  introduced in Proposition 4.10. Let*

$$F = F_H : Vec_k \rightarrow \mathcal{M}_H^H$$

*be the functor defined in Proposition 4.6. Then  $(G, F)$  is an equivalence of categories.*

*Proof.* Let  $(M, \mu^M, \rho^M) \in \mathcal{M}_H^H$ . We compute, for  $x \in M$

$$\begin{aligned} \rho^M \left( \sum x_{(0)} S(x_{(1)}) \right) &\stackrel{(4.3)}{=} \sum (x_{(0)})_{(0)} (S(x_{(1)}))_1 \otimes (x_{(0)})_{(1)} (S(x_{(1)}))_2 \\ &= \sum (x_{(0)})_{(0)} S(x_{(1)_2}) \otimes (x_{(0)})_{(1)} S(x_{(1)_1}) = \sum x_{(0)} S(x_{(3)}) \otimes x_{(1)} S(x_{(2)}) \\ &= \sum x_{(0)} S(x_{(2)}) \otimes x_{(1)_1} S(x_{(1)_2}) = \sum x_{(0)} S(x_{(2)}) \otimes \varepsilon(x_{(1)}) 1_H \\ &= \sum x_{(0)} S(\varepsilon(x_{(1)}) x_{(2)}) \otimes 1_H = \sum x_{(0)} S(x_{(1)}) \otimes 1_H. \end{aligned}$$

This means that  $\sum x_{(0)} S(x_{(1)}) \in M^{coH}$  for every  $x \in M$ . Thus we may define a map

$$P : M \rightarrow M^{coH} \quad \text{by setting } P(x) = \sum x_{(0)} S(x_{(1)}) \quad \text{for every } x \in M.$$

Let us define a map  $\alpha_M : M^{coH} \otimes H \rightarrow M$  by setting

$$\alpha_M(x \otimes h) = x \cdot h \quad \text{for every } x \in M^{coH} \text{ and } h \in H.$$

Let us define a map  $\beta_M : M \rightarrow M^{coH} \otimes H$  by setting

$$\beta_M = (P \otimes \text{Id}_H) \circ \rho^M \quad \text{i.e.}$$

$$\beta_M(x) = \sum P(x_{(0)}) \otimes x_{(1)} = \sum x_{(0)(0)} S(x_{(0)(1)}) \otimes x_{(1)} = \sum x_{(0)} S(x_{(1)}) \otimes x_{(2)}$$

for every  $x \in M$ . Given  $x \in M^{coH}$  and  $h \in H$ , we compute

$$\begin{aligned} \beta_M(\alpha_M(x \otimes h)) &= [(P \otimes \text{Id}_H) \circ \rho^M](x \cdot h) = \\ &= \sum (xh)_{(0)} S((xh)_{(1)}) \otimes (xh)_{(2)} \stackrel{(4.3)}{=} \sum x_{(0)} h_1 S(x_{(1)} h_2) \otimes (x_{(2)} h_3) \end{aligned}$$

Since  $x \in M^{coH}$  we have that  $\sum x_{(0)} \otimes x_{(1)} = x \otimes 1_H$  from which we deduce that  $\sum x_{(0)} \otimes x_{(1)} \otimes x_{(2)} = x \otimes 1_H \otimes 1_H$ . Therefore we get

$$\beta_M(\alpha_M(x \otimes h)) = \sum x h_1 S(h_2) \otimes h_3 = x \sum \varepsilon(h_1) \otimes h_2 = x \otimes h.$$

We deduce that  $\beta_M \circ \alpha_M = \text{Id}_{M^{coH} \otimes H}$ . Given  $x \in M$ , we also compute

$$(\alpha_M \circ \beta_M)(x) = \sum x_{(0)} S(x_{(1)}) x_{(2)} = \sum x_{(0)} S(x_{(1)}) x_{(1)_2} = \sum x_{(0)} \varepsilon(x_{(1)}) = x.$$

Let us prove that  $\alpha_M$  is a morphism in  $\mathcal{M}_H^H$ . Let  $x \in M^{coH}$  and  $h \in H$ . We compute

$$\alpha_M((x \otimes h) \cdot t) = \alpha_M(x \otimes ht) = x \cdot (ht) = (x \cdot h) \cdot t = \alpha_M(x \otimes h) \cdot t$$

and

$$\begin{aligned} \rho^M(\alpha_M(x \otimes h)) &= \rho^M(x \cdot h) = \sum x_{(0)} h_1 \otimes x_{(1)} h_2 = \sum x h_1 \otimes h_2 \\ &= (\alpha_M \otimes H) \sum (x \otimes h_1 \otimes h_2) = (\alpha_M \otimes H)(\rho^{M \otimes H}(x \otimes h)). \end{aligned}$$

For any  $k$ -vector space  $V$ , let us define

$$\gamma_V : (V \otimes H)^{coH} \rightarrow V \quad \text{by setting } \gamma_V \left( \sum_{i=1}^n v_i \otimes h_i \right) = \sum_{i=1}^n v_i \varepsilon(h_i)$$

for every  $\sum_{i=1}^n v_i \otimes h_i \in (V \otimes H)^{coH}$ . Let us also define a map

$$\delta_V : V \rightarrow (V \otimes H)^{coH} \quad \text{by setting } \delta_V(v) = v \otimes 1_H \in (V \otimes H)^{coH} \text{ for every } v \in V.$$

Then, for every  $v \in V$  we have that

$$\gamma_V(\delta_V(v)) = v \varepsilon(1_H) = v$$

Let now  $\sum_{i=1}^n v_i \otimes h_i \in (V \otimes H)^{coH}$ . Then we get that

$$\sum_{i=1}^n v_i \otimes (h_i)_1 \otimes (h_i)_2 = \sum_{i=1}^n v_i \otimes h_i \otimes 1_H.$$

and hence we obtain

$$\begin{aligned} \delta_V \left( \gamma_V \left( \sum_{i=1}^n v_i \otimes h_i \right) \right) &= \sum_{i=1}^n v_i \varepsilon(h_i) \otimes 1_H = \sum_{i=1}^n v_i \otimes \varepsilon(h_i) 1_H = \sum_{i=1}^n v_i \otimes [\varepsilon((h_i)_1)] (h_i)_2 \\ &= \sum_{i=1}^n v_i \otimes h_i. \end{aligned}$$

We give as an exercise to the reader to check that both the family  $(\alpha_M)_{M \in \mathcal{M}_H^H}$  and  $(\gamma_V)_{V \in \text{Vec}_k}$  yield functorial morphisms between the appropriate functors.  $\square$



**Exercise 4.12.** Let  $(M, \rho^M) \in \mathcal{M}^H$  and consider  $(k, (u \otimes k)l_k^{-1}) \in {}^H\mathcal{M}$ . Prove that

$$M \square_H k \simeq M^{coH}.$$

*Hint:* use the isomorphism in (2.24).

# Chapter 5

## Integrals for bialgebras

**Definition 5.1.** An augmented  $k$ -algebra is a 4th-uple  $(A, m_A, u_A, \pi)$  where:

- $(A, m_A, u_A)$  is a  $k$ -algebra.
- $\pi : A \rightarrow k$  is a  $k$ -algebra morphism

$\pi$  is called the augmentation of  $A$ .

**Definitions 5.2.** Let  $A = (A, m_A, u_A, \pi)$  be an augmented algebra and let  $x \in A$ . We say that

- $x$  is a left integral in  $A$  if

$$a \cdot_A x = \pi(a) x, \text{ for every } a \in A.$$

In this case  $x$  is called a total left integral if  $\pi(x) = 1_k$ .

- $x$  is a right integral in  $A$  if

$$x \cdot_A a = x \pi(a), \text{ per ogni } a \in A.$$

In this case  $x$  is called a total right integral if  $\pi(x) = 1_k$ .

The set of all left integrals in  $A$  will be denote by  $\int_l = \int_l(A)$ .

The set of all right integrals in  $A$  will be denoted by  $\int_r = \int_r(A)$ .

We will say that  $A$  is unimodular whenever  $\int_l = \int_r$ . In this case an element of  $\int_l = \int_r$  will be simply called an integral.

**Remark 5.3.**  $\int_l$  and  $\int_r$  are  $k$ -vector subspaces of  $A$ . Thus they are called space of left, resp. right, integrals in  $A$ .

**Definition 5.4.** Let  $(A, m_A, u_A, \pi)$  and  $(A', m_{A'}, u_{A'}, \pi')$  be augmented algebras. A linear map  $f : A \rightarrow A'$  is called a morphism of augmented algebras if  $f$  is a morphism of algebras and  $\pi' \circ f = \pi$ .

**Proposition 5.5.** *Let  $f : (A, m_A, u_A, \pi) \rightarrow (A', m_{A'}, u_{A'}, \pi')$  be a surjective morphism of augmented algebras. Then*

$$f \left( \int_l(A) \right) \subseteq \int_l(A').$$

*Proof.* Let  $t \in \int_l(A)$  and let  $a \in A$ . We compute

$$f(a) \cdot f(t) = f(a \cdot t) = f(\pi(a)t) = \pi(a)f(t) = (\pi' \circ f)(a)f(t) = \pi'(f(a))f(t).$$

□

**Proposition 5.6.** *Let  $(A, m_A, u_A, \pi)$  be an augmented algebra. Then  $\int_l(A)$  and  $\int_r(A)$  are two-sided ideals of  $A$ .*

*Proof.* Let  $\alpha \in A$  and  $x \in \int_l(A)$ . We have to prove that

$$\alpha x \in \int_l(A) \quad \text{and} \quad x\alpha \in \int_l(A).$$

For every  $a \in A$  we compute

$$a(\alpha x) = (a\alpha)x \stackrel{\text{xisleftint}}{=} \pi(a\alpha)x = \pi(a)\pi(\alpha)x = \pi(a)(\pi(\alpha)x) \stackrel{\text{xisleftint}}{=} \pi(a)(\alpha x).$$

This means that  $\alpha x$  is a left integral in  $A$ . We also compute

$$a(x\alpha) = (ax)\alpha \stackrel{\text{xisleftint}}{=} (\pi(a)x)\alpha = \pi(a)(x\alpha).$$

which means that also  $x\alpha$  is a left integral in  $A$ .

The proof for  $\int_r(A)$  is analogous. □

**5.7.** *Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H)$  be a bialgebra. Then*

- *$(H, m_H, u_H, \varepsilon_H)$  is an augmented algebra. A left integral in  $H$  is an element  $t \in H$  such that*

$$h \cdot_H t = \varepsilon_H(h)t, \text{ for every } h \in H.$$

*It is also total if  $\varepsilon_H(t) = 1_K$ .*

- *$(H^*, m_{H^*}, u_{H^*}, \pi_{H^*})$  is an augmented algebra where  $\pi_{H^*} : H^* \rightarrow k$  is defined by setting*

$$\pi_{H^*}(f) = f(1_H) \text{ for every } f \in H^*.$$

*A left integral in  $H^*$  is an element  $\lambda \in H^*$  such that*

$$f * \lambda = \pi_{H^*}(f)\lambda, \text{ for every } f \in H^*$$

*i.e.*

$$f * \lambda = f(1_H)\lambda, \text{ for every } f \in H^*.$$

*In this case  $\lambda$  is a total integral if  $\pi_{H^*}(\lambda) = 1_K$  i.e.  $\lambda(1_H) = 1_k$ .*

**Lemma 5.8.** (The well-known Lemma) Let  $V$  be a  $k$ -vector space and let  $x, y \in V$ . Then

$$x = y \Leftrightarrow f(x) = f(y) \text{ for every } f \in V^*.$$

**Proposition 5.9.** Let  $(H, m, u, \Delta, \varepsilon)$  be a bialgebra and let  $\lambda \in H^*$ . Then we have that

1)  $\lambda$  is a left integral in  $H^*$  if and only if

$$(5.1) \quad \sum h_1 \lambda(h_2) = 1_H \lambda(h) \text{ for every } h \in H.$$

2)  $\lambda$  is a right integral in  $H^*$  if and only if

$$(5.2) \quad \sum \lambda(h_1) h_2 = 1_H \lambda(h) \text{ for every } h \in H.$$

*Proof.* 1) Let  $\lambda \in H^*$ . Then  $\lambda$  is a left integral in  $H^*$  if and only if  $f * \lambda = f(1_H) \lambda$ , for every  $f \in H^*$  which means that

$$(f * \lambda)(h) = f(1_H) \lambda(h) \text{ for every } f \in H^* \text{ and } h \in H.$$

We compute

$$(f * \lambda)(h) = \sum f(h_1) \lambda(h_2) = f\left(\sum h_1 \lambda(h_2)\right)$$

and

$$f(1_H) \lambda(h) = f(1_H \lambda(h)).$$

Thus  $\lambda$  is a left integral in  $H^*$  if and only if

$$f\left(\sum h_1 \lambda(h_2)\right) = f(1_H \lambda(h)) \text{ for every } h \in H \text{ and } f \in H^*.$$

In view of Lemma 5.8 this happens if and only if

$$\sum h_1 \lambda(h_2) = 1_H \lambda(h) \text{ for every } h \in H.$$

2) The proof is analogous. □

**Proposition 5.10.** Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra and let  $t \in H$ . Then

- 1) If  $t$  is a left integral in  $H$  then  $S(t)$  is a right integral in  $H$ .
- 2) If  $t$  is a total left integral in  $H$ , then  $t = S(t)$ .
- 1') If  $t$  is a right integral in  $H$  then  $S(t)$  is a left integral in  $H$ .
- 2') If  $t$  is a total right integral in  $H$ , then  $t = S(t)$ .

*Proof.* 1) We have to show that

$$S(t) \cdot h = \varepsilon(h) S(t) \text{ for every } h \in H.$$

Since  $t$  is a left integral in  $H$  we have

$$h \cdot t = \varepsilon(h) t \text{ for every } h \in H.$$

We compute

$$\begin{aligned} S(t) \cdot h &= S(t) \cdot \left( \sum \varepsilon(h_1) h_2 \right) = \sum S[\varepsilon(h_1) t] h_2 \stackrel{\text{tisleftint}}{=} \sum S(h_1 \cdot t) \cdot h_2 \\ &= \sum S(t) \cdot S(h_1) h_2 = S(t) \cdot \sum S(h_1) h_2 = S(t) \varepsilon(h) = \varepsilon(h) S(t). \end{aligned}$$

2) We compute

$$\begin{aligned} S(t) &= 1_k S(t) \stackrel{\text{tistotal}}{=} \varepsilon(t) S(t) \stackrel{S(t) \text{ is a right int}}{=} S(t) t \stackrel{t \text{ is a left int}}{=} \varepsilon[S(t)] t = \\ &= \varepsilon(t) t \stackrel{\text{tistotal}}{=} 1_k t = t. \end{aligned}$$

□

**Corollary 5.11.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra and let  $t \in H$ . The following statements are equivalent:*

- (a)  $t$  is a left total integral in  $H$ .
- (b)  $t$  is a right total integral in  $H$ .

*If there is a left total integral in  $H$ , then*

$$\int_l(H) = \int_r(H) = kt.$$

*In particular  $H$  is unimodular.*

*Proof.* (a)  $\Rightarrow$  (b) In view of Proposition 5.10,  $t = S(t)$  is a right integral in  $H$ .

(b)  $\Rightarrow$  (a) is analogous.

Assume now that  $t$  is a left (and hence right) total integral and let  $x \in \int_l(H)$  be a left integral in  $H$ . Then

$$x = 1_k x \stackrel{\text{tistotal}}{=} \varepsilon(t) x \stackrel{x \text{ is left int}}{=} tx \stackrel{t \text{ is right int}}{=} t\varepsilon(x) \in kt$$

so that

$$\int_l(H) \subseteq kt.$$

An analogous proof shows that  $\int_r(H) = kt$ . □

**Proposition 5.12.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra and let  $\lambda \in H^*$ . Then*

- 1) *If  $\lambda$  is a left integral in  $H^*$ , then  $\lambda \circ S$  is a right integral in  $H^*$ .*
- 2) *If  $\lambda$  is a total left integral in  $H^*$ , then  $\lambda = \lambda \circ S$ .*
- 1') *If  $\lambda$  is a right integral in  $H^*$ , then  $\lambda \circ S$  is a left integral in  $H^*$ .*
- 2') *If  $\lambda$  is a total right integral in  $H^*$ , then  $\lambda = \lambda \circ S$ .*

*Proof.* 1) In view of Proposition 5.9, we have to show that

$$\sum [(\lambda \circ S)(h_1)] h_2 = 1_H [(\lambda \circ S)(h)] \quad \text{for every } h \in H$$

We compute

$$\begin{aligned}
1_H [(\lambda \circ S)(h)] &= 1_H \lambda \left[ S \left( \sum h_1 \varepsilon(h_2) \right) \right] \\
&= 1_H \sum \lambda[S(h_1 \varepsilon(h_2))] = 1_H \sum \lambda[S(h_1)] \varepsilon(h_2) \\
&= \sum \lambda[S(h_1)] \varepsilon(h_2) 1_H = \sum \lambda[S(h_1)] \left[ \sum S(h_2) h_3 \right] \\
&= \sum \lambda[S(h_1)] S(h_2) h_3 = \sum \lambda[S(h_{1_1})] S(h_{1_2}) h_2 \\
&= \sum \lambda[[S(h_1)]_2] [S(h_1)]_1 h_2 = \sum [S(h_1)]_1 \lambda[[S(h_1)]_2] h_2 \\
&\stackrel{(5.1)}{=} \sum 1_H \lambda[S(h_1)] h_2 = \sum \lambda[S(h_1)] h_2.
\end{aligned}$$

2) Since, in view of 1),  $\lambda \circ S \in H^*$  is a right integral in  $H^*$ , we have that

$$(\lambda \circ S) * \lambda = (\lambda \circ S) [\lambda(1_H)] \stackrel{\lambda \text{ is tot}}{=} \lambda \circ S$$

and since  $\lambda$  is a left integral we have that

$$(\lambda \circ S) * \lambda = [(\lambda \circ S)(1_H)] \lambda = \lambda(S(1_H)) \lambda = \lambda(1_H) \lambda \stackrel{\lambda \text{ is tot}}{=} \lambda$$

so that we get

$$\lambda \circ S = \lambda.$$

□

**Corollary 5.13.** *Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra and let  $\lambda \in H^*$ . The following statements are equivalent:*

(a)  $\lambda$  is a total left integral in  $H^*$ .

(b)  $\lambda$  is a total right integral in  $H^*$ .

If there is a left total integral in  $H^*$ , then

$$\int_l(H^*) = \int_r(H^*) = k\lambda.$$

In particular  $H^*$  is unimodular.

*Proof.* (a)  $\Rightarrow$  (b) In view of Proposition 5.12,  $\lambda = \lambda \circ S$  is a right integral in  $H^*$ .

(b)  $\Rightarrow$  (a) is analogous.

Assume now that  $\lambda$  is a left (and hence right) total integral and let  $\chi \in \int_l(H^*)$  be a left integral in  $H^*$ . Then

$$\chi = 1_k \chi \stackrel{\lambda \text{ is total}}{=} \lambda(1_H) \chi \stackrel{\chi \text{ is left int}}{=} \lambda * \chi \stackrel{\lambda \text{ is right int}}{=} \lambda \chi(1_H) \in k\lambda$$

so that

$$\int_l(H^*) \subseteq k\lambda.$$

An analogous proof shows that  $\int_r(H^*) = k\lambda$ . □

## 5.1 $H^{*rat}$

**5.14.** Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a Hopf algebra. We know from 1.45 that  $(H^*, m_{H^*}, u_{H^*})$  is an algebra. In particular  $(H^*, m_{H^*}) \in {}_{H^*}\mathcal{M}$  and we can consider  $H^{*rat} = \text{rat}(H^*H^*)$ . In view of Theorem 2.30,  $H^{*rat}$  is a right  $H$ -comodule with respect to

$$\rho = \delta_{H^{*rat}} : H^{*rat} \longrightarrow H^{*rat} \otimes H.$$

Then for every  $\chi \in H^{*rat}$  and  $f \in H^*$  we have

$$f * \chi = [\beta_{H^{*rat}}(\chi)](f) = [(\alpha_{H^{*rat}} \circ \rho)(\chi)](f) = \sum \chi_0 f(\chi_1)$$

so that

$$(5.3) \quad f * \chi = \sum \chi_0 f(\chi_1)$$

where

$$\rho(\chi) = \sum \chi_0 \otimes \chi_1 \quad \text{for every } \chi \in H^{*rat}.$$

Since  $H$  is a right  $H$ -module via  $m_H$ , we have that  $H^*$  has a left  $H$ -module structure defined by

$${}_H H^* = \text{Hom}({}_k H_{H,k} k).$$

For every  $h \in H$  and  $f \in H^*$  we will write  $h \rightharpoonup f = h \cdot f$ . Then we have

$$(h \rightharpoonup f)(x) = f(xh) \quad \text{for all } h, x \in H \text{ and } f \in H^*.$$

Since  $S = S_H : H \rightarrow H$  is an algebra antihomomorphism, by setting

$$f \leftharpoonup h = S(h) \rightharpoonup f$$

we obtain a right  $H$ -module structure on  $H^*$ . Explicitly we have

$$(f \leftharpoonup h)(x) = (S(h) \rightharpoonup f)(x) = f(xS(h))$$

i.e.

$$(f \leftharpoonup h)(x) = f(xS(h)) \quad \text{for all } h, x \in H \text{ and } f \in H^*.$$

**Theorem 5.15.**  $H^{*rat}$  is a right  $H$ -submodule of the right  $H$ -module  $(H^*, \leftharpoonup)$ . Let  $\mu : H^{*rat} \otimes H \rightarrow H^{*rat}$  the induced right  $H$ -module structure on  $H^{*rat}$ . Then  $(H^{*rat}, \mu, \rho) \in \mathcal{M}_H^H$  is a right  $H$ -Hopf module.

*Proof.* First of all let us recall that, in view of Proposition 2.35, we know that

$$H^{*rat} = \beta_{H^*}^{\leftarrow}(\alpha_{H^*}(H^* \otimes H)).$$

Thus to prove that  $H^{*rat}$  is a right  $H$ -submodule of the right  $H$ -module  $(H^*, \leftharpoonup)$  we will prove that

$$\chi \leftharpoonup h \in \beta_{H^*}^{\leftarrow}(\alpha_{H^*}(H^* \otimes H)) = H^{*rat} \quad \text{for any } h \in H \text{ and } \chi \in H^{*rat}.$$

Actually we will prove that

$$(5.4) \quad \beta_{H^*}(\chi \leftarrow h) = \alpha_{H^*} \sum [(\chi_0 \leftarrow h_1) \otimes \chi_1 h_2]$$

which means that

$$[\beta_{H^*}(\chi \leftarrow h)](f) = \left\{ \alpha_{H^*} \sum [(\chi_0 \leftarrow h_1) \otimes \chi_1 h_2] \right\} (f) \quad \text{for any } f \in H^*$$

i.e. that

$$f * (\chi \leftarrow h) = \sum (\chi_0 \leftarrow h_1) \cdot f(\chi_1 h_2) \quad \text{for any } f \in H^*.$$

This amounts to prove that

$$[f * (\chi \leftarrow h)](x) = \sum (\chi_0 \leftarrow h_1)(x) \cdot f(\chi_1 h_2) \quad \text{for any } f \in H^* \text{ and } x \in H.$$

Let us compute

$$\begin{aligned} & \sum (\chi_0 \leftarrow h_1)(x) \cdot f(\chi_1 h_2) \stackrel{\text{def}}{=} \sum \chi_0(xS(h_1)) \cdot f(\chi_1 h_2) \\ & \stackrel{\text{def}}{=} \sum \chi_0(xS(h_1)) \cdot [(h_2 \rightarrow f)(\chi_1)] \stackrel{(5.3)}{=} \sum [(h_2 \rightarrow f) * \chi](xS(h_1)) \\ = & \sum [(h_2 \rightarrow f)(xS(h_1))_1] \cdot [\chi(xS(h_1))_2] = \sum [(h_2 \rightarrow f)(x_1S(h_2))] \cdot [\chi(x_2S(h_1))] \\ = & \sum [(h_3 \rightarrow f)(x_1S(h_2))] \cdot [\chi(x_2S(h_1))] = \sum [f(x_1S(h_2)h_3)] \cdot [\chi(x_2S(h_1))] \\ = & \sum [f(x_1 1_{H\varepsilon}(h_2))] \cdot [\chi(x_2S(h_1))] = \sum f(x_1) \cdot [\chi(x_2S(h_1\varepsilon(h_2)))] \\ = & \sum f(x_1) \cdot [\chi(x_2S(h))] \stackrel{\text{def}}{=} f(x_1) [(\chi \leftarrow h)(x_2)] = [f * (\chi \leftarrow h)](x). \end{aligned}$$

Thus form (5.4) is proved.

Let  $L = H^{*rat}$  and let  $i_L : L \rightarrow H^*$  be the canonical inclusion. By (2.19) we have that

$$\beta_{H^*} \circ i_L = \alpha_{H^*} \circ (i_L \otimes H) \circ \rho.$$

Thus we obtain

$$\begin{aligned} (\alpha_{H^*} \circ (i_L \otimes H)) \left[ \sum (\chi_0 \leftarrow h_1) \otimes \chi_1 h_2 \right] &= \alpha_{H^*} \left[ \sum (\chi_0 \leftarrow h_1) \otimes \chi_1 h_2 \right] = \\ & \stackrel{(5.4)}{=} (\beta_{H^*} \circ i_L)(\chi \leftarrow h) = \\ & \stackrel{(2.19)}{=} [\alpha_{H^*} \circ (i_L \otimes H) \circ \rho](\chi \leftarrow h) = (\alpha_{H^*} \circ (i_L \otimes H)) [\rho(\chi \leftarrow h)] \end{aligned}$$

and hence we get

$$\rho(\chi \leftarrow h) = \sum (\chi_0 \leftarrow h_1) \otimes \chi_1 h_2$$

which means that  $(H^{*rat}, \mu, \rho) \in \mathcal{M}_H^H$  is a right  $H$ -Hopf module.  $\square$

**Proposition 5.16.** *Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a Hopf algebra. Then*

1)  $\int_l(H^*)$  is a submodule of  ${}_{H^*}H^{*rat}$ .



2)  $(H^{*rat})^{coH} = \int_l(H^*)$ .

3) The map  $\alpha = \alpha_{H^{*rat}} : \int_l(H^*) \otimes H \longrightarrow H^{*rat}$  defined by setting

$$\alpha(\lambda \otimes h) = \lambda \leftarrow h \quad \text{for every } \lambda \in \int_l(H^*) \text{ and } h \in H$$

is an isomorphism in  $\mathcal{M}_H^H$ .

*Proof.* 1) and 2) By Proposition 5.6,  $\int_l(H^*)$  is a two-sided ideal in  $H^*$ . In particular  $\int_l(H^*)$  is a left  $H^*$ -submodule of  $H^*$ . Thus we may apply Proposition 2.28. Since, for any  $\lambda \in \int_l(H^*)$  we have

$$f * \lambda = f(1_H) \lambda = \lambda f(1_H) \quad \text{for any } f \in H^*$$

we deduce that  $X = \int_l(H^*)$  is a rational left  $H^*$ -module and that

$$\delta_X : X \longrightarrow X \otimes H \quad \text{is defined by setting } \delta_X(\lambda) = \lambda \otimes 1_H$$

so that  $X \subseteq (H^{*rat})^{coH}$ .

Conversely let  $\chi \in (H^{*rat})^{coH}$ . Then  $\rho(\chi) = \chi \otimes 1_H$  and hence

$$f * \chi \stackrel{(5.3)}{=} \sum \chi_0 f(\chi_1) = \chi f(1_H) = f(1_H) \chi \quad \text{for every } f \in H^*$$

so that  $\chi \in \int_l(H^*)$ .

3) Apply now Theorem 4.11. □

**Corollary 5.17.**  $\int_l(H^*) = \{0_{H^*}\}$  if and only if  $H^{*rat} = \{0_{H^*}\}$ .

*Proof.* By Proposition 5.16 we have that

$$\int_l(H^*) \otimes H \simeq H^{*rat}.$$

□

**Proposition 5.18.** Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a Hopf algebra and assume that

$$\int_l(H^*) \neq \{0_{H^*}\}.$$

Then  $S_H$  is injective.

*Proof.* Let  $\lambda \in \int_l(H^*)$ ,  $\lambda \neq 0$  and let  $h \in H$  such that  $S_H(h) = 0$ . By Proposition 5.16, the map  $\alpha$  is an isomorphism. Since

$$\alpha(\lambda \otimes h) = \lambda \leftarrow h = S_H(h) \rightarrow \lambda = 0 \rightarrow \lambda = 0$$

and  $\lambda \neq 0$  we conclude that  $h = 0$ . □

**Proposition 5.19.** *Let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a finite dimensional Hopf algebra. Then*

- 1)  $\dim_k \int_l (H^*) = 1$
- 2)  $S_H$  is bijective.

*Proof.* 1) By Theorem 2.36 we have that

$$\text{Rat}({}_{H^*}\mathcal{M}) = {}_{H^*}\mathcal{M}.$$

and hence we get that  $H^{*rat} = H^*$ . Then, from Proposition 5.16 we deduce that

$$\int_l (H^*) \otimes H \simeq H^*$$

and hence

$$\dim(H) = \dim(H^*) = \dim\left(\int_l (H^*) \otimes H\right) = \dim\left(\int_l (H^*)\right) \cdot \dim(H)$$

which implies that  $\dim\left(\int_l (H^*)\right) = 1$ . Then, in view of Proposition 5.18, we obtain that  $S_H$  is injective and hence bijective as  $H$  has finite dimension.  $\square$

**Lemma 5.20.** *Let  $H$  be a finite dimensional Hopf algebra and consider the dual Hopf algebra  $H^*$ . Then the space of left integrals in this Hopf algebra coincide with the space of left integrals in the augmented algebra  $(H^*, \pi_{H^*})$ .*

*Proof.* Since the algebra structure is the same, we have only to point out that  $\varepsilon_{H^*} = \pi_{H^*}$ .  $\square$

**Lemma 5.21.** *Let  $H$  be a finite dimensional Hopf algebra and let  $\omega : H \rightarrow H^{**}$  the natural isomorphism. Then*

$$\omega\left(\int_l (H)\right) = \int_l (H^{**}).$$

Moreover

$$\int_l (H^{**}) = \{\alpha \in H^{**} \mid \alpha \text{ is a left integral in the dual of the Hopf algebra } H^*\}$$

*Proof.* By Proposition 3.11,  $\omega : H \rightarrow H^{**}$  is a Hopf algebra isomorphism. In particular  $\omega : (H, \varepsilon_H) \rightarrow (H^{**}, \varepsilon_{H^{**}})$  is an isomorphism of augmented Hopf algebras. Apply now Proposition 5.5.

The last statement follows by Lemma 5.20.  $\square$

**Proposition 5.22.** *Let  $H$  be a finite dimensional Hopf algebra. Then*

$$\dim_k \int_l(H) = 1.$$

Moreover given a  $t \in \int_l(H), t \neq 0$ , we have that

$$H = H^*t.$$

*Proof.* By Proposition 3.10,  $H^*$  is a finite dimensional Hopf algebra. Hence, by Proposition 5.19 and Lemma 5.21 we conclude.

Let  $t \in \int_l(H), t \neq 0$ . Then for every  $x \in H$  there exists an  $f \in H^*$  such that

$$\alpha_{H^{**}}(\omega(t) \otimes f) = \omega(t) \leftarrow f = \omega(x).$$

We compute

$$\begin{aligned} [\omega(t) \leftarrow f](g) &= \omega(t)(g * S_H^* f) = \omega(t)(g * f \circ S_H) = (g * f \circ S_H)(t) \\ &= \sum g(t_1) f(S_H(t_2)) = g\left(\sum t_1 f(S_H(t_2))\right) = \omega\left(\sum t_1 f(S_H(t_2))\right)(g) \\ &= \omega(f \circ S_H \cdot t)(g) \end{aligned}$$

so that  $[\omega(t) \leftarrow f] = \omega(f \circ S_H \cdot t)$ . Hence we deduce that  $\omega(x) = \omega(f \circ S_H \cdot t)$  which means that  $x = f \circ S_H \cdot t \in H^*t$ .  $\square$

## 5.2 Semisimplicity and Cosemisimplicity

**Lemma 5.23.** *Let  $H$  be a Hopf algebra. Then we have*

- 1)  $\sum \lambda(xS(y_1))y_2 = \sum x_1\lambda(x_2S(y))$  for every  $\lambda \in \int_l(H^*), x, y \in H$ .
- 2)  $\sum t_1 \otimes S(t_2)h = \sum ht_1 \otimes S(t_2)$  for every  $t \in \int_l(H), h \in H$ .

*Proof.* 1) Let  $\lambda \in \int_l(H^*)$  and  $x, y \in H$ . We compute

$$\begin{aligned} \sum x_1\lambda(x_2S(y)) &= \sum x_1\lambda(x_2S[\varepsilon(y_2)y_1]) = \sum x_1\varepsilon(y_2)\lambda(x_2S(y_1)) \\ &= \sum x_1[S(y_2)y_3]\lambda(x_2S(y_1)) \\ &= \sum x_1S(y_1)y_2\lambda(x_2S(y_1)) \\ &= \sum x_1[S(y_1)]_1 y_2\lambda(x_2[S(y_1)]_2) \\ &= \sum [(xS(y_1))_1] y_2\lambda([xS(y_1)]_2) \\ &= \sum [(xS(y_1))_1] \lambda([xS(y_1)]_2)y_2 \\ &\stackrel{(5.1)}{=} \sum 1_H\lambda(xS(y_1))y_2 \\ &= \sum \lambda(xS(y_1))y_2. \end{aligned}$$

2) Let  $t \in \int_l(H)$  and  $x \in H$ . We compute

$$\sum \varepsilon(x) t_1 \otimes t_2 = \varepsilon(x) \Delta(t) = \Delta(\varepsilon(x)t) \stackrel{\text{leftint}}{=} \Delta(xt) = \sum (xt)_1 \otimes (xt)_2$$

so that

$$(5.5) \quad \sum \varepsilon_H(x) t_1 \otimes t_2 = \sum (xt)_1 \otimes (xt)_2.$$

We compute

$$\begin{aligned} \sum t_1 \otimes S(t_2)h &= \sum t_1 \otimes S(t_2)\varepsilon(h_1)h_2 = \sum \varepsilon(h_1)t_1 \otimes S(t_2)h_2 \\ &\stackrel{(5.5)}{=} \sum (h_1t)_1 \otimes S((h_1t)_2)h_2 = \sum h_1t_1 \otimes S(h_1t_2)h_2 \\ &= \sum h_1t_1 \otimes S(h_2t_2)h_3 = \sum h_1t_1 \otimes S(t_2)S(h_2)h_3 \\ &= \sum h_1t_1 \otimes S(t_2)\varepsilon(h_2) = \sum ht_1 \otimes S(t_2). \end{aligned}$$

□

**Definition 5.24.** A  $k$ -algebra  $A$  is called left (resp. right) semisimple if it is left (resp. right) semisimple as a ring i.e. if every left (resp. right)  $A$ -module is projective. If  $A$  is both right and left semisimple, we will simply say that  $A$  is semisimple.

**Theorem 5.25** (Maschke's Theorem). Let  $H$  be a Hopf algebra. The following statements are equivalent:

- (a)  $H$  is a left semisimple Hopf algebra.
- (a')  $H$  is a right semisimple Hopf algebra.
- (b) There exists a total left integral  $t$  in  $H$ .
- (c) There exists a left integral  $t$  in  $H$  such that  $\varepsilon_H(t) \neq 0$ .

*Proof.* (b)  $\Rightarrow$  (c) It is trivial.

(c)  $\Rightarrow$  (b). Let  $t \in H$  be a left integral such that  $\varepsilon_H(t) \neq 0$ . Set

$$t' := \frac{1}{\varepsilon_H(t)}t.$$

Then  $t'$  is a (left) total integral in  $H$ .

(a)  $\Rightarrow$  (b) The map

$$\varepsilon_H : H \rightarrow k$$

is an algebra morphism. Hence  $k$  can be endowed with a left  $H$ -module structure defined by setting

$$h \cdot x = \varepsilon_H(h)x \quad \text{for every } h \in H \text{ and } x \in k.$$

Note that  $\varepsilon_H$  becomes automatically a left  $H$ -module morphism. Since  $H$  is a semisimple algebra,  $k$  is a projective left  $H$ -module so that, being  $\varepsilon_H$  surjective, there exists a left  $H$ -module morphism  $\tau : k \rightarrow H$  such that the following diagram is commutative:

$$\begin{array}{ccc} & k & \\ & \tau \swarrow & \downarrow \text{Id}_k \\ H & \xrightarrow{\varepsilon_H} & k \rightarrow 0 \end{array}$$

We set

$$t = \tau(1_k).$$

We have that

$$\varepsilon_H(t) = \varepsilon_H(\tau(1_k)) = \text{Id}_k(1_k) = 1_k.$$

For any  $h \in H$  let us compute

$$h \cdot t = h \cdot \tau(1_k) = \tau(h \cdot 1_k) = \tau(\varepsilon_H(h) \cdot 1_k) = \varepsilon_H(h) \cdot \tau(1_k) = \varepsilon_H(h) \cdot t.$$

We deduce that  $t$  is a total left integral in  $H$ .

(b)  $\Rightarrow$  (a) Let  $t \in H$  be a total left integral in  $H$  and let  $P$  be a left  $H$ -module. Let

$$\pi : M \longrightarrow N$$

be a surjective morphism of left  $H$ -modules and let  $f : P \rightarrow N$  be a morphism of left  $H$ -modules.

We seek for a left  $H$ -module morphism  $\bar{f}$  rendering the following diagram commutative.

$$\begin{array}{ccc} & P & \\ & \bar{f} \swarrow & \downarrow f \\ M & \xrightarrow{\pi} & N \end{array}$$

Since  $k$  is a field there exists a  $k$ -linear map  $\gamma : N \rightarrow M$  rendering the following diagram commutative

$$\begin{array}{ccc} & N & \\ & \gamma \swarrow & \downarrow \text{Id}_N \\ M & \xrightarrow{\pi} & N \end{array}$$

i.e. such that  $\pi \circ \gamma = \text{Id}_N$ . (Why?)

We define a map

$$\sigma : N \longrightarrow M \quad \text{by setting } \sigma(x) = \sum t_1 \gamma(S_H(t_2)x) \quad \text{for every } x \in N.$$

We have

$$\begin{aligned} \pi(\sigma(x)) &= \sum \pi[t_1 \gamma(S_H(t_2)x)] \stackrel{\text{pis}H\text{-lin}}{=} \sum t_1 \pi(\gamma(S_H(t_2)x)) = \sum t_1 S_H(t_2)x \\ &= \varepsilon_H(t)x = x. \end{aligned}$$

Thus we obtain that  $\pi \circ \sigma = \text{Id}_N$ .

Now we will check that  $\sigma$  is a left  $H$ -module morphism. In view of Lemma 5.23, we have that

$$\sum t_1 \otimes S_H(t_2)h = \sum ht_1 \otimes S_H(t_2) \quad \text{for every } t \in \int_l(H) \text{ and } h \in H.$$

Thus we obtain

$$\sigma(hx) = \sum t_1 \gamma(S_H(t_2)hx) = \sum ht_1 \gamma(S_H(t_2)x) = h\sigma(x).$$

Now we set

$$\bar{f} = \sigma \circ f : P \rightarrow M.$$

Then  $\bar{f}$  is a left  $H$ -module morphism and

$$\pi \circ \bar{f} = \pi \circ \sigma \circ f = f.$$

Since, by Corollary 5.11, any left total integral in  $H$  is a right total integral in  $H$ , the proof of (a')  $\Leftrightarrow$  (b) is similar.  $\square$

**Theorem 5.26.** *Every semisimple Hopf algebra has finite dimension.*

*Proof.* In view of Theorem 5.25 there is a total left integral  $t$  in  $H$ . Now by Lemma 5.23, we have that

$$(5.6) \quad \sum t_1 \otimes S_H(t_2)h = \sum ht_1 \otimes S_H(t_2) \quad \text{for every } h \in H.$$

Let us write

$$\sum t_1 \otimes S_H(t_2) = \sum_{i=1}^n a_i \otimes b_i.$$

Then (5.6) rewrites as

$$(5.7) \quad \sum_{i=1}^n a_i \otimes b_i h = \sum_{i=1}^n ha_i \otimes b_i \quad \text{for every } h \in H.$$

Let  $(e_i)_{i \in I}$  be a basis for  $H$  over  $k$  and let  $(e_i^*)_{i \in I}$  be the dual basis. We have  $e_j^*(e_i) = \delta_{ij}$  for every  $i, j \in I$ . Then for every  $h \in H$  there is a finite subset  $I(h)$  of  $I$  such that

$$x = \sum_{i \in I(h)} e_i^*(h) e_i.$$

We compute

$$\begin{aligned}
h &= h1_H = h\varepsilon_H(t)1_H = h \sum t_1 S_H(t_2) = h \sum_{i=1}^n a_i b_i \\
&= \sum_{i=1}^n h a_i b_i = \sum_{i=1}^n h a_i \cdot \sum_{j \in I(b_i)} e_j^*(b_i) e_j \\
&\stackrel{(5.7)}{=} \sum_{i=1}^n a_i \cdot \sum_{j \in I(b_i)} e_j^*(b_i h) e_j \\
&= \sum_{i=1}^n \sum_{j \in I(b_i)} e_j^*(b_i h) a_i e_j.
\end{aligned}$$

Hence

$$\{a_i e_j \mid i = 1, \dots, n \text{ and } j \in I(b_i)\}$$

is a finite set of generators of  $H$  over  $k$ . □

**Definition 5.27.** A coalgebra  $C$  is called left (resp. right) cosemisimple if every left (resp. right)  $C$ -comodule is injective.

If  $C$  is both right and left cosemisimple, we will simply say that  $C$  is cosemisimple.

**Theorem 5.28** (Dual Maschke's Theorem). Let  $H$  be a Hopf algebra. The following statements are equivalent:

- (a)  $H$  is a left cosemisimple Hopf algebra.
- (a')  $H$  is a right cosemisimple Hopf algebra.
- (b) There exists a left total integral  $\lambda$  in  $H^*$ .
- (c) There exists a left integral  $\lambda$  in  $H^*$  such that  $\lambda(1_H) \neq 0$ .

*Proof.* (b)  $\Rightarrow$  (c) It is trivial.

(c)  $\Rightarrow$  (b) Let  $\lambda \in H^*$  be a left integral such that  $\lambda(1_H) \neq 0$ . Set

$$\lambda' := \frac{1}{\lambda(1_H)} \lambda.$$

Then  $\lambda'$  is a total left integral in  $H^*$ .

(a)  $\Rightarrow$  (b) The map

$$u_H : k \rightarrow H : k \longmapsto k1_H$$

is a coalgebra morphism. Hence  $k$  can be endowed with a left  $H$ -comodule structure defined by setting

$${}^k \rho(x) = x1_H \otimes 1_k \quad \text{for every } x \in k.$$

Note that  $u_H$  becomes automatically a left  $H$ -comodule morphism. Since  $H$  is a left cosemisimple coalgebra,  $k$  is an injective left  $H$ -comodule so that, being  $u_H$  injective there exists a left  $H$ -comodule morphism  $\lambda : k \rightarrow H$  such that the following diagram is commutative:

$$\begin{array}{ccc} k & \xrightarrow{u_H} & H \\ \text{Id}_k \downarrow & \swarrow \lambda & \\ k & & \end{array}$$

Then we have

$$\lambda(1_H) = \lambda(u_H(1_k)) = \text{Id}_k(1_k) = 1_k.$$

Moreover, since  $\lambda$  is a left  $H$ -comodule morphism, we have that

$$(H \otimes \lambda) \circ \Delta_H = {}^k\rho \circ \lambda.$$

This means that

$$\sum h_1 \otimes \lambda(h_2) = \lambda(h) 1_H \otimes 1_k \quad \text{for every } h \in H$$

from which we deduce

$$\sum h_1 \lambda(h_2) = \lambda(h) 1_H \quad \text{for every } h \in H$$

Therefore  $\lambda$  is a total left integral in  $H^*$ .

(b)  $\Rightarrow$  (a). Let  $\lambda \in H^*$  be a total left integral in  $H^*$  and let  $E$  be a left  $H$ -comodule. Let

$$\sigma : M \longrightarrow N$$

be an injective morphism of left  $H$ -comodules and let  $f : M \rightarrow E$  be a morphism of left  $H$ -comodules.

We seek for a left  $H$ -comodule morphism  $\bar{f}$  rendering the following diagram commutative.

$$\begin{array}{ccc} M & \xrightarrow{\sigma} & N \\ f \downarrow & \swarrow \bar{f} & \\ E & & \end{array}$$

Since  $k$  is a field, there exists a  $k$ -linear map  $\gamma : N \rightarrow M$  rendering the following diagram commutative

$$\begin{array}{ccc} M & \xrightarrow{\sigma} & N \\ \text{Id}_M \downarrow & \swarrow \gamma & \\ M & & \end{array}$$

i.e. such that  $\gamma \circ \sigma = \text{Id}_M$ . (Why?)

We define a map

$$\pi : N \longrightarrow M \quad \text{by setting } \pi(y) = \sum \lambda[y_{-1} \bar{S}_H((\gamma(y_0))_{-1})] (\gamma(y_0))_0 \quad \text{for every } y \in N.$$

Since  $\sigma$  is a morphism of left  $H$ -comodules, we have that

$$(H \otimes \sigma) \circ {}^M\rho = {}^N\rho \circ \sigma$$



which means that

$$\sum x_{-1} \otimes \sigma(x_0) = \sigma(x)_{-1} \otimes \sigma(x)_0 \quad \text{for every } x \in M.$$

We compute

$$\begin{aligned} (\pi \circ \sigma)(x) &= \sum \lambda [\sigma(x)_{-1} S_H((\gamma(\sigma(x)_0))_{-1})] (\gamma(\sigma(x)_0))_0 \\ &= \sum \lambda [x_{-1} S_H((\gamma(\sigma(x)_0))_{-1})] (\gamma(\sigma(x)_0))_0 = \sum \lambda [x_{-1} S_H((x_0)_{-1})] (x_0)_0 \\ &= \sum \lambda [x_{-2} S_H(x_{-1})] x_0 = \sum \lambda [x_{-1} S_H(x_{-2})] x_0 = \sum \lambda [\varepsilon_H(x_{-1}) 1_H] x_0 \\ &= \sum \lambda (1_H) \varepsilon_H(x_{-1}) x_0 = \sum 1_k \varepsilon_H(x_{-1}) x_0 = x. \end{aligned}$$

Thus we obtain that  $\pi \circ \sigma = \text{Id}_M$ .

Let us prove that  $\pi$  is a morphism of left  $H$ -comodules, i.e. that

$$(H \otimes \pi) \circ {}^N \rho = {}^M \rho \circ \pi$$

In view of Lemma 5.23, we have

$$\sum \lambda(x S_H(y_1)) y_2 = \sum x_1 \lambda(x_2 S_H(y)) \quad \text{for every } \lambda \in \int_l (H^*) \text{ and } x, y \in H.$$

Thus, for every  $y \in N$ , we obtain

$$\begin{aligned} [(H \otimes \pi) \circ {}^N \rho](y) &= \sum y_{-1} \otimes \pi(y_0) \\ &= \sum y_{-2} \otimes \lambda [y_{-1} S_H((\gamma(y_0))_{-1})] (\gamma(y_0))_0 \\ &= \sum y_{-2} \lambda [y_{-1} S_H((\gamma(y_0))_{-1})] \otimes (\gamma(y_0))_0 \\ &= \sum y_{-1_1} \lambda [y_{-1_2} S_H((\gamma(y_0))_{-1})] \otimes (\gamma(y_0))_0 \\ &= \sum \lambda [y_{-1} S_H((\gamma(y_0))_{-1_1})] (\gamma(y_0))_{-1_2} \otimes (\gamma(y_0))_0 \\ &= \sum \lambda [y_{-1} S_H((\gamma(y_0))_{-2})] (\gamma(y_0))_{-1} \otimes (\gamma(y_0))_0 \\ &= {}^M \rho \left\{ \sum \lambda [y_{-1} S_H((\gamma(y_0))_{-1})] (\gamma(y_0))_0 \right\} \\ &= ({}^M \rho \circ \pi)(y) \end{aligned}$$

Now we set

$$\bar{f} = f \circ \pi : N \rightarrow E.$$

Then  $\bar{f}$  is a morphism of left  $H$ -comodules and

$$\bar{f} \circ \sigma = f \circ \pi \circ \sigma = f.$$

Since by Corollary 5.13, any left total integral in  $H^*$  is a right total integral in  $H^*$ , the proof of (a')  $\Leftrightarrow$  (b) is similar.  $\square$

**Corollary 5.29.** *Let  $H$  be a finite dimensional Hopf algebra. Then*

*$H$  is semisimple  $\iff H^*$  is cosemisimple.*

*$H$  is cosemisimple  $\iff H^*$  is semisimple.*

*Proof.* Recall that, by Lemma 5.21

$$\omega \left( \int_l(H) \right) = \int_l(H^{**}) = \{ \alpha \in H^{**} \mid \alpha \text{ is a left integral in the dual of the Hopf algebra } H^* \}$$

By Maschke Theorem 5.25,  $H$  is semisimple  $\iff$  there exists a left integral  $t$  in  $H$  such that  $\varepsilon_H(t) \neq 0$ . By Dual Maschke Theorem 5.28,  $H$  is cosemisimple  $\iff$  there exists a left integral  $\lambda$  in  $H^*$  such that  $\lambda(1_H) \neq 0$ .

Thus, by the foregoing we have that  $H^*$  is cosemisimple  $\iff$  there exists a left integral  $t \in \int_l(H)$  such that  $0 \neq \omega(t)(1_{H^*}) = \omega(t)(\varepsilon_H) = \varepsilon_H(t) \iff H$  is semisimple.

Analogously  $H^*$  is semisimple  $\iff$  there exists a left integral  $\lambda$  in  $H^*$  such that  $0 \neq \varepsilon_{H^*}(\lambda) = \lambda(1)$  i.e.  $H$  is cosemisimple.  $\square$

# Chapter 6

## Examples

### 6.1 $kG$

Let  $(G, m_G, 1_G)$  be a multiplicative monoid. Then we can consider the monoid algebra  $kG$  (see Example 1.9). Recall that as a  $k$ -vector space it is just  $k^{(G)}$  where the multiplication is defined by setting

$$(\alpha \cdot \beta)(x) = \sum_{\substack{z, w \in G \\ zw=x}} \alpha(z) \beta(w).$$

Then, for each  $x \in G$ , let  $e_x$  be the element of  $k^{(G)}$  defined by

$$e_x(x) = 1_k \quad \text{and} \quad e_x(y) = 0_k \quad \text{for every } y \in G, y \neq x.$$

Then, accordingly to 1.4, we write  $x$  **instead of**  $e_x$  for every  $x \in G$  so that every element  $\alpha \in k^{(G)}$  can be uniquely written, using the  $k$ -vector space structure of  $k^{(G)}$ , as

$$\alpha = \sum_{x \in \text{Supp}(\alpha)} \alpha(x) x.$$

Then the product in  $kG$  is uniquely defined by setting

$$x \cdot_{kG} y = x \cdot_G y$$

for every  $x, y \in G$ . In particular the identity  $1_{kG}$  of  $kG$  is

$$1_{kG} = 1_G.$$

On the other hand, we can consider the grouplike coalgebra  $(kG, \Delta_{kG}, \varepsilon_{kG})$  introduced in example 2a) 1.12. We have

$$\Delta_{kG}(x) = x \otimes x \quad \text{and} \quad \varepsilon_{kG}(x) = 1_k \quad \text{for every } x \in G.$$

Let us check that  $(G, m_G, 1_G, \Delta_{kG}, \varepsilon_{kG})$  is a bialgebra. Indeed, we have:

$$\Delta_{kG}(xy) = xy \otimes xy = (x \otimes x)(y \otimes y) = \Delta_{kG}(x) \Delta_{kG}(y) \quad \text{for every } x, y \in G \quad \text{and} \quad \Delta_{kG}(1_G) = 1_G \otimes 1_G$$

Moreover

$$\varepsilon_{kG}(xy) = 1_k = 1_k 1_k = \varepsilon_{kG}(x) \varepsilon_{kG}(y) \quad \text{for every } x, y \in G \quad \text{and } \varepsilon_{kG}(1_{kG}) = 1_k.$$

Assume now that  $G$  is a **group**. Then  $(G, m_G, 1_G, \Delta_{kG}, \varepsilon_{kG}, S_{kG})$  is a Hopf algebra where

$$S_{kG}(g) = g^{-1} \text{ for every } g \in G.$$

In fact we have

$$(S_{kG} * \text{Id}_{kG})(g) = g^{-1} \cdot g = 1_G = \varepsilon_{kG}(g) = g \cdot g^{-1} = (\text{Id}_{kG} * S_{kG})(g) \text{ for every } g \in G.$$

Let  $\lambda : kG \rightarrow k$  be the  $k$ -linear map defined by setting

$$\lambda(g) = \delta_{g,1_G} 1_k \quad \text{for every } g \in G.$$

Let us check that  $\lambda$  is a total left integral in  $(kG)^*$ . Let  $f \in (kG)^*$  and, for every  $x \in G$ , let us compute

$$(f * \lambda)(x) = f(x) \lambda(x) = f(x) \delta_{x,1_G} = f(1_G) \delta_{x,1_G} = f(1_G) \lambda(x).$$

Thus we deduce that

$$f * \lambda = f(1_G) \lambda.$$

Moreover we have

$$\lambda(1_{kG}) = \lambda(1_G) = 1_k.$$

Thus, by The Dual Maschke's Theorem 5.28,  $kG$  is always a **cosemisimple** Hopf algebra.

Assume now that  $G$  is a **finite** group and let us set

$$t = \sum_{g \in G} g.$$

For every  $x \in G$ , we compute

$$x \cdot t = \sum_{g \in G} x \cdot g = \sum_{g \in G} g = t = 1_k t = \varepsilon_{kG}(x) t.$$

Therefore  $t$  is a left integral in  $kG$ . Since  $t \neq 0_{kG}$ , by Proposition 5.22, we know that  $\int_l(H) = kt$ . Thus we deduce, by Maschke's Theorem 5.25, that  $kG$  is also **semisimple** if and only if  $\varepsilon_{kG}(t) \neq 0_k$ . Therefore we compute

$$\varepsilon_{kG}(t) = \varepsilon_{kG} \left( \sum_{g \in G} g \right) = \sum_{g \in G} \varepsilon_{kG}(g) = |G| 1_k.$$

Hence we conclude that, for a finite group  $G$ ,  $kG$  is semisimple if and only if  $\text{char}(k) \nmid |G|$ .

When  $G$  is a **finite** group, by Proposition 3.10,  $(kG)^*$  is also a Hopf algebra. Note that, since  $kG$  is a cocommutative Hopf algebra,  $(kG)^*$  is a commutative Hopf algebra. Denote by  $p_g : kG \rightarrow k$  the dual of the element  $g \in G$ , i.e.  $p_g(h) = \delta_{g,h}$  for every  $g, h \in G$ . Then the  $p_g$ 's,  $g \in G$ , are a basis of the  $k$ -vector space  $(kG)^*$  and we have

$$(p_g * p_h)(x) = \delta_{g,x} \delta_{h,x}$$

so that

$$(p_g * p_h)(x) = 1_k \quad \text{if } g = x = h \quad \text{and } (p_g * p_h)(x) = 0_k \quad \text{otherwise, i.e.}$$

$$p_g * p_h = \delta_{g,h} p_g \quad \text{and} \quad \sum_{g \in G} p_g = \varepsilon_{kG} = 1_{(kG)^*}$$

which means that  $(p_g)_{g \in G}$  is a complete system of orthogonal idempotents of the  $k$ -algebra  $(kG)^*$ . Moreover, for every  $f \in (kG)^*$ , we have

$$\Delta_{(kG)^*}(f) = \sum f_1 \otimes f_2$$

where  $\sum f_1 \otimes f_2$  is uniquely defined by

$$f(gh) = \sum f_1(g) f_2(h) \quad \text{for every } g, h \in G.$$

Since the  $p_g \otimes p_h$ ,  $g, h \in G$  constitute a basis of  $(kG)^* \otimes (kG)^*$ , there exist elements  $\alpha_{g,h} \in k$  such that

$$\Delta_{(kG)^*}(f) = \sum_{g,h \in G} \alpha_{g,h} p_g \otimes p_h$$

and hence

$$f(xy) = \sum_{g,h \in G} \alpha_{g,h} p_g(x) p_h(y) = \alpha_{x,y} \quad \text{for every } x, y \in G$$

so that

$$\Delta_{(kG)^*}(f) = \sum_{g,h \in G} f(gh) p_g \otimes p_h.$$

In particular, for  $f = p_x$  we obtain

$$\Delta_{(kG)^*}(p_x) = \sum_{g,h \in G} p_x(gh) p_g \otimes p_h = \sum_{\substack{g,h \in G \\ gh=x}} p_g \otimes p_h = \sum_{g \in G} p_g \otimes p_{g^{-1}x}.$$

Moreover we have

$$\varepsilon_{(kG)^*}(p_x) = p_x(1_G) = \delta_{x,1_G} 1_k$$

and

$$[S_{(kG)^*}(f)](x) = [f \circ S_{kG}](x) = f(x^{-1})$$

so that

$$[S_{(kG)^*}(p_g)](x) = p_g(x^{-1}) = \delta_{g,x^{-1}} 1_k = \delta_{g^{-1},x} 1_k = p_{g^{-1}}(x)$$

i.e.

$$S_{(kG)^*}(p_g) = p_{g^{-1}}.$$

Clearly, by the foregoing,  $\lambda = p_{1_G}$  is a total integral in  $(kG)^*$  so that, for a finite group  $G$ ,  $(kG)^*$  is always semisimple. Moreover, by means of Lemma 5.21, it is easy to prove that  $(kG)^*$  is cosemisimple if and only if  $\text{char}(k) \nmid |G|$ .

We list all these result in the following theorem.

**Theorem 6.1** (Classical Maschke's Theorem). *Let  $k$  be a field and let  $G$  be a group. Then*

- *the Hopf algebra  $kG$  is always cosemisimple.*
- *If  $G$  is a finite group,  $kG$  is semisimple if and only if  $\text{char}(k) \nmid |G|$  if and only if  $(kG)^*$  is cosemisimple.*
- *If  $G$  is a finite group,  $(kG)^*$  is always semisimple.*

## 6.2 The Tensor Algebra

Let  $A$  be a ring and  $M = {}_A M_A$  be a two-sided  $A$ -module.. Set

$$M^{\otimes_A^0} = A, \quad M^{\otimes_A^1} = M, \quad \text{and } M^{\otimes_A^n} = M^{\otimes_A^{n-1}} \otimes_A M \quad \text{for every } n \in \mathbb{N}, n \geq 2$$

and let

$$T_A(M) = \bigoplus_{n \in \mathbb{N}} M^{\otimes_A^n}.$$

For every  $n \in \mathbb{N}$ , let  $i_n : M^{\otimes_A^n} \rightarrow T_A(M)$  be the obvious injective  $A$ -bimodule homomorphism. We define on  $T = T_A(M)$  a multiplication by setting

$$\begin{aligned} i_0(a) \cdot_T i_0(b) &= i_0(a \cdot_A b) \quad \text{for every } a, b \in A \\ i_0(a) \cdot_T i_n(x_1 \otimes_A \dots \otimes_A x_n) &= i_n[(a \cdot_M x_1) \otimes_A \dots \otimes_A x_n] \\ &\quad \text{for every } a \in A, n \in \mathbb{N}, n \geq 1, x_1, \dots, x_n \in M \\ i_n(x_1 \otimes_A \dots \otimes_A x_n) \cdot_T i_0(a) &= i_n[x_1 \otimes_A \dots \otimes_A (x_n \cdot a)] \\ &\quad \text{for every } a \in A, n \in \mathbb{N}, n \geq 1, x_1, \dots, x_n \in M \\ i_m(x_1 \otimes_A \dots \otimes_A x_m) \cdot_T i_n(y_1 \otimes_A \dots \otimes_A y_n) &= i_{m+n}(x_1 \otimes_A \dots \otimes_A x_m \otimes_A y_1 \otimes_A \dots \otimes_A y_n) \\ &\quad \text{for every } m, n \in \mathbb{N}, m, n \geq 1, x_1, \dots, x_m, y_1, \dots, y_n \in M \end{aligned}$$

and extending it by linearity on  $T$ .

**Lemma 6.2.** *Let  $A$  be a bialgebra and let  $h : A \rightarrow A^{op}$  be an algebra homomorphism. If, for  $a, b \in A$ ,  $(h * \text{Id}_A)(a) = (u_A \circ \varepsilon_A)(a)$  and  $(h * \text{Id}_A)(b) = (u_A \circ \varepsilon_A)(b)$  then  $(h * \text{Id}_A)(ab) = (u_A \circ \varepsilon_A)(ab)$ .*

*Proof.* Let us compute

$$\begin{aligned}
(h * \text{Id}_A)(ab) &= \sum h((ab)_1)(ab)_2 = \sum h(a_1 b_1) a_2 b_2 \stackrel{\text{hantialgmod}}{=} \sum h(b_1) h(a_1) a_2 b_2 \\
&= \sum h(b_1) \varepsilon_A(a) 1_A b_2 = \varepsilon_A(a) \sum h(b_1) b_2 = \varepsilon_A(a) \varepsilon_A(b) 1_A \\
&= \varepsilon_A(ab) 1_A = (u_A \circ \varepsilon_A)(ab).
\end{aligned}$$

□

**Theorem 6.3.** *Let  $A$  be a ring and let  $M = {}_A M_A$  be a two-sided  $A$ -module. Then, with respect to the structure defined above,  $T_A(M)$  becomes a ring. Moreover  $T_A(M)$  fulfills the following universal property. Let  $f_0 : A \rightarrow B$  be a ring homomorphism and let  $f_1 : M \rightarrow B$  be an  $A$ -bimodule homomorphism. Then there exists an algebra homomorphism  $f : T_A(M) \rightarrow B$  such that*

$$f \circ i_0 = f_0 \quad \text{and} \quad f \circ i_1 = f_1.$$

Moreover  $f$  is unique with respect to this property.

*Proof.* For every  $n \in \mathbb{N}, n \geq 2$ , let us define

$$f_n : M^{\otimes_A n} \rightarrow B$$

by setting

$$\begin{aligned}
f_n(x_1 \otimes_A \dots \otimes_A x_n) &= f_1(x_1) \cdot_B \dots \cdot_B f_1(x_n) \\
&\text{for every } x_1 \otimes_A \dots \otimes_A x_n \in M^{\otimes_A n}.
\end{aligned}$$

Note that  $f_n$  is well defined since  $f_1$  is a morphism of  $A$ -bimodules. Let  $f : T = T_A(M) \rightarrow B$  be the codiagonal morphism of  $(f_n)_{n \in \mathbb{N}}$ . Then  $f \circ i_j = f_j$  for every  $j \in \mathbb{N}$ . For every  $a, b \in M^{\otimes_A^0} = A$ , we compute

$$f(i_0(a) \cdot_T i_0(b)) = f(i_0(a \cdot_A b)) = f_0(a \cdot_A b) = f_0(a) \cdot_B f_0(b) = f(i_0(a)) \cdot_B f(i_0(b)).$$

For every  $a \in M^{\otimes_A^0} = A$ , for every  $n \in \mathbb{N}, n \geq 1$  and for every  $x_1 \otimes_A \dots \otimes_A x_n \in M^{\otimes_A^n}$ , we compute

$$\begin{aligned}
f(i_0(a) \cdot_T i_n(x_1 \otimes_A \dots \otimes_A x_n)) &= f(i_n[(a \cdot_M x_1) \otimes_A \dots \otimes_A x_n]) = \\
&= f_1(a \cdot_M x_1) \cdot_B \dots \cdot_B f_1(x_n) = [f_0(a) \cdot_B f_1(x_1)] \cdot_B \dots \cdot_B f_1(x_n) = \\
&= f_0(a) \cdot_B [f_1(x_1) \cdot_B \dots \cdot_B f_1(x_n)] = f[i_0(a)] \cdot_B f[i_n(x_1 \otimes_A \dots \otimes_A x_n)].
\end{aligned}$$

Similarly, one gets

$$f(i_n(x_1 \otimes_A \dots \otimes_A x_n) \cdot_T i_0(a)) = f[i_n(x_1 \otimes_A \dots \otimes_A x_n)] \cdot_B f[i_0(a)].$$

For every  $n, m \in \mathbb{N}, n, m \geq 1$  and for every  $x_1 \otimes_A \dots \otimes_A x_m \in M^{\otimes_A^m}$  and for every  $y_1 \otimes_A \dots \otimes_A y_n \in M^{\otimes_A^n}$ , we compute

$$\begin{aligned} & f [i_m (x_1 \otimes_A \dots \otimes_A x_m) \cdot_T i_n (y_1 \otimes_A \dots \otimes_A y_n)] = \\ & = f [i_{m+n} (x_1 \otimes_A \dots \otimes_A x_m \otimes_A y_1 \otimes_A \dots \otimes_A y_n)] \\ & = f_1 (x_1) \cdot_B \dots \cdot_B f_1 (x_m) \cdot_B f_1 (y_1) \cdot_B \dots \cdot_B f_1 (y_n) = \\ & = f [i_m (x_1 \otimes_A \dots \otimes_A x_m)] \cdot_B f [i_n (y_1 \otimes_A \dots \otimes_A y_n)]. \end{aligned}$$

Let  $g : T \rightarrow B$  be another algebra morphism such that  $g \circ i_0 = f_0$  and  $g \circ i_1 = f_1$ . Then, for every  $n \in \mathbb{N}, n \geq 2$ , we compute

$$\begin{aligned} (g \circ i_n) (x_1 \otimes_A \dots \otimes_A x_n) & = g (i_1 (x_1) \cdot_T \dots \cdot_T i_1 (x_n)) = g (i_1 (x_1)) \cdot_B \dots \cdot_B g (i_1 (x_n)) \\ & = f_1 (x_1) \cdot_B \dots \cdot_B f_1 (x_n) = (f \circ i_n) (x_1 \otimes_A \dots \otimes_A x_n). \end{aligned}$$

□

Assume now that  $A = k$  is a field and that  $M$  is a  $k$ -vector space. In this case, we want to define a coalgebra structure on  $T = T_k(M)$ . To this aim, we will consider the algebra tensor product of  $T$  by itself. To avoid confusion, we will write this tensor product and his elements as

$$T \overline{\otimes} T, \quad x \overline{\otimes} y.$$

Set  $f_0 = (i_0 \overline{\otimes} i_0) \circ \Delta_k : k \rightarrow T \overline{\otimes} T$  where  $\Delta_k = l_k^{-1} = r_k^{-1}$  (see 1.26). Then  $f_0$  is a bialgebra map. Let us consider the map  $f_1 : M \rightarrow T \overline{\otimes} T$  defined by setting

$$f_1 (x) = i_1 (x) \overline{\otimes} i_0 (1_k) + i_0 (1_k) \overline{\otimes} i_1 (x), \quad \text{for every } x \in M.$$

Clearly  $f_1$  is a  $k$ -linear map. Then, by the universal property of the tensor algebra, there exists a unique algebra map  $\Delta_T : T \rightarrow T \overline{\otimes} T$  such that

$$\Delta_T \circ i_0 = (i_0 \overline{\otimes} i_0) \circ \Delta_k \quad \text{and} \quad \Delta_T \circ i_1 = f_1.$$

Always by the the universal property of the tensor algebra, there exists a unique algebra map  $\varepsilon_T : T \rightarrow k$  such that

$$\varepsilon_T \circ i_0 = \varepsilon_k = \text{Id}_k \quad \text{and} \quad \varepsilon_T \circ i_1 = 0.$$

Let us check that  $(T, \Delta_T, \varepsilon_T)$  is a bialgebra. We compute

$$\begin{aligned} & [(\text{Id}_T \overline{\otimes} \Delta_T) \circ \Delta_T] \circ i_0 = (\text{Id}_T \overline{\otimes} \Delta_T) \circ (i_0 \overline{\otimes} i_0) \circ \Delta_k = [i_0 \overline{\otimes} (\Delta_T \circ i_0)] \circ \Delta_k \\ & = [i_0 \overline{\otimes}_H ((i_0 \overline{\otimes} i_0) \circ \Delta_k)] \circ \Delta_k = (i_0 \overline{\otimes} i_0 \overline{\otimes} i_0) \circ (k \otimes \Delta_k) \circ \Delta_k = (i_0 \overline{\otimes} i_0 \overline{\otimes} i_0) \circ (\Delta_k \otimes k) \circ \Delta_k = \\ & \quad [((i_0 \overline{\otimes} i_0) \circ \Delta_k) \overline{\otimes} i_0] \circ \Delta_k = [(\Delta_T \circ i_0) \overline{\otimes} i_0] \circ \Delta_k = \\ & = (\Delta_T \overline{\otimes} \text{Id}_T) \circ (i_0 \overline{\otimes} i_0) \circ \Delta_k = [(\Delta_T \overline{\otimes} \text{Id}_T) \circ \Delta_T] \circ i_0 \end{aligned}$$

so that, we obtain

$$(6.1) \quad [(\text{Id}_T \overline{\otimes} \Delta_T) \circ \Delta_T] \circ i_0 = [(\Delta_T \overline{\otimes} \text{Id}_T) \circ \Delta_T] \circ i_0$$



For every  $x \in M$ , we calculate

$$\begin{aligned}
& ((\text{Id}_T \bar{\otimes} \Delta_T) \circ \Delta_T) \circ i_1 (x) = ((\text{Id}_T \bar{\otimes} \Delta_T) \circ f_1) (x) \\
& = (\text{Id}_T \bar{\otimes} \Delta_T) [i_1 (x) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_1 (x)] = \\
& = i_1 (x) \bar{\otimes} \Delta_T (i_0 (1_k)) + i_0 (1_k) \bar{\otimes} \Delta_T (i_1 (x)) = \\
& = i_1 (x) \bar{\otimes} i_0 (1_k) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} (i_1 (x) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_0 (1_k) \bar{\otimes} i_1 (x)) = \\
& = (i_1 (x) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_1 (x)) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_0 (1_k) \bar{\otimes} i_1 (x) = \\
& = \Delta_T (i_1 (x)) \bar{\otimes} i_0 (1_k) + \Delta_T (i_0 (1_k)) \bar{\otimes} i_1 (x) = \\
& = (\Delta_T \bar{\otimes} \text{Id}_T) (i_1 (x) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_1 (x)) = ((\Delta_T \bar{\otimes} \text{Id}_T) \circ f_1) (x) = \\
& = [(\Delta_T \bar{\otimes} \text{Id}_T) \circ \Delta_T] \circ i_1 (x)
\end{aligned}$$

so that we obtain

$$(6.2) \quad [(\text{Id}_T \bar{\otimes} \Delta_T) \circ \Delta_T] \circ i_1 = [(\Delta_T \bar{\otimes} \text{Id}_T) \circ \Delta_T] \circ i_1.$$

By the uniqueness in the universal property of  $T$ , from (6.1) and (6.2) we deduce that

$$(\text{Id}_T \bar{\otimes} \Delta_T) \circ \Delta_T = (\Delta_T \bar{\otimes} \text{Id}_T) \circ \Delta_T.$$

Let us compute

$$\begin{aligned}
(l_T \circ (\varepsilon_T \bar{\otimes} T) \circ \Delta_T) \circ i_0 & = l_T \circ (\varepsilon_T \bar{\otimes} T) \circ (i_0 \bar{\otimes} i_0) \circ \Delta_k = l_T \circ ((\varepsilon_T \circ i_0) \bar{\otimes} i_0) \circ \Delta_k = \\
& = l_T \circ (k \bar{\otimes} i_0) \circ (\varepsilon_k \bar{\otimes} k) \circ \Delta_k \stackrel{(1.1)}{=} i_0 \circ l_k \circ (\varepsilon_k \bar{\otimes} k) \circ \Delta_k = i_0
\end{aligned}$$

so that we obtain

$$(6.3) \quad (l_T \circ (\varepsilon_T \bar{\otimes} T) \circ \Delta_T) \circ i_0 = i_0.$$

For every  $x \in M$ , we calculate

$$\begin{aligned}
[(l_T \circ (\varepsilon_T \bar{\otimes} T) \circ \Delta_T) \circ i_1] (x) & = (l_T \circ (\varepsilon_T \bar{\otimes} T)) (i_1 (x) \bar{\otimes} i_0 (1_k) + i_0 (1_k) \bar{\otimes} i_1 (x)) = l_T (\varepsilon_T (i_1 (x)) \bar{\otimes} i_0 (1_k) \\
& + \varepsilon_T (i_0 (1_k)) \bar{\otimes} i_1 (x)) = l_T (1_k \bar{\otimes} i_1 (x)) = 1_k \cdot_T i_1 (x) = i_1 (x)
\end{aligned}$$

so that we get

$$(6.4) \quad (l_T \circ (\varepsilon_T \bar{\otimes} T) \circ \Delta_T) \circ i_1 = i_1.$$

By the uniqueness in the universal property of  $T$ , from (6.3) and (6.4) we deduce that

$$l_T \circ (\varepsilon_T \bar{\otimes} T) \circ \Delta_T = \text{Id}_T.$$

In a similar way one can prove that

$$r_T \circ (T \bar{\otimes} \varepsilon_T) \circ \Delta_T = \text{Id}_T.$$

Thus  $(T, \Delta_T, \varepsilon_T)$  is a coalgebra. By construction, both  $\Delta_T$  and  $\varepsilon_T$  are algebra maps and hence we obtain that  $(T, m_T, u_T, \Delta_T, \varepsilon_T)$  is a bialgebra.

Let us consider the linear map  $h_1 : M \rightarrow T^{op}$  defined by setting

$$h_1(x) = i_1(-x), \quad \text{for every } x \in M$$

and consider

$$h_0 = i^{op} : k^{op} = k \rightarrow T^{op}.$$

Then, by the universal property of  $T$ , there exists a unique algebra morphism

$$S_T : T \rightarrow T^{op}$$

such that

$$S_T \circ i_0 = h_0 \quad \text{and} \quad S_T \circ i_1 = h_1.$$

Let us prove that  $(T, m_T, u_T, \Delta_T, \varepsilon_T, S_T)$  is a Hopf algebra, that is

$$S_T * \text{Id}_T = u_T \circ \varepsilon_T \quad \text{and} \quad \text{Id}_T * S_T = u_T \circ \varepsilon_T.$$

By Lemma 6.2 it is sufficient to prove it for the elements  $i_1(x)$ , for every  $x \in M$ , that generate  $T$

$$\begin{aligned} [(S_T * \text{Id}_T) \circ i_1](x) &= S_T(i_1(x)) \cdot_T \text{Id}_T(i_0(1_k)) + S_T(i_0(1_k)) \cdot_T \text{Id}_T(i_1(x)) \\ &= h_1(x) \cdot_T i_0(1_k) + i_0(1_k) \cdot_T i_1(x) = i_1(-x) + i_1(x) = 0_T = u_T \circ \varepsilon_T \circ i_1(x) \end{aligned}$$

so that

$$(S_T * \text{Id}_T) \circ i_1 = u_T \circ \varepsilon_T \circ i_1$$

and hence we deduce that

$$S_T * \text{Id}_T = u_T \circ \varepsilon_T.$$

In a similar way one proves also that  $\text{Id}_T * S_T = u_T \circ \varepsilon_T$ .

**Remark 6.4.** Assume that  $M$  is a  $k$ -vector space of dimension  $n$  and let  $x_1, \dots, x_n$  be a basis of  $M$ . Set

$$X_j = i_1(x_j) \quad \text{for every } j = 1, \dots, n.$$

Then  $(x_{j_1} \otimes \dots \otimes x_{j_t})_{j_s \in \{1, \dots, n\}}$  is a basis of  $M^{\otimes t}$  and hence

$$(X_{j_1} \cdot_T \dots \cdot_T X_{j_t})_{j_s \in \{1, \dots, n\}}$$

i.e. the "words" in  $X_1, \dots, X_n$  of length  $t$ , is a basis for  $i_t(M^{\otimes t})$ . Thus any element of  $T = T_k(M)$  is a linear combination, with coefficients in  $k$  of the elements  $(X_{j_1} \cdot_T \dots \cdot_T X_{j_t})_{j_s \in \{1, \dots, t\}}$  where  $t$  ranges in  $\mathbb{N}$  i.e. is a linear combination of words in  $X_1, \dots, X_n$  of arbitrary length  $t$ .

When  $n = 1$  we get that  $T_k(M)$  can be identified with the polynomial ring  $k[X]$ .

When  $n = 2$ , writing  $X = X_1$  and  $Y = X_2$ , we get that any element of  $T_k(M)$  is a linear combination of elements of the form

$$X^{a_0} \cdot_T Y^{b_0} \cdot_T \dots \cdot_T X^{a_s} \cdot_T Y^{b_s} \quad \text{where } s \in \mathbb{N} \text{ and } a_i, b_i \in \mathbb{N} \text{ for every } i = 1, \dots, s.$$

In general  $T_k(M)$  can be thought as a polynomial ring in the noncommutative variables  $X_1, \dots, X_n$ . For this reason it is also denoted by  $k\{X_1, \dots, X_n\}$ .

### 6.3 The Symmetric Algebra

Let  $M$  be a vector space over the field  $k$ . For any  $x, y \in M$  let us consider the element

$$s_{x,y} = i_2(x \otimes y - y \otimes x) = i_1(x) \cdot_T i_1(y) - i_1(y) \cdot_T i_1(x) \in T_k(M)$$

and let  $I$  be the two-sided ideal of  $T_k(M)$  generated by all  $s_{x,y}$  where  $x$  and  $y$  range in  $M$ . Let us check that  $I$  is a Hopf ideal of  $T = T_k(M)$ . Let  $x, y \in M$  and let us compute

$$\begin{aligned} \Delta_T(s_{x,y}) &= \Delta_T(i_1(x)) \cdot \Delta_T(i_1(y)) - \Delta_T(i_1(y)) \cdot \Delta_T(i_1(x)) \\ &= [i_1(x) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(x)] [i_1(y) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(y)] + \\ &\quad - [i_1(y) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(y)] [i_1(x) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(x)] \\ &= [i_1(x) \cdot_T i_1(y) - i_1(y) \cdot_T i_1(x)] \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} [i_1(x) \cdot_T i_1(y) - i_1(y) \cdot_T i_1(x)] \in I \bar{\otimes} T + T \bar{\otimes} I \end{aligned}$$

and

$$\begin{aligned} \varepsilon_T(s_{x,y}) &= \varepsilon_T(i_1(x) \cdot_T i_1(y) - i_1(y) \cdot_T i_1(x)) \\ &= [\varepsilon_T \circ i_1(x)] [\varepsilon_T \circ i_1(y)] - [\varepsilon_T \circ i_1(y)] [\varepsilon_T \circ i_1(x)] = 0 \end{aligned}$$

and also

$$\begin{aligned} S_T(s_{x,y}) &= S_T(i_1(x) \cdot_T i_1(y) - i_1(y) \cdot_T i_1(x)) \\ &= [S_T \circ i_1(y)] \cdot_T [S_T \circ i_1(x)] - [S_T \circ i_1(x)] \cdot_T [S_T \circ i_1(y)] = \\ &= [-i_1(y)] \cdot_T [-i_1(x)] - [-i_1(x)] \cdot_T [-i_1(y)] = i_1(y) \cdot_T i_1(x) - i_1(x) \cdot_T i_1(y) = -s_{x,y} \in I. \end{aligned}$$

Thus, by Theorem 3.18,  $T_k(M)/I$  is a Hopf algebra that will be denoted by  $S_k(M)$  and called the *symmetric algebra* of  $M$ . Let  $p : T_k(M) \rightarrow T_k(M)/I = S_k(M)$  be the canonical projection and let  $j_n = p \circ i_n : M^{\otimes n} \rightarrow S_k(M)$  for every  $n \in \mathbb{N}$ . We leave to the reader the proof of the following Theorem.

**Theorem 6.5.** *Let  $M$  be a vector space over the field  $k$ , let  $(A, m_A, u_A)$  be a commutative  $k$ -algebra and let  $f_1 : M \rightarrow A$  be a  $k$ -linear map. Then there exists a unique algebra map  $f : S_k(M) \rightarrow A$  such that  $f \circ j_0 = u_A$  and  $f \circ j_1 = f_1$ .*

**Exercise 6.6.** *Assume that  $M$  is a  $k$ -vector space of dimension  $n$ . Show that, in this case*

$$S_k(M) \simeq k[X_1, \dots, X_n].$$

**Proposition 6.7.** *Let  $(H, m, u, \Delta, \varepsilon)$  be a bialgebra. Assume that there exists a  $\lambda$  a left integral in  $H^*$  such that  $\lambda(1_H) \neq 0$ . Then*

$$P(H) = \{x \in H \mid \Delta(x) = x \otimes 1_H + 1_H \otimes x\} = \{0\}.$$

*Proof.* Let  $x \in P(H)$ . We compute

$$\begin{aligned} \sum x_1 \lambda(x_2) &= r_H(H \otimes \lambda) \left( \sum x_1 \otimes x_2 \right) \\ &= r_H(H \otimes \lambda) (x \otimes 1_H + 1_H \otimes x) \\ &= r_H(H \otimes \lambda) (x \otimes 1_H) + r_H(H \otimes \lambda) (1_H \otimes x) \\ &= x \lambda(1_H) + 1_H \lambda(x). \end{aligned}$$

Then

$$x \lambda(1_H) + 1_H \lambda(x) \stackrel{(5.1)}{=} 1_H \lambda(x)$$

and hence

$$x \lambda(1_H) = 0$$

which implies, since  $\lambda(1_H) \neq 0$ , that  $x = 0$ .  $\square$

**Remark 6.8.** Let  $M \neq \{0\}$  be a  $k$ -vector space. Then, in view of Proposition 6.7, there exist no (left) total integrals both in  $T_k(M)^*$  and in  $S_k(M)^*$ . In fact, we have that

$$\{0\} \neq i_1(M) \subseteq P(T_k(M)) \quad \text{and} \quad \{0\} \neq j_1(M) \subseteq P(S_k(M)).$$

Thus, in view of Theorem 5.28, both  $T_k(M)$  and  $S_k(M)$  can never be cosemisimple.

## 6.4 Enveloping Algebra of a Lie Algebra.

Let us recall the following definition.

**Definition 6.9.** A Lie algebra over a field  $k$  is a couple  $(L, [ , ])$  where

- $L$  is a  $k$ -vector space
- $[ , ] : L \times L \rightarrow L$  is a map such that
  - 1)  $[ , ]$  is  $k$ -bilinear.
  - 2)  $[x, x] = 0$  for every  $x \in L$ .
  - 3)  $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$  for every  $x, y, z \in L$ . (Jacobi's Identity)

**Remark 6.10.**  $[ , ]$  is, in general, non associative.

**Lemma 6.11.** Let  $[ , ] : L \times L \rightarrow L$  be a  $k$ -bilinear map. Then, if  $[ , ]$  fulfills 2) then it also fulfills

2')  $[x, y] = -[y, x]$  for every  $x, y \in L$ .

If  $\text{char}(k) \neq 2$ , then 2) and 2') are equivalent.

*Proof.* Let  $x, y \in L$ . Then, by 2) and in view of the bilinearity of  $[\cdot, \cdot]$ , we have

$$0 = [x + y, x + y] = [x, x] + [x, y] + [y, x] + [y, y] = [x, y] + [y, x]$$

from which we deduce 2'). Conversely, assume that 2') holds and  $\text{char}(k) \neq 2$ . Then from

$$[x, x] = -[x, x]$$

we deduce that

$$2[x, x] = 0 \quad \text{and hence, since } \text{char}(k) \neq 2, \text{ that } [x, x] = 0 \text{ for every } x \in L.$$

□

**Example 6.12. 1)** Let  $A$  be any  $k$ -algebra and let us consider the Lie algebra  $A^- = (A, [\cdot, \cdot])$  where  $[\cdot, \cdot]$  is defined by setting

$$[x, y] = x \cdot_A y - y \cdot_A x \quad \text{for every } x, y \in A.$$

In fact we have

$$\begin{aligned} [x, [y, z]] + [y, [z, x]] + [z, [x, y]] &= x \cdot_A [y \cdot_A z - z \cdot_A y] - [y \cdot_A z - z \cdot_A y] \cdot_A x \\ &\quad + y \cdot_A [z \cdot_A x - x \cdot_A z] - [z \cdot_A x - x \cdot_A z] \cdot_A y \\ &\quad + z \cdot_A [x \cdot_A y - y \cdot_A x] - [x \cdot_A y - y \cdot_A x] \cdot_A z \\ &= 0 \end{aligned}$$

In particular, for  $A = \text{End}_k(V)$ , where  $V$  is a  $k$ -vector space, we have that  $A^-$  is denoted by  $\mathfrak{gl}(V)$  and is called general linear algebra. If  $n \in \mathbb{N}, n \geq 1$ , for  $A = M_n(k)$ ,  $A^-$  is denoted by  $\mathfrak{gl}_n(k)$ . Let  $e_{i,j}$  be the  $n \times n$  matrix having  $1_k$  in the  $(i, j)$  entry and  $0_k$  elsewhere. Then  $e_{i,j} \cdot e_{s,t} = \delta_{j,s} e_{i,t}$  and hence

$$[e_{i,j}, e_{s,t}] = \delta_{j,s} e_{i,t} - \delta_{t,i} e_{s,j}.$$

2) Let  $\mathfrak{sl}_n(k)$  be the set of  $n \times n$  matrices having trace  $0_k$ . Given two  $n \times n$  matrices  $a, b$ , we know that  $\text{Tr}(ab) = \text{Tr}(ba)$  and  $\text{Tr}(a + b) = \text{Tr}(a) + \text{Tr}(b)$ . Hence  $\mathfrak{gl}_n(k)$  induces a Lie algebra structure on  $\mathfrak{sl}_n(k)$ . This Lie algebra is called the special linear algebra.

3) Let  $n = 2m$  and let

$$s = \begin{pmatrix} 0 & I_m \\ -I_m & 0 \end{pmatrix}$$

where  $I_m$  is the identity matrix in  $M_m(k)$ . Let

$$\mathfrak{sp}_n(k) = \{x \in M_n(k) \mid sx = -x^t s\}$$

where  $x^t$  denotes the transpose of the matrix  $x$ . It is easy to show that  $\mathfrak{sp}_n(k) \subseteq \mathfrak{sl}_n(k)$  and that  $\mathfrak{sl}_n(k)$  induces a Lie algebra structure on  $\mathfrak{sp}_n(k)$ . This Lie algebra is called the symplectic algebra.

**Proposition 6.13.** *Let  $(L, [ , ])$  be a Lie algebra over  $k$  and let  $I$  be the ideal of the tensor algebra  $T = T_k(L)$  generated by all the elements of the form*

$$i_1([x, y]) - i_2(x \otimes y - y \otimes x) \quad \text{where } x, y \in L.$$

*Then  $I$  is a Hopf ideal of  $T$ .*

*Proof.* Set  $l_{x,y} = i_1([x, y]) - i_2(x \otimes y - y \otimes x)$ . For every  $x, y \in L$ , we compute

$$\begin{aligned} \Delta_T(l_{x,y}) &= \Delta_T(i_1([x, y])) - \Delta_T(i_1(x)) \cdot \Delta_T(i_1(y)) + \Delta_T(i_1(y)) \cdot \Delta_T(i_1(x)) = \\ &= [i_1([x, y]) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1([x, y])] + \\ &\quad - [i_1(x) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(x)] [i_1(y) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(y)] + \\ &\quad + [i_1(y) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(y)] [i_1(x) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1(x)] = \\ &= [i_1([x, y]) \bar{\otimes} i_0(1_k) + i_0(1_k) \bar{\otimes} i_1([x, y])] + [-i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)] \bar{\otimes} i_0(1_k) + \\ &\quad + i_0(1_k) \bar{\otimes} [-i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)] = \\ &= [i_1([x, y]) - i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)] \bar{\otimes} i_0(1_k) + \\ &\quad + i_0(1_k) \bar{\otimes} [i_1([x, y]) - i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)] \in I \bar{\otimes} T + T \bar{\otimes} I. \end{aligned}$$

We calculate also

$$\begin{aligned} \varepsilon_T(l_{x,y}) &= \varepsilon_T(i_1([x, y]) - i_2(x \otimes y - y \otimes x)) \\ &= \varepsilon_T[i_1([x, y]) - i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)] \\ &= \varepsilon_T[i_1([x, y])] - [\varepsilon_T \circ i_1(x)] [\varepsilon_T \circ i_1(y)] + [\varepsilon_T \circ i_1(y)] [\varepsilon_T \circ i_1(x)] = 0 \end{aligned}$$

and

$$\begin{aligned} S_T(l_{x,y}) &= S_T(i_1([x, y]) - i_1(x) \cdot_T i_1(y) + i_1(y) \cdot_T i_1(x)) \\ &= [S_T \circ i_1([x, y])] - [S_T \circ i_1(y)] \cdot_T [S_T \circ i_1(x)] + [S_T \circ i_1(x)] \cdot_T [S_T \circ i_1(y)] \\ &= -i_1([x, y]) + [i_1(y)] \cdot_T [-i_1(x)] + [-i_1(x)] \cdot_T [-i_1(y)] \\ &= -i_1([x, y]) - i_1(y) \cdot_T i_1(x) + i_1(x) \cdot_T i_1(y) = -l_{x,y} \in I. \end{aligned}$$

□

**Definition 6.14.** *Let  $(L, [ , ])$  be a Lie algebra over  $k$ . The enveloping algebra of  $L$  is the quotient algebra  $U(L)$  of the tensor algebra  $T = T_k(L)$  modulo the ideal  $I$  generated by all the elements of the form*

$$i_1([x, y]) - i_2(x \otimes y - y \otimes x) \quad \text{where } x, y \in L.$$

**Definition 6.15.** *Let  $(L, [ , ])$  and  $(L', [ , ]')$  be Lie algebras over  $k$ . A  $k$ -linear map  $f : L \rightarrow L'$  is called a morphism of Lie algebras if*

$$f([x, y]) = [f(x), f(y)]' \quad \text{for every } x, y \in L.$$

**Theorem 6.16.** *Let  $(L, [ , ])$  be a Lie algebra over  $k$ . Then the tensor algebra  $T_k(L)$  induces a Hopf algebra structure on  $U(L)$ .*

**Theorem 6.17.** (*Universal Property of  $U(L)$* ) Let  $(L, [\cdot, \cdot])$  be a Lie algebra over  $k$  and let  $A$  be a  $k$ -algebra. Given a morphism of Lie algebras  $f : L \rightarrow A^-$  then there exists a unique morphism of algebras  $\widehat{f} : U(L) \rightarrow A$  such that  $\widehat{f} \circ j_L = f$ . Here  $j_L : L \rightarrow U(L)$  denotes the canonical map.

*Proof.* By the universality property of the tensor algebra there exists a unique homomorphism of  $k$ -algebras  $\widetilde{f} : T_k(L) \rightarrow A$  such that  $\widetilde{f} \circ i_0 = \text{Id}_k$  and  $\widetilde{f} \circ i_1 = f$ . Now,  $U(L) = \frac{T_k(L)}{I}$  where  $I$  is the two-sided ideal of  $T_k(L)$  generated by  $i_1([x, y]) - i_2(x \otimes y - y \otimes x)$ . We have to prove that  $\widetilde{f}(I) = \{0\}$ . Let us compute

$$\begin{aligned} \widetilde{f}(i_1([x, y]) - i_2(x \otimes y - y \otimes x)) &= \widetilde{f}(i_1([x, y])) - \left( \widetilde{f}(i_1(x) i_1(y) - i_1(y) i_1(x)) \right) \\ &= f([x, y]) - \left( \widetilde{f}(i_1(x)) \widetilde{f}(i_1(y)) - \widetilde{f}(i_1(y)) \widetilde{f}(i_1(x)) \right) \\ &= f([x, y]) - (f(x) f(y) - f(y) f(x)) \\ &= f([x, y]) - [f(x), f(y)]^{A^-} = 0 \end{aligned}$$

so that there exists  $\widehat{f} : \frac{T_k(L)}{I} = U(L) \rightarrow A$  such that  $\widehat{f} \circ \pi = \widetilde{f}$  where  $\pi : T_k(L) \rightarrow \frac{T_k(L)}{I} = U(L)$ . Then  $\widehat{f} \circ j_L = \widehat{f} \circ \pi \circ i_1 = \widetilde{f} \circ i_1 = f$ . Assume that there exists another homomorphism of  $k$ -algebras  $g : U(L) \rightarrow A$  such that  $g \circ j_L = f$ . Then  $g \circ \pi \circ i_1 = g \circ j_L = f$  and  $g \circ \pi \circ i_0 = \text{Id}_k$  so that, by uniqueness of  $\widetilde{f}$ ,  $g \circ \pi = \widetilde{f} = \widehat{f} \circ \pi$ . Since  $\pi$  is surjective we deduce that  $g = \widehat{f}$ .  $\square$

## 6.5 The Taft Algebra

**Lemma 6.18.** Let  $q \in k$ . Let  $A$  be a  $k$ -algebra and  $a, b \in A$  such that  $ba = qab$ . Then

$$(6.5) \quad b^j a^i = q^{ij} a^i b^j \text{ for every } i, j \in \mathbb{N}.$$

*Proof.* First of all, let us prove that, for every  $i \in \mathbb{N}$ ,

$$(6.6) \quad ba^i = q^i a^i b.$$

We proceed by induction on  $i$ . For  $i = 0$  there is nothing to prove. Let us assume that the statement holds for some  $i \in \mathbb{N}$  and let us prove it for  $i + 1$ . Let us compute

$$ba^{i+1} = (ba^i) a \stackrel{\text{indhyp}}{=} (q^i a^i b) a = (q^i a^i) ba = (q^i a^i) qab = q^{i+1} a^{i+1} b.$$

Let us fix  $i \in \mathbb{N}$  and let us prove the statement by induction on  $j$ . For  $j = 0$  there is nothing to prove. Let us assume that the statement holds for some  $j \in \mathbb{N}$  and let us prove it for  $j + 1$ . Let us compute

$$b^{j+1} a^i = b (b^j a^i) \stackrel{\text{indhyp}}{=} b (q^{ij} a^i b^j) = q^{ij} (ba^i b^j) \stackrel{(6.6)}{=} q^{ij} (q^i a^i b) b^j = q^{i+j} a^i b^{j+1} = q^{i(j+1)} a^i b^{j+1}.$$

$\square$

**Lemma 6.19.** *Let  $q \in k$ . For every  $n \in \mathbb{N}, n \geq 2$ , let*

$$c_{n,r} = \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r \leq n-r} q^{m_1+m_2+\dots+m_r} \text{ for every } r \in \mathbb{N}, 1 \leq r \leq n-1 \text{ and let } c_{n,n} = 1.$$

Then

$$(6.7) \quad c_{n+1,1} = 1 + q + \dots + q^n = (c_{n,1} + q^n)$$

$$(6.8) \quad c_{n+1,r} = c_{n,r} + c_{n,r-1}q^{n+1-r} \text{ for } r = 2, \dots, n-1$$

$$(6.9) \quad c_{n+1,n} = \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_n \leq 1} q^{m_1+m_2+\dots+m_n} = 1 + q + \dots + q^n = 1 + q(c_{n,n-1}).$$

*Proof.* We have

$$c_{2,1} = \sum_{0 \leq m_1 \leq 1} q^{m_1} = 1 + q.$$

$$c_{3,1} = \sum_{0 \leq m_1 \leq 2} q^{m_1} = 1 + q + q^2 = (c_{2,1} + q^2).$$

Let us assume that, for some  $n \geq 3$ , (6.7) holds and let us prove it for  $n+1$ . We compute

$$c_{n+2,1} = \sum_{0 \leq m_1 \leq n+1} q^{m_1} = \sum_{0 \leq m_1 \leq n} q^{m_1} + q^{n+1} = c_{n+1,1} + q^n = 1 + q + \dots + q^n + q^{n+1}.$$

Let us compute, for  $r = 2, \dots, n-1$ ,

$$\begin{aligned} c_{n+1,r} &= \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r \leq n+1-r} q^{m_1+m_2+\dots+m_r} \\ &= \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r \leq n-r} q^{m_1+m_2+\dots+m_r} + \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r = n+1-r} q^{m_1+m_2+\dots+m_r} \\ &= c_{n,r} + q^{n+1-r} \cdot \left( \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_{r-1} \leq n+1-r} q^{m_1+m_2+\dots+m_{r-1}} \right) = c_{n,r} + c_{n,r-1}q^{n+1-r} \end{aligned}$$

and hence (6.8) is proved. Let us compute

$$\begin{aligned} c_{3,2} &= \sum_{0 \leq m_1 \leq m_2 \leq 1} q^{m_1+m_2} = \sum_{0 \leq m_1 \leq m_2 \leq 0} q^{m_1+m_2} + \sum_{0 \leq m_1 \leq m_2 = 1} q^{m_1+m_2} = 1 + q \cdot \left( \sum_{0 \leq m_1 \leq 1} q^{m_1} \right) \\ &= 1 + q(1 + q) = 1 + q + q^2. \end{aligned}$$

Assume now that (6.9) holds for some  $n \geq 2$  and let us prove it for  $n+1$ . Then

$$\begin{aligned} c_{n+2,n+1} &= \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_{n+1} \leq 1} q^{m_1+m_2+\dots+m_{n+1}} \\ &= \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_{n+1} \leq 0} q^{m_1+m_2+\dots+m_{n+1}} + \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_{n+1} = 1} q^{m_1+m_2+\dots+m_{n+1}} \\ &= q^0 + q \cdot \left( \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_n \leq 1} q^{m_1+m_2+\dots+m_n} \right) \\ &= 1 + q(1 + q + \dots + q^n) = 1 + q + \dots + q^{n+1}. \end{aligned}$$



□

**Proposition 6.20.** *Let  $q \in k$ , let  $A$  be a  $k$ -algebra and  $a, b \in A$  such that  $ba = qab$ . Then*

$$(a + b)^n = a^n + \sum_{r=1}^{n-1} c_{n,r} a^{n-r} b^r + b^n$$

where

$$c_{n,r} = \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r \leq n-r} q^{m_1 + m_2 + \dots + m_r} \quad \text{for every } n, r \in \mathbb{N}, n \geq 2, 1 \leq r \leq n-1.$$

*Proof.* For  $n = 2$  we have

$$(a + b)^2 = a^2 + ab + ba + b^2 = a^2 + (1 + q)ab + b^2$$

Since  $c_{2,1} = 1 + q$ , we obtain  $(a + b)^2 = a^2 + c_{2,1}ab + b^2$ .

Let us assume that the statement holds for some  $n \in \mathbb{N}, n \geq 2$  and let us prove it for  $n + 1$ . We have

$$\begin{aligned} (a + b)^{n+1} &= (a + b) \left[ a^n + \sum_{r=1}^{n-1} c_{n,r} a^{n-r} b^r + b^n \right] \\ &= a^{n+1} + \sum_{r=1}^{n-1} c_{n,r} a^{(n+1)-r} b^r + ab^n + ba^n + \sum_{r=1}^{n-1} c_{n,r} ba^{n-r} b^r + b^{n+1}. \end{aligned}$$

Now we compute

$$\sum_{r=1}^{n-1} c_{n,r} ba^{n-r} b^r \stackrel{(6.5)}{=} \sum_{r=1}^{n-1} c_{n,r} q^{n-r} a^{n-r} b^{r+1} = \sum_{s=2}^n c_{n,s-1} q^{n+1-s} a^{n+1-s} b^s$$

so that we get

$$(a + b)^{n+1} = a^{n+1} + \sum_{r=1}^{n-1} c_{n,r} a^{(n+1)-r} b^r + ab^n + q^n a^n b + \sum_{s=2}^n c_{n,s-1} q^{n+1-s} a^{n+1-s} b^s + b^{n+1}$$

Now we calculate

$$\begin{aligned}
& \sum_{r=1}^{n-1} c_{n,r} a^{(n+1)-r} b^r + ab^n + q^n a^n b + \sum_{s=2}^n c_{n,s-1} q^{n+1-s} a^{n+1-s} b^s = \\
&= (c_{n,1} + q^n) a^n b + \sum_{r=2}^{n-1} c_{n,r} a^{(n+1)-r} b^r + ab^n + \sum_{s=2}^{n-1} c_{n,s-1} q^{n+1-s} a^{n+1-s} b^s + c_{n,n-1} q a b^n \\
&= (c_{n,1} + q^n) a^n b + \sum_{r=2}^{n-1} (c_{n,r} + c_{n,r-1} q^{n+1-r}) a^{(n+1)-r} b^r + (1 + c_{n,n-1} q) a b^n \\
&\stackrel{(6.7),(6.8),(6.9)}{=} c_{n+1,1} a^n b + \sum_{r=2}^{n-1} c_{n+1,r} a^{(n+1)-r} b^r + c_{n+1,n} a b^n \\
&= \sum_{t=1}^n c_{n+1,t} a^{(n+1)-t} b^t
\end{aligned}$$

so that we get

$$(a+b)^{n+1} = a^{n+1} + \sum_{t=1}^n c_{n+1,t} a^{(n+1)-t} b^t + b^{n+1}.$$

□

**Proposition 6.21.** *Let  $n \in \mathbb{N}, n \geq 2$  and let  $q \in k$  be a primitive  $n$ -th root of unity. Then  $c_{n,r} = 0$  for every  $n, r \in \mathbb{N}, n \geq 2, 1 \leq r \leq n-1$ .*

*Proof.* We have

$$c_{n,1} = 1 + q + \dots + q^{n-1} = 0.$$

Assume that the statement holds for some  $n \in \mathbb{N}, n \geq 2$ , and every  $r \in \mathbb{N}, 1 \leq r \leq n-1$  and let us prove it for  $n+1$ . In view of formula (6.8), we have

$$c_{n+1,r} = c_{n,r} + c_{n,r-1} q^{n+1-r} \text{ for } r = 2, \dots, n-1$$

so that, in view of the induction assumption we obtain  $c_{n+1,r} = 0$  for every  $r = 2, \dots, n-1$ . Now we calculate

$$c_{n+1,n} \stackrel{6.9}{=} 1 + q + \dots + q^n = 0 \text{ since } q \text{ is a primitive } n+1\text{-th root of unity.}$$

□

**Corollary 6.22.** *Let  $n \in \mathbb{N}, n \geq 2$  and let  $q \in k$  be a primitive  $n$ -th root of unity. Let  $A$  be a  $k$ -algebra and  $a, b \in A$  such that  $ba = qab$ . Then*

$$(a+b)^n = a^n + b^n \text{ for every } n \in \mathbb{N}.$$

**Proposition 6.23.** *Let  $q \in k$ , let  $A$  be a  $k$ -algebra and  $a, b \in A$  such that  $ba = qab$ . Then*

$$(6.10) \quad (ba)^n = q^{t_n} a^n b^n \text{ for every } n \in \mathbb{N}, n \geq 1.$$

where

$$t_n = \sum_{i=1}^n i = \frac{n(n+1)}{2}.$$

*Proof.* Let us proceed by induction on  $n \in \mathbb{N}, n \geq 1$ . For  $n = 1$  there is nothing to prove. Let us assume that the statement holds for some  $n \in \mathbb{N}$  and let us prove it for  $n + 1$ .

$$(ba)^{n+1} = ba (ba)^n \stackrel{\text{indhyp}}{=} q^{t_n} (ba) (a^n b^n) = q^t (ba^{n+1}) b^n \stackrel{(6.5)}{=} q^{t_n} q^{n+1} a^{n+1} b^{n+1} = q^{t_{n+1}} a^{n+1} b^{n+1}.$$

□

**Lemma 6.24.** *Let  $A$  be a  $k$ -algebra. Assume that  $a, x, y \in A$  and that  $\Delta : A \rightarrow A \otimes A$  is a linear map such that*

$$\Delta(x) = x \otimes x, \quad \Delta(y) = y \otimes y \quad \text{and} \quad \Delta(a) = a \otimes x + y \otimes a$$

Then

$$\begin{aligned} [(\Delta \otimes A) \circ \Delta](x) &= [(A \otimes \Delta) \circ \Delta](x) & [(\Delta \otimes A) \circ \Delta](y) &= [(A \otimes \Delta) \circ \Delta](y) \\ \text{and } [(\Delta \otimes A) \circ \Delta](a) &= [(A \otimes \Delta) \circ \Delta](a). \end{aligned}$$

Moreover if  $\varepsilon : A \rightarrow k$  is such that  $\varepsilon(x) = \varepsilon(y) = 1$  and  $\varepsilon(a) = 0$  then

$$(l \circ (\varepsilon \otimes T) \circ \Delta)(x) = x \quad \text{and} \quad (l \circ (\varepsilon \otimes A) \circ \Delta)(a) = a$$

A similar result holds on the other side.

*Proof.* Clearly  $[(\Delta \otimes A) \circ \Delta](x) = x \otimes x \otimes x = [(A \otimes \Delta) \circ \Delta](x)$ . The same holds for  $y$ . We compute

$$\begin{aligned} [(\Delta \otimes A) \circ \Delta](a) &= (\Delta \otimes A)(a \otimes x + y \otimes a) = \Delta(a) \otimes x + \Delta(y) \otimes a \\ &= a \otimes x \otimes x + y \otimes a \otimes x + y \otimes y \otimes a \\ [(A \otimes \Delta) \circ \Delta](a) &= (A \otimes \Delta)(a \otimes x + y \otimes a) = a \otimes \Delta(x) + y \otimes \Delta(a) \\ &= a \otimes x \otimes x + y \otimes a \otimes x + y \otimes y \otimes a \end{aligned}$$

We compute

$$(l_A \circ (\varepsilon \otimes A) \circ \Delta)(a) = (l_A \circ (\varepsilon \otimes A))(a \otimes x + y \otimes a) = l_A(\varepsilon(a) \otimes x + \varepsilon(y) \otimes a) = a.$$

□

Let  $n \in \mathbb{N}, n \geq 2$  and let  $q \in k$  be a primitive  $n$ -th root of unity. Using the universal property of the tensor algebra, we define on the algebra  $R = k\{X, Y\}$  an algebra homomorphism

$$\Delta_R : R \rightarrow R \otimes R$$

by setting

$$\Delta_R(X) = X \otimes X \quad \text{and} \quad \Delta_R(Y) = Y \otimes X + 1 \otimes Y.$$

Then by Lemma 6.24 we have that

$$[(\Delta_R \otimes R) \circ \Delta_R](X) = X \otimes X \otimes X = [(R \otimes \Delta_R) \circ \Delta_R](X)$$

and

$$[(\Delta_R \otimes R) \circ \Delta_R](Y) = [(R \otimes \Delta_R) \circ \Delta_R](Y)$$

so that we get

$$(\Delta_R \otimes R) \circ \Delta_R = (R \otimes \Delta_R) \circ \Delta_R.$$

Using again the universal property of the tensor algebra we define an algebra homomorphism

$$\varepsilon_R : R \rightarrow k$$

by setting

$$\varepsilon_R(X) = 1 \quad \text{and} \quad \varepsilon_R(Y) = 0.$$

By Lemma 6.24, we get

$$l_R \circ (\varepsilon_R \otimes T) \circ \Delta_R = \text{Id}_R \quad \text{and} \quad r_R \circ (T \otimes \varepsilon_R) \circ \Delta_R = \text{Id}_R$$

Hence  $(R, \Delta_R, \varepsilon_R)$  is a bialgebra. Let now  $I$  be the two-sided ideal  $I$  of  $R$  spanned by the elements  $X^n - 1, Y^n, YX - qXY$ .  $I$  is a bi-ideal of  $R$  i.e.  $\Delta_R(I) \subseteq I \otimes R + R \otimes I$  and  $\varepsilon_R(I) = \{0\}$ . Let  $p = p_I : R \rightarrow R/I$  be the canonical projection. To prove that  $\Delta_R(I) \subseteq I \otimes R + R \otimes I$  we can equivalently prove that  $(p \otimes p) \circ \Delta_R = 0$ . Let  $x = X + I$  and  $y = Y + I$ , they fulfill the relations

$$x^n = 1, y^n = 0, yx = qxy.$$

Let us compute

$$\begin{aligned} [(p \otimes p) \circ \Delta_R](X^n - 1_R) &= (p \otimes p)[\Delta_R(X)^n - \Delta_R(1_R)] \\ &= (p \otimes p)(X^n \otimes X^n) - (p \otimes p)(1_R \otimes 1_R) \\ &= (1_R + I) \otimes (1_R + I) - (1_R + I) \otimes (1_R + I) = 0. \end{aligned}$$

We have

$$(y \otimes x)(1 \otimes y) = (y \otimes xy) \quad \text{and} \quad (1 \otimes y)(y \otimes x) = y \otimes yx = y \otimes qxy = q(y \otimes xy).$$

Set

$$a = y \otimes x \quad \text{and} \quad b = 1 \otimes y. \quad \text{Then we obtained that } ba = qba.$$

Hence, by Corollary 6.22 we have that

$$(a + b)^n = a^n + b^n$$

and hence we obtain

$$\begin{aligned} [(p \otimes p) \circ \Delta_R](Y^n) &= [[(p \otimes p) \circ \Delta_R](Y)]^n = [p(Y) \otimes p(X) + p(1) \otimes p(Y)]^n \\ &= [p(Y) \otimes p(X)]^n + [p(1) \otimes p(Y)]^n = p(Y^n) \otimes p(X^n) + p(1) \otimes p(Y^n) \\ &= 0. \end{aligned}$$

Now let us calculate

$$\begin{aligned} [(p \otimes p) \circ \Delta_R](YX - qXY) &= (p \otimes p)(\Delta_R(Y) \Delta_R(X) - q \Delta_R(X) \Delta_R(Y)) \\ &= (p \otimes p)((Y \otimes X + 1 \otimes Y)(X \otimes X) - q(X \otimes X)(Y \otimes X + 1 \otimes Y)) \\ &= (p \otimes p)(YX \otimes X^2 + X \otimes YX - q(XY \otimes X^2 + X \otimes XY)) \\ &= yx \otimes x^2 + x \otimes yx - q(xy \otimes x^2 + x \otimes xy) \\ &= qxy \otimes x^2 + qx \otimes xy - q(xy \otimes x^2 + x \otimes xy) = 0. \end{aligned}$$

Let us compute

$$\begin{aligned} \varepsilon_R(X^n - 1) &= \varepsilon_R(X)^n - 1 = 1^n - 1 = 0 \\ \varepsilon_R(Y^n) &= \varepsilon_R(Y)^n = 0 \\ \varepsilon_R(YX - qXY) &= \varepsilon_R(Y) \varepsilon_R(X) - q \varepsilon_R(X) \varepsilon_R(Y) = 0. \end{aligned}$$

Thus  $I$  is a bi-ideal of  $R$ . Let us use the universal property of  $R$  to define an algebra homomorphism  $S : R \rightarrow R^{op}$  such that

$$S(X) = X^{n-1} \text{ and } S(Y) = -q^{-1}X^{n-1}Y.$$

Let us prove that  $S(I) \subseteq I$  or equivalently that  $p \circ S(I) = 0$ . We compute

$$(p \circ S)(X^n - 1_R) = p((X^n)^{n-1} - 1) = (x^n)^{n-1} - 1 = 1 - 1 = 0.$$

Note that, by (6.5), we have  $(x^{n-1})y = q^{-n+1}yx^{n-1}$ . Thus, by applying (6.10) where  $b = x^{n-1}$ ,  $a = y$  we obtain  $(ba)^n = (q^{-n+1})^{tn} a^n b^n$  for every  $n \in \mathbb{N}$ ,  $n \geq 1$  which means that

$$(6.11) \quad (x^{n-1}y)^n = (q^{-n+1})^{tn} y^n (x^{n-1})^n = 0.$$

Now we compute

$$(p \circ S)(Y^n) = [(p \circ S)(Y)]^n = [-q^{-1}x^{n-1}y]^n = (-1)^n q^{-n} (x^{n-1}y)^n \stackrel{(6.11)}{=} 0.$$

Let us calculate

$$\begin{aligned} (p \circ S)(YX - qXY) &= p(X^{n-1} \cdot [-q^{-1}X^{n-1}Y] - q(-q^{-1}X^{n-1}Y) \cdot X^{n-1}) \\ &\stackrel{(6.5)}{=} -q^{-1}x^{n-1}x^{n-1}y + q^{n-1}x^{n-1}x^{n-1}y \\ &= 0. \end{aligned}$$

Now we have that  $yx = qxy$  so that, by (6.5) we have  $y^j x^i = q^{ij} x^i y^j$  for every  $i, j \in \mathbb{N}$ . In particular  $yx^{n-1} = q^{n-1} x^{n-1} y$  so that

$$-q^{-1} x^{n-1} x^{n-1} y + x^{n-1} y x^{n-1} = (-q^{-1} + q^{n-1}) (x^{n-1} x^{n-1} y) = 0.$$

Hence  $S$  induces an algebra homomorphism  $S_{R/I} : R/I \rightarrow (R/I)^{op}$  such that

$$S_{R/I}(x) = x^{n-1} \text{ and } S_{R/I}(y) = -q^{-1} x^{n-1} y.$$

Let us check that  $S_{R/I}$  is an antipode for the bialgebra  $R/I$ . By Lemma 6.2 it is enough to check this on  $x$  and  $y$ . Thus we compute

$$\begin{aligned} (S_{R/I} * \text{Id}_{R/I})(x) &= S_{R/I}(x) \cdot x = x^{n-1} \cdot x = x^n = 1 = (u_{R/I} \circ \varepsilon_{R/I})(x) \text{ and} \\ (S_{R/I} * \text{Id}_{R/I})(y) &= S_{R/I}(y) x + S_{R/I}(1) y = -q^{-1} x^{n-1} y x + y = -q^{-1} x^{n-1} q x y + y = -x^n y + y \\ &= -y + y = 0 = (u_{R/I} \circ \varepsilon_{R/I})(y). \end{aligned}$$

A similar computation shows that  $\text{Id}_{R/I} * S_{R/I} = u_{R/I} \circ \varepsilon_{R/I}$ .

The Hopf algebra  $R/I$  is called the Taft algebra and denoted by  $H_{n^2}(q)$ . We list here its main properties.

$H_{n^2}(q)$  is generated by the elements  $x$  and  $y$  which fulfill the relations:

$$x^n = 1, y^n = 0, xy = qyx.$$

We have

$$\begin{aligned} \Delta(x) &= x \otimes x, \varepsilon(x) = 1 \\ \Delta(y) &= y \otimes x + 1 \otimes y, \varepsilon(y) = 0 \\ S(x) &= x^{n-1}, S(y) = -q^{-1} x^{n-1} y. \end{aligned}$$

For  $n = 2$  the Taft algebra is also called Sweedler's 4-dimensional Hopf algebra. It was the first example of a noncommutative noncocommutative Hopf Algebra.

## 6.6 The divided power Hopf algebra

In Example 1) of 1.12, we have seen that on a vector space  $L$  over  $k$  with a basis  $e_i, i \in \mathbb{N}$ , one can define the so called divided power coalgebra by setting

$$\Delta(e_i) = \sum_{i+j=n} e_i \otimes e_j \text{ and } \varepsilon(e_i) = \delta_{i,0}.$$

Assume that  $\text{char}(k) = 0$  and let us define an algebra structure on  $L$  by setting

$$e_m \cdot e_n = \binom{m+n}{m} e_{m+n}.$$

We compute

$$\begin{aligned} e_s \cdot (e_m \cdot e_n) &= \binom{m+n}{m} e_s \cdot e_{m+n} = \binom{m+n}{m} \binom{s+m+n}{s} e_{s+m+n} \\ (e_s \cdot e_m) \cdot e_n &= \binom{s+m}{s} e_{s+m} \cdot e_n = \binom{s+m}{s} \binom{s+m+n}{s+m} e_{s+m+n}. \end{aligned}$$

Since

$$\begin{aligned} \binom{m+n}{m} \binom{s+m+n}{m+n} &= \frac{(m+n)! (s+m+n)!}{m!n! s! (m+n)!} = \frac{(s+m+n)!}{m!n!s!} \\ \binom{s+m}{s} \binom{s+m+n}{s+m} &= \frac{(s+m)! (s+m+n)!}{s!m! (s+m)!n!} = \frac{(s+m+n)!}{m!n!s!} \end{aligned}$$

we deduce that the product is associative and the unit of the ring is  $1_L = e_0$ . Let us prove that  $L$  is a bialgebra. Let us compute

$$\begin{aligned} \Delta(e_m \cdot e_n) &= \Delta\left(\binom{m+n}{m} e_{m+n}\right) = \binom{m+n}{m} \sum_{t+s=m+n} e_t \otimes e_s \\ \Delta(e_m) \Delta(e_n) &= \left(\sum_{i+j=m} e_i \otimes e_j\right) \left(\sum_{a+b=n} e_a \otimes e_b\right) = \sum_{\substack{i+j=m \\ a+b=n}} (e_i \cdot e_a) \otimes (e_j \cdot e_b) \\ &= \sum_{\substack{i+j=m \\ a+b=n}} \binom{i+a}{i} \binom{j+b}{j} e_{i+a} \otimes e_{j+b} = \sum_{\substack{i+j=m \\ a+b=n}} \binom{i+a}{a} \binom{j+b}{b} e_t \otimes e_s \\ &= \sum_{\substack{t+s=m+n \\ i+j=m}} \binom{t}{t-i} \binom{s}{s-j} e_t \otimes e_s \end{aligned}$$

Since we have

$$\begin{aligned} \binom{t}{t-i} \binom{s}{s-j} &= \frac{t!}{i! (t-i)!} \frac{s!}{j! (s-j)!} = \frac{t!}{i! (t-i)!} \frac{(m+n-t)!}{j! (m+n-t-j)!} \\ &= \frac{(m+n)!}{i! (t-i)! (m-i)! (n-(t-i))!} = \frac{(m+n)!}{m!n!} \end{aligned}$$

we deduce that

$$\Delta(e_m \cdot e_n) = \Delta(e_m) \Delta(e_n).$$

Moreover we have

$$\Delta(1_L) = \Delta(e_0) = e_0 \otimes e_0 = 1_L \otimes 1_L.$$

$$\begin{aligned} \varepsilon(e_m \cdot e_n) &= \binom{m+n}{m} \varepsilon(e_{m+n}) = \binom{m+n}{m} \delta_{m+n,0} = \binom{m+n}{m} \delta_{m,0} \delta_{n,0} = \varepsilon(e_m) \varepsilon(e_n) \\ \varepsilon(1_L) &= \varepsilon(e_0) = 1_k. \end{aligned}$$

Let us define  $S : L \rightarrow L$  recursively by setting

$$S(e_0) = S(1_L) = 1_L$$

and

$$S(e_n) = - \sum_{0 \leq a \leq n-1} S(e_a) e_{n-a}.$$

Let us check that  $S$  is an antipode for the bialgebra  $L$ . By Lemma 6.2 it is enough to check this on each  $e_n$ . We proceed by induction on  $n$ . Let us compute

$$(S * \text{Id}_L)(e_0) = S(e_0) e_0 = 1_L = u_L \varepsilon_L(1_L) = u_L \varepsilon_L(e_0).$$

Let us assume that the statement holds for some  $n \in \mathbb{N}$  and let us prove it for  $n+1$ .

$$\begin{aligned} (S * \text{Id}_L)(e_{n+1}) &= \sum_{0 \leq a \leq n+1} S(e_a) e_{n+1-a} = S(e_{n+1}) e_0 + \sum_{0 \leq a \leq n} S(e_a) e_{n+1-a} \\ &= \left( - \sum_{0 \leq a \leq n} S(e_a) e_{n+1-a} \right) 1_L + \sum_{0 \leq a \leq n} S(e_a) e_{n+1-a} = 0. \end{aligned}$$

## 6.7 More Examples

Using the universal property of the tensor algebra, we define on the algebra  $R = k\{X, Y\}$  an algebra homomorphism

$$\Delta_R : R \rightarrow R \otimes R$$

by setting

$$\Delta_R(X) = X \otimes X \quad \text{and} \quad \Delta_R(Y) = Y \otimes 1 + X \otimes Y.$$

Using again the universal property of the tensor algebra we define an algebra homomorphism

$$\varepsilon_R : R \rightarrow k$$

by setting

$$\varepsilon_R(X) = 1 \quad \text{and} \quad \varepsilon_R(Y) = 0.$$

By Lemma 6.24, we get that  $(R, \Delta_R, \varepsilon_R)$  is a bialgebra. Let  $q \in k$ ,  $q \neq 0$  and let  $I$  be the two-sided ideal of  $R$  generated by  $XY - qYX$ . Let us prove that  $I$  is a bi-ideal of  $R$ . We compute

$$\begin{aligned} \Delta_R(XY - qYX) &= \Delta_R(X) \Delta_R(Y) - q \Delta_R(Y) \Delta_R(X) \\ &= (X \otimes X)(Y \otimes 1 + X \otimes Y) - q(Y \otimes 1 + X \otimes Y)(X \otimes X) \\ &= XY \otimes X1 + XX \otimes XY - qYX \otimes X - qXX \otimes YX \\ &= (XY - qYX) \otimes X + XX \otimes (XY - qYX) \end{aligned}$$



and

$$\varepsilon_R(XY - qYX) = \varepsilon_R(X)\varepsilon_R(Y) - q\varepsilon_R(Y)\varepsilon_R(X) = 0.$$

Therefore  $R/I$  is a bialgebra. This bialgebra is denoted by  $\mathcal{O}_q(k^2)$  and is called *quantum plane*. Let  $x = X + I$  and  $y = Y + I$ . Then  $\mathcal{O}_q(k^2)$  is generated by  $x$  and  $y$  which satisfy  $xy = qyx$ . Let  $\mathcal{O} = \mathcal{O}_q(k^2)$ . Then

$$\begin{aligned}\Delta_{\mathcal{O}}(x) &= x \otimes x, & \Delta_{\mathcal{O}}(y) &= y \otimes 1 + x \otimes y \\ \varepsilon_{\mathcal{O}}(x) &= 1, & \varepsilon_{\mathcal{O}}(y) &= 0.\end{aligned}$$

Let us consider  $\mathfrak{sl}_2(k)$  the set of  $2 \times 2$  matrices having trace  $0_k$ .

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

We compute

$$[e, f] = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = h$$

$$[h, e] = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} = 2e$$

$$[h, f] = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = -2f.$$

Then the enveloping algebra  $U(\mathfrak{sl}_2(k))$  is the quotient of the polynomial ring in noncommutative variables  $k\{E, F, K\}$  modulo the two-sided ideal  $I$  generated by

$$\begin{aligned}EF - FE - K \\ KE - EK - 2E \\ KF - FK + 2F\end{aligned}$$

For every  $x \in U(\mathfrak{sl}_2(k))$  we have that

$$\Delta(x) = x \otimes 1 + 1 \otimes x, \quad \varepsilon(x) = 0 \quad \text{and} \quad S(x) = -x.$$

Let us consider the polynomial ring in noncommutative variables  $R = k\{X, Y, Z, T\}$  and define on  $R$  a comultiplication  $\Delta$  and a counit  $\varepsilon$  by setting

$$\begin{aligned}\Delta(X) &= 1 \otimes X + X \otimes Z, & \varepsilon(X) &= 0 \\ \Delta(Y) &= T \otimes Y + Y \otimes 1, & \varepsilon(Y) &= 0 \\ \Delta(Z) &= Z \otimes Z, & \varepsilon(Z) &= 1 \\ \Delta(T) &= T \otimes T, & \varepsilon(T) &= 1.\end{aligned}$$

By Lemma 6.24, we get that  $R$  is a bialgebra. Let now  $q \in k, q \neq 0, q^2 \neq 1$  and let  $I$  be the two-sided ideal of  $R$  generated by

$$\begin{aligned} & ZT - 1, TZ - 1, \\ & XY - YX - \frac{Z - T}{q - q^{-1}} \\ & ZX - q^2 XZ \\ & ZY - q^{-2} YZ. \end{aligned}$$

Let us prove that  $I$  is a bi-ideal of  $R$ . Let  $p : R \rightarrow R/I$  be the canonical projection. We set  $E = p(X), F = p(Y), K = p(Z)$  and  $K' = p(T)$ . Then in  $R/I$  we have

$KK' = 1 = K'K$ , i.e.  $K$  is invertible and  $K'$  is its two-sided inverse

$$\begin{aligned} EF - FE &= \frac{K - K'}{q - q^{-1}} \\ KE &= q^2 EK \\ KF &= q^{-2} FK. \end{aligned}$$

We compute

$$(p \circ \Delta)(ZT - 1) = p[(Z \otimes Z)(T \otimes T) - 1 \otimes 1] = KK' \otimes K'K - 1 \otimes 1 = 0.$$

The computation for  $TZ - 1$  is similar.

$$\begin{aligned} & (p \circ \Delta) \left( XY - YX - \frac{Z - T}{q - q^{-1}} \right) \\ = & p \left[ (1 \otimes X + X \otimes Z)(T \otimes Y + Y \otimes 1) - (T \otimes Y + Y \otimes 1)(1 \otimes X + X \otimes Z) + \right. \\ & \left. - \frac{1}{q - q^{-1}}(Z \otimes Z) + \frac{1}{q - q^{-1}}(T \otimes T) \right] \\ = & (1 \otimes E + E \otimes K)(K' \otimes F + F \otimes 1) - (K' \otimes F + F \otimes 1)(1 \otimes E + E \otimes K) \\ & - \frac{1}{q - q^{-1}}(K \otimes K) + \frac{1}{q - q^{-1}}(K' \otimes K') = \\ = & K' \otimes EF + F \otimes E + EK' \otimes KF + EF \otimes K - K' \otimes FE - K'E \otimes FK - F \otimes E - FE \otimes K + \\ & - \frac{1}{q - q^{-1}}(K \otimes K) + \frac{1}{q - q^{-1}}(K' \otimes K') \\ = & K' \otimes [EF - FE] + [EF - FE] \otimes K + q^2 K'E \otimes q^{-2} FK - K'E \otimes FK \\ & - \frac{1}{q - q^{-1}}(K \otimes K) + \frac{1}{q - q^{-1}}(K' \otimes K') \\ = & K' \otimes [EF - FE] + [EF - FE] \otimes K - \frac{1}{q - q^{-1}}(K \otimes K) + \frac{1}{q - q^{-1}}(K' \otimes K') \\ = & \frac{1}{q - q^{-1}} [K' \otimes (K - K') + (K - K') \otimes K - K \otimes K + K' \otimes K'] = 0 \end{aligned}$$

$$\begin{aligned}
(p \circ \Delta)(ZX - q^2 XZ) &= p[(Z \otimes Z)(1 \otimes X + X \otimes Z)] - p[q^2(1 \otimes X + X \otimes Z)(Z \otimes Z)] \\
&= (K \otimes K)(1 \otimes E + E \otimes K) - q^2(K \otimes EK) - q^2(EK \otimes K^2) \\
&= K \otimes KE + KE \otimes K^2 - q^2(K \otimes EK) - q^2(EK \otimes K^2) \\
&= K \otimes [KE - q^2 EK] + [KE - q^2 EK] \otimes K^2 = 0
\end{aligned}$$

The computation for  $ZY - q^{-2}YZ$  is similar.

Now we go back to  $R$  and we define an algebra homomorphism  $S : R \rightarrow R^{op}$  by setting

$$S(X) = -XT, S(Y) = -ZY, S(Z) = T, S(T) = Z.$$

Let us prove that  $S(I) \subseteq I$ . Note that  $KFEK' = q^{-2}FKq^2K'E = FE$ . We compute

$$(p \circ S)(ZT - 1) = p(ZT - 1) = 0.$$

The computation for  $TZ - 1$  is similar. We compute

$$\begin{aligned}
(p \circ S)\left(XY - YX - \frac{Z - T}{q - q^{-1}}\right) &= p\left(+ZYXT - XTZY - \frac{T - Z}{q - q^{-1}}\right) \\
&= KFEK' - EF - \frac{K' - K}{q - q^{-1}} = FE - EF - \frac{K' - K}{q - q^{-1}} = 0.
\end{aligned}$$

Since  $KE = q^2EK$  we have that  $EK' - q^2K'E = 0$  and hence

$$(p \circ S)(ZX - q^2 XZ) = p(-XTT + q^2 TXT) = -EK'K' + q^2 K'EK' = 0.$$

The computation for  $ZY - q^{-2}YZ$  is similar. Now we want to check that  $S$  is an antipode. We compute

$$\begin{aligned}
(S * \text{Id})(E) &= S(1)E + S(E)K = E - EK'K = 0 = \varepsilon(E)1 \\
(S * \text{Id})(F) &= S(K')F + S(F)1 = KF - KF = 0 = \varepsilon(F)1 \\
(S * \text{Id})(K) &= 1 = \varepsilon(K)1 \\
(S * \text{Id})(K') &= 1 = \varepsilon(K')1.
\end{aligned}$$

The Hopf algebra  $R/I$  is called the *Quantized Enveloping Algebra of  $\mathfrak{sl}_2(k)$*  and is denoted by  $U_q(\mathfrak{sl}_2(k))$ .

## 6.8 Gauss binomial coefficients

In this section we work inside  $\mathbb{Q}(X, Y)$ , the field of quotients of the polynomial ring in two variables,  $\mathbb{Q}[X, Y]$ . For all  $a \in \mathbb{Z}$  we set

$$(6.12) \quad [a] = \frac{X^a - Y^a}{X - Y}.$$

Clearly we have that

$$[0] = 0.$$

Moreover

$$[a] = X^{a-1} + X^{a-2}Y + \cdots + X^2Y^{a-2} + Y^{a-1} \quad \text{for all } a \geq 1.$$

Define the Gauss binomial coefficients by

$$\begin{aligned} \begin{bmatrix} a \\ n \end{bmatrix} &= \frac{[a][a-1]\cdots[a-n+1]}{[1][2]\cdots[n]} \quad \text{for all } a, n \in \mathbb{Z}, n \geq 1 \text{ and} \\ \begin{bmatrix} a \\ 0 \end{bmatrix} &= 1 \quad \text{for all } a \in \mathbb{Z}. \end{aligned}$$

We have the following equalities

$$\begin{aligned} \begin{bmatrix} a \\ 1 \end{bmatrix} &= [a], \quad \begin{bmatrix} n \\ n \end{bmatrix} = 1 \text{ and} \\ \begin{bmatrix} a \\ n \end{bmatrix} &= 0 \text{ if } 0 \leq a < n. \end{aligned}$$

We also set

$$[0]! = 1 \quad \text{and} \quad [n]! = [1][2]\cdots[n] \quad \text{for all } n \in \mathbb{Z}, n \geq 1.$$

Thus

$$\begin{bmatrix} a \\ n \end{bmatrix} = \frac{[a]!}{[n]![a-n]!} \quad \text{for all } a, n \in \mathbb{Z}, 0 \leq n \leq a.$$

$$X^{a+1} - Y^{a+1} = X^n (X^{a+1-n} - Y^{a+1-n}) + X^n Y^{a+1-n} - Y^{a+1}$$

$$\frac{X^{a+1} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} = X^n + \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}}$$

$$\begin{aligned} \begin{bmatrix} a+1 \\ n \end{bmatrix} &= \frac{[a+1]!}{[n]![a+1-n]!} = \frac{[a]!}{[n]![a-n]!} \frac{[a+1]}{[a+1-n]} = \begin{bmatrix} a \\ n \end{bmatrix} \frac{X^{a+1} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} \\ &= \begin{bmatrix} a \\ n \end{bmatrix} X^n + \begin{bmatrix} a \\ n \end{bmatrix} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} \end{aligned}$$

$$\begin{aligned} \begin{bmatrix} a \\ n \end{bmatrix} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} &= \frac{[a]!}{[n]![a-n]!} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} \\ &= \frac{[a]!}{[n-1]![a-n+1]!} \frac{[a-n+1]}{[n]} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \frac{X^{a-n+1} - Y^{a-n+1}}{X^n - Y^n} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^{a+1-n} - Y^{a+1-n}} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \frac{X^n Y^{a+1-n} - Y^{a+1}}{X^n - Y^n} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n} \frac{X^n - Y^n}{X^n - Y^n} = \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n} \end{aligned}$$

so that we get

$$(6.13) \quad \begin{bmatrix} a+1 \\ n \end{bmatrix} = \begin{bmatrix} a \\ n \end{bmatrix} X^n + \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n}.$$

Note that

$$\begin{aligned} \begin{bmatrix} a+1 \\ n \end{bmatrix} &= \begin{bmatrix} a \\ n \end{bmatrix} X^n + \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \frac{[a-n+1]X^n}{[n]} + \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{[a-n+1]X^n}{[n]} + Y^{a+1-n} \right) \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a-n+1} - Y^{a-n+1}}{X^n - Y^n} X^n + Y^{a+1-n} \right) \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a+1} - X^n Y^{a-n+1} + X^n Y^{a+1-n} - Y^{a+1}}{X^n - Y^n} \right) \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a+1} - Y^{a+1}}{X^n - Y^n} \right) \end{aligned}$$

$$\begin{aligned} \begin{bmatrix} a \\ n \end{bmatrix} Y^n + \begin{bmatrix} a \\ n-1 \end{bmatrix} X^{a+1-n} &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \frac{[a-n+1]Y^n}{[n]} + \begin{bmatrix} a \\ n-1 \end{bmatrix} X^{a+1-n} \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a-n+1} - Y^{a-n+1}}{X^n - Y^n} Y^n + X^{a+1-n} \right) = \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a-n+1}Y^n - Y^{a+1}}{X^n - Y^n} + X^{a+1-n} \right) \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{X^{a-n+1}Y^n - Y^{a+1} + X^{a+1} - X^{a+1-n}Y^n}{X^n - Y^n} \right) \\ &= \begin{bmatrix} a \\ n-1 \end{bmatrix} \left( \frac{-Y^{a+1} + X^{a+1}}{X^n - Y^n} \right). \end{aligned}$$

Therefore we get

$$(6.14) \quad \begin{bmatrix} a+1 \\ n \end{bmatrix} = \begin{bmatrix} a \\ n \end{bmatrix} X^n + \begin{bmatrix} a \\ n-1 \end{bmatrix} Y^{a+1-n} = \begin{bmatrix} a \\ n \end{bmatrix} Y^n + \begin{bmatrix} a \\ n-1 \end{bmatrix} X^{a+1-n}$$

$$\begin{bmatrix} a \\ n \end{bmatrix} = \frac{[a]!}{[n]![a-n]!} = \frac{[a]!}{[n-1]![a-n+1]!} \frac{[a-n+1]}{[n]} = \begin{bmatrix} a \\ n-1 \end{bmatrix} \frac{X^{a-n+1} - Y^{a-n+1}}{X^n - Y^n}.$$

Assume that  $a, n \in \mathbb{N}, 0 \leq n \leq a$  and let us prove that  $\begin{bmatrix} a \\ n \end{bmatrix} \in \mathbb{Z}[X, Y]$ . Let us

proceed by induction on  $n$ . Since  $\begin{bmatrix} a \\ 0 \end{bmatrix} = 1$  the case  $n = 0$  is trivial. Let us assume

that the statement holds for some  $n-1 \in \mathbb{N}$  and let us prove it for  $n$ . Let us proceed by induction on  $a-n$ . If  $a-n=0$  then we have  $\begin{bmatrix} a \\ a \end{bmatrix} = \frac{[a]!}{[a]![0]!} = 1$ . Let us assume that the statement holds for all  $a$  with  $0 \leq n \leq a$  and  $a-n=h$  and let us prove it for all  $a$  with  $a \in \mathbb{N}, 0 \leq n \leq a$  and  $a-n=h+1$ . From (6.13) we deduce that

$$(6.15) \quad \begin{bmatrix} a \\ n \end{bmatrix} = \begin{bmatrix} a-1 \\ n \end{bmatrix} X^n + \begin{bmatrix} a-1 \\ n-1 \end{bmatrix} Y^{a-n}.$$

Since  $(a-1)-n=h$  and since the statement holds for  $n-1$  and every  $b \in \mathbb{N}, 0 \leq n-1 \leq b$ , the conclusion follows.

Let  $q \in k$  and let  $\varphi: \mathbb{Z}[X, Y] \rightarrow k$  be the unique ring homomorphism such that  $\varphi(X) = q$  and  $\varphi(Y) = 1$ . Set

$$\begin{aligned} (n)_q &= \varphi([n]) \text{ for every } n \in \mathbb{N}, n \geq 1 \\ (n)_q &= \frac{q^n - 1}{q - 1} \text{ for every } n \in \mathbb{N}, n \geq 1, q \neq 1 \\ (0)_q &= 1 \end{aligned}$$

$$(n)!_q = (1)_q (2)_q \cdots (n)_q$$

and

$$\binom{n}{h}_q = \frac{(n)!_q}{(n-h)!_q (h)!_q} \text{ for all } n, h \in \mathbb{N}, 0 \leq h \leq n$$

Since  $n, h \in \mathbb{N}, 0 \leq h \leq n$ , from the above we have that  $\begin{bmatrix} n \\ h \end{bmatrix} \in \mathbb{Z}[X, Y]$  so that

$\binom{n}{h}_q = \varphi\left(\begin{bmatrix} n \\ h \end{bmatrix}\right)$ . Then, from (6.15) we get that

$$\binom{n}{h}_q = \binom{n-1}{h}_q q^h + \binom{n-1}{h-1}_q.$$

Let us prove that for every  $n, r \in \mathbb{N}, n \geq 2$  and  $1 \leq r \leq n$  we have that

$$c_{n,r} = \binom{n}{r}_q$$

where for every  $n \in \mathbb{N}, n \geq 2$ , let

$$c_{n,r} = \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_r \leq n-r} q^{m_1 + m_2 + \dots + m_r} \text{ for every } r \in \mathbb{N}, 1 \leq r \leq n-1 \text{ and let } c_{n,n} = 1.$$

Let us proceed by induction  $n$ . For  $n=2$  we have

$$c_{2,2} = q^0 = 1 = \binom{2}{2}_q \text{ and } c_{2,1} = 1 + q = \binom{2}{1}_q.$$

Let us assume that the statement holds for some  $n \in \mathbb{N}, n \geq 2$ , and let us prove it for  $n + 1$ . From (6.7), (6.8) and (6.9) we deduce that

$$(6.16) \quad c_{n+1,1} = 1 + q + \dots + q^n = (c_{n,1} + q^n) = \binom{n}{1}_q + q^n$$

$$(6.17) \quad c_{n+1,r} = c_{n,r} + c_{n,r-1}q^{n+1-r} = \binom{n}{r}_q + \binom{n}{r-1}_q q^{n+1-r} \text{ for } r = 2, \dots, n-1$$

$$(6.18) \quad c_{n+1,n} = \sum_{0 \leq m_1 \leq m_2 \leq \dots \leq m_n \leq 1} q^{m_1+m_2+\dots+m_n} = 1 + q + \dots + q^n = 1 + q(c_{n,n-1}) = 1 + q \binom{n}{n-1}_q$$

hence we have to prove that

$$\begin{aligned} \binom{n+1}{1}_q &= \binom{n}{1}_q + q^n \\ \binom{n+1}{r}_q &= \binom{n}{r}_q + \binom{n}{r-1}_q q^{n+1-r} \text{ for } r = 2, \dots, n-1 \\ \binom{n+1}{n}_q &= 1 + q \binom{n}{n-1}_q \end{aligned}$$

The first and last equality are easily checked, while the second equality follows from (6.14), in fact

$$\begin{aligned} \binom{n+1}{r}_q &= \varphi \left( \left[ \begin{array}{c} n+1 \\ r \end{array} \right] \right) \\ &= \varphi \left( \left[ \begin{array}{c} n \\ r \end{array} \right] Y^r + \left[ \begin{array}{c} n \\ r-1 \end{array} \right] X^{n+1-r} \right) \\ &= \binom{n}{r}_q + \binom{n}{r-1}_q q^{n+1-r}. \end{aligned}$$

# Chapter 7

## Bosonization

Let  $(A, m_A, u_A, \Delta_A, \varepsilon_A)$  be a bialgebra and let  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  be a Hopf algebra and suppose that

- $\sigma : H \hookrightarrow A$  embeds  $H$  as a Hopf subalgebra of  $A$
- $\pi : A \rightarrow H$  is a Hopf algebra projection such that
- $\pi \circ \sigma = \text{Id}_H$ .

In this case we say that  $(A, H, \sigma, \pi)$  is a *bialgebra with a projection*. Whenever  $A$  is a Hopf algebra, we say that  $(A, H, \sigma, \pi)$  is a *Hopf algebra with a projection*.

Then  $A$  can be endowed with a natural  $H$ -bimodule structure by setting

$$h \cdot a = \sigma(h) \cdot_A a \quad \text{and} \quad a \cdot h = a \cdot_A \sigma(h) \quad \text{for every } h \in H \text{ and } a \in A$$

and with an  $H$ -bicomodule structure by setting

$${}^H\rho_A(a) = \sum \pi(a_1) \otimes a_2 \quad \text{and} \quad \rho_A^H(a) = \sum a_1 \otimes \pi(a_2) \quad \text{for every } a \in A.$$

**Theorem 7.1.** *Let  $(A, H, \sigma, \pi)$  be a bialgebra with a projection. Let  $R := A^{\text{co}(H)} = \{a \in A \mid a_1 \otimes \pi(a_2) = a \otimes 1_H\}$ . Consider the map*

$$\tau : A \rightarrow R, \tau(a) := \sum a \cdot_A \sigma S_H \pi(a_2).$$

*Then  $\tau$  is a well defined map and fulfills the following equalities*

$$(7.1) \quad \Delta_A \tau(a) = \sum a_1 \cdot_A \sigma S_H \pi(a_3) \otimes \tau(a_2), \text{ for all } a \in A,$$

$$(7.2) \quad \pi \tau(a) = \varepsilon_A(a) 1_H, \text{ for all } a \in A,$$

$$(7.3) \quad \tau(r) = r, \text{ for all } r \in R \text{ (this says that } \tau \text{ is surjective),}$$

$$(7.4) \quad \tau(a \cdot_A \sigma(h)) = \tau(a) \varepsilon_H(h), \text{ for all } a \in A, h \in H,$$

$$(7.5) \quad \Delta_A(r) \in A \otimes R, \text{ for all } r \in R,$$

$$(7.6) \quad \tau[a\tau(b)] = \tau(ab), \text{ for all } a, b \in A.$$

$$(7.7) \quad \pi(r) = \varepsilon_A(r) 1_H, \text{ for all } r \in R.$$

$$(7.8) \quad \sum \tau(a_1) \sigma \pi(a_2) = a, \text{ for all } a \in A.$$



Consider the following structures

$$\begin{aligned} {}^H\rho_R(r) &:= \sum \pi(r_1) \otimes r_2, & \Delta_R(r) &= \sum r^1 \otimes r^2 := \sum \tau(r_1) \otimes r_2, & \varepsilon_R(r) &:= \varepsilon_A(r), \\ h \rightharpoonup r &:= \tau(\sigma(h) \cdot_A r) = \sigma(h_1) r \sigma S_H[(h_2)]. \end{aligned}$$

Then

- $(R, \Delta_R, \varepsilon_R)$  is a coalgebra and  $\tau : A \rightarrow R$  is a coalgebra homomorphism.
- $((R, {}^H\rho_R), \Delta_R, \varepsilon_R)$  is a left  $H$ -comodule coalgebra i.e.  $\Delta_R$  and  $\varepsilon_R$  are morphisms of left  $H$ -comodules.
- $((R, \rightharpoonup), \Delta_R, \varepsilon_R)$  is a left  $H$ -module coalgebra. i.e.  $\Delta_R$  and  $\varepsilon_R$  are morphisms of left  $H$ -modules.

*Proof.* Define  $\tau' : A \rightarrow A$  by setting  $\tau'(a) := \sum a_1 \cdot_A \sigma S_H \pi(a_2)$  for every  $a \in A$ . We have

$$\begin{aligned} \Delta_A \tau'(a) &= \sum \tau'(a)_1 \otimes \tau'(a)_2 \\ &= \sum a_{1_1} \cdot_A \sigma S_H \pi(a_{2_1}) \otimes a_{1_2} \cdot_A \sigma S_H \pi(a_{2_2}) \\ &= \sum a_{1_1} \cdot_A \sigma S_H \pi(a_{2_2}) \otimes a_{1_2} \cdot_A \sigma S_H \pi(a_{2_1}) \\ &= \sum a_1 \cdot_A \sigma S_H \pi(a_4) \otimes a_2 \cdot_A \sigma S_H \pi(a_3) \\ &= \sum a_1 \cdot_A \sigma S_H \pi(a_3) \otimes \tau'(a_2) \end{aligned}$$

and

$$\pi \tau'(a) = \sum \pi[a_1 \cdot_A \sigma S_H \pi(a_2)] = \sum \pi[a_1] \cdot_H \sigma S_H \pi(a_2) = \sum \varepsilon_H \pi(a) 1_H = \varepsilon_A(a) 1_H$$

so that

$$\begin{aligned} \rho_A^H(\tau'(a)) &= \sum \tau'(a)_1 \otimes \pi(\tau'(a)_2) = \sum a_1 \cdot_A \sigma S_H \pi(a_3) \otimes \pi \tau'(a_2) \\ &= \sum a_1 \cdot_A \sigma S_H \pi(a_2) \otimes 1_H = \tau'(a) \otimes 1_H. \end{aligned}$$

Therefore  $\tau'(a) \in R$  and hence  $\tau$  is well defined and (7.1) and (7.2) are proved.

Let us prove (7.3):

$$\tau(r) = \sum r_1 \cdot_A \sigma S_H \pi(r_2) \stackrel{r \in R}{=} r \cdot_A \sigma S_H \pi(1_H) = r.$$

Let us prove (7.4):

$$\begin{aligned} \tau(a \cdot_A \sigma(h)) &= \sum (a \cdot_A \sigma(h))_1 \cdot_A \sigma S_H \pi[(a \cdot_A \sigma(h))_2] \\ &= \sum a_1 \cdot_A \sigma(h_1) \cdot_A \sigma S_H \pi[a_2 \cdot_A \sigma(h_2)] \\ &= \sum a_1 \cdot_A \sigma(h_1) \cdot_A \sigma S_H [\pi(a_2) h_2] \\ &= \sum a_1 \cdot_A \sigma(h_1) \cdot_A \sigma S_H(h_2) \cdot_A \sigma S_H \pi(a_2) = \tau(a) \varepsilon_H(h). \end{aligned}$$

Let us prove (7.5):

$$A \otimes R \ni \sum r_1 \otimes \tau(r_2) = \sum r_1 \otimes r_{2_1} \cdot_A \sigma S_H \pi(r_{2_2}) = \sum r_{1_1} \otimes r_{1_2} \cdot_A \sigma S_H \pi(r_2) \stackrel{r \in R}{=} \sum r_1 \otimes r_2 = \Delta_A(r).$$

Let us prove (7.6):

$$\tau[a\tau(b)] = \sum \tau[ab_1 \cdot_A \sigma S_H \pi(b_2)] \stackrel{(7.4)}{=} \tau(ab).$$

Note that (7.7) follows directly from (7.2) and (7.3).

Finally, let us prove (7.8). For every  $a \in A$  we have

$$\begin{aligned} \sum \tau(a_1) \sigma \pi(a_2) &= \sum a_1 \cdot_A \sigma S_H \pi(a_2) \sigma \pi(a_3) \\ &= \sum a_1 \cdot_A \sigma(S_H \pi(a_2) \pi(a_3)) \\ &= a_1 \varepsilon_A(a_2) = a. \end{aligned}$$

Now, for  $a \in A$ , we have

$$\begin{aligned} \Delta_{R\tau}(a) &= \sum \tau(\tau(a)_1) \otimes \tau(a)_2 \stackrel{(7.1)}{=} \sum \tau[a_1 \cdot_A \sigma S_H \pi(a_3)] \otimes \tau(a_2) \\ &\stackrel{(7.4)}{=} \sum \tau(a_1) \otimes \tau(a_2) = (\tau \otimes \tau) \Delta_A(a) \end{aligned}$$

so that

$$\Delta_R \circ \tau = (\tau \otimes \tau) \circ \Delta_A.$$

Let us prove that  $(R, \Delta_R, \varepsilon_R)$  is a coalgebra. First of all, note that, in view of (7.5),  $\Delta_R$  is well defined. We have

$$\begin{aligned} (\Delta_R \otimes R) \circ \Delta_R \circ \tau &= (\Delta_R \otimes R) \circ (\tau \otimes \tau) \circ \Delta_A = (\tau \otimes \tau \otimes \tau) \circ (\Delta_A \otimes R) \circ \Delta_A, \\ (R \otimes \Delta_R) \circ \Delta_R \circ \tau &= (R \otimes \Delta_R) \circ (\tau \otimes \tau) \circ \Delta_A = (\tau \otimes \tau \otimes \tau) \circ (R \otimes \Delta_A) \circ \Delta_A \end{aligned}$$

which entail that  $(\Delta_R \otimes R) \circ \Delta_R \circ \tau = (R \otimes \Delta_R) \circ \Delta_R \circ \tau$  whence  $(\Delta_R \otimes R) \circ \Delta_R = (R \otimes \Delta_R) \circ \Delta_R$  ( $\tau$  is surjective). Moreover

$$\varepsilon_{R\tau}(a) = \sum \varepsilon_A[a_1 \cdot_A \sigma S_H \pi(a_2)] = \varepsilon_A(a).$$

Then

$$\begin{aligned} l_R \circ (\varepsilon_R \otimes R) \circ \Delta_R \circ \tau &= l_R \circ (\varepsilon_R \otimes R) \circ (\tau \otimes \tau) \circ \Delta_A = l_R \circ (K \otimes \tau) \circ (\varepsilon_A \otimes A) \circ \Delta_A \\ &= \tau \circ l_A \circ (\varepsilon_A \otimes A) \circ \Delta_A = \tau \\ r_R \circ (R \otimes \varepsilon_R) \circ \Delta_R \circ \tau &= r_R \circ (R \otimes \varepsilon_R) \circ (\tau \otimes \tau) \circ \Delta_A = r_R \circ (\tau \otimes K) \circ (A \otimes \varepsilon_A) \circ \Delta_A \\ &= \tau \circ r_A \circ (A \otimes \varepsilon_A) \circ \Delta_A = \tau \end{aligned}$$

so that  $l_R \circ (\varepsilon_R \otimes R) \circ \Delta_R \circ \tau = \tau = r_R \circ (R \otimes \varepsilon_R) \circ \Delta_R \circ \tau$  and then  $l_R \circ (\varepsilon_R \otimes R) \circ \Delta_R = \text{Id}_R = r_R \circ (R \otimes \varepsilon_R) \circ \Delta_R$ . Hence  $(R, \Delta_R, \varepsilon_R)$  is a coalgebra and  $\tau$  is a coalgebra homomorphism.

Let us prove  $((R, {}^H\rho_R), \Delta_R, \varepsilon_R)$  is a left  $H$ -comodule coalgebra. First we have

$${}^H\rho_A(r) = \sum \pi(r_1) \otimes r_2 \stackrel{(7.5)}{\in} H \otimes R$$

so that  $(R, {}^H\rho_R)$  is a subcomodule of  $(A, {}^H\rho_A)$ . Moreover

$$\begin{aligned} {}^H\rho_{R \otimes R} \Delta_R(r) &= {}^H\rho_{R \otimes R} \left( \sum \tau(r_1) \otimes r_2 \right) \\ &= \sum \pi[\tau(r_1)_1] \pi(r_{2_1}) \otimes \tau(r_1)_2 \otimes r_{2_2} \\ &= \sum \pi[\tau(r_1)_1] \pi(r_2) \otimes \tau(r_1)_2 \otimes r_3 \\ &\stackrel{(7.1)}{=} \sum \pi[r_{1_1} \cdot_A \sigma S_H \pi(r_{1_3})] \pi(r_2) \otimes \tau(r_{1_2}) \otimes r_3 \\ &= \sum \pi[r_{1_1}] S_H \pi(r_{1_3}) \pi(r_2) \otimes \tau(r_{1_2}) \otimes r_3 \\ &= \sum \pi(r_1) \otimes \tau(r_2) \otimes r_3 \\ &= \sum \pi(r_1) \otimes \Delta_R(r_2) = (H \otimes \Delta_R) {}^H\rho_R(r). \end{aligned}$$

Recall that  $k$  has a natural structure of left  $H$ -comodule defined by setting  ${}^H\rho_k = (u_H \otimes k) \circ r_k^{-1} = r_H^{-1} \circ u_H$ .

$$\begin{aligned} (H \otimes \varepsilon_R) {}^H\rho_R(r) &= \sum \pi(r_1) \otimes \varepsilon_R(r_2) = \sum \pi(r_1) \otimes \varepsilon_A(r_2) \\ &= \pi(r) \otimes 1_K \stackrel{(7.3), (7.2)}{=} \varepsilon_A(r) 1_H \otimes 1_K = \varepsilon_R(r) 1_H \otimes 1_K = {}^H\rho_k \varepsilon_R(r). \end{aligned}$$

so that  $((R, {}^H\rho_R), \Delta_R, \varepsilon_R)$  is a left  $H$ -comodule coalgebra.

Let us prove that  $((R, \rightharpoonup), \Delta_R, \varepsilon_R)$  is a left  $H$ -module coalgebra. First let us check that  $\rightharpoonup: H \otimes R \rightarrow R$  defines a left action of  $H$  on  $R$ . We have, for every  $h, k \in H$  and for every  $r \in R$ ,

$$\begin{aligned} k \rightharpoonup (h \rightharpoonup r) &= k \rightharpoonup \tau(\sigma(h) \cdot_A r) = \tau[\sigma(k) \cdot_A \tau(\sigma(h) \cdot_A r)] \stackrel{(7.6)}{=} \tau[\sigma(k) \cdot_A \sigma(h) \cdot_A r] = (kh) \rightharpoonup r \\ 1_H \rightharpoonup r &= \tau(\sigma(1_H) \cdot_A r) = \tau(r) = r. \end{aligned}$$

Let us prove that  $\Delta_R: R \rightarrow R \otimes R$  is left  $H$ -linear where  $R \otimes R$  is a left  $H$ -module via the diagonal action induced by  $\rightharpoonup$ . We have

$$\begin{aligned} \Delta_R(h \rightharpoonup r) &= \Delta_R \tau[\sigma(h) \cdot_A r] \\ &= (\tau \otimes \tau) \Delta_A[\sigma(h) \cdot_A r] \\ &= \sum \tau(\sigma(h_1) \cdot_A r_1) \otimes \tau(\sigma(h_2) \cdot_A r_2) \\ &\stackrel{(7.6)}{=} \sum \tau(\sigma(h_1) \cdot_A \tau(r_1)) \otimes \tau(\sigma(h_2) \cdot_A r_2) \\ &= \sum (h_1 \rightharpoonup r^1) \otimes (h_2 \rightharpoonup r^2) \end{aligned}$$

and

$$\varepsilon_R(h \rightharpoonup r) = \varepsilon_R \tau(\sigma(h) \cdot_A r) = \varepsilon_A(\sigma(h) \cdot_A r) = \varepsilon_H(h) \varepsilon_R(r).$$

Thus  $((R, \rightharpoonup), \Delta_R, \varepsilon_R)$  is a left  $H$ -module coalgebra.  $\square$

**Proposition 7.2.** *Using the assumptions and notations of Theorem 7.1 we have that*

- $R$  is a subalgebra of  $A$ .
- $\Delta_R(1_R) = 1_R \otimes 1_R$ .
- $\varepsilon_R : R \rightarrow k$  is an algebra morphism.
- $\tau : A \rightarrow R$  is a morphism of left  $H$ -modules.
- For every  $r, s \in R$  the following equality holds

$$(7.9) \quad \Delta_R(r \cdot s) = \sum r^1 (r_{(-1)}^2 \rightharpoonup s^1) \otimes r_{(0)}^2 s^2.$$

- For every  $h \in H$  and  $r \in R$  the following equality holds

$$(7.10) \quad {}^H\rho_R(h \rightharpoonup r) = \sum h_1 r_{(-1)} S_H(h_3) \otimes (h_2 \rightharpoonup r_{(0)}).$$

- $\text{Id}_R$  has an inverse in the convolution algebra  $\text{Hom}(R^c, R^a)$  whenever  $A$  is a Hopf algebra.

*Proof.* Let  $r, s \in R$ . We compute

$$\begin{aligned} \rho_A^H(r \cdot_A s) &= \sum (r \cdot_A s)_1 \otimes \pi((r \cdot_A s)_2) = \sum r_1 \cdot_A s_1 \otimes \pi(r_2 \cdot_A s_2) \\ &= \sum (r_1 \cdot_A s_1) \otimes (\pi(r_2) \cdot_H \pi(s_2)) = r \cdot_A s \otimes 1_H. \end{aligned}$$

Hence we obtain that  $r \cdot_A s \in R$ . Moreover  $1_A \in R$  and hence  $R$  is a subalgebra of  $A$ . Since  $\varepsilon_R = \varepsilon_{A|R}$  we deduce that  $\varepsilon_R$  is an algebra morphism. Moreover we have

$$\Delta_R(1_R) = \sum \tau((1_A)_1) \otimes (1_A)_2 = \sum (1_A)_1 \cdot_A \sigma S_H \pi((1_A)_2) \otimes (1_A)_3 = 1_R \otimes 1_R.$$

Let  $h \in H$  and  $r \in R$  and let us compute

$$\begin{aligned} \tau(\sigma(h) \cdot_A r) &= \sum (\sigma(h) \cdot_A r)_1 \cdot_A \sigma S_H \pi(\sigma(h) \cdot_A r)_2 \\ &= \sum (\sigma(h_1) \cdot_A r_1) \cdot_A \sigma S_H \pi(\sigma(h_2) \cdot_A r_2) \\ &= \sum \sigma(h_1) \cdot_A r_1 \cdot_A \sigma S_H \pi(r_2) \cdot_A \sigma S_H \pi(\sigma(h_2)) \\ &\stackrel{r \in R}{=} \sum \sigma(h_1) \cdot_A r \cdot_A \sigma S_H \pi(\sigma(h_2)) \\ &= h \rightharpoonup r \stackrel{(7.3)}{=} h \rightharpoonup \tau(r). \end{aligned}$$

Let us calculate

$$\begin{aligned}
& \sum r^1 (r_{(-1)}^2 \rightharpoonup s^1) \otimes r_{(0)}^2 s^2 = \sum \tau(r_1) \left( (r_2)_{(-1)} \rightharpoonup s^1 \right) \otimes (r_2)_{(0)} s^2 = \\
& = \sum \tau(r_1) (\pi(r_2) \rightharpoonup s^1) \otimes r_2 s^2 = \sum \tau(r_1) (\pi(r_2) \rightharpoonup s^1) \otimes r_3 s^2 \\
& = \sum \tau(r_1) (\pi(r_2) \rightharpoonup s^1) \otimes r_3 s^2 = \sum \tau(r_1) (\pi(r_2) \rightharpoonup \tau(s_1)) \otimes r_3 s_2 \stackrel{\text{right } H\text{-lin}}{=} \\
& = \sum \tau(r_1) (\tau(\sigma\pi(r_2) \cdot_A s_1)) \otimes r_3 s_2 = \sum r_1 \cdot_A \sigma S_H \pi(r_2) \sigma\pi(r_3) s_1 \cdot_A \sigma S_H \pi(\sigma\pi(r_4) s_2) \otimes r_5 s_3 \\
& = \sum r_1 \cdot_A \sigma [S_H \pi(r_2) \pi(r_3)] s_1 \cdot_A \sigma S_H [\pi(r_4) \pi(s_2)] \otimes r_5 s_3 \\
& = \sum r_1 s_1 \cdot_A \sigma S_H [\pi(r_2) \pi(s_2)] \otimes r_3 s_3 \\
& = \sum r_1 s_1 \cdot_A \sigma S_H \pi(r_2 s_2) \otimes r_3 s_3 = \sum \tau(r_1 s_1) \otimes r_2 s_2 = \Delta_R(r s).
\end{aligned}$$

Let us prove (7.10).

$$\begin{aligned}
{}^H\rho_R(h \rightharpoonup r) &= {}^H\rho_R\left(\sum \sigma(h_1) r \sigma(S_H(h_2))\right) \\
&= \sum \pi\left[\left(\sum \sigma(h_1) r \sigma(S_H(h_2))\right)_1\right] \otimes \left(\sum \sigma(h_1) r \sigma(S_H(h_2))\right)_2 \\
&= \sum \pi\sigma(h_{11}) \pi(r_1) \pi\sigma((S_H(h_2))_1) \otimes \sigma(h_{12}) r_2 \sigma S_H(h_{22}) \\
&= \sum h_{11} \pi(r_1) S_H(h_{22}) \otimes \sigma(h_{12}) r_2 \sigma S_H(h_{21}) \\
&= \sum h_1 \pi(r_1) S_H(h_4) \otimes \sigma(h_2) r_2 \sigma S_H(h_3) \\
&= \sum h_1 r_{(-1)} S_H(h_3) \otimes (h_2 \rightharpoonup r_0).
\end{aligned}$$

Assume now that  $A$  is a Hopf algebra with antipode  $S_A$  and consider the map  $S : R \rightarrow R$  defined by setting

$$S(r) = \sum \tau(\sigma\pi(r_1) \cdot_A ([S_A(r_2)])).$$

We compute

$$\begin{aligned}
\sum \tau(\sigma\pi(r_1) \cdot_A ([S_A(r_2)])) &= \sum \sigma\pi(r_1)_1 S_A(r_2)_1 \cdot_A \sigma S_H \pi[\sigma\pi(r_1)_2 S_A(r_2)_2] \\
&= \sum \sigma\pi(r_1) S_A(r_4) \cdot_A \sigma S_H \pi[\sigma\pi(r_2) S_A(r_3)] \\
&= \sum \sigma\pi(r_1) S_A(r_4) \cdot_A \sigma S_H [\pi\sigma\pi(r_2) \pi S_A(r_3)] \\
&= \sum \sigma\pi(r_1) S_A(r_4) \cdot_A \sigma S_H [\pi(r_2) \pi S_A(r_3)] \\
&= \sum \sigma\pi(r_1) S_A(r_4) \cdot_A \sigma S_H \pi[r_2 S_A(r_3)] = \sum \sigma\pi(r_1) S_A(r_2).
\end{aligned}$$

Therefore we get

$$(7.11) \quad S(r) = \sum \sigma\pi(r_1) S_A(r_2).$$

We compute

$$\begin{aligned} \sum r^1 S(r^2) &= \sum \tau(r_1) S(r_2) = \sum \tau(r_1) \sigma \pi(r_2) S_A(r_3) \stackrel{(7.8)}{=} \sum r_1 S_A(r_2) \\ &= \varepsilon_A(r) 1_A = \varepsilon_R(r) \end{aligned}$$

and

$$\begin{aligned} \sum S(r^1) r^2 &= \sum S(\tau(r_1)) r_2 \\ &= \sum \sigma \pi((\tau(r_1))_1) S_A((\tau(r_1))_2) r_2 \\ &\stackrel{(7.1)}{=} \sum \sigma \pi[(r_{1_1}) \cdot_A \sigma S_H \pi(r_{1_3})] S_A(\tau(r_{1_2})) r_2 \\ &= \sum \sigma \pi(r_{1_1}) \sigma \pi \sigma S_H \pi(r_{1_3}) S_A(\tau(r_{1_2})) r_2 \\ &= \sum \sigma \pi(r_1) \sigma S_H \pi(r_3) S_A(\tau(r_2)) r_4 \\ &= \sum \sigma \pi(r_1) \sigma S_H \pi(r_3) S_A(r_{2_1} \cdot_A \sigma S_H \pi(r_{2_2})) r_4 \\ &= \sum \sigma \pi(r_1) \sigma S_H \pi(r_4) S_A \sigma S_H \pi(r_3) S_A(r_2) r_5 \\ &= \sum \sigma \pi(r_1) [\sigma S_H \pi(r_3)]_1 S_A [\sigma S_H \pi(r_3)]_2 S_A(r_2) r_5 \\ &= \sum \sigma \pi(r_1) S_A(r_2) r_3 \\ &= \sigma \pi(r) = \sigma(\varepsilon_A(r) 1_H) = \varepsilon_R(r). \end{aligned}$$

□

**7.3.** Let us consider the map  $\omega : R \otimes H \rightarrow A$  defined by setting  $\omega(r \otimes h) = r \cdot_A \sigma(h)$  and the map  $\omega' : A \rightarrow R \otimes H$  defined by setting  $\omega'(a) = \tau(a_1) \otimes \pi(a_2)$

**Theorem 7.4.** Using the assumptions and notations above, we have that  $\omega : R \otimes H \rightarrow A$  is bijective with inverse  $\omega'$ .

*Proof.* Let us compute, for every  $r \in R$  and  $h \in H$

$$\begin{aligned} \omega'[\omega(r \otimes h)] &= \omega'(r \cdot_A \sigma(h)) = \sum \tau([r \cdot_A \sigma(h)]_1) \otimes \pi([r \cdot_A \sigma(h)]_2) \\ &= \sum \tau(r_1 \cdot_A \sigma(h_1)) \otimes \pi(r_2 \cdot_A \sigma(h_2)) \stackrel{(7.4)}{=} \sum \tau(r_1) \otimes \pi(r_2) h \stackrel{r \in R}{=} \tau(r) \otimes h \end{aligned}$$

and, for every  $a \in A$

$$\omega(\omega'(a)) = \sum \tau(a_1) \cdot_A \sigma \pi(a_2) \stackrel{(7.8)}{=} a.$$

□

**7.5.** By using  $\omega$  we can transfer the bialgebra structure of  $A$  to  $R \otimes H$ . Let us compute it. For every  $r \in R$  and  $h \in H$  we compute

$$\begin{aligned}
(\omega' \otimes \omega')(\Delta_A(\omega(r \otimes h))) &= (\omega' \otimes \omega')\Delta_A(r \cdot_A \sigma(h)) = (\omega' \otimes \omega') \sum (r_1 \cdot_A \sigma(h_1)) \otimes (r_2 \cdot_A \sigma(h_2)) \\
&= \sum \omega'(r_1 \cdot_A \sigma(h_1)) \otimes \omega'(r_2 \cdot_A \sigma(h_2)) = \sum \omega'(r_1 \cdot_A \sigma(h_1)) \otimes \omega'(\omega(r_2 \otimes h_2)) \\
&= \sum \omega'(r_1 \cdot_A \sigma(h_1)) \otimes r_2 \otimes h_2 \\
&= \sum \tau(r_{1_1} \cdot_A \sigma(h_{1_1})) \otimes \pi(r_{1_2} \cdot_A \sigma(h_{1_2})) \otimes r_2 \otimes h_2 \\
&\stackrel{(7.4)}{=} \sum \tau(r_{1_1}) \otimes \pi(r_{1_2}) h_1 \otimes r_2 \otimes h_2 = \sum \tau(r_1) \otimes \pi(r_2) h_1 \otimes r_3 \otimes h_2 \\
&= \sum \tau(r_1) \otimes \pi(r_{2_1}) h_1 \otimes r_{2_2} \otimes h_2 \stackrel{(7.5)(7.3)}{=} \sum \tau(r_1) \otimes \pi(\tau(r_2)_1) h_1 \otimes \tau(r_2)_2 \otimes h_2 \\
&= \sum \tau(r_1) \otimes \tau(r_2)_{(-1)} h_1 \otimes \tau(r_2)_{(0)} \otimes h_2 = \sum r^1 \otimes (r^2)_{(-1)} h_1 \otimes (r^2)_{(0)} \otimes h_2
\end{aligned}$$

and for every  $r, s \in R$  and  $h, t \in H$  we calculate

$$\begin{aligned}
\omega'(m_A(\omega(r \otimes h)\omega(s \otimes t))) &= \omega'(r \cdot_A \sigma(h) \cdot_A s \cdot_A \sigma(t)) = \\
&= \omega'(r \cdot_A \sigma(h_1) \cdot_A s \cdot_A \sigma(h_2) \cdot_A \sigma(h_3) \cdot_A \sigma(t)) \\
&= \omega'(r \cdot_A (h_1 \rightarrow s) \cdot_A \sigma(h_2) \cdot_A \sigma(t)) \\
&\stackrel{Rissubal+(7.3)}{=} \omega'(\tau(r \cdot_A (h_1 \rightarrow s)) \cdot_A \sigma(h_2 t)) \\
&= \omega' \omega(r \cdot_A (h_1 \rightarrow s) \otimes h_2 t) \\
&= r \cdot_A (h_1 \rightarrow s) \otimes h_2 t = r \cdot_R (h_1 \rightarrow s) \otimes h_2 t.
\end{aligned}$$

**Lemma 7.6.** Assume that  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  is a Hopf algebra,  $(R, m_R, u_R)$  is a  $k$ -algebra,  $(R, \Delta_R, \varepsilon_R)$  is a  $k$ -coalgebra,  $(R, \rightarrow)$  is a left  $H$ -module,  $(R, {}^H\rho_R)$  is a left  $H$ -comodule such that

- $m_R, u_R, \Delta_R, \varepsilon_R$  are left  $H$ -linear,
- $m_R, u_R, \Delta_R, \varepsilon_R$  are left  $H$ -colinear.

Then the following statements are equivalent

- (a)  ${}^H\rho_R(h \rightarrow r) = \sum h_1 r_{(-1)} S_H(h_3) \otimes (h_2 \rightarrow r_{(0)})$  for every  $h \in H$  and  $r \in R$ .
- (b)  $\sum (h_1 \rightarrow r)_{(-1)} h_2 \otimes (h_1 \rightarrow r)_{(0)} = \sum h_1 r_{(-1)} \otimes h_2 \rightarrow r_{(0)}$  for every  $h \in H$  and  $r \in R$ .

*Proof.* (a)  $\Rightarrow$  (b) For every  $h \in H$  and  $r \in R$ , we compute

$$\sum (h_1 \rightarrow r)_{(-1)} h_2 \otimes (h_1 \rightarrow r)_{(0)} \stackrel{(a)}{=} \sum h_1 r_{(-1)} S_H(h_3) h_2 \otimes (h_2 \rightarrow r_{(0)}) = \sum h_1 r_{(-1)} \otimes h_2 \rightarrow r_{(0)}.$$

(b)  $\Rightarrow$  (a) For every  $h \in H$  and  $r \in R$ , we compute

$$\begin{aligned}
{}^H\rho_R(h \rightarrow r) &= \sum (h \rightarrow r)_{(-1)} \otimes (h \rightarrow r)_{(-2)} = \sum (h \rightarrow r)_{(-1)} h_2 S(h_3) \otimes (h \rightarrow r)_{(-2)} \stackrel{(b)}{=} \\
&= \sum h_1 r_{(-1)} S(h_3) \otimes (h_2 \rightarrow r_{(0)}).
\end{aligned}$$

□

**7.7.** Assume now that  $(H, m_H, u_H, \Delta_H, \varepsilon_H, S_H)$  is a Hopf algebra,  $(R, m_R, u_R)$  is a  $k$ -algebra,  $(R, \Delta_R, \varepsilon_R)$  is a  $k$ -coalgebra,  $(R, \dashv)$  is a left  $H$ -module,  $(R, {}^H\rho_R)$  is a left  $H$ -comodule such that

1.  $m_R, u_R, \Delta_R, \varepsilon_R$  are left  $H$ -linear
2.  $m_R, u_R, \Delta_R, \varepsilon_R$  are left  $H$ -colinear
3.  ${}^H\rho_R(h \dashv r) = \sum h_1 r_{(-1)} S_H(h_3) \otimes (h_2 \dashv r_{(0)})$  or equivalently (see Lemma 7.6)  $\sum (h_1 \dashv r)_{(-1)} h_2 \otimes (h_1 \dashv r)_{(0)} = \sum h_1 r_{(-1)} \otimes h_2 \dashv r_{(0)}$  for every  $h \in H$  and  $r \in R$ .
4.  $\Delta_R(1_R) = 1_R \otimes 1_R$ ,
5.  $\Delta_R(r \cdot s) = \sum r^1 (r_{(-1)}^2 \dashv s^1) \otimes r_{(0)}^2 s^2$  for every  $r, s \in R$ .
6.  $\varepsilon_R : R \rightarrow k$  is an algebra morphism.

Define a multiplication on  $R \otimes H$  by setting

$$(r \otimes h) \cdot (s \otimes t) = \sum r \cdot_R (h_1 \dashv s) \otimes h_2 t$$

with unit  $1_R \otimes 1_H$ , a comultiplication by setting

$$\Delta(r \otimes h) = \sum r^1 \otimes (r^2)_{(-1)} h_1 \otimes (r^2)_{(0)} \otimes h_2$$

and a counit

$$\varepsilon(r \otimes h) = \varepsilon_R(r) \varepsilon_H(h).$$

**Theorem 7.8.** Within the assumptions and definitions above  $R \otimes H$  is a bialgebra.

*Proof.* First of all, let us prove that  $R \otimes H$  is an algebra. For every  $r, s, w \in R$  and for every  $h, t, l \in H$  we have

$$\begin{aligned} & (r \otimes h) \cdot [(s \otimes t) \cdot (w \otimes l)] = (r \otimes h) \cdot \left( \sum s \cdot_R (t_1 \dashv w) \otimes t_2 l \right) \\ &= \sum r \cdot_R (h_1 \dashv [s \cdot_R (t_1 \dashv w)]) \otimes h_2 t_2 l \stackrel{\text{mult}^H \text{lin}}{=} \sum r \cdot_R [(h_1 \dashv s) \cdot_R (h_2 \dashv (t_1 \dashv w))] \otimes h_3 t_2 l \\ &= \sum r \cdot_R [(h_1 \dashv s) \cdot_R (h_2 t_1 \dashv w)] \otimes h_3 t_2 l = \sum [r \cdot_R (h_1 \dashv s)] \cdot_R (h_2 t_1 \dashv w) \otimes h_3 t_2 l \\ &= \left( \sum r \cdot_R (h_1 \dashv s) \otimes h_2 t \right) \cdot (w \otimes l) = [(r \otimes h) \cdot (s \otimes t)] \cdot (w \otimes l) \end{aligned}$$

so that the multiplication is associative. Moreover

$$(r \otimes h) \cdot (1_R \otimes 1_H) = \sum r \cdot_R (h_1 \dashv 1_R) \otimes h_2 1_H \stackrel{\text{uisleft}^H \text{-lin}}{=} \sum r \cdot_R \varepsilon_H(h_1) \otimes h_2 1_H = r \otimes h$$

and

$$(1_R \otimes 1_H) \cdot (r \otimes h) = \sum 1_R \cdot_R (1_H \dashv r) \otimes 1_H h = r \otimes h.$$



Let us prove that  $R \otimes H$  is a coalgebra. For every  $r \in R$  and  $h \in H$ , we have

$$\begin{aligned}
(\Delta \otimes R \otimes H) \Delta(r \otimes h) &= \sum \Delta \left( r^1 \otimes (r^2)_{(-1)} h_1 \right) \otimes (r^2)_{(0)} \otimes h_2 \\
&= \sum (r^1)^1 \otimes \left( (r^1)^2 \right)_{(-1)} \left[ (r^2)_{(-1)} h_1 \right]_1 \otimes \left( (r^1)^2 \right)_{(0)} \otimes \left[ (r^2)_{(-1)} h_1 \right]_2 \otimes (r^2)_{(0)} \otimes h_2 \\
&= \sum r^1 \otimes (r^2)_{(-1)} \left[ (r^3)_{(-1)} h_1 \right]_1 \otimes (r^2)_{(0)} \otimes \left[ (r^3)_{(-1)} h_1 \right]_2 \otimes (r^3)_{(0)} \otimes h_2 \\
&= \sum r^1 \otimes (r^2)_{(-1)} \left[ (r^3)_{(-1)} \right]_1 h_1 \otimes (r^2)_{(0)} \otimes \left[ (r^3)_{(-1)} \right]_2 h_2 \otimes (r^3)_{(0)} \otimes h_3 \\
&= \sum r^1 \otimes (r^2)_{(-1)} (r^3)_{(-2)} h_1 \otimes (r^2)_{(0)} \otimes (r^3)_{(-1)} h_2 \otimes (r^3)_{(0)} \otimes h_3
\end{aligned}$$

$$\begin{aligned}
(R \otimes H \otimes \Delta) \Delta(r \otimes h) &= \sum r^1 \otimes (r^2)_{(-1)} h_1 \otimes \Delta \left( (r^2)_{(0)} \otimes h_2 \right) \\
&= \sum r^1 \otimes (r^2)_{(-1)} h_1 \otimes \left( (r^2)_{(0)} \right)^1 \otimes \left( \left( (r^2)_{(0)} \right)^2 \right)_{(-1)} h_{21} \otimes \left( \left( (r^2)_{(0)} \right)^2 \right)_{(0)} \\
&\stackrel{\Delta_R \text{left} H\text{-col}}{=} \sum r^1 \otimes \left( (r^2)^1 \right)_{(-1)} \left( (r^2)^2 \right)_{(-1)} h_1 \otimes \left( (r^2)^1 \right)_{(0)} \otimes \left( \left( (r^2)^2 \right)_{(0)} \right)_{(0)} \\
&= \sum r^1 \otimes (r^2)_{(-1)} (r^3)_{(-1)} h_1 \otimes (r^2)_{(0)} \otimes \left( (r^3)_{(0)} \right)_{(-1)} h_2 \otimes \left( (r^3)_{(0)} \right)_{(0)} \\
&= \sum r^1 \otimes (r^2)_{(-1)} (r^3)_{(-2)} h_1 \otimes (r^2)_{(0)} \otimes (r^3)_{(-1)} h_2 \otimes (r^3)_{(0)} \otimes h_3
\end{aligned}$$

so that  $(\Delta \otimes R \otimes H) \circ \Delta = (R \otimes H \otimes \Delta) \circ \Delta$ . Moreover

$$\begin{aligned}
[r_{R \otimes H} \circ (R \otimes H \otimes \varepsilon) \circ \Delta](r \otimes h) &= \sum r^1 \otimes (r^2)_{(-1)} h_1 \varepsilon_R \left( (r^2)_{(0)} \right) \varepsilon_H(h_2) \\
&= \sum r^1 \otimes (r^2)_{(-1)} \varepsilon_R \left( (r^2)_{(0)} \right) h_1 \varepsilon_H(h_2) \\
&\stackrel{\varepsilon_R \text{isleft} H\text{-col}}{=} \sum r^1 \otimes \varepsilon_R(r^2) h = r \otimes h
\end{aligned}$$

and

$$\begin{aligned}
[l_{R \otimes H} \circ (\varepsilon \otimes R \otimes H) \circ \Delta](r \otimes h) &= \sum \varepsilon_R(r^1) \varepsilon_H \left( (r^2)_{(-1)} h_1 \right) (r^2)_{(0)} \otimes h_2 \\
&= \sum \varepsilon_R(r^1) \varepsilon_H \left( (r^2)_{(-1)} \right) (r^2)_{(0)} \otimes \varepsilon_H(h_1) h_2 \\
&= r \otimes h.
\end{aligned}$$

so that  $r_{R \otimes H} \circ (R \otimes H \otimes \varepsilon) \circ \Delta = R \otimes H = l_{R \otimes H} \circ (\varepsilon \otimes R \otimes H) \circ \Delta$ . Let us check

that the algebra structure and the coalgebra structure are compatible. In fact

$$\begin{aligned}
\Delta [(r \otimes h) \cdot (s \otimes t)] &= \Delta \left[ \sum r \cdot_R (h_1 \rightharpoonup s) \otimes h_2 t \right] = \\
&= \sum (r \cdot_R (h_1 \rightharpoonup s))^1 \otimes ((r \cdot_R (h_1 \rightharpoonup s))^2)_{(-1)} h_2 t_1 \otimes ((r \cdot_R (h_1 \rightharpoonup s))^2)_{(0)} \otimes h_3 t_2 = \\
&\stackrel{5)}{=} \sum r^1 \cdot_R (r_{(-1)}^2 \rightharpoonup (h_1 \rightharpoonup s)^1) \otimes (r_{(0)}^2 \cdot_R (h_1 \rightharpoonup s)^2)_{(-1)} h_2 t_1 \otimes (r_{(0)}^2 \cdot_R (h_1 \rightharpoonup s)^2)_{(0)} \otimes h_3 t_2 \\
&\stackrel{\Delta_R \text{isleft}H\text{-lin}}{=} \sum r^1 \cdot_R (r_{(-1)}^2 \rightharpoonup (h_1 \rightharpoonup s^1)) \otimes (r_{(0)}^2 \cdot_R (h_2 \rightharpoonup s^2))_{(-1)} h_3 t_1 \otimes (r_{(0)}^2 \cdot_R (h_2 \rightharpoonup s^2))_{(0)} \otimes h_4 t_2 \\
&= \sum r^1 \cdot_R ([r_{(-1)}^2 h_1] \rightharpoonup s^1) \otimes (r_{(0)}^2 \cdot_R (h_2 \rightharpoonup s^2))_{(-1)} h_3 t_1 \otimes (r_{(0)}^2 \cdot_R (h_2 \rightharpoonup s^2))_{(0)} \otimes h_4 t_2 \\
&\stackrel{m_R \text{isleft}H\text{-col}}{=} \sum r^1 \cdot_R ([r_{(-1)}^2 h_1] \rightharpoonup s^1) \otimes r_{(0)(-1)}^2 \cdot_R (h_2 \rightharpoonup s^2)_{(-1)} h_3 t_1 \otimes r_{(0)(0)}^2 \cdot_R (h_2 \rightharpoonup s^2)_{(0)} \otimes h_4 t_2 \\
&= \sum r^1 \cdot_R ([r_{(-2)}^2 h_1] \rightharpoonup s^1) \otimes r_{(-1)}^2 \cdot_R (h_2 \rightharpoonup s^2)_{(-1)} h_3 t_1 \otimes r_{(0)}^2 \cdot_R (h_2 \rightharpoonup s^2)_{(0)} \otimes h_4 t_2
\end{aligned}$$

and

$$\begin{aligned}
\Delta (r \otimes h) \cdot \Delta (s \otimes t) &= \left( \sum r^1 \otimes (r^2)_{(-1)} h_1 \otimes (r^2)_{(0)} \otimes h_2 \right) \cdot \left( \sum s^1 \otimes (s^2)_{(-1)} t_1 \otimes (s^2)_{(0)} \otimes t_2 \right) \\
&= \sum \left( r^1 \otimes (r^2)_{(-1)} h_1 \right) \cdot \left( s^1 \otimes (s^2)_{(-1)} t_1 \right) \otimes \left( (r^2)_{(0)} \otimes h_2 \right) \cdot \left( (s^2)_{(0)} \otimes t_2 \right) \\
&= \sum r^1 \cdot_R \left( \left[ (r^2)_{(-1)} h_1 \right]_1 \rightharpoonup s^1 \right) \otimes \left[ (r^2)_{(-1)} h_1 \right]_2 (s^2)_{(-1)} t_1 \otimes (r^2)_{(0)} \cdot_R \left( (h_2)_{(0)} \rightharpoonup s^2 \right) \\
&= \sum r^1 \cdot_R \left( \left[ (r^2)_{(-1)_1} h_1 \right] \rightharpoonup s^1 \right) \otimes (r^2)_{(-1)_2} h_2 (s^2)_{(-1)} t_1 \otimes (r^2)_{(0)} \cdot_R \left( h_3 \rightharpoonup (s^2)_{(0)} \right) \\
&= \sum r^1 \cdot_R \left( \left[ (r^2)_{(-2)} h_1 \right] \rightharpoonup s^1 \right) \otimes (r^2)_{(-1)} h_2 (s^2)_{(-1)} t_1 \otimes (r^2)_{(0)} \cdot_R \left( h_3 \rightharpoonup (s^2)_{(0)} \right) \\
&\stackrel{3bis}{=} \sum r^1 \cdot_R \left( \left[ (r^2)_{(-2)} h_1 \right] \rightharpoonup s^1 \right) \otimes (r^2)_{(-1)} (h_{2_1} \rightharpoonup (s^2))_{(-1)} h_{2_2} t_1 \otimes (r^2)_{(0)} \cdot_R \left( h_3 \rightharpoonup (s^2)_{(0)} \right)
\end{aligned}$$

Therefore  $\Delta [(r \otimes h) \cdot (s \otimes t)] = \Delta (r \otimes h) \cdot \Delta (s \otimes t)$ . Moreover

$$\begin{aligned}
\Delta_R (r \cdot s) &= \sum r^1 \cdot_R (r_{(-1)}^2 \rightharpoonup s^1) \otimes r_{(0)}^2 \cdot_R s^2 \\
{}^H \rho_R (h \rightharpoonup r) &= \sum h_1 r_{(-1)} S(h_3) \otimes (h_2 \rightharpoonup r_{(0)}) \\
(h_1 \rightharpoonup r)_{(-1)} h_2 \otimes (h_1 \rightharpoonup r)_{(0)} &= h_1 r_{(-1)} \otimes h_2 \rightharpoonup r_{(0)}
\end{aligned}$$

$$\begin{aligned}
\Delta (1_R \otimes 1_H) &= \sum 1_R^1 \otimes (1_R^2)_{(-1)} (1_H)_1 \otimes (1_R^2)_{(0)} \otimes (1_H)_2 \\
&\stackrel{4)}{=} \sum 1_R \otimes (1_R)_{(-1)} 1_H \otimes (1_R)_{(0)} \otimes 1_H \\
&\stackrel{u_R \text{isleft}H\text{-lin}}{=} 1_R \otimes 1_H \otimes 1_R \otimes 1_H
\end{aligned}$$

and

$$\begin{aligned}
\varepsilon [(r \otimes h) \cdot (s \otimes t)] &= \varepsilon \left[ \sum r \cdot_R (h_1 \rightharpoonup s) \otimes h_2 t \right] = \sum \varepsilon_R (r \cdot_R (h_1 \rightharpoonup s)) \varepsilon_H (h_2 t) = \\
&= \varepsilon_R (r) \varepsilon_R (h \rightharpoonup s) \varepsilon_H (t) \stackrel{\varepsilon_R \text{isleft}H\text{-lin}}{=} \varepsilon_R (r) \varepsilon_H (h) \varepsilon_R (s) \varepsilon_H (t) = \varepsilon (r \otimes h) \varepsilon (s \otimes t) \\
\varepsilon (1_R \otimes 1_H) &= \varepsilon_R (1_R) \varepsilon_H (1_H).
\end{aligned}$$

$$\begin{aligned} \pi([(r \otimes h) \cdot (s \otimes t)]) &= \pi\left(\left[\sum r \cdot_R (h_1 \rightharpoonup s) \otimes h_2 t\right]\right) = \varepsilon_R(r \cdot_R (h_1 \rightharpoonup s)) h_2 t \stackrel{\varepsilon_R \text{isan}}{=} \\ \stackrel{\varepsilon_R \text{isleft}H\text{-lin}}{=} \varepsilon_R(r) \varepsilon_H(h_1) \varepsilon_R(s) h_2 t &= \varepsilon_R(r) h \varepsilon_R(s) t = \pi(r \otimes h) \pi(s \otimes t) \end{aligned}$$

$$\pi(1_R \otimes 1_H) = \varepsilon_R(1_R) 1_H \stackrel{\varepsilon_R \text{isanalgmap}}{=} 1_k 1_H = 1_H$$

Definire  $\Pi$  e poi la  $S_{R \otimes H}$  Prendere dal file del 4.6

$$\begin{aligned} (\pi \otimes \pi) \Delta(r \otimes h) &= \sum \pi\left[r^1 \otimes (r^2)_{(-1)} h_1\right] \otimes \pi\left[(r^2)_{(0)} \otimes\right. \\ \stackrel{\varepsilon_R \text{isleft}H\text{-col}}{=} \sum \varepsilon_R(r^1) \varepsilon_R(r^2) h_1 \otimes h_2 \sum \varepsilon_R(r) h_1 \otimes h_2 &= \varepsilon_R(r) \sum h_1 \otimes h_2 = \Delta_H \pi(r \otimes h) \\ \varepsilon_H \pi(r \otimes h) &= \varepsilon_H(\varepsilon_R(r) h) = \varepsilon_R(r) \varepsilon_H(h) = \varepsilon(r \otimes h). \end{aligned}$$

□

$H$   
 $\rho$

# Chapter 8

## Some results on modules and rings

**8.1.** We will use the following notations.

Let  $V$  be a vector space over a field  $k$  and let  $\{e_x\}_{x \in X}$  be a basis of  $V$ .

For every  $x \in X$ , we will denote by  $e_x^*$  the element of  $V^* = \text{Hom}(V, k)$  defined by setting

$$e_x^*(e_x) = 1 \quad \text{and} \quad e_x^*(e_y) = 0 \quad \text{for every } y \in X, y \neq x.$$

Let  $A$  be a ring. We set:

$\mathcal{L}(A)$  = the lattice of subgroups of the abelian group  $(A, +, 0_A)$

$\mathcal{L}({}_A A)$  =  $\{I \in \mathcal{L}(A) \mid I \text{ is a left ideal of } A\}$

$\mathcal{L}(A_A)$  =  $\{I \in \mathcal{L}(A) \mid I \text{ is a right ideal of } A\}$

$\mathcal{L}({}_A A_A)$  =  $\{I \in \mathcal{L}(A) \mid I \text{ is a two-sided ideal of } A\}$

$\Omega = \Omega(A)$  =  $\{\mathcal{M} \mid \mathcal{M} \text{ is a maximal two-sided ideal of } A\}$

$\Omega_l = \Omega_l(A)$  =  $\{L \mid L \text{ is a maximal left ideal of } A\}$

$\Omega_r = \Omega_r(A)$  =  $\{M \mid M \text{ is a maximal right ideal of } A\}$

${}_A \mathcal{S} = \{S \in {}_A \mathcal{M} \mid S \text{ is a simple left } A\text{-module}\}$

$\mathcal{S}_A = \{S \in \mathcal{M}_A \mid S \text{ is a simple right } A\text{-module}\}$

When  $A$  is a  $k$ -algebra, we also set:

$\Omega_f = \Omega_f(A) = \{m \in \Omega \mid \dim_k(A/m) < \infty\}$

Let  $M \in {}_A \mathcal{M}$ . We set  $\mathcal{L}({}_A M) = \{L \mid L \text{ is a submodule of } {}_A M\}$ . Let  $x \in M$ . Consider the right  $A$ -module morphism

$$\mu_x : \begin{array}{ccc} {}_A A & \longrightarrow & {}_A M \\ a & \longmapsto & a x \end{array} .$$

We set  $\text{Ann}_A(x) = \text{Ker}(\mu_x)$ . Since  $\text{Im}(\mu_x) = Ax$ , in view of the First Isomorphism Theorem for Modules, we get that

$$\widehat{\mu}_x : \begin{array}{ccc} A/\text{Ann}_A(x) & \longrightarrow & Ax \\ a + \text{Ann}_A(x) & \longmapsto & a x \end{array} .$$

is an isomorphism. Therefore we deduce that

$$L \in \Omega_l \Leftrightarrow A/L \in {}_A \mathcal{S}$$

and similarly

$$L \in \Omega_r \Leftrightarrow A/L \in \mathcal{S}_A$$

Recall that a ring  $A$  is called simple whenever

$$\mathcal{L}({}_A A_A) = \{\{0\}, A\} .$$

Therefore we have:

$$m \in \Omega \Leftrightarrow A/m \text{ is a simple ring.}$$

We also set

$$\text{Ann}_A(M) = \{a \in A \mid aM = 0\} = \bigcap_{x \in M} \text{Ann}_A(x) .$$

Note that  $\text{Ann}_A(M) \in \mathcal{L}({}_A A_A)$ .

$\text{End}(M_A)$  will denote the ring of endomorphism of  $M_A$ .

Module homomorphisms will be written to the side opposite to the one of scalars.

**Lemma 8.2. (Schur's Lemma)** Let  $A$  be a ring and let  $S_A$  be a simple right  $A$ -module. Then  $F = \text{End}(S_A)$  is a division ring.

*Proof.* Let  $f \in F$ ,  $f \neq 0$ . Then  $\text{Ker}(f) \subsetneq S_A$  and hence  $\text{Ker}(f) = \{0\}$ . Since  $\{0\} \subsetneq \text{Im}(f) \subseteq S_A$  we also get that  $\text{Im}(f) = S$ .  $\square$

**Lemma 8.3.** Let  $A$  be a ring and let  ${}_A M$  be a left  $A$ -module. Set  $B = \text{End}({}_A M)$ . Then the map

$$\begin{array}{ccc} \varphi_M : A & \longrightarrow & \text{End}(M_B) \\ a & \longmapsto & \begin{array}{ccc} M_B & \longrightarrow & M_B \\ x & \longmapsto & ax \end{array} \end{array}$$

is well defined and is a ring homomorphism.

*Proof.* Let  $\varphi = \varphi_M$ . Then, for every  $a \in A$ , for every  $x \in M$  we have that

$$\varphi(a)(x\beta) = a(x\beta) \stackrel{\beta \in B = \text{End}({}_A M)}{=} (ax)\beta = [\varphi(a)(x)]\beta \quad \text{for every } \beta \in B$$

which means that  $\varphi(a) \in \text{End}(M_B)$  and hence  $\varphi$  is well defined. Clearly  $\varphi$  is additive. Let us check it is multiplicative. Let  $a, b \in A$ , then we have

$$\varphi(ab)(x) = (ab)x = a(bx) = [\varphi(a) \cdot \varphi(b)](x) \quad \text{for every } x \in M$$

which means that  $\varphi(ab) = \varphi(a) \cdot \varphi(b)$ . Clearly we also have  $\varphi(1_A) = \text{Id}_M$ . Thus  $\varphi$  is a ring morphism.  $\square$

**Lemma 8.4.** Let  $A$  be a ring, let  $S \in {}_A \mathcal{S}$  be a simple left  $A$ -module, let  $D = \text{End}({}_A S)$  and let  $E = \text{End}(S_D)$ . Let  $n \in \mathbb{N}$ ,  $n \geq 1$ , let  $x_1, \dots, x_n \in S$  and let  $\eta \in E$ . Then there exists an  $a \in A$  such that  $\eta(x_i) = a \cdot x_i$  for every  $i = 1, \dots, n$ .

*Proof.* Let  $x = (x_1, \dots, x_n) \in S^n$  and assume that  $z = (\eta(x_1), \dots, \eta(x_n)) \in S^n \setminus Ax$ . Since  ${}_A S^n$  is a semisimple left  $A$ -module, there exists a submodule  $H \leq {}_A S^n$  such that

$$S^n = H \oplus Ax.$$

Let

$$\Lambda : {}_A S^n = H \oplus Ax \rightarrow {}_A S^n$$

such that  $(y)\Lambda = 0$  for every  $y \in Ax$  and  $(y)\Lambda = y$  for every  $y \in H$ .

Since  $z \notin Ax$ , we have that  $z = h + \alpha x$  where  $a \in A$ ,  $h \in H$  and  $h \neq 0$ . We have  $(z)\Lambda = (h)\Lambda + (\alpha x)\Lambda = h \neq 0$ .

Let  $\eta \in \text{End} S_D$  and let us consider the map

$$\eta^n : S^n \rightarrow S^n.$$

Clearly  $\eta^n \in \text{End}(S_D^n)$ .

For every  $i = 1, \dots, n$  let

$$e_i : S \rightarrow S^n$$

denote the  $i$ -th embedding of  $S$  into  $S^n$  and let

$$p_i : S^n \rightarrow S$$

denote the  $i$ -th projection from  $S^n$  to  $S$

Then, for every  $x \in S^n$ , we can write

$$x = \sum_{i=1}^n (x)p_i e_i$$

so that we get

$$0 \neq (z)\Lambda = \sum_{i=1}^n (z\Lambda)p_i e_i = \sum_{i=1}^n \left( \sum_{j=1}^n z p_j e_j \right) \Lambda p_i e_i.$$

For every  $i, j = 1, \dots, n$  set  $e_j \Lambda p_i = \Lambda_{ij}$ . Note that  $\Lambda_{ij} \in \text{End}({}_A S) = D$  and hence we have

$$(z)\Lambda = \sum_{i=1}^n \sum_{j=1}^n z p_j \Lambda_{ij} e_i = \sum_{i=1}^n \sum_{j=1}^n \eta(x_j) \Lambda_{ij} e_i.$$

Since  $\eta \in E = \text{End}(S_D)$  and  $\Lambda_{ij} \in D$ , for every  $i, j = 1, \dots, n$ , we obtain that

$$\eta(x_j) \Lambda_{ij} = \eta(x_j \Lambda_{ij})$$

and hence

$$(z)\Lambda = \sum_{i=1}^n \sum_{j=1}^n \eta(x_j) \Lambda_{ij} e_i = \sum_{i=1}^n \sum_{j=1}^n \eta(x_j \Lambda_{ij}) e_i = \sum_{i=1}^n \eta \left( \sum_{j=1}^n x_j \Lambda_{ij} \right) e_i = \sum_{i=1}^n \eta \left( \sum_{j=1}^n x_j e_j \Lambda p_i \right) e_i.$$

Now for every  $i = 1, \dots, n$  we have

$$\sum_{j=1}^n x_j e_j \Lambda p_i = [(\sum_{j=1}^n x_j e_j) \Lambda] p_i = (x) \Lambda p_i = (0) p_i = 0$$

we get that

$$0 \neq z \Lambda = \sum_{i=1}^n \eta \left( \sum_{j=1}^n x_j e_j \Lambda p_i \right) e_i = 0 .$$

Contradiction. □

**Notations 8.5.** Let  $R$  be a ring and let  $n \in \mathbb{N}$ ,  $n > 0$ . Given  $t, s \in \mathbb{N}$  such that  $1 \leq s, t \leq n$ , we will denote by  $e_{s,t}$  the element of  $M_n(R)$  defined by setting

$$(e_{s,t})_{u,v} = \delta_{s,u} \delta_{t,v} \text{ for every } s, v \in \mathbb{N}, 1 \leq t, s \leq n.$$

Clearly we have

$$(8.1) \quad e_{s,t} e_{u,v} = \delta_{t,u} e_{s,v} \text{ for every } s, t, u, v \in \mathbb{N}, 1 \leq s, t, u, v \leq n.$$

For every  $i$ ,  $1 \leq i \leq n$ , we set

$$J_i = \sum_{\substack{s,t \\ t \neq i}} R e_{s,t}.$$

**Lemma 8.6.** Let  $A = M_n(R)$ . For every  $i$ ,  $1 \leq i \leq n$  we have that

$$J_i = \text{Ann}_A(e_{i,i})$$

and hence  $J_i$  is a left ideal of  $A$ . Moreover we have

$$\bigcap_{i=1}^n J_i = \{0\}.$$

Furthermore  $J_i \in \Omega_s(A)$  whenever  $R = D$  is a division ring.

*Proof.* From formula (8.1) we get that  $J_i \subseteq \text{Ann}_A(e_{i,i})$ . Conversely let  $a = \sum_{s,t} r_{s,t} e_{s,t} \in \text{Ann}_A(e_{i,i})$ . Since

$$0 = \sum_{s,t} r_{s,t} e_{s,t} e_{i,i} = \sum_{s,t} r_{s,t} \delta_{t,i} e_{s,i} = \sum_{s,t} r_{s,i} e_{s,i}$$

we deduce that  $r_{s,i} = 0$  for every  $s$ ,  $1 \leq s \leq n$ . Therefore

$$a = \sum_{\substack{s,t \\ t \neq i}} r_{s,t} e_{s,t} \in J_i.$$

We have

$$\bigcap_{i=1}^n J_i = \bigcap_{i=1}^n \text{Ann}_A(e_{i,i}) \subseteq \text{Ann}_A\left(\sum_{i=1}^n e_{i,i}\right) = \text{Ann}_A(1_A) = \{0\}.$$

Assume now that  $R = D$  is a division ring and let  $a \in A \setminus J_i$ . Then we have

$$\begin{aligned} a &= \sum_{s,t} r_{s,t}e_{s,t} = \sum_{\substack{s,t \\ t=i}} r_{s,t}e_{s,t} + \sum_{\substack{s,t \\ t \neq i}} r_{s,t}e_{s,t} \\ &= \sum_{s=1}^n \lambda_s e_{s,i} + b \text{ where } b = \sum_{\substack{s,t \\ t \neq i}} r_{s,t}e_{s,t} \in J_i \text{ and } \lambda_s = r_{s,i} \in D. \end{aligned}$$

Moreover, since  $a \notin J_i$  there exists an  $s_0$ ,  $1 \leq s_0 \leq n$  such that  $\lambda_{s_0} \neq 0$ . This implies that

$$\begin{aligned} (\lambda_{s_0})^{-1} e_{s_0,s_0} \cdot a &= (\lambda_{s_0})^{-1} \sum_{s=1}^n \lambda_s e_{s_0,s_0} e_{s,i} + (\lambda_{s_0})^{-1} e_{s_0,s_0} b = (\lambda_{s_0})^{-1} \lambda_{s_0} e_{s_0,i} + (\lambda_{s_0})^{-1} e_{s_0,s_0} b \\ &= e_{s_0,i} + (\lambda_{s_0})^{-1} e_{s_0,s_0} b \end{aligned}$$

and hence

$$e_{s_0,i} = (\lambda_{s_0})^{-1} e_{s_0,s_0} \cdot a - (\lambda_{s_0})^{-1} e_{s_0,s_0} b \in Aa + J_i.$$

Since  $Aa + J_i$  is a left ideal of  $A$  we get that

$$e_{t,i} = e_{t,s_0} e_{s_0,i} \in Aa + J_i \text{ for every } t = 1, \dots, n.$$

On the other hand, if  $t \neq i$ , we know that  $e_{s,t} \in J_i$  and hence we deduce that  $e_{s,t} \in J_i$  for every  $s, t = 1, \dots, n$  so that

$$Aa + J_i = A.$$

This means that each  $J_i$  is a left maximal ideal of  $A$ . □

**Lemma 8.7.** *Let  $A$  be a ring and let  $M$  be a left  $A$ -module. Then the following conditions are equivalent:*

- (a) *Every descending chain in  $\mathcal{L}({}_A M)$  is stationary.*
- (b) *Every non empty subset of  $\mathcal{L}({}_A M)$  has a minimum.*

*Proof.* (a)  $\Rightarrow$  (b). Let  $X$  be a non empty subset of  $\mathcal{L}({}_A M)$ . Since  $X$  is non-empty, there exists  $L_0 \in X$ . If  $X$  has no minimal element, then for each submodule  $L$  in  $X$  there is at least one submodule  $L'$  in  $X$  such that  $L' \subsetneq L$ . By applying the Axiom of choice, for each  $L \in X$  we can choose one such  $L'$ . Then, by recursion we construct a descending chain in  $X$  by setting:  $L_1 = (L_0)'$  and  $L_{n+1} = (L_n)'$ . Contradiction.

(b)  $\Rightarrow$  (a). Let  $(L_n)_{n \in \mathbb{N}}$  be a descending chain of submodules of  ${}_A M$ . Then the set  $\{L_n \mid n \in \mathbb{N}\}$  has a minimum element, say  $L_{n_0}$ . For every  $n \geq n_0$  we have

$$L_{n_0} \subseteq L_n \subseteq L_{n_0}.$$

□



**Definition 8.8.** Let  $A$  be a ring and let  $M$  be a left  $A$ -module.  $M$  is called left artinian if  $M$  satisfies one of the equivalent conditions of Lemma 8.7.

**Definition 8.9.** Let  $A$  be a ring.  $A$  is called left artinian if the left  $A$ -module  ${}_A A$  is left artinian.

**Theorem 8.10.** Let  $A$  be a ring, let  $S \in {}_A \mathcal{S}$  be a simple left  $A$ -module and let  $D = \text{End}({}_A S)$ . Let  $P = \text{Ann}_A(S)$  and assume that  $A/P$  is left artinian. Then:

- 1)  $D$  is a division ring and  $\dim(S_D) < \infty$ .
- 2) The canonical morphism  $\varphi = \varphi_S : A \rightarrow \text{End}(S_D)$  is surjective.
- 3)  $A/P \simeq \text{End}(S_D) \simeq M_n(D)$  where  $n = \dim_D(S_D)$ .
- 4)  $P = L_1 \cap \dots \cap L_n$  where  $n = \dim_D(S_D)$  and  $L_1, \dots, L_n$  are left maximal ideals of  $A$ .

*Proof.* 1) By Schur's Lemma 8.2,  $D = \text{End}({}_A S)$  is a division ring.

Assume that  $x_1, x_2, \dots, x_n, \dots \in S$  is a sequence of linearly independent elements of  $S_D$ . Let  $E = \text{End}(S_D)$  and, for every  $i \in \mathbb{N}, i \geq 1$ , let  $H_i = \text{Ann}_E(V_i), L_i = \text{Ann}_A(V_i)$  where  $V_i = \{x_1, \dots, x_i\}$ . Then the  $H_i$ 's form a strictly decreasing sequence of left ideals of  $E$ :

$$H_1 \supsetneq H_2 \supsetneq \dots \supsetneq H_n \supsetneq \dots$$

By Lemma 8.4, we have that also the  $L_i$ 's form a strictly decreasing sequence of left ideals of  $A$ . Since  $L_i \supseteq \text{Ann}_A(S) = P$  for every  $i$ , we can consider the left ideals  $L_i/P$  of  $A/P$  which form a strictly decreasing sequence of left ideals of  $A/P$ . Since  $A/P$  is left artinian, we get a contradiction. Hence  $\dim_D S < \infty$ .

2) Let  $x_1, \dots, x_n$  be a system of generators of  $S_D$  and  $\eta \in E$ . Then, by Lemma 8.4, there exists an  $a \in A$  such that  $\eta(x_i) = ax_i$  for every  $i = 1, \dots, n$ . Let  $x \in S$ . Then there exists  $\lambda_i \in D, i = 1, \dots, n$ , such that  $x = \sum_{i=1}^n x_i \lambda_i$  so that

$$\eta(x) = \sum_{i=1}^n \eta(x_i \lambda_i) = \sum_{i=1}^n \eta(x_i) \lambda_i = \sum_{i=1}^n (ax_i) \lambda_i = ax.$$

We deduce that  $\varphi(a) = \eta$  and hence that  $\varphi$  is surjective.

3) Since  $\varphi$  is surjective and  $P = \text{Ker}(\varphi)$  we get that  $A/P \simeq E$ .

Since  $\dim_D(S_D) = n$  we get that  $E \simeq M_n(D)$ .

4) By Lemma 8.6 we know that in  $M_n(D)$  we have that  $\{0\} = J_1 \cap \dots \cap J_n$  where  $J_1, \dots, J_n$  are left maximal ideals of  $M_n(D)$ . Since  $A/P \simeq M_n(D)$  the ideals  $J_1, \dots, J_n$  lift to left maximal ideals  $L_1, \dots, L_n$  of  $A$  such that  $L_1 \cap \dots \cap L_n = P$ .  $\square$

**Corollary 8.11.** Let  $A$  be a simple left artinian ring. Then there exist an  $n \in \mathbb{N}, n \geq 1$  and a division ring  $D$  such that  $A \simeq M_n(D)$ . Moreover there exist left maximal ideals  $L_1, \dots, L_n$  of  $A$  such that  $\{0\} = L_1 \cap \dots \cap L_n$ .

*Proof.* Since  ${}_A A$  is left artinian, it contains a non zero left ideal  ${}_A I$  such that

$${}_A I = \min \{L \mid L \leq {}_A A \text{ and } L \neq \{0\}\}.$$

Then  ${}_A I$  is a simple left  $A$ -module and  $\text{Ann}_A({}_A I) \subsetneq A$ . Since  $\text{Ann}_A({}_A I)$  is a two-sided ideal of  $A$  we deduce that  $\text{Ann}_A({}_A I) = \{0\}$ . By Theorem 8.10, we get our conclusion.  $\square$

**Corollary 8.12.** *Let  $A$  be a  $k$ -algebra and let  $m \in \Omega_f$ . Then there exist an  $n \in \mathbb{N}$  and a division ring  $D$  such that  $A/m \simeq M_n(D)$ . Moreover there exist  $L_1, \dots, L_n \in \Omega_s$  such that  $m = L_1 \cap \dots \cap L_n$ .*

*Proof.* Since  $m \in \Omega_f$ ,  $A/m$  is a simple ring and  $\dim_k(A/m) < \infty$ . Hence  $A/m$  is a simple left artinian ring. Apply now Corollary 8.11.  $\square$

**Definition 8.13.** *Let  $A$  be a ring. The Jacobson radical of  $A$ , which will be denoted by  $J(A)$  or also by  $\text{Jac}(A)$ , is the intersection of all left maximal ideals of  $A$ , i.e.*

$$\text{Jac}(A) = \bigcap_{L \in \Omega_s(A)} L.$$

**Theorem 8.14.** *Let  $A$  be a finite dimensional  $k$ -algebra. Then*

- every maximal two-sided ideal of  $A$  is an intersection of a finite number of maximal left ideals of  $A$ .
- every maximal left ideal contains a maximal two-sided ideal of  $A$ .

*Therefore*

$$\text{Jac}(A) = \bigcap_{m \in \Omega(A)} m.$$

*Proof.* Let  ${}_A S$  be a simple left  $A$ -module. Since  $A$  is a finitely dimensional  $k$ -algebra, we have that also  $\dim_k(A/\text{Ann}_A(S))$  is finite so that  $A/\text{Ann}_A(S)$  is, in particular, a left artinian ring. Thus we can apply Theorem 8.10 to get that  $D = \text{End}({}_A S)$  is a division ring,  $n = \dim_D(S) < \infty$ ,  $A/\text{Ann}_A(S) \simeq M_n(D)$  and  $\text{Ann}_A(S) = L_1 \cap \dots \cap L_n$  where  $L_i \in \Omega_s(A)$  for every  $i = 1, \dots, n$ .

Let now  $m \in \Omega(A)$  and let  $T$  be a simple left  $A/m$ -module. Then  $T$  is a simple left  $A$ -module and  $A \not\supseteq \text{Ann}_A(T) \supseteq m$  so that, since  $m$  is a maximal two-sided ideal, we have that  $m = \text{Ann}_A(T)$ . Then, by the foregoing, we deduce that there exists an  $n \in \mathbb{N}$ ,  $n \geq 1$  and  $L_1, \dots, L_n \in \Omega_s(A)$  such that  $m = L_1 \cap \dots \cap L_n$ . Conversely, let  $L \in \Omega_s(A)$ . Then  $S = A/L$  is a simple left  $A$ -module and we have that  $L = \text{Ann}_A(x) \supseteq \text{Ann}_A(S)$  where  $x = 1_A + L$ . By the foregoing we know that  $A/\text{Ann}_A(S) \simeq M_n(D)$  where  $D$  is a division ring. Thus  $A/\text{Ann}_A(S)$  is a simple ring i.e.  $\text{Ann}_A(S)$  is a maximal two-sided ideal of  $A$ .  $\square$

**Theorem 8.15.** *Let  $A$  be a simple ring and let  $I$  be a left ideal of  $A$ ,  $I \neq \{0\}$ . Set  $D = \text{End}({}_A I)$ . Then the canonical morphism*

$$\begin{aligned} \varphi = \varphi_I: \quad A &\longrightarrow \text{End}(I_D) \\ a &\longmapsto \begin{array}{ccc} I_D &\longrightarrow & I_D \\ x &\longmapsto & ax \end{array} \end{aligned}$$

*is an isomorphism.*

*Proof.* Let us recall that, in view of Lemma 8.3,  $\varphi$  is a well defined ring homomorphism. Thus, since  $\varphi(1_A) = 1_{\text{End}(I_D)}$ , we get that  $\text{Ker}(\varphi)$  is a proper two-sided ideal of  $A$  and hence,  $A$  being simple, we obtain that  $\text{Ker}(\varphi) = \{0\}$ .

Let  $E = \text{End}(I_D)$ . Let us show that

$$h \cdot \varphi(r) = h \circ \varphi(r) = \varphi(h(r)) \quad \text{for every } h \in E \text{ and } r \in I.$$

Let  $x \in {}_A I$ . Then the map

$$\begin{aligned} \gamma_x: \quad I &\longrightarrow I \\ r &\longmapsto rx. \end{aligned}$$

is well defined since  $I$  is a left ideal of  $A$ . Let  $a \in A$  and  $z \in I$ . We compute

$$(az)\gamma_x = (az)x = a(zx) = a[(z)\gamma_x]$$

which means that  $\gamma_x \in \text{End}({}_A I) = D$ . Now let  $h \in \text{End}(I_D)$  and  $r \in I$ . For every  $x \in I$ , we calculate

$$\begin{aligned} (h \cdot \varphi(r))(x) &= (h \circ \varphi(r))(x) = h(\varphi(r)(x)) = h(rx) \stackrel{r \in I}{=} h((r)\gamma_x) \stackrel{r \in I \text{ and } \gamma_x \in D \text{ and structure of } I_D}{=} h(r \cdot \gamma_x) \\ &\stackrel{h \in E \text{ and } \gamma_x \in D}{=} h(r) \cdot \gamma_x \stackrel{h(r) \in I \text{ and } \gamma_x \in D \text{ and structure of } I_D}{=} (h(r))\gamma_x \stackrel{\text{def } \gamma_x}{=} h(r)x = \varphi(h(r))(x). \end{aligned}$$

Therefore we get

$$(h \cdot \varphi(r))(x) = \varphi(h(r))(x)$$

for every  $x \in I$ , i.e.

$$h \cdot \varphi(r) = \varphi(h(r))$$

for every  $h \in E$  and  $r \in I$  which means that

$$(8.2) \quad E \cdot \varphi(I) \subseteq \varphi(I).$$

Since  $I A \neq \{0\}$  and  $I A$  is a two-sided ideal of  $A$ , which is a simple ring, we deduce that  $I A = A$  and hence

$$(8.3) \quad \varphi(A) = \varphi(I A) = \varphi(I) \cdot \varphi(A).$$

Then we have

$$E \cdot \varphi(A) \stackrel{(8.3)}{=} E \cdot [\varphi(I) \cdot \varphi(A)] = [E \cdot \varphi(I)] \cdot \varphi(A) \stackrel{(8.2)}{\subseteq} \varphi(I) \cdot \varphi(A) \stackrel{(8.3)}{=} \varphi(A).$$

Then  $\varphi(A)$  is a left ideal of  $E$ . Since  $1_E = \text{Id}_I = \varphi(1_A) \in \varphi(A)$ , we deduce that  $\varphi(A) = E$  and thus  $\varphi$  is an isomorphism.  $\square$

# Chapter 9

## The coradical

**9.1.** Let  $C$  be a  $k$ -coalgebra and let  $M \in \mathcal{M}^C$ . Recall from Theorem 2.21 that,  $M$  has a natural structure of left  $C^*$ -module defined by setting

$$f \cdot m = \sum m_0 f(m_1) \text{ for every } f \in C^* \text{ and } m \in M.$$

Analogously every  $M \in {}^C \mathcal{M}$  has a natural structure of right  $C^*$ -module defined by setting

$$m \cdot f = \sum f(m_{-1}) m_0 \text{ for every } f \in C^* \text{ and } m \in M.$$

In particular  $C$ , being a right  $C$ -comodule, has a natural structure of left  $C^*$ -module which we will write as

$$f \dashv c = \sum c_1 f(c_2) \text{ for every } f \in C^* \text{ and } c \in C.$$

Analogously  $C$ , being a left  $C$ -comodule, has a natural structure of right  $C^*$ -module which we will write as

$$c \lhd f = \sum f(c_1) c_2 \text{ for every } f \in C^* \text{ and } c \in C.$$

It is easy to check that, with respect to this structures,  $C$  becomes a two-sided  $C^*$ -module.

**Proposition 9.2.** Let  $M$  be a right  $C$ -comodule and let  $L$  be a subvector space of  $M$ . Then  $L$  is a right subcomodule of  $M$  if and only if  $L$  is a left  $C^*$ -submodule of  $M$ .

*Proof.* Let  $i_L : L \rightarrow M$  be the canonical inclusion. Assume that  $L$  is a right subcomodule of  $M$ . Then, by Proposition 2.22,  $H(i_L) = i_L : L \rightarrow M$  is a morphism of left  $C^*$ -modules i.e.  $L$  is a left  $C^*$ -submodule of  $M$ . Conversely, assume that  $L$  is a left  $C^*$ -submodule of  $M$ . Then, by Theorem 2.32,  $L \in \text{Rat}({}_{C^*} \mathcal{M})$  so that  $i_L : L \rightarrow M$  is a morphism in  $\text{Rat}({}_{C^*} \mathcal{M})$ . By Theorem 2.30,  $\Gamma^{-1}(i_L) = i_L : L \rightarrow M$  is a morphism in  $\mathcal{M}^C$  i.e.  $L$  is a subcomodule of  $M$   $\square$

**Lemma 9.3.** Let  $C$  be a  $k$ -coalgebra and let  $D$  be a vector subspace of  $C$ . Then the following are equivalent

- (a)  $D$  is a subcoalgebra of  $C$ .
- (b)  $D$  is a right subcomodule (a right coideal) of  $C_C$  and a left subcomodule (left coideal) of  ${}_C C$ .
- (c)  $D$  is a two-sided submodule of  ${}_{C^*} C_{C^*}$ .

*Proof.* (a)  $\Leftrightarrow$  (b). We have that

$$(D \otimes D) = (D \otimes C) \cap (C \otimes D).$$

(b)  $\Leftrightarrow$  (c). It follows from 9.2. □

**Corollary 9.4.** *Let  $C$  be a  $k$ -coalgebra. Then  $C^*cC^*$  is a subcoalgebra of  $C$ , for every  $c \in C$ .  $C^*cC^*$  is the smallest subcoalgebra of  $C$  containing  $c$ . Moreover  $C^*cC^*$  is finitely dimensional*

*Proof.* Apply Proposition 9.2 and Lemma 9.3. By Theorem 2.33,  $C^*c$  is finitely dimensional. □

**Definition 9.5.** *Let  $C$  be a  $k$ -coalgebra and let  $c \in C$ . The subcoalgebra  $C^*cC^*$  is called subcoalgebra of  $C$  generated by  $c$ .*

**Proposition 9.6.** *Let  $C$  be a  $k$ -coalgebra. Then the set of subcoalgebras of  $C$  is closed under intersections and summations.*

*Proof.* Apply Lemma 9.3 and Theorem 2.32. □

**Theorem 9.7.** *Let  $C$  be a  $k$ -coalgebra.*

- 1) *For every right  $C$ -comodule  $M$  and every finite subset  $\{m_1, \dots, m_n\} \subset M$ , there exists a finite dimensional right subcomodule  $N$  of  $M$  such that  $\{m_1, \dots, m_n\} \subseteq N$ .*
- 2) *Let  $F$  be a subset of  $C$ , the subcoalgebra  $\sum_{c \in F} C^*cC^*$  is the smallest subcoalgebra of  $C$  containing  $F$ . Clearly  $\sum_{c \in F} C^*cC^*$  is finite dimensional whenever  $F$  is finite.*

*Proof.* The first assertion follows from Theorem 2.33.

Let now  $F$  be a subset of  $C$ . Then, by Corollary 9.4 and by Proposition 9.6,  $\sum_{c \in F} C^*cC^*$  is the minimal subcoalgebra of  $C$  containing  $F$ . Since  $\dim_k C^*cC^*$  is finite, the last assertion is trivial. □

**Definition 9.8.** *Let  $F$  be a subset of a  $k$ -coalgebra  $C$ . The subcoalgebra  $\sum_{c \in F} C^*cC^*$  will be called subcoalgebra of  $C$  generated by  $F$ .*

**Definition 9.9.** *Let  $C$  be a  $k$ -coalgebra. We say that  $C$  is a simple coalgebra if  $C \neq \{0\}$  and  $C$  does not contain any proper nonzero subcoalgebras.*

**Definition 9.10.** Let  $C$  be a  $k$ -coalgebra and let  $M$  be a right  $C$ -comodule. We say that  $M$  is a simple right  $C$ -comodule if  $M \neq \{0\}$  and  $M$  does not contain any nonzero proper subcomodule.

**Proposition 9.11.** 1. Every simple coalgebra has finite dimension.

2. Let  $C$  be a coalgebra. Every simple right  $C$ -comodule has finite dimension.

*Proof.* 1) Let  $D$  be a simple coalgebra and let  $d \in D \setminus \{0\}$ . By Theorem 9.7 there exists a finite dimensional subcoalgebra  $E$  of  $D$  which contains  $d$ . Since  $\{0\} \neq E \subseteq D$  and  $D$  is a simple coalgebra we deduce that  $E = D$ .

2) Let  $M$  be a simple right  $C$ -comodule and let  $m \in M$ ,  $m \neq 0$ . Then, by Theorem 9.7, there exists a finite subcomodule  $N$  of  $M$  which contains  $m$ . Since  $\{0\} \neq N \subseteq M$  and  $M$  is a simple right  $C$ -comodule we deduce that  $M = N$ .  $\square$

**Corollary 9.12.** Let  $C$  be a  $k$ -coalgebra. Then

1) every simple subcoalgebra of  $C$  has finite dimension.

2) every simple right  $C$ -comodule has finite dimension.

**Notations 9.13.** Let  $C$  be a  $k$ -coalgebra. For every subset  $X$  of  $C$  we set

$$X^\perp = \{f \in C^* \mid f(x) = 0 \text{ for every } x \in X\}.$$

For every subset  $W$  of  $C^*$  we set

$$W^\perp = \{x \in C \mid f(x) = 0 \text{ for every } f \in W\}.$$

**Lemma 9.14.** Then we have that

1)  $V^{\perp\perp} = V$  for every  $k$ -vector subspace  $V$  of  $C$ .

2)  $Z^{\perp\perp} = Z$  for every subspace  $Z$  of  $C^*$  whenever  $\dim_k C < \infty$ .

*Proof.* 1) Let  $V$  be a  $k$ -vector subspace of  $C$ . It is clear that  $V \subseteq V^{\perp\perp}$ . Assume that  $x \in V^{\perp\perp} \setminus V$ . Then there exists a  $c^* \in C^*$  such that  $c^*(V) = 0$  and  $c^*(x) \neq 0$ . From  $c^*(V) = 0$  we deduce that  $c^* \in V^\perp$  and hence, since  $x \in V^{\perp\perp}$  we get that  $c^*(x) = 0$ . Contradiction.

2) Assume now that  $\dim_k C < \infty$  and let  $Z$  be a subspace of  $C^*$ . It is clear that  $Z \subseteq Z^{\perp\perp}$ . Assume that  $h \in Z^{\perp\perp} \setminus Z$ . Then there exists an  $\alpha \in (C^*)^*$  such that  $\alpha(Z) = 0$  and  $\alpha(h) \neq 0$ . Since  $C$  is finite dimensional there exists a  $c \in C$  such that  $\alpha(f) = f(c)$  for every  $f \in C^*$ . Therefore we get that  $f(c) = 0$  for every  $f \in Z$  and hence that  $c \in Z^\perp$ . This implies that  $h(c) = 0$ . On the other hand we have  $0 \neq \alpha(h) = h(c)$ . Contradiction.  $\square$

**Proposition 9.15.** Let  $C$  be a  $k$ -coalgebra. Then

- 1)  $L$  is a right (resp. left) coideal of  $C \Leftrightarrow L^\perp$  is a right (resp. left) ideal of  $C^*$ .
- 2) If  $I$  is a right (resp. left) ideal of  $C^*$ , then  $I^\perp$  is a right (resp. left) coideal of  $C$ . The converse is true whenever  $C$  has finite dimension.
- 3)  $D$  is a subcoalgebra of  $C \Leftrightarrow D^\perp$  is a two-sided ideal of  $C^*$ .

*Proof.* 1)  $\Rightarrow$  Let  $L$  be a right coideal of  $C$  and let  $f \in L^\perp$  and  $c^* \in C^*$ . For any  $x \in L$  we compute

$$(fc^*)(x) = (f * c^*)(x) = \sum f(x_1)c^*(x_2) = \sum f(x_1)c^*(x_2).$$

Since  $L$  is a right coideal of  $C$  we have that

$$\Delta(x) = \sum x_1 \otimes x_2 \in L \otimes C$$

so that, since  $f \in L^\perp$ , we get that

$$(fc^*)(x) = \sum f(x_1)c^*(x_2) = 0$$

which means that  $fc^* \in L^\perp$ .

2) Let  $I$  be a right ideal of  $C^*$ . In view of Proposition 9.2 we have to prove that  $I^\perp$  is a left  $C^*$ -submodule of  $C$  i.e. that

$$C^* \rightharpoonup I^\perp \subseteq I^\perp$$

Let  $f \in C^*$ ,  $c \in I^\perp$  and  $g \in I$ . Then  $g * f \in I$  and hence

$$\begin{aligned} g(f \rightharpoonup c) &= g\left(\sum c_1 f(c_2)\right) = \sum g(c_1) f(c_2) \\ &= (g * f)(c) = 0. \end{aligned}$$

Therefore we deduce that  $f \rightharpoonup c \in I^\perp$ .

Assume now that  $\dim_k C < \infty$  and let  $I$  be a subspace of  $C^*$  such that  $I^\perp$  is a right coideal of  $C$ . Then, by 1)  $\Rightarrow$   $I^{\perp\perp}$  is a right ideal of  $C^*$  and by Lemma 9.14 we have that  $I = I^{\perp\perp}$ .

1)  $\Leftarrow$  Let  $L$  be a subspace of  $C$  such that  $L^\perp$  is a right ideal of  $C^*$ . Then, by 2)  $L^{\perp\perp}$  is a right coideal of  $C$  and by Lemma 9.14 we have that  $L = L^{\perp\perp}$ .

3) follows from 1) in view of Lemma 9.3.  $\square$

**Corollary 9.16.** *Let  $C$  be a finite dimensiona  $k$ -coalgebra. Then the assignment*

$$L \longmapsto L^\perp$$

*defines a bijection between the right coideals of  $C$  and the right ideals of  $C^*$  which induces a bijection between the subcoalgebras of  $C$  and the two-sided ideals of  $C^*$ .*

**Proposition 9.17.** *Let  $C$  be a  $k$ -coalgebra. Then  $C$  is a simple coalgebra if and only if  $C^*$  is a finite dimensional simple  $k$ -algebra.*

*Proof.* By Corollary 9.12 every simple subcoalgebra of  $C$  has finite dimension. On the other hand,  $\dim_k C^* < \infty$  implies that  $\dim_k C < \infty$ . Apply then Corollary 9.16.  $\square$

**Corollary 9.18.** *Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$ . Then the following statements are equivalent.*

- (a)  $D$  is a simple subcoalgebra of  $C$ .
- (b)  $D^*$  is a finite dimensional simple algebra.
- (c)  $D^\perp$  is a two-sided maximal ideal of  $C^*$  of finite codimension.

*Proof.* (a)  $\Leftrightarrow$  (b) follows from Proposition 9.17.

Let  $V$  be a vector subspace of  $C$ . From the exact sequence

$$0 \rightarrow V \rightarrow C \rightarrow C/V \rightarrow 0$$

we get the exact sequence

$$(9.1) \quad 0 \rightarrow V^\perp \rightarrow C^* \rightarrow V^* \rightarrow 0.$$

(a) = (b)  $\Rightarrow$  (c) Assume that  $D$  is a simple coalgebra. Then by Proposition 9.15  $D^\perp$  is a two-sided ideal of  $C^*$  and from (9.1) we deduce that  $D^\perp$  is a maximal two-sided ideal of finite codimension.

(c)  $\Rightarrow$  (b) Assume that  $D^\perp$  is a two-sided maximal ideal of  $C^*$  of finite codimension. From (9.1)

$$0 \rightarrow D^\perp \rightarrow C^* \rightarrow D^* \rightarrow 0$$

we deduce that  $D^*$  is a finite dimensional simple algebra.  $\square$

**Definition 9.19.** *Let  $C$  be a  $k$ -coalgebra. The coradical  $C_0$  of  $C$  is the sum of all simple subcoalgebras of  $C$ .*

**Definition 9.20.** *Let  $C$  be a nonzero  $k$ -coalgebra.  $C$  is called pointed if all simple subcoalgebras of  $C$  are 1-dimensional.*

**Definition 9.21.** *Let  $C$  be a nonzero  $k$ -coalgebra.  $C$  is called connected if  $\dim_k C_0 = 1$ .*

**Corollary 9.22.** *Let  $C$  be a nonzero  $k$ -coalgebra. Then  $C$  contains a simple subcoalgebra and hence  $C_0 \neq \{0\}$ .*

*Proof.* Let  $0 \neq c \in C$ . Then by Corollary 9.4  $D = C^*cC^*$  is a finite dimensional subcoalgebra of  $C$ . Let  $I$  be a maximal two-sided ideal of  $D^*$ . Since  $D$  is finite dimensional, by 2) of Lemma 9.14  $I = (I^\perp)^\perp$ . Then, by Corollary 9.18, we deduce that  $I^\perp$  is a simple subcoalgebra of  $D$  and in particular of  $C$ .  $\square$

**Proposition 9.23.** *Let  $C$  be a  $k$ -coalgebra. Then the coradical  $C_0$  of  $C$  is a subcoalgebra of  $C$ .*



*Proof.* By Proposition 9.6, the sum of subcoalgebras is a subcoalgebra.  $\square$

**Proposition 9.24.** *Let  $C$  be a  $k$ -coalgebra. The 1-dimensional subcoalgebras of  $C$  are exactly those of the form  $kg$  for  $g \in G(C)$ .*

*Proof.* Let  $D$  be a 1-dimensional subcoalgebra of  $C$  and let  $e \in D, e \neq 0$ . Then there exists  $\lambda \in k$  such that  $\Delta(e) = \lambda e \otimes e$ . Hence we get that

$$e = \lambda \varepsilon(e)e$$

from which we deduce that  $\lambda \varepsilon(e) = 1$ .

Set  $g = \lambda e$ . Then we get that

$$\Delta(g) = \Delta(\lambda e) = \lambda \Delta(e) = \lambda(\lambda e \otimes e) = \lambda e \otimes \lambda e \quad \text{and} \quad \varepsilon(g) = \lambda \varepsilon(e) = 1.$$

Therefore  $g \in G(C)$  and  $kg = ke = D$ .

The converse is trivial.  $\square$

**Lemma 9.25.** *Let  $D$  be a simple subcoalgebra of a  $k$ -coalgebra  $C$  and let  $C', C''$  be nonzero subcoalgebras of  $C$  such that  $D \subseteq C' + C''$ . Then we have that either  $D \subseteq C'$  or  $D \subseteq C''$ .*

*Proof.* Assume that  $D \not\subseteq C'$ . Then, since  $D$  is simple we get that  $D \cap C' = \{0\}$  and hence that  $D + C' = D \oplus C'$ . Then there exists a  $\gamma \in C^*$  such that

$$\gamma|_D = \varepsilon_D \quad \text{and} \quad \gamma|_{C'} = 0.$$

The, for every  $d \in D$ , we get that

$$\gamma \rightharpoonup d = \sum d_1 \gamma(d_2) \stackrel{\Delta(D) \subseteq D \otimes D}{=} \sum d_1 \varepsilon(d_2) = d.$$

On the other hand, from  $D \subseteq C' + C''$  we deduce that

$$\Delta(D) \subseteq \Delta(C') + \Delta(C'') \subseteq C' \otimes C' + C'' \otimes C''$$

and since  $\gamma|_{C'} = 0$  we obtain that  $\gamma \rightharpoonup d \in C''$ .  $\square$

**Proposition 9.26.** *Let  $(C_i)_{i \in I}$  be a family of subcoalgebras of a  $k$ -coalgebra  $C$  and let  $D$  be a simple subcoalgebra of  $C$ . Then  $D \subseteq \sum_{i \in I} C_i$  if and only if there exists an  $i_0 \in I$  such  $D \subseteq C_{i_0}$ .*

*Proof.* Since  $D$  is simple, by 9.12  $D$  has finite dimension so that if  $D \subseteq \sum_{i \in I} C_i$  there exist  $n \in \mathbf{N}, n \geq 1$  and  $i_1, \dots, i_n \in I$  such that  $D \subseteq \sum_{j=1}^n C_{i_j}$ . Since, by Proposition 9.6, the sum of subcoalgebras is a subcoalgebra, in view of Lemma 9.25, we conclude.  $\square$

**Lemma 9.27.** *Let  $(D_i)_{i \in I}$  be a family of pairwise distinct simple subcoalgebras of a  $k$ -coalgebra  $C$ . Then we have that*

$$\sum_{i \in I} D_i = \bigoplus_{i \in I} D_i.$$

*Proof.* Let us assume that there exists an  $i \in I$  such that  $D_i \cap \sum_{j \neq i} D_j \neq \{0\}$ . Since, by Proposition 9.6,  $D_i \cap \sum_{j \neq i} D_j$  is a subcoalgebra of the simple algebra  $D_i$  we get that

$$D_i \cap \sum_{j \neq i} D_j = D_i$$

so that  $D_i \subseteq \sum_{j \neq i} D_j$ . Then, by Lemma 9.26 there exists an  $i_0 \in I \setminus \{i\}$  such that  $D_i \subseteq D_{i_0}$ . Since  $D_{i_0}$  is a simple coalgebra we get  $D_i = D_{i_0}$ . Contradiction.  $\square$

**Proposition 9.28.** *Let  $\mathcal{D}$  be the set of all simple subcoalgebras of a  $k$ -coalgebra  $C$ . Then*

$$C_0 = \bigoplus_{D \in \mathcal{D}} D$$

*Proof.* Apply Lemma 9.27.  $\square$

**Proposition 9.29.** *Let  $F$  and  $D$  be subcoalgebras of a  $k$ -coalgebra  $C$ . Then*

$$(F + D)_0 = F_0 + D_0.$$

*Proof.* Clearly we have that

$$F_0 + D_0 \subseteq (F + D)_0.$$

The converse inclusion follows by Proposition 9.26.  $\square$

**Proposition 9.30.** *Let  $C$  be a  $k$ -coalgebra. Then  $C$  is pointed  $\Leftrightarrow C_0 = kG(C)$ .*

*Proof.* Let  $\mathcal{A}$  be the set of simple subcoalgebras of  $C$ .

" $\Rightarrow$ " Assume that  $C$  is pointed. Then, by Proposition 9.24 we get that  $\mathcal{A} = \{kg \mid g \in G(C)\}$  and hence that

$$C_0 = \sum_{A \in \mathcal{A}} A = \sum_{g \in G(C)} kg = kG(C).$$

" $\Leftarrow$ " Conversely, assume that  $C_0 = kG(C)$  and let  $D$  be a simple subcoalgebra of  $C$ . Then from  $D \subseteq C_0 = kG(C)$ , by Proposition 9.26 we deduce that there exists a  $g \in G(C)$  such that  $D \subseteq kg$  and hence  $D = kg$ .  $\square$

**Definition 9.31.** *Let  $C$  be a nonzero  $k$ -coalgebra. We say that  $C$  is an irreducible coalgebra if any two nonzero subcoalgebras of  $C$  have nonzero intersection.*

**Lemma 9.32.** *Let  $C$  be a  $k$ -coalgebra. Then  $C$  is irreducible  $\Leftrightarrow C$  contains a unique simple subcoalgebra.*

*Proof.* " $\Rightarrow$ " By Corollary 9.22,  $C$  contains a simple subcoalgebra. Since the intersection of two distinct simple subcoalgebras is zero,  $C$  must contain an unique simple subcoalgebra.

" $\Leftarrow$ " Let  $D$  be the unique simple subcoalgebra of  $C$ . Then, by Corollary 9.4,  $D$  is contained in every nonzero subcoalgebra of  $C$ .  $\square$

**Proposition 9.33.** *Let  $C$  be a  $k$ -coalgebra. Then the following are equivalent*

- (a)  $C$  is pointed and irreducible
- (b)  $C$  is pointed and  $|G(C)| = 1$ .
- (c)  $C$  is connected.

*Proof.* (a)  $\Leftrightarrow$  (b) By Lemma 9.32  $C$  is irreducible if and only if  $C$  contains a unique simple subcoalgebra. Since  $C$  is pointed, this means that  $C$  has a unique 1-dimensional subcoalgebra. By Proposition 9.24, this happens if and only if  $|G(C)| = 1$ .

(c)  $\Leftrightarrow$  (b) Follows by 9.30. □

**Definition 9.34.** *Let  $R$  be a ring and let  $M$  be a left  $R$ -module. The socle  $\text{Soc}({}_R M)$  of  $M$  is the sum of all simple left submodules of  $M$ .*

**Proposition 9.35.** *Let  $C$  be a simple  $k$ -coalgebra. Then  $\text{Soc}(C_{C^*}) = C = \text{Soc}({}_{C^*} C)$ .*

*Proof.* Since  $C$  is a simple coalgebra, by Corollary 9.12  $C$  is finite dimensional and by Proposition 9.17  $C^*$  is a finite dimensional simple  $k$ -algebra. Thus, by Corollary 8.12, there exists  $n \in \mathbf{N}$  and  $I_1, \dots, I_n$  left maximal ideals of  $C^*$  such that

$$\{0\} = \bigcap_{j=1}^n I_j.$$

Since  $C$  is finite dimensional, we have that

$$C^\perp = \{0\} = \bigcap_{j=1}^n I_j = \bigcap_{j=1}^n I_j^{\perp\perp} = \left( \sum_{j=1}^n I_j^\perp \right)^\perp$$

and hence, by Lemma 9.14, we get that

$$C = C^{\perp\perp} = \left( \sum_{j=1}^n I_j^\perp \right)^{\perp\perp} = \sum_{j=1}^n I_j^\perp.$$

Since  $C$  is finite dimensional, by Proposition 9.15, every  $I_j^\perp$  is a minimal left coideal of  $C$  and hence, by Proposition 9.2 it is a simple submodule of  $C_{C^*}$ . Therefore we get

$$C = \sum_{j=1}^n I_j^\perp \subseteq \text{Soc}(C_{C^*}) \subseteq C.$$

□

**Lemma 9.36.** *Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$  and let  $W$  be a vector subspace of  $D$ . Then  $W$  is a left  $D^*$ -submodule of  $D$  if and only if  $W$  is a left  $C^*$ -submodule of  $D$ .*

*Proof.* Let  $i_D : D \rightarrow C$  be the canonical inclusion. Let  $d \in D$  and let  $g \in D^*$ . Then there exists an element  $f \in C^*$  such that  $g = f \circ i_D$  so that we get

$$g \dashv d = \sum d_1 g(d_2) = \sum d_1 f(d_2) = f \dashv d \in C^* \dashv d.$$

Conversely, let  $f \in C^*$ . Then

$$f \dashv d = \sum d_1 f(d_2) = \sum d_1 (f \circ i_D)(d_2) \in D^* \dashv d.$$

□

**Lemma 9.37.** *Let  $C$  be a finite dimensional  $k$ -coalgebra. Then every simple left  $C^*$ -submodule of  $C$  is contained in a simple subcoalgebra of  $C$ .*

*Proof.* Let  $S$  be a simple left  $C^*$ -submodule of  $C$ . Then, by Proposition 9.2,  $S$  is a minimal left coideal of  $C$ .

By Proposition 9.15  $S^\perp$  is a left maximal ideal of  $C^*$ . Since  $C^*$  is finite dimensional, by Theorem 8.14 it contains a maximal two-sided ideal  $I$  of  $C^*$ . Then, by Lemma 9.14,  $I = I^{\perp\perp}$  and hence, in view of Corollary 9.18  $I^\perp$  is a simple subcoalgebra of  $C$ . By Lemma 9.14 we have that

$$S = S^{\perp\perp} \subseteq I^\perp.$$

□

**Proposition 9.38.** *Let  $C$  be a  $k$ -coalgebra. Then*

$$C_0 = \text{Soc}(C^*C).$$

*Proof.* Let  $D$  be a simple subcoalgebra of  $C$ . Then, by Proposition 9.35  $D = \text{Soc}(D^*D)$ . By Lemma 9.36, every simple left  $D^*$ -submodule of  $D$  is a simple  $C^*$ -submodule and hence  $D \subseteq \text{Soc}(C^*C)$ .

Conversely, let  $S$  be a simple left  $C^*$ -submodule of  $C$ . By Corollary 9.12,  $S$  has finite dimension. Let  $x \in S$ ,  $x \neq 0$ . We have that

$$S = C^*x \subseteq C^*xC^*.$$

and  $D = C^*xC^*$  has finite dimension. By Lemma 9.36  $S$  is a simple left  $D^*$ -submodule of  $D$ . Since  $D$  is finite dimensional we can apply Lemma 9.37 and get that  $S$  is contained in a simple subcoalgebra  $E$  of  $D$  so that

$$S \subseteq E \subseteq C_0.$$

□

**Lemma 9.39.** *Let  $R$  be a ring and let  $L$  be a submodule of a left  $R$ -module  $M$ . Then*

$$\text{Soc}({}_R L) = \text{Soc}({}_R M) \cap L.$$

*Proof.* The simple submodules of  ${}_R L$  are the simple submodules of  ${}_R M$  which are contained in  $L$ . The inclusion  $Soc({}_R L) \subseteq Soc({}_R M) \cap L$  is trivial. Conversely  $Soc({}_R M) \cap L$  is a submodule of the semisimple left  $R$ -module  $Soc({}_R M)$  and hence it is semisimple. Thus  $Soc({}_R M) \cap L$  is a sum of simple modules which are contained in  $L$  so that  $Soc({}_R M) \cap L \subseteq Soc({}_R L)$ .  $\square$

**Lemma 9.40.** *Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$ . Then*

$$D_0 = C_0 \cap D.$$

*Proof.* By Proposition 9.38, we have that  $D_0 = Soc({}_{D^*} D)$  and by Lemma 9.36 we have that  $Soc({}_{D^*} D) = Soc({}_{C^*} D)$  so that, by Lemma 9.39 we obtain that

$$D_0 = Soc({}_{C^*} D) = Soc({}_{C^*} C) \cap D \stackrel{\text{Prop 9.38}}{=} C_0 \cap D.$$

$\square$

**Proposition 9.41.** *Let  $C$  be a finite dimensional  $k$ -coalgebra. Then*

$$C_0^\perp = Jac(C^*).$$

*Proof.* By Proposition 9.15 the maximal right ideals of  $C^*$  are exactly those of the form  $L^\perp$  where  $L$  is a minimal right coideal of  $C$  i.e., by Proposition 9.2, a simple subcomodule of  ${}_C C$ . Let  $\mathcal{S}$  denotes the set of simple submodules of  ${}_C C$ . Then we have

$$Jac(C^*) = \bigcap_{L \in \mathcal{S}} L^\perp = \left( \sum_{L \in \mathcal{S}} L \right)^\perp = C_0^\perp.$$

$\square$

**Lemma 9.42.** *Let  $R$  be a ring and let  $f \in R$ . Then  $f \in Jac(R) \Leftrightarrow$  for every  $h \in R$ ,  $1_R - hf$  has a left inverse in  $R$ .*

*Proof.* "  $\Rightarrow$  " Since  $1 = hf + (1 - hf)$ , and  $hf \in Jac(R)$  we have that  $1 - hf$  is not contained in any left maximal ideal of  $R$ . By Krull's Lemma this means that

$$R(1 - hf) = R$$

i.e.  $1 - hf$  has a left inverse.

"  $\Leftarrow$  " Assume that  $f \notin Jac(R)$ . Then there exists a left maximal ideal  $L$  of  $R$  such that  $f \notin L$  and hence

$$Rf + L = R.$$

Thus there exist an  $h \in R$  and an  $l \in L$  such that

$$hf + l = 1_R.$$

Then  $1 - hf$  does not have any left inverse.  $\square$

**Lemma 9.43.** *Let  $R$  be a ring and let  $L$  be a left ideal of  $R$  such that every element of  $L$  is nilpotent in  $R$ .*

*Then  $L \subseteq \text{Jac}(R)$ .*

*Proof.* Let  $a \in L$  and let  $x \in R$ . Then  $xa \in L$  and hence there exists an  $n \in \mathbb{N}, n \geq 1$  such that  $(xa)^n = 0$ . Thus we obtain

$$(1 + xa + (xa)^2 + \dots + (xa)^{n-1})(1 - xa) = 1 - (xa)^n = 1$$

and hence  $1 - xa$  has a left inverse in  $R$ . Thus, by Lemma 9.42 we get that  $a \in \text{Jac}(R)$ .  $\square$

**Lemma 9.44.** *Let  $C$  and  $D$  be  $k$ -coalgebras. Then*

$$(C \otimes D)_0 \subseteq C_0 \otimes D_0.$$

*Moreover if  $C$  and  $D$  are also pointed (resp. connected), then*

$$(C \otimes D)_0 = C_0 \otimes D_0$$

*and  $C \otimes D$  is pointed (resp. connected).*

*Proof.* Let  $X \neq \{0\}$  be a simple subcoalgebra of  $C \otimes D$ . We have to prove that  $X \subseteq C_0 \otimes D_0$ . First of all let us show that we can assume that both  $C$  and  $D$  are finite dimensional. By Corollary 9.12  $X$  is finitely dimensional. Let  $\{v_1, \dots, v_n\}$  be a basis of  $X$ . Since  $X \leq C \otimes D$ , for every  $i = 1, \dots, n$ , there exists a finite subset  $F_i$  of  $C$  and a finite subset  $G_i$  of  $D$  such that

$$v_i = \sum_{c \in F_i, d \in G_i} c \otimes d$$

Let  $C'$  be the subcoalgebra of  $C$  generated by  $F_i$  and let  $D'$  be the subcoalgebra of  $D$  generated by  $G_i$ . Then both  $C'$  and  $D'$  are finite dimensional. We will show that  $X \subseteq C'_0 \otimes D'_0$ . Thus we may assume that both  $C$  and  $D$  are finite dimensional. Then we have the isomorphism

$$(C \otimes D)^* \cong C^* \otimes D^*$$

and by Proposition 9.41 we have that

$$C_0^\perp = \text{Jac}(C^*) \quad \text{and} \quad D_0^\perp = \text{Jac}(D^*).$$

Since  $C^*$  and  $D^*$  are finitely dimensional, by Nakayama's Lemma, there exist  $m, n \in \mathbb{N}, m, n \geq 1$  such that

$$(C_0^\perp)^n = \{0\} \quad \text{and} \quad (D_0^\perp)^m = \{0\}.$$

Clearly we may assume  $n = m$ .

Set

$$I = C_0^\perp \otimes D^* + C^* \otimes D_0^\perp$$

then  $I$  is a two-sided ideal of  $C^* \otimes D^*$ . Note that

$$(C_0^\perp \otimes D^*) (C^* \otimes D_0^\perp) = C_0^\perp \otimes D_0^\perp = (C^* \otimes D_0^\perp) (C_0^\perp \otimes D^*)$$

so that

$$I^{2n} = \sum_{i+j=2n} \binom{2n}{i} (C_0^\perp \otimes D^*)^i (C^* \otimes D_0^\perp)^j = \{0\}.$$

Therefore, by Lemma 9.43 and Theorem 8.14

$$I \subseteq \text{Jac}(C^* \otimes D^*) \subseteq P$$

for every two-sided maximal ideal  $P$  of  $C^* \otimes D^*$ . Therefore we deduce that

$$P^\perp \subseteq I^\perp$$

where  $P^\perp$  is any simple subcoalgebra of  $C \otimes D$ . By Lemma 15.3,  $I = (C_0 \otimes D_0)^\perp$  and hence, by Proposition 9.15  $I^\perp = C_0 \otimes D_0$  and it contains all simple subcoalgebras of  $C \otimes D$ . In particular we get that  $X \subseteq I^\perp = (C_0 \otimes D_0)$ .

Assume now that both  $C$  and  $D$  are pointed. Since

$$G(C) \otimes G(D) \subseteq G(C \otimes D)$$

we get that, in this case,

$$C_0 \otimes D_0 \subseteq (C \otimes D)_0$$

and hence

$$(C \otimes D)_0 \subseteq C_0 \otimes D_0 \subseteq (C \otimes D)_0.$$

Thus  $(C \otimes D)_0 = C_0 \otimes D_0 = k(G(C)) \otimes kG(D) = kG(C \otimes D)$  so that  $C \otimes D$  is pointed.  $\square$

# Chapter 10

## The Coradical Filtration

**Definition 10.1.** Let  $X$  and  $Y$  be subspaces of a  $k$ -coalgebra  $(C, \Delta, \varepsilon)$ . The wedge product of  $X$  and  $Y$  (in  $C$ ) is defined by

$$X \wedge_C Y = X \wedge Y = \text{Ker}(C \xrightarrow{\Delta} C \otimes C \xrightarrow{\pi_X^C \otimes \pi_Y^C} C/X \otimes C/Y)$$

where  $\pi_X^C$  and  $\pi_Y^C$  are the canonical projections.

**Lemma 10.2.** Let  $f : C \rightarrow U$  and  $g : C \rightarrow W$  be  $k$ -linear maps. Then

$$(10.1) \quad \Delta^{\leftarrow} [C \otimes \text{Ker}(g) + \text{Ker}(f) \otimes C] = \text{Ker} [(f \otimes g) \circ \Delta].$$

**Lemma 10.3.** Let  $X, Y, Z$  be subspaces of a  $k$ -coalgebra  $(C, \Delta, \varepsilon)$ .

1)

$$(10.2) \quad X \wedge Y = \Delta^{\leftarrow} (C \otimes Y + X \otimes C).$$

2)

$$(10.3) \quad X \wedge Y = (X^\perp * Y^\perp)^\perp \text{ where the product } X^\perp * Y^\perp \text{ is in } C^*.$$

3)

$$(10.4) \quad (X \wedge Y) \wedge Z = \text{Ker} [(\pi_X \otimes \pi_Y \otimes \pi_Z) \circ \Delta_2] = X \wedge (Y \wedge Z).$$

4)

$$(10.5) \quad D \wedge E \text{ is a subcoalgebra of } C \text{ whenever } D \text{ and } E \text{ are subcoalgebras of } C.$$

*Proof.* 1) We have

$$\begin{aligned} X \wedge Y &= \text{Ker}((\pi_X \otimes \pi_Y) \circ \Delta) \stackrel{(10.1)}{=} \Delta^{\leftarrow} (C \otimes \text{Ker}(\pi_Y) + \text{Ker}(\pi_X) \otimes C) \\ &= \Delta^{\leftarrow} (C \otimes Y + X \otimes C). \end{aligned}$$



2) Let  $z \in X \wedge Y = \text{Ker}((\pi_X \otimes \pi_Y) \circ \Delta)$ . We compute

$$\begin{aligned}
(X^\perp * Y^\perp)^\perp &= \{c \in C \mid (f * g)(c) = 0, \text{ for every } f \in X^\perp, g \in Y^\perp\} \\
&= \{c \in C \mid \sum f(c_1)g(c_2) = 0, \text{ for every } f \in X^\perp, g \in Y^\perp\} \\
&= \{c \in C \mid m_k(f \otimes g)\Delta(c) = 0, \text{ for every } f \in X^\perp, g \in Y^\perp\} \\
&\stackrel{m_k \text{ is iso}}{=} \{c \in C \mid (f \otimes g)\Delta(c) = 0, \text{ for every } f \in X^\perp, g \in Y^\perp\} \\
&= \{c \in C \mid \Delta(c) \in \text{Ker}(f \otimes g), \text{ for every } f \in X^\perp, g \in Y^\perp\} \\
&= \bigcap_{f \in X^\perp, g \in Y^\perp} \Delta^\leftarrow[\text{Ker}(f \otimes g)]
\end{aligned}$$

so that

$$(X^\perp * Y^\perp)^\perp = \bigcap_{f \in X^\perp, g \in Y^\perp} \Delta^\leftarrow[\text{Ker}(f \otimes g)].$$

Now  $f \in X^\perp$  means that  $f(X) = \{0\}$  i.e.  $X \subseteq \text{Ker}(f)$  and similarly  $g \in Y^\perp$  means that  $Y \subseteq \text{Ker}(g)$ . Thus

$$\begin{aligned}
X \wedge Y &= \Delta^\leftarrow(C \otimes Y + X \otimes C) \subseteq \bigcap_{f \in X^\perp, g \in Y^\perp} \Delta^\leftarrow[C \otimes \text{Ker}(g) + \text{Ker}(f) \otimes C] \\
&= \bigcap_{f \in X^\perp, g \in Y^\perp} \Delta^\leftarrow[\text{Ker}(f \otimes g)] = (X^\perp * Y^\perp)^\perp.
\end{aligned}$$

Let us prove the other inclusion. Let  $(x_i)_{i \in I}$  be a basis of  $X$  and let  $(x_j)_{j \in J}$ , where  $J \supseteq I$ , be a basis of  $C$ . Analogously let  $(y_l)_{l \in L}$  be a basis of  $Y$  and let  $(y_t)_{t \in T}$ , where  $T \supseteq L$  a basis of  $C$ .

Let  $x_j^*$  and  $y_t^*$  the dual morphisms of  $x_j$  and  $y_t$  respectively. Then, for every  $j \in J \setminus I$  we have that  $x_j^* \in X^\perp$  and for every  $t \in T \setminus L$  we have that  $y_t^* \in Y^\perp$ . Let  $c \in (X^\perp * Y^\perp)^\perp$ . Then we can write

$$\Delta(c) = \sum_{j \in J, t \in T} \lambda_{jt} x_j \otimes y_t \text{ for some } \lambda_{j,t} \in k.$$

For every  $(j_0, t_0) \in (J \setminus I) \times (T \setminus L)$  we have  $x_{j_0}^* * y_{t_0}^* \in X^\perp * Y^\perp$  so that

$$0 = (x_{j_0}^* * y_{t_0}^*)(c) = \lambda_{j_0 t_0}$$

so that

$$\Delta(c) = \sum_{\substack{(j,t) \in J \times T \\ j \in I \text{ or } t \in L}} \lambda_{jt} x_j \otimes y_t \in X \otimes C + C \otimes Y.$$

3) We compute

$$\begin{aligned}
(X \wedge Y) \wedge Z &= \Delta^{\leftarrow} [C \otimes Z + (X \wedge Y) \otimes C] \\
&= \Delta^{\leftarrow} [C \otimes \text{Ker}(\pi_Z) + \text{Ker}[(\pi_X \otimes \pi_Y) \circ \Delta] \otimes C] \\
&\stackrel{(10.1)}{=} \text{Ker} [((\pi_X \otimes \pi_Y) \circ \Delta) \otimes \pi_Z \circ \Delta] \\
&= \text{Ker} [(\pi_X \otimes \pi_Y \otimes \pi_Z) \circ (\Delta \otimes C) \circ \Delta] \\
&= \text{Ker} [(\pi_X \otimes \pi_Y \otimes \pi_Z) \circ (C \otimes \Delta) \circ \Delta] \\
&= \text{Ker} [\pi_X \otimes ((\pi_Y \otimes \pi_Z) \circ \Delta) \circ \Delta] \\
&\stackrel{(10.1)}{=} \Delta^{\leftarrow} [C \otimes \text{Ker}(\pi_X) + \text{Ker}[(\pi_Y \otimes \pi_Z) \circ \Delta] \otimes C] \\
&= \Delta^{\leftarrow} [C \otimes X + (Y \wedge Z) \otimes C] \\
&= X \wedge (Y \wedge Z).
\end{aligned}$$

4) Let  $D$  and  $E$  be subcoalgebras of  $C$ . Then, by Proposition 9.15,  $D^\perp$  and  $E^\perp$  are two-sided ideals of  $C^*$  so that  $D^\perp * E^\perp$  is a two-sided ideal of  $C^*$  and hence, by 2) and Proposition 9.15,  $D \wedge E = (D^\perp * E^\perp)^\perp$  is a subcoalgebra of  $C$ .  $\square$

**Lemma 10.4.** *Let  $D$  and  $E$  be subcoalgebras of a coalgebra  $C$ . Then*

$$D \subseteq D \wedge E \text{ and } E \subseteq D \wedge E.$$

*Proof.* Since  $D$  is a subcoalgebra of  $C$  we have

$$\Delta(D) \subseteq D \otimes D \subseteq D \otimes C \subseteq D \otimes C + C \otimes E$$

so that, by 1) of Lemma 10.3, we get

$$D \subseteq \Delta^{\leftarrow}(C \otimes E + D \otimes C) = D \wedge E$$

$\square$

**Lemma 10.5.** *Let  $C$  be a  $k$ -coalgebra,  $D$  a subcoalgebra of  $C$  and  $E$  and  $F$  subcoalgebras of  $D$ . Then*

$$E \wedge_D F = (E \wedge_C F) \cap D.$$

*Proof.* We have that

$$E \wedge_D F = \text{Ker}(D \xrightarrow{\Delta_D} D \otimes D \xrightarrow{\pi_E^D \otimes \pi_F^D} D/E \otimes D/F).$$

Let  $i : D \rightarrow C$ ,  $i_{D/E} : D/E \rightarrow C/E$  and  $i_{D/F} : D/F \rightarrow C/F$  be the canonical inclusions. Then

$$\begin{aligned}
(i_{D/E} \otimes i_{D/F}) \circ (\pi_E^D \otimes \pi_F^D) \circ \Delta_D &= (\pi_E^C \circ i \otimes \pi_F^C \circ i) \\
&= (\pi_E^C \otimes \pi_F^C) \circ (i \otimes i) \circ \Delta_D \\
&= (\pi_E^C \otimes \pi_F^C) \circ \Delta_C \circ i
\end{aligned}$$

so that

$$\begin{aligned} E \wedge_D F &= \text{Ker} [(\pi_E^D \otimes \pi_F^D) \circ \Delta_D] = \text{Ker} [(i_{D/E} \otimes i_{D/F}) \circ (\pi_E^D \otimes \pi_F^D) \circ \Delta_D] \\ &= \text{Ker} [(\pi_E^C \otimes \pi_F^C) \circ \Delta_C \circ i] = i^\leftarrow (E \wedge_C F) = (E \wedge_C F) \cap D. \end{aligned}$$

□

**Lemma 10.6.** *Let  $C$  be a  $k$ -coalgebra,  $D$  a subcoalgebra of  $C$  and  $E$  a subcoalgebra of  $D$ . Then*

$$E \wedge_C E \subseteq D \wedge_C D.$$

*Proof.* Let  $\pi_D^E : C/E \rightarrow C/D$  be the canonical projection. Then

$$\pi_D^C = \pi_D^E \circ \pi_E^C$$

so that

$$\begin{aligned} D \wedge_C D &= \text{Ker} [(\pi_D^C \otimes \pi_D^C) \circ \Delta_C] = \text{Ker} \{[(\pi_D^E \circ \pi_E^C) \otimes (\pi_D^E \circ \pi_E^C)] \circ \Delta_C\} \\ &= \text{Ker} \{[(\pi_D^E \otimes \pi_D^E) \circ (\pi_E^C \otimes \pi_E^C)] \circ \Delta_C\} \end{aligned}$$

$$\begin{aligned} E \wedge_C E &= \text{Ker} [(\pi_E^C \otimes \pi_E^C) \circ \Delta_C] \subseteq \text{Ker} \{[(\pi_D^E \otimes \pi_D^E) \circ (\pi_E^C \otimes \pi_E^C)] \circ \Delta_C\} \\ &= \text{Ker} \{[(\pi_D^E \circ \pi_E^C) \otimes (\pi_D^E \circ \pi_E^C)] \circ \Delta_C\} \\ &= D \wedge_C D. \end{aligned}$$

□

We recall that the sequence  $(\Delta_n)_{n \geq 1}$  was defined by recursion by setting

$$\Delta_1 = \Delta \quad \text{and} \quad \Delta_n = (\Delta \otimes I^{n-1}) \circ \Delta_{n-1} \quad \text{for every } n \in \mathbb{N}, n \geq 2$$

and that, by Theorem 1.17, for every  $n, i, m \in \mathbb{N}, n \geq 2, 1 \leq i \leq n-1$  and  $0 \leq m \leq n-i$ ,

$$\Delta_n = (I^m \otimes \Delta_i \otimes I^{n-i-m}) \circ \Delta_{n-i}.$$

**Definition 10.7.** *Let  $C$  be a  $k$ -coalgebra and let  $X$  be a vector subspace of  $C$ . We define  $\bigwedge_C^n X = \bigwedge^n X$  as follows*

$$\bigwedge_C^n X = \text{Ker} [(\pi_X^C)^{\otimes n} \circ \Delta_{n-1}] \quad \text{for every } n \in \mathbb{N} \text{ where } \Delta_{-1} = \Delta_0 = (\pi_X^C)^{\otimes 0} = \text{Id}_C$$

$$\text{so that } \bigwedge_C^0 X = \{0\}, \quad \bigwedge_C^1 X = X.$$

**Lemma 10.8.** *Let  $C$  be a  $k$ -coalgebra and let  $X$  be a vector subspace of  $C$ . Then*

$$(10.6) \quad \bigwedge_C^a X \wedge \bigwedge_C^b X = \bigwedge_C^{a+b} X = \bigwedge_C^b X \wedge \bigwedge_C^a X \quad \text{for every } a, b \in \mathbb{N}, a, b \geq 1.$$

*Proof.* For every  $a, b \in \mathbb{N}, a, b \geq 1$ , we compute

$$\begin{aligned}
\bigwedge^a X \wedge \bigwedge^b X &= \Delta^\leftarrow \left[ C \otimes \left( \bigwedge^b X \right) + \left( \bigwedge^a X \right) \otimes C \right] = \\
&= \Delta^\leftarrow \left[ C \otimes \text{Ker} \left[ (\pi_X^C)^{\otimes b} \circ \Delta_{b-1} \right] + \text{Ker} \left[ (\pi_X^C)^{\otimes a} \circ \Delta_{a-1} \right] \otimes C \right] \\
&\stackrel{(10.1)}{=} \text{Ker} \left( \left\{ \left[ (\pi_X^C)^{\otimes a} \circ \Delta_{a-1} \right] \otimes \left[ (\pi_X^C)^{\otimes b} \circ \Delta_{b-1} \right] \right\} \circ \Delta \right) = \\
&= \text{Ker} \left( \left\{ \left[ (\pi_X^C)^{\otimes a} \otimes (\pi_X^C)^{\otimes b} \right] \circ [\Delta_{a-1} \otimes \Delta_{b-1}] \right\} \circ \Delta \right) \\
&= \text{Ker} \left[ (\pi_X^C)^{\otimes a+b} \circ (\Delta_{a-1} \otimes \Delta_{b-1}) \circ \Delta \right] \\
&= \text{Ker} \left[ (\pi_X^C)^{\otimes a+b} \circ (C^{\otimes a} \otimes \Delta_{b-1}) \circ (\Delta_{a-1} \otimes C) \circ \Delta \right] \\
&\stackrel{\text{Lemma}(1.16)}{=} \text{Ker} \left[ (\pi_X^C)^{\otimes a+b} \circ (C^{\otimes a} \otimes \Delta_{b-1}) \circ \Delta_a \right] = \text{Ker} \left[ (\pi_X^C)^{\otimes a+b} \circ \Delta_{a+b} \right] \\
&= \bigwedge^{a+b} X.
\end{aligned}$$

□

**Definition 10.9.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra. We define a sequence  $(C_n)_{n \in \mathbb{N}}$  of subspaces of  $C$  as follows : for  $n = -1$  we set  $C_{-1} = \{0\}$ , for  $n = 0$  we let  $C_0$  be the coradical of  $C$  and for each  $n \in \mathbb{N}, n \geq 1$  we set

$$C_n = \bigwedge^{n+1} C_0.$$

**Theorem 10.10.** For every  $n \in \mathbb{N}$ , we have that

- 1)  $C_{a+b+1} = C_a \wedge C_b$  for every  $a, b \in \mathbb{N}$ .
- 2)  $C_n$  is a subcoalgebra of  $C$ , for every  $n \in \mathbb{N}$ .
- 3)  $C_n \subseteq C_{n+1}$ , for every  $n \in \mathbb{N}$ .
- 4)  $\Delta(C_n) \subseteq \sum_{i=0}^n C_i \otimes C_{n-i}$ , for every  $n \in \mathbb{N}$ .
- 5)  $C = \bigcup_{n \geq 0} C_n$ .

*Proof.* 1) We have

$$C_a \wedge C_b = \bigwedge^{a+1} C_0 \wedge \bigwedge^{b+1} C_0 \stackrel{(10.6)}{=} \bigwedge^{a+1+b+1} C_0 = C_{a+b+1}$$

2) We proceed by induction on  $n \in \mathbb{N}$ . For  $n = 0$  we know that, by Proposition 9.6,  $C_0$  is a subcoalgebra of  $C$ . Let us assume that there exists an  $n \in \mathbb{N}, n \geq 1$  such

that  $C_{n-1}$  is a subcoalgebra of  $C$ . Then  $C_n = C_0 \wedge C_{n-1}$ , in view of 4) in Lemma 10.3, is a subcoalgebra of  $C$ .

3) By Lemma 10.4, for any subcoalgebra  $D$  and  $E$  we have

$$D \subseteq D \wedge E \text{ and } E \subseteq D \wedge E.$$

Then for every  $n \in \mathbb{N}$

$$C_n \subseteq C_n \wedge C_0 \stackrel{1)}{=} C_{n+1}.$$

4) In view of 1) we get

$$C_n = \left( \bigwedge^i C_0 \right) \wedge \left( \bigwedge^{n+1-i} C_0 \right)$$

for every  $1 \leq i \leq n$  so that, for every  $1 \leq i \leq n$  we obtain

$$\begin{aligned} \Delta(C_n) &= \Delta\left(\left(\bigwedge^i C_0\right) \wedge \left(\bigwedge^{n+1-i} C_0\right)\right) \\ &= \Delta\left[\Delta^{\leftarrow}\left(C \otimes \bigwedge^{n+1-i} C_0 + \bigwedge^i C_0 \otimes C\right)\right] \\ &\subseteq C \otimes \bigwedge^{n+1-i} C_0 + \bigwedge^i C_0 \otimes C \\ (10.7) \quad &= C \otimes C_{n-i} + C_{i-1} \otimes C. \end{aligned}$$

Moreover, since  $C_n$  is a subcoalgebra of  $C$ , for  $i = 0$  we have

$$\Delta(C_n) \subseteq C \otimes C_n + \{0\} \otimes C = C \otimes C_n$$

and for  $i = n + 1$

$$\Delta(C_n) \subseteq C \otimes \{0\} + C_n \otimes C = C_n \otimes C.$$

Now, for every vector space  $V$  and for every ascending chain of subspaces

$$\{0\} = V_0 \subseteq V_1 \subseteq \dots \subseteq V_n \subseteq \dots$$

by Lemma 15.4 we have that

$$(10.8) \quad \bigcap_{i=0}^{n+1} (V \otimes V_{n+1-i} + V_i \otimes V) = \sum_{i=1}^{n+1} V_i \otimes V_{n+2-i}.$$

Since we already know that, for every  $0 \leq i \leq n + 1$

$$\Delta(C_n) \subseteq C \otimes C_{n-i} + C_{i-1} \otimes C$$

i.e.

$$\Delta(C_n) \subseteq \bigcap_{i=0}^{n+1} (C \otimes C_{n-i} + C_{i-1} \otimes C)$$

we can apply (10.8) for  $V = C$  and  $V_i = C_{i-1}$  and get that

$$\begin{aligned} \Delta(C_n) &\subseteq \bigcap_{i=0}^{n+1} (C \otimes C_{n-i} + C_{i-1} \otimes C) \\ &= \sum_{i=1}^{n+1} C_{i-1} \otimes C_{n+1-i} \\ &= \sum_{i=0}^n C_i \otimes C_{n-i}. \end{aligned}$$

5) In view of Theorem 9.7,  $C$  is the union of its finite dimensional subcoalgebras. Thus let  $D$  be a finite dimensional subcoalgebra of  $C$  and let us prove that there exists an  $n \in \mathbb{N}$  such that  $D \subseteq C_n$ . Since  $D$  is finite dimensional we can apply Proposition 9.41 to get that  $\text{Jac}(D^*) = D_0^\perp$  and that there exists an  $n \in \mathbb{N}$  such that  $(D_0^\perp)^n = (D_0^\perp)^{n+1}$  so that, by Nakayama's Lemma, we obtain that  $(D_0^\perp)^n = \{0\}$ . Hence, by (10.3) we obtain that

$$D = \{0\}^\perp = ((D_0^\perp)^n)^\perp = \bigwedge_D^n D_0.$$

Now, by Lemma 10.5, we get that

$$\bigwedge_D^n D_0 \subseteq \bigwedge_C^n D_0$$

and by Lemma 9.40, we have

$$D_0 = C_0 \cap D.$$

Hence, by Lemma 10.6, we deduce that

$$\bigwedge_C^n D_0 \subseteq \bigwedge_C^n C_0$$

so that we finally obtain that

$$D = \bigwedge_D^n D_0 \subseteq \bigwedge_C^n C_0 = C_{n-1}.$$

□

**Lemma 10.11.** *Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$ . Then*

$$D_n = C_n \cap D \text{ for every } n \geq 0.$$

*Proof.* Let us proceed by induction on  $n$ . For  $n = 0$  the equality follows by Lemma 9.40. Assume now that the equality holds for some  $n \in \mathbb{N}$  and let us prove it for  $n + 1$ . We have

$$\begin{aligned} D \cap C_{n+1} &= D \cap \Delta_C^\leftarrow (C_n \otimes C + C \otimes C_0) = D \cap \Delta_C^\leftarrow [(D \otimes D) \cap (C_n \otimes C + C \otimes C_0)] \\ &\stackrel{\text{Lem15.5}}{=} D \cap \Delta_C^\leftarrow [(D \cap C_n) \otimes D + D \otimes (D \cap C_0)] \\ &\stackrel{\text{ind hyp}}{=} D \cap \Delta_C^\leftarrow (D_n \otimes D + D \otimes D_0) = \Delta_D^\leftarrow (D_n \otimes D + D \otimes D_0) = D_{n+1}. \end{aligned}$$

□

**Lemma 10.12.** *Let  $A$  be a  $k$ -algebra, let  $C$  be a  $k$ -coalgebra and let  $f \in \text{Hom}_k(C, A)$ . If  $f|_{C_0} = 0$  then  $f|_{C_n}^{n+1} = 0$  for every  $n \in \mathbb{N}$ .*

*Proof.* Let us proceed by induction on  $n \in \mathbb{N}$ . For  $n = 0$  there is nothing to prove. Assume that  $f|_{C_n}^{n+1} = 0$  for some  $n \in \mathbb{N}$  and let us prove that  $f|_{C_{n+1}}^{n+2} = 0$ . We have that

$$C_{n+1} = C_0 \wedge C_n = \Delta^\leftarrow (C \otimes C_n + C_0 \otimes C).$$

Thus, for every  $c \in C_{n+1}$  we can write

$$\Delta(c) = \sum_{i=1}^m a_i \otimes b_i + \sum_{j=1}^s c_j \otimes d_j \text{ where } m, s \in \mathbb{N}, a_i \in C, b_i \in C_n, c_j \in C_0, d_j \in C$$

for every  $i = 1, \dots, m$  and  $j = 1, \dots, s$

so that

$$\begin{aligned} f^{n+2}(c) &= (f * f^{n+1})(c) = \sum_{i=1}^m f(a_i) \cdot f^{n+1}(b_i) + \sum_{j=1}^s f(c_j) \cdot f^{n+1}(d_j) = \\ &= \sum_{i=1}^m f(a_i) \cdot 0 + \sum_{j=1}^s 0 \cdot f^{n+1}(d_j) = 0. \end{aligned}$$

□

**Proposition 10.13.** (*Takeuchi*) *Let  $A$  be a  $k$ -algebra and let  $C$  be a  $k$ -coalgebra. A map  $f \in \text{Hom}_k(C, A)$  is convolution invertible  $\Leftrightarrow f|_{C_0}$  is invertible in  $\text{Hom}_k(C_0, A)$ .*

*Proof.* "  $\Rightarrow$  " Let  $g \in \text{Hom}_k(C, A)$  be such that  $f * g = u_A \circ \varepsilon_C = g * f$  i.e.

$$\sum f(c_1) g(c_2) = \varepsilon_C(c) 1_A = \sum g(c_1) f(c_2) \text{ for every } c \in C.$$

Then we get

$$\sum f(c_1) g(c_2) = \varepsilon_C(c) 1_A = \sum g(c_1) f(c_2) \text{ for every } c \in C_0$$

i.e.  $f|_{C_0} * g|_{C_0} = u_A \circ \varepsilon_{C_0} = g|_{C_0} * f|_{C_0}$ .

"  $\Leftarrow$  " Let  $h \in \text{Hom}_k(C_0, A)$  be such that  $f|_{C_0} * h = u_A \circ \varepsilon_{C_0} = h * f|_{C_0}$ . Let  $W$  be a subvector space of  $C$  such that  $C = C_0 \oplus W$  and extend  $h$  to a map  $h' : C \rightarrow A$  by setting  $h'(W) = 0$ . Let  $\chi = u_A \circ \varepsilon_C - f * h'$ . Then  $\chi|_{C_0} = 0$  so that, by Lemma 10.12,  $\chi|_{C_n}^{n+1} = 0$  for every  $n \in \mathbb{N}$  and hence  $\sum_{n \in \mathbb{N}} \chi^n$  is by 5) in Theorem 10.10, well-defined on  $C$  and we have

$$(f * h') * \left( \sum_{n \in \mathbb{N}} \chi^n \right) = ((u_A \circ \varepsilon_C) - \chi) * \left( \sum_{n \in \mathbb{N}} \chi^n \right) = u_A \circ \varepsilon_C$$

so that  $h' * \left( \sum_{n \in \mathbb{N}} \chi^n \right)$  is a right inverse for  $f$ . Similarly let  $\gamma = u_A \circ \varepsilon_C - h' * f$ . Then  $\gamma|_{C_0} = 0$  so that, by Lemma 10.12,  $\gamma|_{C_n}^{n+1} = 0$  for every  $n \in \mathbb{N}$  and hence  $\sum_{n \in \mathbb{N}} \gamma^n$  is by 5) in Theorem 10.10, well-defined on  $C$  and we have

$$\left( \sum_{n \in \mathbb{N}} \gamma^n \right) * (h' * f) = \left( \sum_{n \in \mathbb{N}} \gamma^n \right) * ((u_A \circ \varepsilon_C) - \gamma) = u_A \circ \varepsilon_C$$

so that  $\left( \sum_{n \in \mathbb{N}} \gamma^n \right) * h'$  is a left inverse for  $f$ . □



# Chapter 11

## Algebra and Coalgebra Filtrations

**Definition 11.1.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra. We say that a sequence  $(V_n)_{n \in \mathbb{N}}$  of subspaces of  $C$  is a coalgebra filtration of  $C$  if

- 1)  $V_n \subseteq V_{n+1}$ , for every  $n \in \mathbb{N}$ .
- 2)  $\Delta V_n \subseteq \sum_{i=0}^n V_i \otimes V_{n-i}$ , for every  $n \in \mathbb{N}$ .
- 3)  $C = \cup_{n \geq 0} V_n$ .

In this case we also say that the coalgebra  $C$  is filtered.

**Definition 11.2.** Let  $(A, m, u)$  be a  $k$ -algebra. We say that a sequence  $(V_n)_{n \in \mathbb{N}}$  of subspaces of  $A$  is an algebra filtration of  $A$  if

- 1)  $V_n \subseteq V_{n+1}$ , for every  $n \in \mathbb{N}$ .
- 2)  $1_A \in V_0$  and  $V_i V_j \subseteq V_{i+j}$  for every  $i, j \in \mathbb{N}$ .
- 3)  $A = \cup_{n \geq 0} V_n$ .

In this case we also say that the algebra  $A$  is filtered.

**Definition 11.3.** Let  $(H, m, u, \Delta, \varepsilon, S)$  be a Hopf algebra over a field  $k$ . We say that a sequence  $(V_n)_{n \in \mathbb{N}}$  of subspaces of  $H$  is a Hopf algebra filtration of  $A$  if

- 1)  $(V_n)_{n \in \mathbb{N}}$  is a coalgebra filtration of  $H$ ;
- 2)  $(V_n)_{n \in \mathbb{N}}$  is an algebra filtration of  $H$ ;
- 3)  $S(V_n) \subseteq V_n$  for every  $n \in \mathbb{N}$ .

**Definition 11.4.** Let  $(C, \Delta, \varepsilon)$  be a  $k$ -coalgebra and let  $(C_n)_{n \in \mathbb{N}}$  be as in 10.9. Then, in view of Theorem 10.10,  $(C_n)_{n \in \mathbb{N}}$  is a coalgebra filtration of  $C$  which is called coradical filtration.

**Example 11.5.** *Let us provide an example of Hopf algebra filtration.*

*Let us consider the usual polynomial ring  $k[X]$  endowed with the usual Hopf algebra structure*

$$\Delta(X) = X \otimes 1 + 1 \otimes X \quad , \quad \varepsilon(X) = 0 \quad , \quad S(X) = -X.$$

*Let us set, for every  $n \in \mathbb{N}$ ,*

$$A_n = k + kX + kX^2 + \dots + kX^n$$

*and let us show that  $(A_n)$  is a Hopf algebra filtration. Clearly we have*

$$A_n \subseteq A_{n+1} \quad , \quad \bigcup_{n \geq 0} A_n = k[X] \quad , \quad S(A_n) \subseteq A_n \quad \text{and} \quad A_m A_n \subseteq A_{m+n} \quad \text{for all } m, n \in \mathbb{N}.$$

*Let us show that*

$$\Delta(A_n) \subseteq \sum_{i=0}^n A_i \otimes A_{n-i} \quad \text{for all } n \in \mathbb{N}.$$

*We compute*

$$\begin{aligned} \Delta(A_n) &= \Delta(k + \dots + kX^n) = k + k\Delta(X) + \dots + k\Delta(X^n) \\ &= k + k\Delta(X) + \dots + k\Delta(X)^n \\ &= k + k(X \otimes 1 + 1 \otimes X) + \dots + k(X \otimes 1 + 1 \otimes X)^n \\ &= k + X \otimes k + k \otimes X + \dots + k \left( \sum_{h=0}^n \binom{n}{h} (X \otimes 1)^h (1 \otimes X)^{n-h} \right) \\ &= k + X \otimes k + k \otimes X + \dots + k \left( \sum_{h=0}^n \binom{n}{h} (X^h \otimes 1) (1 \otimes X^{n-h}) \right) \\ &= k + X \otimes k + k \otimes X + \dots + \sum_{h=0}^n \binom{n}{h} (kX^h \otimes X^{n-h}) \\ &\subseteq \sum_{h=0}^n (kX^h \otimes kX^{n-h}) \subseteq \sum_{h=0}^n A_h \otimes A_{n-h}. \end{aligned}$$

**Proposition 11.6.** *Let  $(V_n)_{n \in \mathbb{N}}$  be a coalgebra filtration of a  $k$ -coalgebra  $C$ . Then*

1) *each  $V_n$  is a subcoalgebra of  $C$*

2)

$$(11.1) \quad \Delta V_n \subseteq V_0 \otimes V_n + V_n \otimes V_{n-1}$$

3)  $C_0 \subseteq V_0$ .

*Proof.* 1) and 2) From  $\Delta V_n \subseteq \sum_{i=0}^n V_i \otimes V_{n-i}$  and  $V_a \subseteq V_{a+1}$  for every  $n, a \in \mathbb{N}$  we get that  $\Delta V_n \subseteq V_n \otimes V_n$  and  $\Delta V_n \subseteq V_0 \otimes V_n + \sum_{i=1}^n V_i \otimes V_{n-i} \subseteq V_0 \otimes V_n + V_n \otimes V_{n-1}$ .

3) Let  $D$  be a simple subcoalgebra of  $C$ . In view of 1), it suffices to show that

$$D \cap V_0 \neq \{0\}.$$

Since  $C = \bigcup_{k \in \mathbb{N}} V_k$  there exists a minimum  $n$  such that  $D \cap V_n \neq \{0\}$ . We will show that  $n = 0$ . Let  $0 \neq d \in D \cap V_n$ . Assume that  $n > 0$ . We have

$$\Delta(d) \in \Delta(V_n) \subseteq \sum_{i=0}^n V_i \otimes V_{n-i}$$

so that there exists  $v_i \in V_i$  and  $w_i \in V_{n-i}$ , for every  $i = 1, \dots, n$  such that

$$(11.2) \quad \Delta(d) = \sum_{i=0}^n v_i \otimes w_i.$$

Let  $(b_i)_{i \in I}$  be a basis of  $V_0$  and let  $(b_j)_{j \in J}$ , where  $J \supseteq I$ , be a basis of  $C$ . Then we have

$$\Delta(d) = \sum_{j \in J} a_j \otimes b_j \text{ for some } a_j \in C, \text{ almost all } a_j = 0.$$

Then there exists a  $j_0 \in J \setminus I$  such that  $a_{j_0} \neq 0$ . In fact, otherwise we would get  $\Delta(d) \in C \otimes V_0$  and hence  $d = l_C(\varepsilon \otimes C)\Delta(d) \in V_0$ . Let  $f = (b_{j_0})^* \in C^*$  i.e.  $f(b_j) = \delta_{j_0 j}$  for every  $j \in J$ . Then

$$D \ni f \cdot d = \sum_{j \in J} d_1 f(d_2) = \sum_{j \in J} a_j f(b_j) = a_{j_0} \neq 0.$$

Note that  $f \in V_0^\perp$  and hence, in view of (11.2)

$$f \cdot d = \sum_{i=0}^n v_i f(w_i) = \sum_{i=0}^{n-1} v_i f(w_i) \in \sum_{i=0}^{n-1} V_i \subseteq V_{n-1}$$

so that

$$0 \neq f \cdot d \in D \cap V_{n-1}.$$

Contradiction. □

**Corollary 11.7.** *Let  $f : C \rightarrow D$  be a surjective morphism of  $k$ -coalgebras. Then  $D_0 \subseteq f(C_0)$ .*

*Proof.* Let  $(C_n)_{n \in \mathbb{N}}$  be the coradical filtration of  $C$  and let us prove that  $(V_n)_{n \in \mathbb{N}}$  with  $V_n = f(C_n)$  is a coalgebra filtration of  $D$ . Clearly, since  $C_n \subseteq C_{n+1}$  for every  $n \in \mathbb{N}$ ,

$$V_n = f(C_n) \subseteq f(C_{n+1}) = V_{n+1} \text{ for every } n \in \mathbb{N}.$$

Since  $f$  is surjective

$$D = f(C) = f \left[ \bigcup_{n \in \mathbb{N}} (C_n) \right] = \bigcup_{n \in \mathbb{N}} f(C_n) = \bigcup_{n \in \mathbb{N}} V_n$$

and since  $f$  is a coalgebra morphism, we have that

$$\Delta_D(V_n) = \Delta_D(f(C_n)) = (f \otimes f)(\Delta_C(C_n)) \subseteq (f \otimes f) \left( \sum_{i=0}^n C_i \otimes C_{n-i} \right) = \sum_{i=0}^n V_i \otimes V_{n-i}.$$

Then we can conclude by 3) of Proposition 11.6, that

$$D_0 \subseteq V_0 = f(C_0).$$

□

**Corollary 11.8.** *Let  $f : C \rightarrow D$  be a surjective morphism of  $k$ -coalgebras. Assume that  $D \neq \{0\}$ ,*

1) *If  $C$  is pointed, also  $D$  is pointed.*

2) *If  $C$  is connected, also  $D$  is connected.*

*Proof.* 1) By Corollary 11.7, we have that  $D_0 \subseteq f(C_0) = f(kG(C)) \subseteq kG(D) \subseteq D_0$ .

2) By Corollary 11.7, we have that  $\dim_k D_0 \leq \dim_k f(C_0) \leq 1$ . By Corollary 9.22 we deduce that  $\dim_k D_0 = 1$ . □

**Proposition 11.9.** *Let  $C$  be a  $k$ -coalgebra, let  $J = C_0^\perp$  in  $C^*$  and let  $W = \Omega_f(C^*)$  the set of all two-sided ideals of  $C^*$  of finite codimension. Then*

1)  $C_n = (J^{n+1})^\perp$ , for every  $n \in \mathbb{N}$

2)  $J = \text{Jac}(C^*) = \bigcap_{M \in W} M$

3)  $\bigcap_{n \geq 0} J^n = (0)$ . By Proposition 11.9 we have  $C_n = (J^{n+1})^\perp$

*Proof.* 1) By Lemma 9.14 we have  $C_0 = C_0^{\perp\perp} = J^\perp$  so that 1) holds for  $n = 0$ . Assume now that 1) holds for some  $n - 1 \in \mathbb{N}$ ,  $n \geq 1$  and let us prove it for  $n$ . We have

$$\begin{aligned} C_n &\stackrel{(11.1)}{=} \Delta^\leftarrow(C \otimes C_{n-1} + C_0 \otimes C) \stackrel{\text{indhyp}}{=} \Delta^\leftarrow(C \otimes (J^n)^\perp + J^\perp \otimes C) \stackrel{\text{Lemma 15.3}}{=} \\ &= \Delta^\leftarrow((J \otimes J^n)^\perp) = (J * J^n)^\perp = (J^{n+1})^\perp. \end{aligned}$$

2) Let  $f \in J$ . Then, for every  $n \in \mathbb{N}$ ,  $f^{n+1} \in J^{n+1}$  and hence, by 1),  $f^{n+1}(C_n) = 0$  so that it makes sense to consider the map  $g$  defined on  $C$  by setting

$$g = \sum_{n=0}^{\infty} f^n$$

where  $f^0 = \varepsilon$ . It is easy to show that  $g = (\varepsilon - f)^{-1}$  in  $C^*$ . Let  $f \in J$  and  $h \in C^*$ , then  $hf \in J$  in fact  $f(C_0) = 0$  and  $(hf)(C_0) = (h * f)(C_0)$  so that  $\varepsilon - hf$  has a left inverse. Hence, by Lemma 9.42, we get  $f \in \text{Jac}(C^*)$ . Therefore we obtain that  $J \subseteq \text{Jac}(C^*)$ . Now, by Corollary 8.12, every  $M \in W$  is a finite intersection of  $L \in \Omega_s$  so that we get

$$J \subseteq \text{Jac}(C^*) = \bigcap_{L \in \Omega_s} L \subseteq \bigcap_{M \in W} M.$$

Let  $\{D_\alpha \mid \alpha \in A\}$  be the set of simple subcoalgebras of  $C$ . Then, by Corollary 9.18, every  $D_a^\perp$  is a two-sided maximal ideal of  $C^*$  of finite codimension i.e.  $D_a^\perp \in W$ . Therefore we obtain

$$J \subseteq \text{Jac}(C^*) = \bigcap_{L \in \Omega_s} L \subseteq \bigcap_{M \in W} M \subseteq \bigcap_{\alpha \in A} D_\alpha^\perp = \left(\sum_{\alpha \in A} D_\alpha\right)^\perp = C_0^\perp = J$$

and hence

$$J = C_0^\perp = \left(\sum_{\alpha \in A} D_\alpha\right)^\perp = \bigcap_{\alpha \in A} D_\alpha^\perp = \bigcap_{M \in W} M.$$

3) Since, in view of 1), for every  $n \in \mathbb{N}, n \geq 1$ , we have  $J^n \subseteq (J^n)^{\perp\perp} = (C_{n-1})^\perp$  we obtain

$$\bigcap_{n \geq 1} J^n \subseteq \bigcap_{n \geq 1} (J^n)^{\perp\perp} = \bigcap_{n \geq 1} (C_{n-1})^\perp = \left(\sum_{n \geq 1} C_{n-1}\right)^\perp = C^\perp = \{0\}.$$

□

**Lemma 11.10.** *Let  $(H_n)$  be the coradical filtration of a Hopf algebra  $H$ . Then  $(H_n)$  is a Hopf algebra filtration of  $H \Leftrightarrow H_0$  is a Hopf subalgebra of  $H$ .*

*Proof.* "  $\Rightarrow$  " is trivial.

"  $\Leftarrow$  " Let us show, by induction on  $n \in \mathbb{N}$ , that  $S(H_n) \subseteq H_n$ . For  $n = 0$  this is trivial, since  $H_0$  is a Hopf subalgebra of  $H$ . Assume that for some  $n \in \mathbb{N}, n \geq 1$

$$S(H_i) \subseteq H_i \text{ for every } i < n.$$

By Theorem 3.7, we know that

$$\Delta S(H_n) = \tau(S \otimes S)\Delta(H_n).$$

where  $\tau : H \otimes H \rightarrow H \otimes H$  denotes the usual flip. Then we get

$$\begin{aligned}
\Delta S(H_n) &= \tau(S \otimes S)\Delta(H_n) \stackrel{\text{by 4) in Theo 10.10}}{\subseteq} \tau\left(\sum_{i=0}^n S(H_i) \otimes S(H_{n-i})\right) = \\
&= \sum_{i=0}^n S(H_{n-i}) \otimes S(H_i) \\
&= \sum_{i=1}^{n-1} S(H_{n-i}) \otimes S(H_i) + S(H_0) \otimes S(H_n) + S(H_n) \otimes S(H_0) \\
&\quad \stackrel{\text{ind hyp}}{\subseteq} \sum_{i=1}^{n-1} H_{n-i} \otimes H_i + H_0 \otimes H + H \otimes H_0 \subseteq \\
&\subseteq H \otimes H_{n-1} + H_0 \otimes H + H \otimes H_0 = H \otimes H_{n-1} + H_0 \otimes H
\end{aligned}$$

i.e.

$$\Delta S(H_n) \subseteq H \otimes H_{n-1} + H_0 \otimes H$$

so that

$$S(H_n) \subseteq \Delta^{\leftarrow}(H \otimes H_{n-1} + H_0 \otimes H) = H_n.$$

Let us show that

$$H_m H_n \subseteq H_{m+n} \text{ for every } m, n \in \mathbb{N}.$$

Assume  $n = 0$  and let us prove this by induction on  $m$ . For  $m = 0$  there is nothing to prove. Assume that, for some  $m \geq 1$ , we have  $H_{m-1}H_0 \subseteq H_{m-1}$ . Then we have

$$\begin{aligned}
\Delta(H_m H_0) &= \Delta(H_m)\Delta(H_0) \stackrel{11.1}{\subseteq} (H_0 \otimes H_m + H_m \otimes H_{m-1})(H_0 \otimes H_0) \subseteq \\
&\subseteq H_0^2 \otimes H + H \otimes H_{m-1}H_0 \\
&\subseteq H_0 \otimes H + H \otimes H_{m-1}
\end{aligned}$$

so that

$$H_m H_0 \subseteq \Delta^{\leftarrow}(H_0 \otimes H + H \otimes H_{m-1}) = H_m.$$

In a similar way we get that

$$H_0 H_n \subseteq H_n \text{ for every } n \geq 0.$$

Let us now show that  $H_m H_n \subseteq H_{m+n}$  by induction on  $t = m + n$ . If  $t = 0$  then  $m = 0 = n$  and there is nothing to prove. Assume now that the statement holds for some  $t - 1 \geq 0$  and let us prove it for  $t$ . In view of the foregoing, we can assume that  $m > 0$  and  $n > 0$ . We have

$$\begin{aligned}
&\Delta(H_m H_n) \stackrel{11.1}{\subseteq} (H_0 \otimes H_m + H_m \otimes H_{m-1})(H_0 \otimes H_n + H_n \otimes H_{n-1}) \\
&\subseteq H_0^2 \otimes H_m H_n + H_m H_0 \otimes H_{m-1} H_n + H_0 H_n \otimes H_m H_{n-1} + H_m H_n \otimes H_{m-1} H_{n-1} \\
&\subseteq H_0 \otimes H + H \otimes H_{m+n-1} + H \otimes H_{m+n-2} \\
&\subseteq H_0 \otimes H + H \otimes H_{m+n-1}
\end{aligned}$$

and hence

$$H_m H_n \subseteq \Delta^{\leftarrow}(H_0 \otimes H + H \otimes H_{m+n-1}) = H_{m+n}.$$

□

# Chapter 12

## Some Results on Connected Coalgebras

**Definition 12.1.** Let  $C$  be a connected  $k$ -coalgebra with  $G(C) = \{g\}$ . We set

$$P(C) = \{c \in C \mid \Delta(c) = c \otimes g + g \otimes c\}.$$

The elements of  $P(C)$  will be called primitive elements of  $C$ .

**Proposition 12.2.** Let  $C$  be a connected  $k$ -coalgebra with  $G(C) = \{g\}$ . Then

$$P(C) \subseteq \text{Ker}(\varepsilon) \quad \text{and} \quad C_1 = kg \oplus P(C).$$

*Proof.* Let  $x \in P(C)$ . We compute

$$\begin{aligned} x &= r_C(C \otimes \varepsilon)\Delta(x) = [r_C(C \otimes \varepsilon)](x \otimes g + g \otimes x) \\ &= r_C(x \otimes \varepsilon(g) + g \otimes \varepsilon(x)) = x\varepsilon(g) + g\varepsilon(x) = x + g\varepsilon(x) \end{aligned}$$

so that we get  $x = x + g\varepsilon(x)$  which implies that  $\varepsilon(x) = 0$ . Thus  $P(C) \subseteq \text{Ker}(\varepsilon)$ .

Note that

$$C_0 = kG(C) = kg$$

and denote by  $\pi_{C_0} : C \rightarrow C/C_0$  the canonical projection. Then for every  $x \in P(C)$  we have

$$\begin{aligned} (\pi_{C_0} \otimes \pi_{C_0})\Delta(x) &= (\pi_{C_0} \otimes \pi_{C_0})(x \otimes g + g \otimes x) \\ &= \pi_{C_0}(x) \otimes \pi_{C_0}(g) + \pi_{C_0}(g) \otimes \pi_{C_0}(x) \\ &= \pi_{C_0}(x) \otimes 0 + 0 \otimes \pi_{C_0}(x) = 0. \end{aligned}$$

Thus

$$P(C) \subseteq \text{Ker}((\pi_{C_0} \otimes \pi_{C_0})\Delta) = C_0 \wedge C_0 = C_1.$$

Now, by Theorem 10.10, we have that  $kg = C_0 \subseteq C_1$  so that we get that  $kg + P(C) \subseteq C_1$ . Let  $d = \lambda g \in kg \cap P(C)$ ,  $\lambda \in k$ . Then we have

$$0 = \varepsilon(d) = \lambda\varepsilon(g) = \lambda$$



and hence the sum  $kg + P(C)$  is direct. Let now  $c \in C_1$  and set

$$d = c - \varepsilon(c)g.$$

Then  $d \in C_1$ . We compute

$$\varepsilon(d) = \varepsilon(c) - \varepsilon(c)\varepsilon(g) = \varepsilon(c) - \varepsilon(c) = 0.$$

Since  $d \in C_1$  and by Theorem 10.10  $\Delta(C_1) \subseteq \sum_{i=0}^1 C_i \otimes C_{1-i} = C_0 \otimes C_1 + C_1 \otimes C_0$  there exist  $d_1, d_2 \in C_1$  such

$$\Delta(d) = d_1 \otimes g + g \otimes d_2$$

so that we get

$$\begin{aligned} 0 = \varepsilon(d) = m_k(\varepsilon \otimes \varepsilon)\Delta(d) &= \varepsilon(d_1)\varepsilon(g) + \varepsilon(g)\varepsilon(d_2) \\ &= \varepsilon(d_1) + \varepsilon(d_2) \end{aligned}$$

and also

$$d_1 + g\varepsilon(d_2) = d = \varepsilon(d_1)g + d_2.$$

Therefore we obtain

$$\begin{aligned} \Delta(d) &= d_1 \otimes g + g \otimes d_2 \\ &= (d - g\varepsilon(d_2)) \otimes g + g \otimes (d - \varepsilon(d_1)g) \\ &= d \otimes g - [g \otimes g(\varepsilon(d_2) + \varepsilon(d_1))] + g \otimes d \\ &= d \otimes g + g \otimes d \end{aligned}$$

i.e.  $d = c - \varepsilon(c)g \in P(C)$  and hence  $c = \varepsilon(c)g + d \in kg \oplus P(C)$ . □

**Definition 12.3.** Let  $C$  be a  $k$ -coalgebra. We set

$$C_n^+ = C_n \cap \text{Ker}(\varepsilon).$$

**Lemma 12.4.** Let  $C$  be a connected  $k$ -coalgebra with  $G(C) = \{g\}$ .

1) Then for every  $n \in \mathbb{N}$ ,  $n \geq 1$  and  $c \in C_n$ , we have that

$$\Delta(c) = c \otimes g + g \otimes c + y \quad \text{where } y \in C_{n-1} \otimes C_{n-1}.$$

2) Then for every  $n \in \mathbb{N}$ ,  $n \geq 1$  and  $c \in C_n^+$  we have that

$$\Delta(c) = c \otimes g + g \otimes c + y \quad \text{where } y \in C_{n-1}^+ \otimes C_{n-1}^+.$$

*Proof.* Let  $c \in C_n$ . By 4) of Theorem 10.10, we have that

$$\Delta(c) \in \sum_{i=0}^n C_i \otimes C_{n-i} = C_n \otimes C_0 + C_0 \otimes C_n + \sum_{i=1}^{n-1} C_i \otimes C_{n-i}.$$

Since  $C_0 = kg$  we may write

$$\Delta(c) = a \otimes g + g \otimes b + w \text{ where } a, b \in C_n \text{ and } w \in C_{n-1} \otimes C_{n-1}.$$

We compute

$$\begin{aligned} c = r_C(C \otimes \varepsilon)\Delta(c) &= a\varepsilon(g) + g\varepsilon(b) + r_C(C \otimes \varepsilon)w \\ &= a + g\varepsilon(b) + r_C(C \otimes \varepsilon)w \\ &\in a + C_0 + C_{n-1} \subseteq a + C_{n-1}. \end{aligned}$$

Thus we deduce that  $a - c = c' \in C_{n-1}$ . Analogously we have

$$\begin{aligned} c = l_C(\varepsilon \otimes C)\Delta(c) &= \varepsilon(a)g + \varepsilon(g)b + l_C(\varepsilon \otimes C)w \\ &= \varepsilon(a)g + b + l_C(\varepsilon \otimes C)w \\ &\in b + C_0 + C_{n-1} \subseteq b + C_{n-1} \end{aligned}$$

so that  $b - c = c'' \in C_{n-1}$ . Set

$$y = w + c' \otimes g + g \otimes c'' \in C_{n-1} \otimes C_{n-1}.$$

Then we get

$$\begin{aligned} \Delta(c) &= a \otimes g + g \otimes b + w = a \otimes g + g \otimes b + y - c' \otimes g - g \otimes c'' \\ &= (a - c') \otimes g + g \otimes (b - c'') + y \\ &= c \otimes g + g \otimes c + y \quad \text{where } y \in C_{n-1} \otimes C_{n-1}. \end{aligned}$$

Assume now that  $c \in C_n^+$ . We compute

$$\begin{aligned} r_C(C \otimes \varepsilon)(y) &= r_C(C \otimes \varepsilon)\Delta(c) - c\varepsilon(g) - g\varepsilon(c) \\ &= c - c - g\varepsilon(c) = 0 \end{aligned}$$

and also

$$\begin{aligned} l_C(\varepsilon \otimes C)y &= l_C(\varepsilon \otimes C)\Delta(c) - \varepsilon(c)g - \varepsilon(g)c \\ &= c - \varepsilon(c)g - c = 0. \end{aligned}$$

Thus we obtain that  $y \in \text{Ker}(C \otimes \varepsilon) = \text{Ker}(\text{Id}_C) \otimes C + C \otimes \text{Ker}(\varepsilon) = C \otimes \text{Ker}(\varepsilon)$  and also that  $y \in \text{Ker}(\varepsilon \otimes C) = \text{Ker}(\varepsilon) \otimes C + C \otimes \text{Ker}(\text{Id}_C) = \text{Ker}(\varepsilon) \otimes C$ . We deduce that

$$y \in (\text{Ker}(\varepsilon) \otimes C) \cap (C \otimes \text{Ker}(\varepsilon)) = \text{Ker}(\varepsilon) \otimes \text{Ker}(\varepsilon)$$

and hence, by the foregoing, we obtain that

$$y \in (C_{n-1} \otimes C_{n-1}) \cap (\text{Ker}(\varepsilon) \otimes \text{Ker}(\varepsilon)) = C_{n-1}^+ \otimes C_{n-1}^+.$$

□

**Lemma 12.5.** *Let  $C$  be a connected  $k$ -coalgebra with  $G(C) = \{g\}$ . Let  $f : C \rightarrow D$  be a coalgebra morphism such that  $f|_{P(C)}$  is injective. Then  $f$  is injective.*

*Proof.* We will show that  $f|_{C_n}$  is injective for every  $n \in \mathbb{N}$ . We will proceed by induction on  $n$ . Since  $f$  is a coalgebra morphism we have  $\varepsilon_D(f(g)) = (\varepsilon_D \circ f)(g) = \varepsilon_C(g) = 1$  and hence we deduce that  $f(g) \neq 0$  and hence  $f|_{C_0}$  is injective. Let us assume that  $f|_{C_n}$  is injective for some  $n \in \mathbb{N}$  and let  $x \in C_{n+1} \cap \text{Ker}(f)$ . Now, by Lemma 12.4

$$\Delta(x) = x \otimes g + g \otimes x + y, \quad \text{where } y \in C_n \otimes C_n$$

and hence

$$0 = \Delta(f(x)) = (f \otimes f)\Delta(x) = f(x) \otimes f(g) + f(g) \otimes f(x) + (f \otimes f)(y) = (f \otimes f)(y).$$

Since  $f|_{C_n}$  is injective, also  $f|_{C_n} \otimes f|_{C_n}$  is injective so that we deduce that  $y = 0$ . Thus  $\Delta(x) = x \otimes g + g \otimes x$  so that  $x \in P(C)$ . Now, by hypothesis,  $f|_{P(C)}$  is injective and hence we get that  $x = 0$ . □

# Chapter 13

## Separable algebras

We start by recalling the celebrated

**Theorem 13.1.** (*Wedderburn-Artin Theorem*) *Let  $R$  be a ring.  ${}_R R$  is semisimple if and only if  $R$  is isomorphic to a direct product of rings, each isomorphic to a finite matrix ring  $M_n(D)$  over a division ring  $D$ .*

By Wedderburn Artin Theorem it is clear that for a given ring  $R$  we have

$${}_R R \text{ is semisimple} \iff R_R \text{ is semisimple}$$

**Definition 13.2.** *Let  $R$  be a ring.  $R$  is called semisimple if  ${}_R R$  is semisimple.*

**Lemma 13.3.** *Let  $R$  be a ring and assume that  ${}_R R$  is artinian. Then there exists an  $n \in \mathbb{N}$ ,  $n \geq 1$  and maximal left ideals of  $R$ ,  $L_1, \dots, L_n$  such that*

$$L_1 \cap \dots \cap L_n = \{0\}.$$

*Proof.* For every  $F \in P_0(\Omega_l(R))$ , let  $J_F = \bigcap_{L \in F} L$  and let

$$X = \{J_F \mid F \in P_0(\Omega_l(R))\}.$$

Since  ${}_R R$  is artinian,  $X$  has a minimal element. Let  $F_0 \in P_0(\Omega_l(R))$  be such that  $J_{F_0}$  is a minimal element for  $X$ . Then, for every  $L \in \Omega_l(R)$ , we have that

$$J_{F_0} \cap L = J_{F_0 \cup \{L\}} \subseteq J_{F_0}$$

and hence, by the minimality of  $J_{F_0}$ , we obtain  $J_{F_0} = J_{F_0} \cap L \subseteq L$ . Thus we get that  $J_{F_0} \subseteq \text{Jac}(R) \subseteq J_{F_0}$  and hence  $J_{F_0} = \text{Jac}(R)$ .  $\square$

**Proposition 13.4.** *Let  $R$  be a ring and assume that  ${}_R R$  is artinian. Then the following statements are equivalent*

- (a)  $R$  is semisimple.
- (b)  $J(R) = \{0\}$
- (c)  $R$  has no non-zero two-sided nilpotent ideal.

*Proof.* (a)  $\Rightarrow$  (b) is trivial in view of Wedderburn Artin Theorem.

(b)  $\Rightarrow$  (c) is trivial since by Lemma 9.43 every nilpotent two-sided ideal is contained in  $J(R) = \{0\}$

(c)  $\Rightarrow$  (b) Since  ${}_R R$  is artinian, there exists an  $n \in \mathbb{N}$  such that

$$J(R)^n = J(R)^{n+1}$$

Since  ${}_R R$  is noetherian (see [AF, Theorem 15.20]),  ${}_R J(R)^n$  is finitely generated and hence, by Nakayama's Lemma, we get that  $J(R)^n = \{0\}$  so that we get  $J(R) = \{0\}$ .

(b)  $\Rightarrow$  (a) Since  ${}_R R$  is artinian, by Lemma 13.3, there exists a finite number of maximal left ideals of  $R$  say  $L_1, \dots, L_n$  such that

$$L_1 \cap \dots \cap L_n = \{0\}.$$

Thus  ${}_R R$  embeds in the direct sum of a finite number of simple left  $R$ -modules and hence (see [AF, Proposition 9.4]), it is semisimple.  $\square$

**Corollary 13.5.** *Let  $A$  be a finite dimensional algebra over a field  $k$ . Then*

*$A$  is semisimple  $\Leftrightarrow J(A) = \{0\} \Leftrightarrow A$  contains no non-zero two-sided nilpotent ideal.*

*Proof.* Since  ${}_A A$  is artinian, just apply Proposition 13.4.  $\square$

**Definition 13.6.** *An algebra  $A$  over a field  $k$  is called classically separable if, for every field extension  $L$  of  $k$ , the Jacobson radical of the  $L$ -algebra  $A_{(L)} = A \otimes_k L$  is zero.*

**Proposition 13.7.** *Let  $A$  be a finite dimensional algebra over a field  $k$ . Then the following are equivalent:*

(a)  *$A$  is classically separable.*

(b) *For every field extension  $L$  of  $k$ , the  $L$ -algebra  $A_{(L)}$  is semisimple.*

(c) *For every field extension  $L$  of  $k$ , the  $L$ -algebra  $A_{(L)}$  contains no non-zero two-sided nilpotent ideal.*

*Proof.* For every field extension  $L$  of  $k$ , we have that

$$\dim_L (A_{(L)}) = \dim_k (A) < \infty$$

Apply now Corollary 13.5.  $\square$

**Proposition 13.8.** *Let  $F$  be a finite field extension of a field  $k$ . Then*

*$F$  is a classically separable  $k$ -algebra  $\Leftrightarrow$  every  $u \in F$  is separable over  $k$ .*

*Proof.* ( $\Rightarrow$ ) Let  $u \in F$ , let  $f_u$  be the minimal polynomial of  $u$  over  $k$  and let  $L$  be a splitting field of  $f_u$  over  $k$ . Then

$$\frac{L[X]}{(f_u)} \cong k[u] \otimes_k L \subseteq F \otimes_k L.$$

Let

$$f_u = (X - \alpha_1)^{t_1} \cdots (X - \alpha_n)^{t_n}$$

where  $\alpha_1, \dots, \alpha_n$  are the distinct root of  $f_u$  in  $L$ . Then, by the Chinese Remainder's Theorem, we have a ring isomorphism

$$\frac{L[X]}{(f_u)} \cong \frac{L[X]}{((X - \alpha_1)^{t_1})} \times \cdots \times \frac{L[X]}{((X - \alpha_n)^{t_n})}$$

Thus, any  $t_i > 1$  gives rise to a nilpotent ideal of  $k[u] \otimes_k L$  and hence of  $F \otimes_k L$ . Since  $\dim_L F \otimes_k L = \dim_k F < \infty$ , the conclusion follows in view of Proposition 13.7.

( $\Leftarrow$ ) Assume that every element  $u \in F$  is separable over  $k$ . Then, by the Theorem of the Primitive Element, there exists an  $u \in F$  such that  $F = k(u)$  and the minimal polynomial  $f_u$  of  $u$  over  $k$  is separable over  $k$ . Let  $L$  be a field extension of  $k$  and let

$$f_u = h_1 \cdots h_t$$

be the factorization of  $f_u$  as a product of irreducible factors in  $L[X]$ . Let  $M$  be a splitting field of  $f_u$  over  $k$ . Then in  $M[X]$  we can write

$$f_u = (X - \alpha_1) \cdots (X - \alpha_n)$$

where  $\alpha_1, \dots, \alpha_n$  are all distinct. Considering the field extension  $L(\alpha_1, \dots, \alpha_n)$ , we deduce that  $h_1, \dots, h_t$  are two by two not associated. Then, by the Chinese Remainder's Theorem, we get

$$F \otimes_k L = k(u) \otimes_k L \cong \frac{k[X]}{(f_u)} \otimes_k L \cong \frac{L[X]}{(f_u)} \cong \frac{L[X]}{(h_1)} \times \cdots \times \frac{L[X]}{(h_t)}.$$

Since each  $L[X]/(h_i)$  is a field, it follows that  $F \otimes_k L$  contains no non-zero nilpotent ideal.  $\square$

**Definition 13.9.** Let  $R$  be a commutative ring. An  $R$ -algebra  $A$  is called separable if the multiplication map

$$m_A : A \otimes_R A \rightarrow A$$

has a section  $\sigma$  (i.e.  $m_A \sigma = \text{Id}_A$ ) which is an  $A$ -bimodule homomorphism.

**Proposition 13.10.** Let  $R$  be a commutative ring and let  $A$  be a separable  $R$ -algebra. Given a section  $\sigma$  of  $m_A$  which is an  $A$ -bimodule homomorphism, set

$$e = \sigma(1_A) \quad \text{and write} \quad e = \sum_{i=1}^n x_i \otimes_R y_i$$

for suitable  $n \in \mathbb{N}$  and  $x_i, y_i \in A$  for every  $i = 1, \dots, n$ .

Then we have

$$(13.1) \quad m_A(e) = 1_A \quad \text{i.e.} \quad \sum_{i=1}^n x_i y_i = 1_A$$

and

$$(13.2) \quad ae = ea \quad \text{i.e.} \quad \sum_{i=1}^n ax_i \otimes_R y_i = \sum_{i=1}^n x_i \otimes_R y_i a \quad \text{for every } a \in A.$$

*Proof.* Equalities (13.1) and (13.2) follows directly from being  $\sigma$  an  $A$ -bimodule section of  $m_A$ . □

**Definition 13.11.** Let  $A$  be an algebra over a commutative ring  $R$ . An element  $e \in A \otimes_R A$  is called a separability element (or also an idempotent) for  $A$  (over  $R$ ) if  $e$  fulfills (13.1) and (13.2).

**Proposition 13.12.** Let  $A$  be an algebra over a commutative ring  $R$ . Then

$A$  is a separable  $R$ -algebra  $\Leftrightarrow A \otimes_R A$  contains a separability element for  $A$  over  $R$ .

Moreover any separability element of  $A$  is an idempotent element of the ring  $A \otimes_R A^{op}$ .

*Proof.* Let  $e$  be a separability element for  $A$  and define a map

$$\sigma : A \rightarrow A \otimes_R A$$

by setting

$$\sigma(a) = ae.$$

Then  $\sigma$  is an  $A$ -bimodule homomorphism and a section of  $m_A$ . Write

$$e = \sum_{i=1}^n x_i \otimes_R y_i.$$

Then we have:

$$\begin{aligned} e &= \sigma(1_A) = \sigma\left(\sum_{i=1}^n x_i y_i\right) = \sigma\left(\sum_{i=1}^n x_i 1_A y_i\right) = \sum_{i=1}^n x_i \sigma(1_A) y_i = \\ &= \sigma(1_A) \cdot_{A \otimes_R A^{op}} \sigma(1_A) = e^2. \end{aligned}$$

The other implication is Proposition 13.10. □

**Lemma 13.13.** Let  $A$  be a separable algebra over a commutative ring  $R$ . If  $L$  is a two-sided ideal of  $A$  then  $A/L$  is a separable  $R$ -algebra.

*Proof.* Let  $p : A \rightarrow A/L$  be the canonical projection. Let  $e$  be a separability element of  $A$  over  $R$  and let us prove that  $\bar{e} = (p \otimes p)(e)$  is a separability element for  $A/L$  over  $R$ . We compute

$$m_{A/L}(\bar{e}) = [m_{A/L}(p \otimes p)](e) = [p \circ m_A](e) = p(1_A) = 1_{A/L}.$$

Write  $e = \sum_{i=1}^n x_i \otimes_R y_i$  for suitable  $n \in \mathbb{N}$  and  $x_i, y_i \in A$  for every  $i = 1, \dots, n$ . For every  $a \in A$  we have

$$\begin{aligned} (a+L)\bar{e} &= (a+L)[(p \otimes p)(e)] = (a+L) \left[ \sum_{i=1}^n (x_i+L) \otimes_R (y_i+L) \right] = \\ &= \sum_{i=1}^n (ax_i+L) \otimes_R (y_i+L) = (p \otimes p)(ae) \\ &= (p \otimes p)(ea) = \sum_{i=1}^n (x_i+L) \otimes_R (y_i a+L) = \\ &= \left[ \sum_{i=1}^n (x_i+L) \otimes_R (y_i+L) \right] (a+L) = [(p \otimes p)(e)](a+L) \\ &= \bar{e}(a+L). \end{aligned}$$

□

**Proposition 13.14.** *Let  $R$  be a commutative ring and let  $n \in \mathbb{N}$ ,  $n \geq 1$ . Then the matrix ring  $M_n(R)$  is a separable  $R$ -algebra.*

*Proof.* Let  $e_{i,j} \in M_n(R) = A$  be the matrix defined by

$$(e_{i,j})_{(i,j)} = 1_R \quad \text{and} \quad (e_{i,j})_{(h,k)} = 0 \quad \text{for every } (h,k) \neq (i,j)$$

and set

$$e = \sum_{i=1}^n e_{i,1} \otimes_R e_{1,i}$$

Then

$$m_R(e) = \sum_{i=1}^n e_{i,i} = 1_A$$

and, for every  $h, k = 1, \dots, n$ , we have

$$\begin{aligned} e_{h,k} \cdot e &= \sum_{i=1}^n e_{h,k} \cdot e_{i,1} \otimes_R e_{1,i} = e_{h,1} \otimes_R e_{1,k} \\ e \cdot e_{h,k} &= \sum_{i=1}^n e_{i,1} \otimes_R e_{1,i} \cdot e_{h,k} = e_{h,1} \otimes_R e_{1,k} \end{aligned}$$

Therefore  $e$  is a separability element for  $M_n(R)$  over  $R$ . □



**Proposition 13.15.** *Let  $R$  be a commutative ring and let  $G$  be a finite group whose order  $n$  is a invertible in  $R$ . Then the group algebra  $A = RG$  is a separable  $R$ -algebra.*

*Proof.* Let

$$e = (n1_A)^{-1} \sum_{g \in G} g \otimes_R g^{-1}.$$

Then

$$m_R(e) = (n1_A)^{-1} \cdot (n1_A) = 1_A$$

and, for every  $h \in G$ , we have

$$h \cdot e = (n1_A)^{-1} \sum_{g \in G} hg \otimes_R g^{-1} = (n1_A)^{-1} \sum_{t \in G} t \otimes_R t^{-1}h = e \cdot h.$$

Therefore the element  $e$  is a separability element for  $RG$  over  $R$ . □

**Proposition 13.16.** *Let  $A$  be an algebra over a field  $k$ . Then*

$$A \text{ separable over } k \quad \Rightarrow \quad \dim_k(A) < \infty.$$

*Proof.* Let

$$e = \sum_{j=1}^n x_j \otimes y_j$$

be a separability element for  $A$  over  $k$ . For every  $a \in A$  we have

$$\sum_{j=1}^n ax_j \otimes y_j = \sum_{j=1}^n x_j \otimes y_j a.$$

Let  $(e_i)_{i \in I}$  be a basis of  $A$  over  $k$  and for every  $i \in I$  let  $e_i^* : A \rightarrow k$  be the  $k$ -linear map defined by

$$e_i^*(e_j) = \delta_{ij}$$

Then, for every  $i \in I$ , we have

$$(13.3) \quad \sum_{j=1}^n ax_j \otimes e_i^*(y_j) = \sum_{j=1}^n x_j \otimes e_i^*(y_j a).$$

Now any element  $r \in A$  can be uniquely written as

$$r = \sum_{i \in F(r)} e_i^*(r) e_i$$

where  $F(r)$  is a suitable finite subset of  $I$ .

Set

$$F = \bigcup_{j=1, \dots, n} F(y_j).$$

Thus, using (13.3) we obtain

$$\begin{aligned}
\sum_{j=1}^n ax_j \otimes y_j &= \sum_{j=1}^n ax_j \otimes \sum_{i \in F(y_j)} e_i^*(y_j) e_i \\
&= \sum_{j=1}^n ax_j \otimes \sum_{i \in F} e_i^*(y_j) e_i \\
&= \sum_{j=1}^n \sum_{i \in F} ax_j \otimes e_i^*(y_j) e_i \\
&= \sum_{j=1}^n \sum_{i \in F} x_j \otimes e_i^*(y_j a) e_i \\
&= \sum_{i \in F} \sum_{j=1}^n x_j \otimes e_i^*(y_j a) e_i
\end{aligned}$$

so that we obtain

$$\begin{aligned}
a &= m\sigma(a) = m\left(\sum_{j=1}^n ax_j \otimes y_j\right) \\
&= m\left(\sum_{i \in F} \sum_{j=1}^n x_j \otimes e_i^*(y_j a) e_i\right) \\
&= \sum_{i \in F} \sum_{j=1}^n x_j e_i^*(y_j a) e_i = \sum_{i \in F} \sum_{j=1}^n e_i^*(y_j a) x_j e_i.
\end{aligned}$$

It follows that the set  $\{x_j e_i \mid j = 1, \dots, n, i \in F\}$  is a set of generators for  $A$  over  $k$ .  $\square$

**Proposition 13.17.** *Let  $A$  be a separable algebra over a field  $k$ . Then  $A$  is semisimple.*

*Proof.* Let  $\sigma$  be a section of the multiplication map  $m_A : A \otimes_k A \rightarrow A$  which is an  $A$ -bimodule homomorphism and let

$$e = \sum_{i=1}^n a_i \otimes_k b_i$$

be a separability element of  $A$  over  $k$ .

We will prove that any epimorphism

$$f : M \rightarrow N$$

of left  $A$ -modules splits in  $A\text{-Mod}$ . Let  $s : N \rightarrow M$  be a section of  $f$  in  $k\text{-Mod}$  and let us define a map

$$\sigma : N \rightarrow M$$

by setting

$$\sigma(x) = \sum_{i=1}^n a_i s(b_i x).$$

Clearly we have

$$f\sigma(x) = f\left[\sum_{i=1}^n a_i s(b_i x)\right] = \sum_{i=1}^n a_i f[s(b_i x)] = \sum_{i=1}^n a_i (b_i x) = x$$

so that  $\sigma$  is a section of  $f$ . Now in  $A \otimes_k A$  we have, for every  $a \in A$

$$\sum_{i=1}^n aa_i \otimes b_i = \sum_{i=1}^n a_i \otimes b_i a$$

so that in  $A \otimes_k A \otimes_k N$  we have, for every  $a \in A$  and  $x \in N$

$$\sum_{i=1}^n aa_i \otimes b_i \otimes x = \sum_{i=1}^n a_i \otimes b_i a \otimes x$$

Let  $\mu_M$  (resp.  $\mu_N$ ) be the multiplication map on  $M$  (resp. on  $N$ ):

$$\mu_M : A \otimes_k M \rightarrow M.$$

Then, for every  $a \in A$  and for every  $x \in N$ , we have

$$\begin{aligned} a\sigma(x) &= \sum_{i=1}^n aa_i s(b_i x) \\ &= \mu_N(A \otimes s)(A \otimes \mu_M)\left(\sum_{i=1}^n aa_i \otimes b_i \otimes x\right) \\ &= \left[\mu_N(A \otimes s)(A \otimes \mu_M)\left(\sum_{i=1}^n a_i \otimes b_i a \otimes x\right)\right] \\ &= \sum_{i=1}^n a_i s(b_i a x) = \sigma(ax) \end{aligned}$$

and hence  $\sigma$  is a morphism of left  $A$ -modules. □

**Proposition 13.18.** *Let  $R$  be a commutative ring, let  $A$  be an  $R$ -algebra and let  $S$  be a commutative  $R$ -algebra. Then*

$$A \text{ is a separable } R\text{-algebra} \quad \Rightarrow \quad A_{(S)} = A \otimes_R S \text{ is a separable } S\text{-algebra.}$$

*Moreover if we assume that  $R$  is a subring of  $S$  and  $\pi : S \rightarrow R$  is an  $R$ -bilinear retraction of the canonical inclusion  $\iota : R \rightarrow S$ , then*

$$A_{(S)} = A \otimes_R S \text{ is a separable } S\text{-algebra} \quad \Rightarrow \quad A \text{ is a separable } R\text{-algebra.}$$

*Proof.* Let us remark that for any  $R$ -algebra  $B$ , the  $S$ -algebra structure (and hence the  $S$ -bimodule structure) of  $B_{(S)} = B \otimes_R S$  is via the ring homomorphism

$$\lambda : S \rightarrow B \otimes_R S = B_{(S)}$$

defined by setting

$$\lambda(s) = 1 \otimes_R s$$

whose image lies in the center of  $B_{(S)}$ . This applies, in particular when  $B = A$  or  $B = A \otimes_R A$ .

The map

$$\phi : (A \otimes_R S) \otimes_S (A \otimes_R S) = A_{(S)} \otimes_S A_{(S)} \longrightarrow (A \otimes_R A) \otimes_R S = (A \otimes_R A)_{(S)}$$

defined by setting

$$\phi((a \otimes_R s) \otimes_S (b \otimes_R t)) = (a \otimes_R b) \otimes_S st$$

is well defined and an  $S$ -algebra isomorphism whose inverse is the map

$$\psi : A \otimes_R A \otimes_R S = (A \otimes_R A)_{(S)} \longrightarrow (A \otimes_R S) \otimes_S (A \otimes_R S) = A_{(S)} \otimes_S A_{(S)}$$

defined by setting

$$\psi(a \otimes_R b \otimes_R s) = (a \otimes_R 1_S) \otimes_S (b \otimes_R s).$$

Let us note that  $\phi$  is also an  $A_{(S)} = (A \otimes_R S)$ -bimodule homomorphism since the  $A_{(S)}$ -bimodule structure on  $(A \otimes_R A)_{(S)}$  is given by

$$(c \otimes_R w) \cdot [(a \otimes_R b) \otimes_S s] = (ca \otimes_R b) \otimes_S ws$$

and

$$[(a \otimes_R b) \otimes_S s] \cdot (c \otimes_R w) = (a \otimes_R bc) \otimes_S sw$$

so that

$$\begin{aligned} \phi((c \otimes_R w) \cdot [(a \otimes_R s) \otimes_S (b \otimes_R t)]) &= \phi((ca \otimes_R ws) \otimes_S (b \otimes_R t)) \\ &= (ca \otimes_R b) \otimes_S wst = (c \otimes_R w) \cdot [(a \otimes_R b) \otimes_S st] \\ \phi([(a \otimes_R s) \otimes_S (b \otimes_R t)](c \otimes_R w)) &= \phi((a \otimes_R s) \otimes_S (bc \otimes_R tw)) \\ &= (a \otimes_R bc) \otimes_S stw = [(a \otimes_R b) \otimes_S st] \cdot (c \otimes_R w). \end{aligned}$$

Note that

$$(m_A \otimes_R S) \circ \phi = m_{A_{(S)}}$$

so that

$$(13.4) \quad m_{A_{(S)}} \circ \psi = m_A \otimes_R S$$

Let

$$\sigma : A \rightarrow A \otimes_R A$$

be an  $A$ -bimodule homomorphism which is a section of  $m_A$ . Then the map

$$\sigma \otimes_R S : A \otimes_R S = A_{(S)} \rightarrow (A \otimes_R A) \otimes_R S = (A \otimes_R A)_{(S)}$$

is clearly a section of  $m_A \otimes_R S$  which is an  $A_{(S)}$ -bimodule homomorphism. In fact, for every  $a \in A$  and  $s \in S$ , we have

$$\begin{aligned} (\sigma \otimes_R S)(a \otimes_R s) &= \sigma(a) \otimes_R s = a\sigma(1_R) \otimes_R s = (a \otimes s)(\sigma(1_R) \otimes_R 1_S) \\ (\sigma \otimes_R S)(a \otimes_R s) &= \sigma(a) \otimes_R s = \sigma(1_R)a \otimes_R s = (\sigma(1_R) \otimes_R 1_S)(a \otimes s). \end{aligned}$$

Then the map

$$\psi \circ (\sigma \otimes_R S) : A \otimes_R S = A_{(S)} \rightarrow (A \otimes_R S) \otimes_S (A \otimes_R S) = A_{(S)} \otimes_S A_{(S)}$$

is an  $A_{(S)}$ -bimodule homomorphism which is a section of  $m_{A_{(S)}}$ . In fact, in view of (13.4) we have

$$m_{A_{(S)}} \circ \psi \circ (\sigma \otimes_R S) = (m_A \otimes S) \circ (\sigma \otimes_R S) = A \otimes_R S.$$

Conversely, assume that  $\theta : A_{(S)} \rightarrow (A \otimes_R S) \otimes_S (A \otimes_R S) = A_{(S)} \otimes_S A_{(S)}$  is  $A_{(S)}$ -bimodule homomorphism which is a section of  $m_{A_{(S)}}$ . Then we have

$$\begin{aligned} & m_A \circ r_{A \otimes_R A} \circ [(A \otimes_R A) \otimes_R \pi] \circ \phi \circ \theta \circ (A \otimes_R \iota) r_A^{-1} \\ &= r_A (m_A \otimes_R R) [(A \otimes_R A) \otimes_R \pi] \phi \circ \theta \circ (A \otimes_R \iota) r_A^{-1} \\ &= r_A [A \otimes_R \pi] (m_A \otimes_R S) \phi \circ \theta \circ (A \otimes_R \iota) r_A^{-1} \\ &= r_A [A \otimes_R \pi] \circ m_{A_{(S)}} \circ \theta \circ (A \otimes_R \iota) r_A^{-1} \\ &= r_A [A \otimes_R \pi] \circ \text{Id}_{A_{(S)}} (A \otimes_R \iota) r_A^{-1} = \text{Id}_A \end{aligned}$$

where  $r_A : A \otimes_R R \rightarrow A$  and  $r_{A \otimes_R A} : A \otimes_R A \otimes_R R \rightarrow A \otimes_R A$  are the usual isomorphisms. Thus

$$\sigma = r_{A \otimes_R A} \circ [(A \otimes_R A) \otimes_R \pi] \circ \phi \circ \theta \circ (A \otimes_R \iota) r_A^{-1}$$

is a section of  $m_A$ . The proof that  $\sigma$  is an  $A$ -bimodule isomorphism is straightforward and is left as an exercise to the reader.  $\square$

**Proposition 13.19.** *Let  $A_1$  and  $A_2$  be algebras over a commutative ring  $R$ . Then*

$$A_1 \text{ and } A_2 \text{ are separable } R\text{-algebras} \Leftrightarrow A_1 \times A_2 \text{ is a separable } R\text{-algebra.}$$

*Proof.* "  $\Rightarrow$  " Let  $i_1 : A_1 \rightarrow A_1 \times A_2$  and let  $i_2 : A_2 \rightarrow A_1 \times A_2$  the usual injective  $R$ -module homomorphisms and let us consider the codiagonal map

$$\theta = \nabla((i_1 \otimes_R i_1), (i_2 \otimes_R i_2)) : (A_1 \otimes_R A_1) \times (A_2 \otimes_R A_2) \rightarrow (A_1 \times A_2) \otimes_R (A_1 \times A_2).$$

We have

$$\theta((a_1 \otimes_R b_1), (a_2 \otimes_R b_2)) = [(a_1, 0_{A_2}) \otimes_R (b_1, 0_{A_2})] + [(0_{A_1}, a_2) \otimes_R (0_{A_1}, b_2)]$$

$$\begin{aligned}
& (m_{A_1 \times A_2} \circ \theta)((a_1 \otimes_R b_1), (a_2 \otimes_R b_2)) \\
&= m_{A_1 \times A_2}([(a_1, 0_{A_2}) \otimes_R (b_1, 0_{A_2})] + [(0_{A_1}, a_2) \otimes_R (0_{A_1}, b_2)]) \\
&= (a_1 b_1, 0_{A_2}) + (0_{A_1}, a_2 b_2) = (a_1 b_1, a_2 b_2) \\
&= (m_{A_1} \times m_{A_2})((a_1 \otimes_R b_1), (a_2 \otimes_R b_2))
\end{aligned}$$

so that

$$m_{A_1 \times A_2} \circ \theta = m_{A_1} \times m_{A_2}$$

Let  $\sigma_1$  be an  $A_1$ -bimodule sections of  $m_{A_1}$  and let  $\sigma_2$  be an  $A_2$ -module section of  $m_{A_2}$ . It follows that

$$m_{A_1 \times A_2} \circ \theta \circ (\sigma_1 \times \sigma_2) = (m_{A_1} \times m_{A_2}) \circ (\sigma_1 \times \sigma_2) = \text{Id}_{A_1 \times A_2}$$

and hence  $\theta \circ (\sigma_1 \times \sigma_2)$  is a section of  $m_{A_1 \times A_2}$ . Let us prove that  $\theta \circ (\sigma_1 \times \sigma_2)$  is an  $A_1 \times A_2$ -bimodule homomorphism. From

$$\begin{aligned}
\theta((\alpha_1 a_1 \otimes_R b_1, \alpha_2 a_2 \otimes_R b_2)) &= (\alpha_1 a_1, 0_{A_2}) \otimes_R (b_1, 0_{A_2}) + (0_{A_1}, \alpha_2 a_2) \otimes_R (0_{A_1}, b_2) \\
&= (\alpha_1, \alpha_2)([(a_1, 0_{A_2}) \otimes_R (b_1, 0_{A_2})] + [(0_{A_1}, a_2) \otimes_R (0_{A_1}, b_2)]) \\
&= (\alpha_1, \alpha_2) \theta((a_1 \otimes_R b_1), (a_2 \otimes_R b_2))
\end{aligned}$$

we deduce that  $\theta$  is a left  $A_1 \times A_2$ -module homomorphism. An analogous result on the right gives us that  $\theta$  is in fact an  $A_1 \times A_2$ -bimodule homomorphism. Since we have

$$\begin{aligned}
(\sigma_1 \times \sigma_2)((a_1 b_1, a_2 b_2)) &= (\sigma_1(a_1 b_1), \sigma_2(a_2 b_2)) = \\
&= (a_1 \sigma_1(b_1), a_2 \sigma_2(b_2)) = \\
&= (a_1, a_2)(\sigma_1(b_1), \sigma_2(b_2))
\end{aligned}$$

and similarly on the right side, we also conclude that  $\sigma_1 \times \sigma_2$  is an  $A_1 \times A_2$ -bimodule homomorphism.

”  $\Leftarrow$  ” It follows by applying Lemma 13.13. □

**Lemma 13.20.** *Let  $k$  be an algebraically closed field and assume that, for some  $n \in \mathbb{N}, n \geq 1$ ,  $k \subseteq Z(M_n(D))$  where  $D$  is a ring with no zerodivisor.*

*If  $\dim_k M_n(D) < \infty$  then  $k \simeq D$*

*Proof.* Let  $\sum_{i,j} a_{i,j} e_{i,j} \in Z(M_n(D))$  and let  $1 \leq t, s \leq n$ . Then from

$$e_{s,s} \left( \sum_{i,j} a_{i,j} e_{i,j} \right) e_{t,t} = a_{s,t} e_{ts} \quad e_{t,t} \left( \sum_{i,j} a_{i,j} e_{i,j} \right) e_{s,s} = a_{t,s} e_{s,t}$$

we deduce that  $a_{s,t} e_{ts} = a_{t,s} e_{s,t}$  for every  $t, s$  so that

$$a_{s,t} = 0 \text{ for } t \neq s \text{ and } a_{t,t} = a_{s,s} \text{ for } t = s.$$

so that  $Z(M_n(D)) \subseteq D(\sum_t e_{t,t}) \cap Z(M_n(D)) = D1_{M_n(D)} \cap Z(M_n(D)) \subseteq Z(D1_{M_n(D)})$ . Therefore, via isomorphisms, we have that  $k \subseteq Z(D)$  and  $\dim_k D \leq \dim_k M_n(D) < \infty$  so that any element of  $D$  is algebraic over  $k$ . Thus let  $a \in D$  and let  $p(X) \in k[X]$  be a nonzero polynomial such that  $p(a) = 0$ . Since  $k$  is algebraically closed, there exists  $\alpha_1, \dots, \alpha_n \in k$  such that

$$p = \prod_{i=1}^n (X - \alpha_i).$$

Hence  $0 = p(a) = \prod_{i=1}^n (a - \alpha_i)$ . Since  $D$  contains no zero-divisor, we get that there exists an  $i$  such that  $a = \alpha_i \in k$ . Thus we obtain that  $k = D$ .  $\square$

**Lemma 13.21.** *Let  $A$  be finite dimensional algebra over an algebraically closed field  $k$ . If  $J(A) = \{0\}$  then  $A$  is separable over  $k$ .*

*Proof.* By Corollary 13.5, we get that  $A$  is semisimple. Then, by Wedderburn-Artin Theorem, we obtain that  $A$  is a direct product of rings, each isomorphic to a finite matrix ring  $M_n(D)$  over a division ring  $D$ :

$$A \cong M_{n_1}(D_1) \times \dots \times M_{n_t}(D_t)$$

The natural embedding of  $k$  in  $Z(A)$  gives rise to the embeddings of  $k$  in  $Z(M_{n_i}(D_i))$  for each  $i = 1, \dots, n$ . Since  $\dim_k A < \infty$  we have that  $\dim_k M_n(D_i) < \infty$  and hence, by Lemma 13.20, we get that each  $D_i$  is isomorphic to  $k$  so that

$$A \cong M_{n_1}(k) \times \dots \times M_{n_t}(k).$$

By Proposition 13.14 each  $M_{n_i}(k)$  is separable over  $k$ . In view of Proposition 13.19, we conclude.  $\square$

**Proposition 13.22.** *Let  $A$  be an algebra over a field  $k$ . Then*

$$A \text{ separable over } k \iff \dim_k(A) < \infty \text{ and } A \text{ is classically separable over } k.$$

*Proof.* ( $\Rightarrow$ ) By Proposition 13.16, we already know that  $\dim_k(A) < \infty$ . Let now  $L$  be a field extension of  $k$ . Then, by Proposition 13.18,  $A_{(L)}$  is a separable  $L$ -algebra and hence it is semisimple by Proposition 13.17. Then, in view of Proposition 13.7,  $A$  is classically separable.

( $\Leftarrow$ ) Let  $L$  be an algebraic closure of  $k$ . Then  $A_{(L)} = A \otimes_k L$  has finite dimension over  $L$  and hence it is left (and right) artinian. Moreover, since  $A$  is classically separable over  $k$ , we know that  $J(A_{(L)}) = 0$ . Hence, by Lemma 13.21,  $A_{(L)}$  is separable over  $L$ . Thus, by Proposition 13.18 we conclude.  $\square$

**Proposition 13.23.** *Let  $k$  be a field and let  $H$  be a Hopf algebra over  $k$ . Then the following statements are equivalent:*

- (a)  $H$  is separable.
- (b)  $H$  is semisimple.
- (c) There exists a left integral  $t$  in  $H$  such that  $\varepsilon_H(t) = 1$ .

Moreover, if one of these conditions hold, then  $\dim_k(H) < \infty$ .

*Proof.* (a)  $\Rightarrow$  (b) is Proposition 13.17.

(b)  $\Rightarrow$  (c). Since

$$\varepsilon_H : H \rightarrow k$$

is an algebra homomorphism,  $k$  becomes a left  $H$ -module via  $\varepsilon_H$  and it results that  $\varepsilon_H$  is a morphism of left  $H$ -modules. Since  $H$  is semisimple, the module  ${}_H k$  is projective so that, as  $\varepsilon_H$  is surjective, there exists a left  $H$ -module homomorphism  $\tau : k \rightarrow H$  which is a section of  $\varepsilon_H$ .

Let

$$t = \tau(1_k).$$

Then we have

$$\varepsilon_H(t) = \varepsilon_H(\tau(1_k)) = (\varepsilon_H \circ \tau)(1_k) = 1_k.$$

Also, for every  $h \in H$  we have

$$h \cdot t = h \cdot \tau(1_k) = \tau(h \cdot 1_k) = \tau(\varepsilon_H(h) \cdot 1_k) = \varepsilon_H(h) \cdot \tau(1_k) = \varepsilon_H(h) \cdot t$$

and hence  $t$  is a left integral in  $H$ .

(c)  $\Rightarrow$  (a). Let  $t \in H$  be a left integral such that  $\varepsilon_H(t) = 1$ . Let us prove that

$$e = \sum t_{(1)} \otimes S(t_{(2)})$$

is a separability element for  $H$  over  $k$ . We have

$$\sum t_{(1)} S(t_{(2)}) = \varepsilon_H(t) 1_H = 1_k 1_H = 1_H$$

so that  $e$  fulfills (13.1). Let  $h \in H$ . We have

$$\begin{aligned} he &= \sum ht_{(1)} \otimes S(t_{(2)}) = \\ &= \sum h_{(1)} t_{(1)} \otimes S(t_{(2)}) \varepsilon_H(h_{(2)}) \\ &= \sum h_{(1)} t_{(1)} \otimes S(t_{(2)}) S(h_{(2)}) h_{(3)} \\ &= \sum h_{(1)} t_{(1)} \otimes S(h_{(2)} t_{(2)}) h_{(3)} \\ &= \sum [(\text{Id}_H \otimes S) \circ \Delta](h_{(1)} t) \cdot (1 \otimes h_{(2)}) \\ &= \left( \sum [(\text{Id}_H \otimes S) \circ \Delta](\varepsilon_H(h_{(1)}) t) \right) \cdot (1 \otimes h_{(2)}) \\ &= ([(\text{Id}_H \otimes S) \circ \Delta](t)) \cdot \left( 1 \otimes \sum \varepsilon_H(h_{(1)}) h_{(2)} \right) \\ &= \left[ \sum t_{(1)} \otimes S(t_{(2)}) \right] (1 \otimes h) \\ &= \sum t_{(1)} \otimes S(t_{(2)}) h = eh \end{aligned}$$

so that  $e$  also fulfills (13.2).

The last assertion follows by Proposition 13.16.  $\square$



**Theorem 13.24.** *Let  $\pi : E \rightarrow B$  be a surjective morphism of algebras over a field  $k$ , and let  $f : A \rightarrow B$  be an algebra homomorphism. If  $A$  is separable and  $\ker(\pi)^2 = \{0\}$ , then*

$$h = \sigma f + m_E(\sigma f \otimes \sigma f)\nu - m_E(E \otimes m_E)(\sigma f \otimes \sigma f \otimes \sigma f)(\nu \otimes A)(u_A \otimes A)l_A^{-1} : A \rightarrow E$$

defines a morphism of algebras such that  $\pi \circ h = f$ . Here  $\sigma : B \rightarrow E$  is a  $k$ -linear map such that  $\pi \circ \sigma = \text{Id}_B$  and  $\sigma(1_B) = 1_E$  and  $\nu : A \otimes A \rightarrow A$  is a morphism of  $A$ -bimodules such that  $m_A \circ \nu = \text{Id}_A$ .

*Proof.* Let us set

$$\eta = m_E(\sigma f \otimes \sigma f)\nu - m_E(E \otimes m_E)(\sigma f \otimes \sigma f \otimes \sigma f)(\nu \otimes A)(u_A \otimes A)l_A^{-1}$$

and let

$$\nu(1_A) = \sum_{i=1}^n x_i \otimes y_i \text{ where } n \in \mathbb{N}, n \geq 1 \text{ and } x_i, y_i \in A \text{ for every } i = 1, \dots, n$$

be a separability element of  $A$  over  $k$ . Then, for every  $a \in A$ , we have

$$\eta(a) = \sum \sigma f(x_i) \sigma f(y_i \cdot a) - \sum \sigma f(x_i) \sigma f(y_i) \sigma f(a)$$

and hence

$$\begin{aligned} \pi \eta(a) &= \sum \pi \sigma f(x_i) \pi \sigma f(y_i a) - \sum \pi \sigma f(x_i) \pi \sigma f(y_i) \pi \sigma f(a) = \\ &= \sum f(x_i) f(y_i a) - \sum f(x_i) f(y_i) f(a) = 0 \end{aligned}$$

so that  $\pi \eta = 0$ . Now

$$h = \sigma f + \eta$$

and so

$$\pi h = \pi \sigma f + \pi \eta = f.$$

Let us prove that  $h$  is an algebra morphism. We have

$$h(1_A) = \sigma f(1_A) + \eta(1_A) = \sigma(1_B) + 0 = 1_E.$$

so that  $h$  is unital. Moreover we have

$$h(a) = \sigma f(a) + \eta(a)$$

so that, for every  $a, b \in A$  we get,

$$\begin{aligned} h(a)h(b) &= (\sigma f(a) + \eta(a)) \cdot_B (\sigma f(b) + \eta(b)) \\ &= \sigma f(a) \cdot_B \sigma f(b) + \sigma f(a) \cdot_B \eta(b) + \eta(a) \cdot_B \sigma f(b) + \eta(a) \cdot_B \eta(b) \\ &= \sigma f(a) \sigma f(b) + \sigma f(a) \left[ \sum \sigma f(x_i) \sigma f(y_i \cdot b) \right] \\ &\quad - \sigma f(a) \left[ \sum \sigma f(x_i) \sigma f(y_i) \sigma f(b) \right] \\ &+ \left[ \sum \sigma f(x_i) \sigma f(y_i \cdot a) \right] \sigma f(b) - \left[ \sum \sigma f(x_i) \sigma f(y_i) \sigma f(a) \right] \sigma f(b) \end{aligned}$$

and

$$h(ab) = \sigma f(ab) + \sum \sigma f(x_i) \sigma f(y_i \cdot ab) - \sum \sigma f(x_i) \sigma f(y_i) \sigma f(ab).$$

Since

$$\sum x_i \otimes y_i a = \sum ax_i \otimes y_i$$

we also get

$$\sum x_i \otimes y_i a \otimes b = \sum ax_i \otimes y_i \otimes b$$

and hence

$$\sum x_i \otimes y_i ab = \sum ax_i \otimes y_i b.$$

Therefore we obtain both

$$\begin{aligned} \sum \sigma f(x_i) \sigma f(y_i ab) &= \sum \sigma f(ax_i) \sigma f(y_i b) \text{ and} \\ \sum \sigma f(x_i) \sigma f(y_i a) &= \sum \sigma f(ax_i) \sigma f(y_i). \end{aligned}$$

Using these equalities and keeping in mind that  $\text{Ker}(\pi)^2 = \{0\}$ , we obtain

$$\begin{aligned} h(ab) - h(a)h(b) &= \sigma f(ab) + \sum \sigma f(x_i) \sigma f(y_i ab) - \sum \sigma f(x_i) \sigma f(y_i) \sigma f(ab) \\ &\quad - \sigma f(a) \sigma f(b) - \sigma f(a) \left[ \sum \sigma f(x_i) \sigma f(y_i \cdot b) \right] + \sigma f(a) \sum \sigma f(x_i) \sigma f(y_i) \sigma f(b) \\ &\quad - \sum \sigma f(x_i) \sigma f(y_i a) \sigma f(b) + \sum \sigma f(x_i) \sigma f(y_i) \sigma f(a) \sigma f(b) \\ &= \sum [\sigma f(ax_i) - \sigma f(a) \sigma f(x_i)] \sigma f(y_i b) \\ &\quad - \sum [\sigma f(ax_i) - \sigma f(a) \sigma f(x_i)] [\sigma f(y_i) \sigma f(b)] \\ &\quad + \left[ 1 - \sum \sigma f(x_i) \sigma f(y_i) \right] \sigma f(ab) - \left[ 1 - \sum \sigma f(x_i) \sigma f(y_i) \right] \sigma f(a) \sigma f(b) \\ &= \sum [\sigma f(ax_i) - \sigma f(a) \sigma f(x_i)] [\sigma f(y_i b) - \sigma f(y_i) \sigma f(b)] \\ &\quad + \left[ 1 - \sum \sigma f(x_i) \sigma f(y_i) \right] [\sigma f(ab) - \sigma f(a) \sigma f(b)] = 0. \end{aligned}$$

Thus  $h$  is an algebra homomorphism.  $\square$

**Theorem 13.25** ( Wedderburn Principal Theorem). *Let  $T$  be a separable algebra over a field  $k$  and let*

$$f : R \longrightarrow T$$

*be a surjective  $k$ -algebra morphism such that  $\ker(f)$  is nilpotent. Then there exists a  $k$ -algebra homomorphism*

$$\theta : T \longrightarrow R$$

*such that  $f \circ \theta = \mathbf{1}_T$  i.e.  $f$  has a section which is a  $k$ -algebra homomorphism.*

*Proof.* Let  $L = \ker(f)$ . Assume that, for  $n \in \mathbb{N}, n \geq 1$ , we have that  $L^n = \{0\}$ . For every  $i = 1, \dots, n$  set  $B_i = R/L^i$  and, for every  $i = 1, \dots, n-1$  let  $\pi_i : R/L^{i+1} \rightarrow R/L^i$  be the canonical projection. Let  $p : R \rightarrow R/L$  be the canonical projection and let  $\bar{f} : R/L \rightarrow T$  be the unique algebra homomorphism such that  $\bar{f} \circ p = f$ . Since  $f$  is surjective,  $\bar{f}$  is an isomorphism: let  $g : T \rightarrow R/L$  be its inverse. Then  $g \circ f = p$ . By applying Theorem 13.24 to  $A = T, E = R/L^2, B = R/L, \pi = \pi_1$  and  $f = g$  we get that there exists an algebra morphism  $h_1 : T \rightarrow R/L^2$  such that  $\pi_1 \circ h_1 = g$ .

Then, by applying Theorem 13.24 to  $A = T, E = R/L^3, B = R/L^2, \pi = \pi_2$  and  $f = h_1$  we get that there exists an algebra morphism  $h_2 : T \rightarrow R/L^3$  such that  $\pi_2 \circ h_2 = h_1$ . Assume now that  $h_i : T \rightarrow R/L^{i+1}$  is an algebra morphism such that  $\pi_i \circ h_i = h_{i-1}$ . Then we can apply again Theorem 13.24 to  $A = T, E = R/L^{i+2}, B = R/L^{i+1}, \pi = \pi_{i+1}$  and  $f = h_i$  we get that there exists an algebra morphism  $h_{i+1} : T \rightarrow R/L^{i+2}$  such that  $\pi_{i+1} \circ h_{i+1} = h_i$ . Let  $\chi : R \rightarrow R/L^n$  be the obvious isomorphism and let  $\theta = \chi^{-1} \circ h_{n-1}$ . Then we have

$$\begin{aligned} p \circ \theta &= (\pi_1 \pi_2 \cdots \pi_{n-1} \circ \chi) \circ \chi^{-1} \circ h_{n-1} = (\pi_1 \pi_2 \cdots \pi_{n-1}) \circ h_{n-1} \\ &= (\pi_1 \pi_2 \cdots \pi_{n-2}) \circ h_{n-2} = \dots = \pi_1 \circ h_1 = g \end{aligned}$$

and hence

$$\bar{f} \circ p \circ \theta = \text{Id}_T$$

which means that

$$f \circ \theta = \mathbf{1}_T.$$

□

# Chapter 14

## TAFT-WILSON Theorem

**Definition 14.1.** A  $k$ -coalgebra  $C$  is said to have a separable coradical if, for every simple subcoalgebra  $D$  of  $C$ ,  $D^*$  is a separable  $k$ -algebra.

**Lemma 14.2.** Let  $k$  be an algebraically closed field. Then any  $k$ -coalgebra  $C$  has a separable coradical.

*Proof.* Let  $D \subseteq C$  be a simple subcoalgebra. then, by Corollary 9.18,  $D^*$  is a finite dimensional simple algebra. Quindi, per la Proposizione 13.4,  $Jac(D^*) = \{0\}$  so that, since  $k$  is algebraically closed, by Lemma 13.21,  $D^*$  is separable over  $k$ .  $\square$

**Lemma 14.3.** Let  $C$  be a pointed  $k$ -coalgebra. Then  $C$  has separable coradical..

*Proof.* Since  $C$  is pointed, every simple subcoalgebra of  $C$  is of the form  $kg$  where  $g \in G(C)$  and hence  $(kg)^*$  is a  $k$ -algebra isomorphic to  $k$ .  $\square$

**Lemma 14.4.** Let  $C$  be a finite dimensional  $k$ -coalgebra. The following statements are equivalent

- (a)  $C$  has separable coradical.
- (b)  $(C_0)^*$  is a separable  $k$ -algebra.

*Proof.* By Proposition 9.28

$$C_0 = \bigoplus_{D \in \mathcal{D}} D$$

where  $\mathcal{D}$  is the set of all simple subcoalgebras of  $C$ . Moreover, since  $\dim_k(C) < \infty$ , we have that  $\mathcal{D}$  is finite. Then we have a ring isomorphism

$$(C_0)^* \simeq \prod_{D \in \mathcal{D}} D^*.$$

By Proposition 13.19,  $(C_0)^*$  is separable over  $k$  if and only if, for every  $D \in \mathcal{D}$ , each  $D^*$  is separable over  $k$ .  $\square$

**Definition 14.5.** Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$  and let  $i : D \rightarrow C$  be the canonical injection. A coalgebra morphism

$$\pi : C \longrightarrow D$$

is called a (coalgebra) projection of  $C$  onto  $D$  if  $\pi \circ i = \text{Id}_D$ .

**Lemma 14.6.** Let  $C$  be a finite dimensional  $k$ -coalgebra with separable coradical and let  $D$  be a subcoalgebra of  $C$ . Then any projection  $\pi$  from  $D$  to  $D_0$  can be extended to a projection of  $C$  onto  $C_0$ .

*Proof.* Let  $i_{C_0}^C : C_0 \rightarrow C$  be the canonical injection and let  $\pi' : C \rightarrow C/\text{Ker}(\pi) = E$  be the canonical projection. Set  $\alpha = \pi' \circ i_{C_0}^C$ . Since  $\pi : D \rightarrow D_0$  is a coalgebra morphism,  $\text{Ker}(\pi)$  is a coideal of  $D$ . Since

$$\begin{aligned} \Delta_C \text{Ker}(\pi) &= \Delta_D \text{Ker}(\pi) \subseteq \text{Ker}(\pi) \otimes D + D \otimes \text{Ker}(\pi) \\ &\subseteq \text{Ker}(\pi) \otimes C + C \otimes \text{Ker}(\pi) \end{aligned}$$

and

$$\varepsilon_C(\text{Ker}(\pi)) = \varepsilon_D(\text{Ker}(\pi)) = 0.$$

$\text{Ker}(\pi)$  is a coideal also of  $C$  and hence  $\pi'$  and also  $\alpha$  are coalgebra morphism. Now we have

$$\begin{aligned} \text{Ker}(\alpha) &= C_0 \cap \text{Ker}(\pi') = C_0 \cap \text{Ker}(\pi) \\ &= C_0 \cap D \cap \text{Ker}(\pi) \stackrel{\text{Lem9.40.}}{=} D_0 \cap \text{Ker}(\pi) = \{0\} \end{aligned}$$

so that  $\alpha$  is injective and hence the dual morphism

$$\alpha^* : E^* \longrightarrow (C_0)^*$$

is surjective. Let  $(T_i)_{i \in I}$  be the family of simple subcoalgebras of  $C$ . Since  $\alpha$  is injective, each  $\alpha(T_i)$  is a simple subcoalgebra of  $E$  and hence

$$\alpha(C_0) = \sum_{i \in I} \alpha(T_i) \subseteq E_0.$$

On the other hand, by Corollary 11.7, we have that  $E_0 \subseteq \pi'(C_0) = (\pi' \circ i_{C_0}^C)(C_0) = \alpha(C_0)$  and thus we deduce that  $E_0 = \alpha(C_0) = \text{Im}(\alpha)$ . Therefore we have

$$\begin{aligned} \text{Ker}(\alpha^*) &= \{\eta \in E^* : \eta \circ \alpha = 0\} \\ &= \{\eta \in E^* : \eta(\text{Im}(\alpha)) = 0\} \\ &= \{\eta \in E^* : \eta(E_0) = 0\} \\ &= E_0^\perp. \end{aligned}$$

and hence we have the exact sequence

$$0 \rightarrow E_0^\perp = \text{Ker}(\alpha^*) \longrightarrow E^* \xrightarrow{\alpha^*} (C_0)^* \rightarrow 0.$$

Since  $C$  is finite dimensional and has separable coradical, we deduce from Lemma 14.4 that  $(C_0)^*$  is a separable  $k$ -algebra. On the other hand  $E$  is finite dimensional and hence  $Jac(E^*)$  is a nilpotent two-sided ideal of  $E^*$ . Moreover, by Proposition 9.41 we know that  $Jac(E^*) = E_0^\perp$ . Therefore we get that  $\alpha^* : E^* \rightarrow C_0^*$  is a surjective algebra morphism and  $Ker(\alpha^*) = E_0^\perp = Jac(E^*)$  is nilpotent. Thus we can apply Wedderburn Principal Theorem 13.25 and deduce that there exists an algebra morphism  $\beta : C_0^* \rightarrow E^*$  such that  $\alpha^* \circ \beta = Id_{C_0^*}$ . Since  $C_0$  and  $E$  are finite dimensional, there exists a coalgebra morphism  $\pi'' : E \rightarrow C_0$  such that  $\beta = \pi''^*$  and we have

$$(\pi'' \circ \alpha)^* = \alpha^* \circ \pi''^* = \alpha^* \circ \beta = Id_{C_0^*} = (Id_{C_0})^*.$$

Therefore we obtain that

$$Id_{C_0} = \pi'' \circ \alpha = \pi'' \circ \pi' \circ i_{C_0}^C$$

i.e. the map

$$C \xrightarrow{\pi'} E \xrightarrow{\pi''} C_0 \rightarrow 0$$

is a projection of  $C$  onto  $C_0$ . Let us prove that  $\tilde{\pi} = \pi'' \circ \pi'$  extends  $\pi : D \rightarrow D_0$ . Let

$$i_D^C : D \rightarrow C, \quad i_{D_0}^D : D_0 \rightarrow D \text{ and } i_{D_0}^{C_0} : D_0 \rightarrow C_0 \text{ be the canonical injection}$$

and let

$$\begin{aligned} j & : D/Ker(\pi) \hookrightarrow C/Ker(\pi) \text{ be the canonical injection and} \\ p & : D \rightarrow D/Ker(\pi) \text{ be the canonical projection.} \end{aligned}$$

Let  $\tau : D/Ker(\pi) \rightarrow D_0$  be the unique morphism such that  $\tau \circ p = \pi$ . As  $\pi$  is surjective,  $\tau$  is an isomorphism. Since  $j \circ p = \pi' \circ i_D^C$ , we have that

$$\begin{aligned} \alpha \circ i_{D_0}^{C_0} & = \pi' \circ i_{C_0}^C \circ i_{D_0}^{C_0} = \pi' \circ i_D^C \circ i_{D_0}^D = j \circ p \circ i_{D_0}^D \\ & = j \circ \tau^{-1} \circ \tau \circ p \circ i_{D_0}^D = j \circ \tau^{-1} \circ \pi \circ i_{D_0}^D = j \circ \tau^{-1} \circ Id_{D_0} = j \circ \tau^{-1}. \end{aligned}$$

and hence

$$\begin{aligned} \tilde{\pi} \circ i_D^C & = \pi'' \circ \pi' \circ i_D^C = \pi'' \circ j \circ p = \pi'' \circ j \circ \tau^{-1} \circ \tau \circ p = \pi'' \circ j \circ \tau^{-1} \circ \pi \\ & = \pi'' \circ j \circ \tau^{-1} \circ \tau \circ p = \pi'' \circ j \circ \tau^{-1} \circ \pi = \pi'' \circ \alpha \circ i_{D_0}^{C_0} \circ \pi = Id_{C_0} \circ i_{D_0}^{C_0} \circ \pi = i_{D_0}^{C_0} \circ \pi. \end{aligned}$$

□

**Theorem 14.7.** *Let  $C$  be a  $k$ -coalgebra with separable coradical. Then there exists a coalgebra projection of  $C$  onto  $C_0$ .*

*Proof.* Let

$\mathcal{F} = \{(F, \pi) \mid F \text{ is a subcoalgebra of } C \text{ and } \pi : F \longrightarrow F_0 \text{ is a coalgebra projection}\}.$

Since  $(C_0, \text{Id}_{C_0}) \in \mathcal{F}$  we have that  $\mathcal{F} \neq \emptyset$ . Let us consider the partial order on  $\mathcal{F}$  defined by setting

$$(F', \pi') \leq (F, \pi) \iff F' \subset F \text{ and } \pi|_{F'} = \pi.$$

It is easy to show that  $(\mathcal{F}, \leq)$  is inductive. Hence, by applying Zorn's Lemma to  $(\mathcal{F}, \leq)$  we obtain that there exists a maximal element  $(F, \pi)$  in  $(\mathcal{F}, \leq)$ . Let us assume that  $F \subsetneq C$  and let  $c \in C, c \notin F$ . Let  $L$  be the subcoalgebra of  $C$  generated by  $c$  and let

$$D = L + \pi(L \cap F).$$

Since  $L$  is finite dimensional also  $D$  is finite dimensional and since  $\pi(L \cap F) \subseteq F_0 \subseteq F$ , we get that

$$D \cap F = [L + \pi(L \cap F)] \cap F = (L \cap F) + \pi(L \cap F).$$

Now let  $x \in \pi(F) = F_0$ . Then we have that  $x = \text{Id}_{F_0}(x) = (\pi \circ i_{F_0}^F)(x) = \pi(x)$  where  $i_{F_0}^F : F_0 \rightarrow F$  is the canonical inclusion. Therefore we have

$$X = \pi(X) \text{ for every subset } X \subseteq \pi(F) = F_0$$

In particular we have that

$$\pi(\pi(L \cap F)) = \pi(L \cap F)$$

and

$$D \cap F_0 = \pi(D \cap F) \subseteq \pi(D \cap F).$$

Therefore we obtain

$$\pi(D \cap F) = \pi(L \cap F) + \pi(\pi(L \cap F)) = \pi(L \cap F) \subseteq D \cap F_0 \stackrel{\text{Lem 9.40}}{=} (D \cap F)_0 \subseteq \pi(D \cap F)$$

so that

$$(14.1) \quad \pi(D \cap F) = (D \cap F)_0.$$

Let  $\pi'$  be the corestriction to  $(D \cap F)_0$  of the restriction of  $\pi$  to  $D \cap F$ . Then, by (14.1),  $\pi'$  is a projection of  $D \cap F$  onto  $(D \cap F)_0$ . Thus, being  $D$  finite dimensional, we can apply Lemma 14.6 and deduce that  $\pi'$  extends to a coalgebra projection

$$\pi_1 : D \longrightarrow D_0.$$

Let  $\gamma : D + F \longrightarrow D_0 + F_0$  be the map defined by setting

$$\gamma(d + f) = \pi_1(d) + \pi(f) \quad \text{for every } d \in D \text{ and } f \in F.$$

Note that  $\gamma$  is well defined since  $\pi_1|_{D \cap F} = \pi' = \pi|_{D \cap F}$  and it is a coalgebra morphism. Let  $d \in D_0$  and  $f \in F_0$ . We have

$$\gamma(d + f) = \pi_1(d) + \pi(f) = d + f$$

and hence  $\gamma \circ i_{D_0+F_0}^{D+F} = \text{Id}_{D_0+F_0}$  so that  $\gamma$  is a projection of  $D + F$  onto  $D_0 + F_0 = (F + D)_0$  in view of Proposition 9.29. Contradiction.  $\square$

**Lemma 14.8.** *Let  $f : C \rightarrow D$  be a surjective morphism of  $k$ -coalgebras and let  $W_1, W_2$  be subspaces of  $C$  such that  $\text{Ker}(f) \subseteq W_1 \cap W_2$ . Then*

$$f(W_1 \wedge_C W_2) = f(W_1) \wedge_D f(W_2).$$

*Proof.* For every  $i = 1, 2$  we have that  $\text{Ker}(f) \subseteq W_i$  and hence there exists an isomorphism

$$f_i : C/W_i \longrightarrow f(C)/f(W_i) = D/f(W_i)$$

such that the diagram

$$\begin{array}{ccc} C & \xrightarrow{f} & f(C) = D \\ \pi_{W_i}^C \downarrow & & \downarrow \pi_{f(W_i)}^D \\ C/W_i & \xrightarrow{f_i} & f(C)/f(W_i) \end{array}$$

where  $\pi_{W_i}$  and  $\pi_{f(W_i)}$  are the canonical projections, is commutative. We compute

$$\begin{aligned} f^\leftarrow [f(W_1) \wedge_D f(W_2)] &= f^\leftarrow (\text{Ker} [(\pi_{f(W_1)}^D \otimes \pi_{f(W_2)}^D) \circ \Delta_D]) = \\ &= \text{Ker} [(\pi_{f(W_1)}^D \otimes \pi_{f(W_2)}^D) \circ \Delta_D \circ f] \end{aligned}$$

Now we have

$$\begin{aligned} (\pi_{f(W_1)}^D \otimes \pi_{f(W_2)}^D) \circ \Delta_D \circ f &= (\pi_{f(W_1)}^D \otimes \pi_{f(W_2)}^D) \circ (f \otimes f) = \\ &= [(\pi_{f(W_1)}^D \circ f) \otimes (\pi_{f(W_2)}^D \circ f)] \circ \Delta_C \\ &= [(f_1 \circ \pi_{W_1}^C) \otimes (f_2 \circ \pi_{W_2}^C)] \circ \Delta_C = (f_1 \otimes f_2) \circ (\pi_{W_1}^C \otimes \pi_{W_2}^C) \circ \Delta_C \end{aligned}$$

so that, since  $f_1 \otimes f_2$  is bijective, we get

$$\begin{aligned} \text{Ker} [(\pi_{f(W_1)}^D \otimes \pi_{f(W_2)}^D) \circ \Delta_D \circ f] &= \\ &= \text{Ker} [(f_1 \otimes f_2) \circ (\pi_{W_1}^C \otimes \pi_{W_2}^C) \circ \Delta_C] \\ &= \text{Ker} [(\pi_{W_1}^C \otimes \pi_{W_2}^C) \circ \Delta_C] = W_1 \wedge_C W_2. \end{aligned}$$

Thus we obtain

$$f^\leftarrow [f(W_1) \wedge_D f(W_2)] = W_1 \wedge_C W_2$$

from which, since  $f$  is surjective, we infer that

$$f(W_1) \wedge_D f(W_2) = f(f^\leftarrow [f(W_1) \wedge_D f(W_2)]) = f(W_1 \wedge_C W_2).$$

$\square$



**Definition 14.9.** Let  $C$  be a  $k$ -coalgebra and let  $C^+ = \text{Ker}(\varepsilon)$ . Then  $R = R(C) = C/C_0^+$  is called the associated connected coalgebra of  $C$ .

**Lemma 14.10.** Let  $D$  be a subcoalgebra of a  $k$ -coalgebra  $C$  and let  $I$  be a coideal of  $C$ . Then  $I \cap D$  is a coideal of  $D$  and hence of  $C$ .

*Proof.* Let  $p : C \rightarrow C/I$  be the canonical projection and let  $i_D : D \rightarrow C$  be the canonical injection. We have that  $I \cap D = \text{Ker}(p \circ i_D)$  is a coideal of  $D$  since  $p \circ i_D$  is a coalgebra morphism.  $\square$

**Lemma 14.11.** Let  $C$  be a  $k$ -coalgebra and let  $\pi = \pi_{C_0^+}^C : C \rightarrow R(C) = C/C_0^+$  be the canonical projection. Then  $C_0^+$  is a coideal of  $C$  so that  $R(C)$  is a coalgebra. Moreover, for every  $n \in \mathbb{N}$ , we have that  $R(C)_n = \pi(C_n)$ . In particular  $R(C)$  is connected.

*Proof.* Since  $\varepsilon : C \rightarrow k$  is a morphism of  $k$ -coalgebras (see 1.26), and since, by Proposition 9.23,  $C_0$  is a subcoalgebra of  $C$ , in view of Lemma 14.10  $C_0^+ = C_0 \cap \text{Ker}(\varepsilon_C) = \text{Ker}(\varepsilon_{C_0})$  is a coideal of  $C$  and hence  $R = R(C)$  is a coalgebra and  $\pi$  is a coalgebra morphism.

Since  $\pi$  is surjective, we can apply Proposition 11.7 to infer that  $R_0 \subseteq \pi(C_0) = C_0/C_0^+ \simeq k$  and hence (note that  $R \neq \{0\}$ . Why?)  $R_0 = \pi(C_0) \simeq k$  so that  $R$  is connected.

Now let us assume that  $R_n = \pi(C_n)$  for some  $n \in \mathbb{N}$  and let us prove it for  $n+1$ . Since  $\pi$  is surjective, we can apply Lemma 14.8 to get that

$$\pi(C_{n+1}) = \pi(C_0 \wedge_C C_n) = \pi(C_0) \wedge_R \pi(C_n) \stackrel{\text{Indhypo}}{=} R_0 \wedge R_n = R_{n+1}.$$

$\square$

**Theorem 14.12.** Let  $f : C \rightarrow D$  be a morphism of  $k$ -coalgebras. If  $f|_{C_1}$  is injective, then  $f$  is injective.

*Proof.* Since  $\text{Ker}(f) \cap C_1^+ = \{0\}$  it is enough to show that  $N = \{0\}$  whenever  $N$  is a coideal of  $C$  such that  $N \cap C_1^+ = \{0\}$ . Let  $R$  be the associated connected coalgebra and let  $\pi : C \rightarrow R = C/C_0^+$  be the canonical projection. We compute

$$\begin{aligned} R_1^+ &= R_1 \cap (\text{Ker}(\varepsilon_R)) = \pi(C_1) \cap (\text{Ker}(\varepsilon)/C_0^+) \\ &= (C_1/C_0^+) \cap (\text{Ker}(\varepsilon)/C_0^+) = (C_1 \cap \text{Ker}(\varepsilon))/C_0^+ \\ &= C_1^+/C_0^+ = \pi(C_1^+). \end{aligned}$$

Therefore we have

$$\begin{aligned} \pi(N) \cap R_1^+ &= \pi(N) \cap \pi(C_1^+) = \pi[\pi^{\leftarrow}(\pi(N) \cap \pi(C_1^+))] \\ &= \pi[\pi^{\leftarrow}(\pi(N)) \cap \pi^{\leftarrow}(\pi(C_1^+))] = \pi[(N + C_0^+) \cap (C_1^+ + C_0^+)] \\ &= \pi[(N + C_0^+) \cap C_1^+] \subseteq \pi(C_0^+) = \{0\} \end{aligned}$$

where the inclusion follows by the following: let  $n \in N$ ,  $x \in C_0^+$  such that  $n + x = y \in C_1^+$  is an element of the intersection  $(N + C_0^+) \cap C_1^+$ . Then  $n = y - x \in N \cap C_1^+ = \{0\}$ , therefore  $n = 0$  and thus  $(N + C_0^+) \cap C_1^+ \subseteq C_0^+$ . Now let

$$p : R \rightarrow R/\pi(N) = \pi(C)/\pi(N).$$

be the canonical projection. By Proposition 12.2, we know that  $P(R) \subseteq R_1^+$  so that

$$\text{Ker}(p) \cap P(R) \subseteq \text{Ker}(p) \cap R_1^+ = \pi(N) \cap R_1^+ = \{0\}.$$

Since  $R$  is connected we can apply Lemma 12.5 and get that  $p$  is injective i.e.  $\pi(N) = \{0\}$ . This means that  $N \subseteq \text{Ker}(\pi) = C_0^+ \subseteq C_1^+$  so that  $N = N \cap C_1^+ = \{0\}$ .  $\square$

**Definition 14.13.** Let  $C$  be a  $k$ -coalgebra and let  $g, h \in G(C)$  be grouplike elements. The set of  $g, h$ -primitive elements of  $C$  is the set

$$P_{g,h}(C) = \{c \in C \mid \Delta(c) = c \otimes g + h \otimes c\}.$$

**Lemma 14.14.** Let  $C$  be a  $k$ -coalgebra and let  $g, h \in G(C)$  be grouplike elements. Then

1)  $\varepsilon(x) = 0$  for every  $x \in P_{g,h}(C)$ .

2) We have

$$k(g - h) \subseteq P_{g,h}(C) \cap P_{h,g}(C) \cap C_0.$$

3) If  $C$  is pointed and  $g \neq h$  we have

$$P_{g,h}(C) \cap P_{h,g}(C) \cap C_0 \subseteq k(g - h).$$

*Proof.* 1) Let  $x \in P_{g,h}(C)$ . Then from  $\Delta(x) = x \otimes g + h \otimes x$ , we deduce that  $x = \varepsilon(x)g + x$  and hence  $\varepsilon(x) = 0$ .

2) Since,

$$\Delta(g - h) = g \otimes g - h \otimes h = (g - h) \otimes g + h \otimes (g - h) = (g - h) \otimes h + g \otimes (g - h),$$

it is clear that  $g - h \in P_{g,h}(C) \cap P_{h,g}(C) \cap C_0$ .

3) Let  $x \in P_{g,h}(C) \cap P_{h,g}(C) \cap C_0$ . By Proposition 9.30 we have that  $C_0 = kG(C)$  so that we can write

$$x = \lambda g + \mu h + v \quad \text{where } \lambda, \mu \in k \text{ and } v \in \sum_{g_i \neq g, g_i \neq h} kg_i.$$

Since  $x \in P_{g,h}$ , we have that

$$\begin{aligned} \Delta(x) &= x \otimes g + h \otimes x = \lambda g \otimes g + \mu h \otimes g + v \otimes g + h \otimes \lambda g + h \otimes \mu h + h \otimes v. \\ &= (\mu + \lambda)(h \otimes g) + v \otimes g + h \otimes v + \lambda g \otimes g + h \otimes \mu h. \end{aligned}$$

Since  $x \in P_{h,g}$ , we also have

$$\begin{aligned}\Delta(x) &= x \otimes h + g \otimes x = \lambda g \otimes h + \mu h \otimes h + v \otimes h + g \otimes \lambda g + g \otimes \mu h + g \otimes v \\ &= (\mu + \lambda)(g \otimes h) + v \otimes h + g \otimes v + g \otimes \lambda g + \mu h \otimes h.\end{aligned}$$

and hence we obtain

$$(\mu + \lambda)(h \otimes g) + v \otimes g + h \otimes v = (\mu + \lambda)(g \otimes h) + v \otimes h + g \otimes v.$$

From this, we infer that

$$\mu + \lambda = 0 \quad \text{and} \quad v = 0.$$

Thus we obtain  $x = \lambda(g - h)$ . □

**14.15.** *Let  $C$  be a pointed  $k$ -coalgebra. In view of Lemma 14.3, we know that  $C$  has separable coradical. By Theorem 14.7, there exist a projection  $\pi$  of  $C$  onto  $C_0$ . Let  $I = \text{Ker}(\pi)$ . Then  $I \cap C_0 = \{0\}$  and  $C = I + C_0$  so that*

$$C = I \oplus C_0.$$

For every  $x \in G = G(C)$ , we define  $e_x \in C^*$  by setting:

$$(14.2) \quad e_x(I) = 0 \quad \text{and} \quad e_x(y) = \delta_{x,y} \quad \text{for every} \quad y \in G.$$

The family  $(e_x)_{x \in G}$  is a family of pairwise orthogonal idempotents of  $C^*$ . Since  $I$  is a coideal of  $C$  we have that  $I \subseteq \text{Ker}(\varepsilon)$  and hence

$$\sum_{x \in G} e_x = \varepsilon.$$

For every  $c \in C$  and  $x, y \in G$  we set

$${}^x c = c \cdot e_x, \quad c^y = e_y \cdot c \quad \text{and} \quad {}^x c^y = ({}^x c)^y = {}^x (c^y),$$

and

$${}^x C^y = \{{}^x c^y \mid c \in C\}.$$

Note that  $I$  (and hence the  ${}^x C^y$ ) are not unique since they are related to the projection that appears in Wedderburn Principal Theorem 13.25 which is not unique.

For every  $g \in G$  we denote by  $L_g$  the left multiplication by  $e_g$  on  $C$ , and by  $R_g$  the right multiplication by  $e_g$  on  $C$  i.e.

$$L_g(c) = e_g \cdot c = \sum c_1 e_g(c_2) \quad \text{and} \quad R_g(c) = c \cdot e_g = \sum e_g(c_1) c_2 \quad \text{for every} \quad c \in C.$$

For every  $c \in C$ , we have

$$\begin{aligned}(\Delta \circ L_g)(c) &= \Delta(e_g \cdot c) = \Delta\left(\sum c_1 e_g(c_2)\right) = \sum c_1 \otimes c_2 e_g(c_3) \\ &= \sum c_1 \otimes (e_g \cdot c_2) = [(C \otimes L_g) \circ \Delta](c)\end{aligned}$$

and

$$\begin{aligned} (\Delta \circ R_g)(c) &= \Delta(c \cdot e_g) = \Delta\left(\sum e_g(c_1)c_2\right) = \sum e_g(c_1)c_2 \otimes c_3 \\ &= \sum (c_1 \cdot e_g) \otimes c_2 = [(R_g \otimes C) \circ \Delta](c) \end{aligned}$$

so that we deduce that

$$(14.3) \quad (\Delta \circ L_g) = (C \otimes L_g) \circ \Delta \quad \text{and} \quad \Delta \circ R_g = (R_g \otimes C) \circ \Delta$$

Now we have

$$\begin{aligned} [(L_g \otimes R_h) \circ \Delta](c) &= \sum (e_g \cdot c_1) \otimes (c_2 \cdot e_h) = \sum c_1 e_g(c_2) \otimes e_h(c_3)c_4 = \\ &= \sum c_1 \otimes (e_g(c_2)e_h(c_3)c_4) = \sum c_1 \otimes R_h(e_g(c_2)c_3) \\ &= \sum c_1 \otimes (R_h \circ R_g)(c_2) = \{[C \otimes (R_h \circ R_g)] \circ \Delta\}(c) \end{aligned}$$

so that we get

$$(14.4) \quad (L_g \otimes R_h) \circ \Delta = [C \otimes (R_h \circ R_g)] \circ \Delta$$

Now we compute

$$\begin{aligned} \sum_{z \in G} [(L_z \otimes R_z) \circ \Delta] &\stackrel{(14.4)}{=} \sum_{z \in G} \{[C \otimes (R_z \circ R_z)] \circ \Delta\} = \left( \sum_{z \in G} [C \otimes (R_z \circ R_z)] \right) \circ \Delta = \\ &= \left[ \sum_{z \in G} C \otimes R_z \right] \circ \Delta = \left( C \otimes \sum_{z \in G} R_z \right) \circ \Delta = \Delta \end{aligned}$$

hence we get

$$(14.5) \quad \sum_{z \in G} [(L_z \otimes R_z) \circ \Delta] = \Delta.$$

**Lemma 14.16.** *Let  $C$  be a pointed  $k$ -coalgebra with  $C_0 = kG$  and let us write  $C = I \oplus C_0$  as in 14.15. By using the notations introduced thereby, we have that*

$$\varepsilon = \sum_{x \in G} e_x$$

so that

$$(14.6) \quad c = \varepsilon \cdot c \cdot \varepsilon = \sum_{x,y \in G} (e_y \cdot c \cdot e_x) = \sum_{x,y \in G} ({}^x c^y).$$

Hence we obtain

$$(14.7) \quad C = \sum_{x,y \in G} {}^x C^y = \bigoplus_{x,y \in G} {}^x C^y$$

where the second equality depends on the fact that the elements  $e_x$  are pairwise orthogonal.

*Proof.* Let  $c \in C = I \oplus C_0$ , and let us write

$$c = w + \sum_{g \in G} \lambda_g g \text{ where } w \in I, \lambda_g \in k \text{ for every } g \in G \text{ and } \lambda_g = 0 \text{ for almost every } g.$$

Then

$$e_y(c) = e_y(w) + \lambda_g e_y(g) = \lambda_y$$

and hence  $e_y(c) = 0$  for almost every  $y \in G$ . It follows that

$$e_y \cdot c = \sum c_1 e_y(c_2) = 0 \text{ for almost every } y \in G$$

and also

$$c \cdot e_y = \sum e_y(c_1) c_2 = 0 \text{ for almost every } y \in G.$$

Now

$$\sum_{y \in G} e_y(c) = e_y(w) + \sum_{y \in G} \sum_{g \in G} \lambda_g e_y(g) = \sum_{g \in G} \lambda_g = \varepsilon(c)$$

and since this holds for every  $c \in C$  we deduce that

$$\sum_{y \in G} e_y = \varepsilon.$$

Therefore, for every  $c \in C$  we have

$$c = \varepsilon \cdot c \cdot \varepsilon = \sum_{y \in G} e_y \cdot c \cdot \sum_{x \in G} e_x = \sum_{x, y \in G} e_y \cdot c \cdot e_x = \sum_{x, y \in G} ({}^x c^y).$$

We note that this sums make sense since  $e_y \cdot c = \sum c_1 e_y(c_2) = 0$  for almost every  $y \in G$  and  $c \cdot e_y = \sum e_y(c_1) c_2 = 0$  for almost every  $y \in G$ .  $\square$

**Lemma 14.17.** *Let  $C$  be a pointed  $k$ -coalgebra with  $C_0 = kG$  and let us write  $C = I \oplus C_0$  as in 14.15. By using the notations introduced thereby, we have that*

- 0)  $e_x \cdot I \subseteq I$  and  $I \cdot e_x \subseteq I$  for every  $x \in G$ .
- 1)  ${}^x C^x = ({}^x C^x)^+ + kx = ({}^x C^x)^+ \oplus kx$  for every  $x \in G$ .
- 2)  ${}^x C^y = ({}^x C^y) \cap I = ({}^x C^y)^+$  for every  $x, y \in G$  with  $x \neq y$ .
- 3)  $I = \bigcap_{x \in G} \text{Ker}(e_x)$ .
- 4)  $I = \bigoplus_{x, y \in G} ({}^x C^y)^+$ .
- 5) For every  $c \in C$  and  $x, y \in G$  we have

$$(14.8) \quad \Delta({}^x c^y) = \sum_{z \in G} {}^x (c_1)^z \otimes^z (c_2)^y$$

*Proof.* 0) Let  $x \in G$  and  $a \in I$ . Since  $I$  is a coideal of  $C$  we can write

$$\Delta(a) = \sum_{i=1}^m a_i \otimes c_i + \sum_{j=1}^n d_j \otimes b_j \text{ where } a_i, b_j \in I \text{ and } c_i, d_j \in C$$

so that, since  $e_x(b_j) \in e_x(I) = \{0\}$  we have

$$e_x \cdot a = \sum_{i=1}^m a_i e_x(c_i) + \sum_{j=1}^n d_j e_x(b_j) = \sum_{i=1}^m a_i e_x(c_i) \in I.$$

In a similar way one proves that  $I \cdot e_x \subseteq I$ .

1) and 2) Let  $x \in G$ . First of all note that, since  $\varepsilon(x) = 1$ , we have that  $({}^x C^x)^+ \cap kx = \{0\}$  and hence  $({}^x C^x)^+ + kx = ({}^x C^x)^+ \oplus kx$ . Moreover, since  $x \in {}^x C^x$ , it is clear that  $({}^x C^x)^+ + kx \subseteq {}^x C^x$ . Let  $c \in C = I \oplus C_0$ , and let us write

$$c = w + \sum_{g \in G} \lambda_g g \text{ where } w \in I, \lambda_g \in k \text{ for every } g \in G \text{ and } \lambda_g = 0 \text{ for almost every } g.$$

Then,

$$e_x c e_x = e_x w e_x + \lambda_x x.$$

Now, by 0),  $e_x w e_x \in {}^x C^x \cap I$  and since  $I \subseteq \text{Ker}(\varepsilon)$  we get that  $e_x w e_x \in ({}^x C^x)^+$  whence

$$e_x c e_x \in ({}^x C^x)^+ + kx$$

which implies that

$${}^x C^x \subseteq ({}^x C^x)^+ \oplus kx.$$

Let now  $y \in G$  such that  $x \neq y$ . Then

$$e_y c e_x = e_y w e_x \in I$$

so that

$${}^x C^y = ({}^x C^y) \cap I$$

and since  $I \subseteq \text{Ker}(\varepsilon)$ , we get that  $e_y c e_x \in \text{Ker}(\varepsilon) \cap {}^x C^y = ({}^x C^y)^+$ . Thus we get that

$${}^x C^y = ({}^x C^y) \cap I = ({}^x C^y)^+.$$

3) Since  $e_x(I) = \{0\}$  for every  $x \in G$ , it is clear that  $I \subseteq \bigcap_{x \in G} \text{Ker}(e_x)$ . Conversely, let  $c \in \bigcap_{x \in G} \text{Ker}(e_x)$ . Since  $c \in C$ , we may write  $c = w + \sum_{g \in F} \lambda_g g$  where  $w \in I$ ,  $\lambda_g \in k$  for every  $g \in G$  and  $\lambda_g = 0$  for almost every  $g$ . Now, for every  $g \in G$  we have

$$\begin{aligned} 0 &= e_g(x) = e_g(w + \sum_{h \in F} \lambda_h h) = e_g(w) + \lambda_g e_g(g) \\ &= 0 + \lambda_g = 0 \end{aligned}$$

so that we deduce that  $\lambda_g = 0$  for every  $g \in G$  and hence  $c = w \in I$ .

4) First of all, let us prove that

$$\sum ({}^x C^y)^+ \subseteq I.$$

If  $x \neq y$ , this is clear in view of 3). Let us assume that  $x = y$ . As before, let  $c \in C$  and let us write  $c = w + \sum_{g \in G} \lambda_g g$  where  $w \in I$ ,  $\lambda_g \in k$  for every  $g \in G$  and  $\lambda_g = 0$  for almost every  $g$ . Then

$$e_x c e_x = e_x w e_x + \lambda_x x.$$

Assume now that  $e_x c e_x \in \text{Ker}(\varepsilon)$  and let  $t \in G$ . Since  $e_x w e_x \in I = \bigcap_{g \in G} \text{Ker}(e_g)$ , we have that  $e_t(e_x w e_x) = 0$ . Since  $\varepsilon = \sum_{t \in G} e_t$  we deduce that

$$0 = \varepsilon(e_x c e_x) = \sum_{t \in G} e_t(e_x c e_x) = \sum_{t \in G} e_t(e_x w e_x) + \sum_{t \in G} e_t(\lambda_x x) = \sum_{t \in G} \delta_{t,x} \lambda_x = \lambda_x$$

so that we get  $e_x c e_x = e_x w e_x \in I$ .

Now let  $w \in I$ . Then, by (14.6) we have

$$w = \sum_{x,y \in G} {}^x w^y$$

where  ${}^x w^y = e_y w e_x \in I$  since  $I$  is a coideal. Thus  ${}^x w^y \in ({}^x C^y) \cap I \subseteq ({}^x C^y) \cap \text{Ker}(\varepsilon) = ({}^x C^y)^+$  and we deduce that

$$w \in \sum_{x,y \in G} ({}^x C^y)^+.$$

Therefore we get that

$$I = \sum_{x,y \in G} ({}^x C^y)^+.$$

In view of (14.7), this sum is direct.

5) By applying to  ${}^x c^y$  formula (14.5) we have

$$\begin{aligned} \Delta({}^x c^y) &= \Delta(e_y \cdot c \cdot e_x) = \sum_{z \in G} [(L_z \otimes R_z) \circ \Delta](e_y \cdot c \cdot e_x) = \sum_{z \in G} (L_z \otimes R_z)(c_1 \cdot e_x \otimes e_y \cdot c_2) = \\ &= \sum_{z \in G} e_z \cdot c_1 \cdot e_x \otimes e_y \cdot c_2 \cdot e_z = \sum_{z \in G} {}^x (c_1)^z \otimes {}^z (c_2)^y. \end{aligned}$$

□

**Notation 14.18.** Let  $C$  be a pointed  $k$ -coalgebra and let  $g \neq h \in G(C)$  be grouplike elements. Then, by Lemma 14.14  $k(g-h) = P_{g,h}(C) \cap P_{h,g}(C) \cap C_0$ . In the following we fix a subspace  $P'_{g,h}(C)$  of  $P_{g,h}(C)$  such that  $P_{g,h}(C) = k(g-h) \oplus P'_{g,h}(C)$ .

**Theorem 14.19** (Taft-Wilson). Let  $C$  be a pointed  $k$ -coalgebra with  $G = G(C)$ . Then

1) For every  $n \in \mathbb{N}$ ,  $n \geq 1$  and  $c \in C_n \cap ({}^x C^y)^+$  we have that

$$\Delta(c) = c \otimes y + x \otimes c + t \text{ where } t \in C_{n-1} \otimes C_{n-1}$$

2) For every  $n \in \mathbb{N}$ ,  $n \geq 1$  and  $c \in C_n$ , we have that

$$c = \sum_{g,h \in G} c_{g,h} \text{ where } \Delta(c_{g,h}) = c_{g,h} \otimes g + h \otimes c_{g,h} + w \text{ and } w \in C_{n-1} \otimes C_{n-1}.$$

3)  $C_1 = kG \oplus (\bigoplus_{g,h \in G} P'_{g,h}(C))$ .

*Proof.* We will use the notations introduced in 14.15.

1) For every every  $n \in \mathbb{N}$ ,  $n \geq 1$ , let  $I_n = I \cap C_n$ . Since  $C = I \oplus C_0$  and, by 3) in Theorem 10.10  $C_0 \subseteq C_n$  we have that

$$(14.9) \quad C_n = I_n \oplus C_0.$$

Now, since, by Lemma 14.17, every  $({}^x C^y)^+ \subseteq I$  we have

$$(14.10) \quad C_n \cap ({}^x C^y)^+ = C_n \cap (I \cap ({}^x C^y)^+) = I_n \cap ({}^x C^y)^+$$

and hence

$$\bigoplus_{x,y \in G} (C_n \cap ({}^x C^y)^+) = \bigoplus_{x,y \in G} (I_n \cap ({}^x C^y)^+) \subseteq I_n$$

Let  $c \in I_n = I \cap C_n$ . Since by 2) in Theorem 10.10  $C_n$  is a subcoalgebra of  $C$  and by 0) of Lemma 14.17, we have

$${}^x c^y = e_x c e_y \in ({}^x C^y) \cap I_n \subseteq [({}^x C^y) \cap \text{Ker}(\varepsilon)] \cap I_n = ({}^x C^y)^+ \cap I_n$$

and hence, by form 14.6

$$c = \sum_{x,y \in G} ({}^x c^y) \in \bigoplus_{x,y \in G} (I_n \cap ({}^x C^y)^+)$$

so that

$$I_n \subseteq \bigoplus_{x,y \in G} (I_n \cap ({}^x C^y)^+).$$

Therefore we get

$$(14.11) \quad I_n = \bigoplus_{x,y \in G} (I_n \cap ({}^x C^y)^+) \stackrel{(14.10)}{=} \bigoplus_{x,y \in G} (C_n \cap ({}^x C^y)^+)$$

Thus we can assume that  $c \in I_n \cap ({}^x C^y)^+$ . Now, since  $C_n = I_n \oplus C_0$  and, by Theorem 10.10, we have  $C_i \subseteq C_{n-1}$  for every  $i = 0, \dots, n-1$ , we get that

$$\begin{aligned} \Delta(c) &\in C_n \otimes C_0 + C_0 \otimes C_n + \sum_{i=1}^{n-1} C_i \otimes C_{n-i} \\ &= I_n \otimes C_0 + C_0 \otimes C_0 + C_0 \otimes I_n + C_0 \otimes C_0 + \sum_{i=1}^{n-1} C_i \otimes C_{n-i} \\ &\subseteq I_n \otimes C_0 + C_0 \otimes I_n + C_{n-1} \otimes C_{n-1}. \end{aligned}$$



Therefore we can write

$$(14.12) \quad \Delta(c) = \sum_{g \in G} c_g \otimes g + \sum_{h \in G} h \otimes d_h + \sum_{i=1}^t v_i \otimes w_i$$

where  $c_g, d_g \in I_g$  for every  $g \in G$  and  $v_i, w_i \in C_{n-1}$  for every  $i = 1, \dots, t$ .

Let us apply formula (14.8) to (14.12) and get

$$(14.13) \quad \Delta(c) = \Delta({}^x c^y) = \sum_{z \in G} {}^x (c_1)^z \otimes^z (c_2)^y =$$

$$(14.14) \quad = \sum_{g, z \in G} {}^x (c_g)^z \otimes^z (g)^y + \sum_{h, z \in G} {}^x (h)^z \otimes^z (d_h)^y + \sum_{z \in G} \sum_{i=1}^t {}^x (v_i)^z \otimes^z (w_i)^y.$$

Now

$$\begin{aligned} {}^z (g)^y &= e_y \cdot g \cdot e_z = (ge_y(g)) \cdot e_z = e_y(g) e_z(g) g \\ &= \delta_{y,g} \delta_{z,g} g = \delta_{z,g,y} y \end{aligned}$$

and

$$\begin{aligned} {}^x (h)^z &= e_z \cdot h \cdot e_x = (he_z(h)) \cdot e_y = e_z(h) e_x(h) h \\ &= \delta_{z,h} \delta_{x,h} h = \delta_{x,h,z} x. \end{aligned}$$

Then we can rewrite (14.12) as

$$(14.15) \quad \Delta(c) = {}^x (c_y)^y \otimes y + x \otimes {}^x (d_x)^y + \sum_{z \in G} \sum_{i=1}^t {}^x (v_i)^z \otimes^z (w_i)^y$$

Let us apply  $l_C \circ (\varepsilon \otimes C)$  to (14.15) and we get

$$\begin{aligned} c &= \varepsilon({}^x (c_y)^y) y + \varepsilon(x) {}^x (d_x)^y + \sum_{z \in G} \sum_{i=1}^t \varepsilon[{}^x (v_i)^z] {}^z (w_i)^y \\ &= 0 + {}^x (d_x)^y + \sum_{z \in G} \sum_{i=1}^t \varepsilon[{}^x (v_i)^z] {}^z (w_i)^y = {}^x (c_x)^y + v, \end{aligned}$$

where  $\varepsilon({}^x (c_y)^y) = 0$  since  ${}^x (c_y)^y \in I_n \subseteq \text{Ker}(\varepsilon)$  and  $v = \sum_{z \in G} \sum_{i=1}^t \varepsilon[{}^x (v_i)^z] {}^z (w_i)^y \in C_{n-1}$ . In fact  $C_n$  is a  $C^*$ -sub-bimodule of  $C^x$  so that  ${}^x (v_i)^z$  and  ${}^z (w_i)^y \in C_{n-1}$ .

In a similar way, by applying  $r_C \circ (C \otimes \varepsilon)$ , way one gets

$$c = {}^x(c_y)^y + u \text{ where } u \in C_{n-1}.$$

Substituting in (14.15) we get

$$\begin{aligned} \Delta(c) &= (c - u) \otimes y + x \otimes (c - v) + \sum_{z \in G} \sum_{i=1}^t {}^x(v_i)^z \otimes {}^z(w_i)^y \\ &= c \otimes y + x \otimes c - u \otimes y - x \otimes v + \sum_{z \in G} \sum_{i=1}^t {}^x(v_i)^z \otimes {}^z(w_i)^y \\ &= c \otimes y + x \otimes c + t \end{aligned}$$

where  $t \in C_{n-1} \otimes C_{n-1}$ . In fact, as noted before, each  ${}^x(v_i)^z \otimes {}^z(w_i)^y \in C_{n-1} \otimes C_{n-1}$  and also  $u \otimes y \in C_{n-1} \otimes C_0 \subseteq C_{n-1} \otimes C_{n-1}$ ,  $x \otimes v \in C_0 \otimes C_{n-1} \subseteq C_{n-1} \otimes C_{n-1}$ . Thus 1) is proved.

2) Let  $c \in C_n$ . Then  $c = \sum_{g,h \in G} ({}^g c^h)$  where  ${}^g c^h = e_h c e_g \in C_n \cap {}^g C^h$ . Now, if  $g = h$  by we can write

$${}^g c^g = c_g + \lambda g \text{ where } c_g \in ({}^g C^g)^+ \text{ and } \lambda \in k.$$

If  $g \neq h$  we have

$${}^g c^h \in ({}^g C^h) \stackrel{2) \text{ of Lemma 14.17}}{=} ({}^g C^h)^+.$$

Let  $F$  be a finite subset of  $G$  such that

$$c = \sum_{g,h \in F} {}^g c^h.$$

Then, by 1) we have

$$\Delta({}^g c^h) = {}^g c^h \otimes g + h \otimes {}^g c^h + w \text{ where } w \in C_{n-1} \otimes C_{n-1} \text{ if } g \neq h$$

and

$$\Delta({}^g c^g) = \Delta(c_g) + \Delta(\lambda g) = c_g \otimes g + g \otimes c_g + u + \lambda(g \otimes g) \text{ where } u \in C_{n-1} \otimes C_{n-1}$$

so that

$$\Delta({}^g c^g) = \Delta(c_g) + \Delta(\lambda g) = c_g \otimes g + g \otimes c_g + w \text{ where } w = u + \lambda(g \otimes g) \in C_{n-1} \otimes C_{n-1}.$$

3) From formula 14.9, we know that  $C_1 = C_0 \oplus I_1$  and from formula 14.11 that  $I_1 = \bigoplus_{x,y} ({}^x C_1^y)^+$ .

Let us prove that

$$(14.16) \quad P_{y,x}(C) = k(y - x) \oplus {}^x(C_1)^{y+}.$$

First of all, let us prove that the sum  $k(y-x) + {}^x(C_1)^{y+}$  is direct i.e. that  $k(y-x) \cap {}^x(C_1)^{y+} = \{0\}$ . Let  $x \neq y$  and let  $\lambda(y-x) \in {}^x(C_1)^{y+}$ . Then we have

$$\lambda(y-x) = e_y \lambda(y-x) e_x = \lambda(e_y y e_x - e_y x e_x) = 0.$$

" $\subseteq$ " Let  $c \in P_{y,x} = P_{y,x}(C)$ , then  $\Delta(c) = c \otimes y + x \otimes c$ . Let us apply formula 14.8. Then, for every  $g, h \in G$ , we have that

$$\begin{aligned} \Delta({}^g c^h) &= \sum_{z \in G} {}^g(c_1)^z \otimes {}^z(c_2)^h = \\ &= \sum_{z \in G} {}^g(c)^z \otimes {}^z(y)^h + \sum_{z \in G} {}^g(x)^z \otimes {}^z(c)^h \\ &= \delta_{h,y} {}^g c^y \otimes y + \delta_{g,x} x \otimes {}^x c^h \end{aligned}$$

If  $h \neq y$  and  $g \neq x$ , then  $\Delta({}^g c^h) = 0$  so that  ${}^g c^h = 0$ .

If  $h = y$  and  $g \neq x$ , then  $\Delta({}^g c^h) = {}^g c^y \otimes y$  which yields, by applying  $l_C(\varepsilon \otimes I)$ ,  ${}^g c^h = \varepsilon({}^g c^h) y \in ky$ .

If  $h \neq y$  and  $g = x$ , then  $\Delta({}^g c^h) = x \otimes {}^x c^h$  which yields, by applying  $r_C(I \otimes \varepsilon)$ ,  ${}^g c^h = x \varepsilon({}^x c^h) \in kx$ .

Finally if  $h = y$  and  $g = x$ , then  $\Delta({}^g c^h) = {}^x c^y \otimes y + x \otimes {}^x c^y$  so that  ${}^x c^y \in P_{y,x}$ .

Thus we obtain

$$\begin{aligned} c &= \sum_{g,h \in G} {}^g c^h = \sum_{\substack{g,h \in G \\ h \neq y \\ g \neq x}} {}^g c^h + \sum_{\substack{g,h \in G \\ h=y \\ g \neq x}} {}^g c^h + \sum_{\substack{g,h \in G \\ h \neq y \\ g=x}} {}^g c^h + {}^x c^y \\ &= 0 + \sum_{\substack{g,h \in G \\ h=y \\ g \neq x}} \varepsilon({}^g c^h) y + \sum_{\substack{g,h \in G \\ h \neq y \\ g=x}} \varepsilon({}^x c^h) x + {}^x c^y \end{aligned}$$

so that we get

$$c = {}^x c^y + \alpha x + \beta y \text{ where } {}^x c^y \in P_{y,x} \text{ and } \alpha, \beta \in k.$$

Since both  $c$  and  ${}^x c^y \in P_{y,x}$ , we deduce that also  $\alpha x + \beta y \in P_{y,x}$ . Since, by Lemma 14.14, even  $\alpha(x-y) \in P_{y,x}$  we deduce that

$$(\alpha + \beta)y = (\alpha x + \beta y) - \alpha(x-y) \in P_{y,x}$$

and hence, by 1) of Lemma 14.14, we get

$$0 = \varepsilon((\alpha + \beta)y) = \alpha + \beta$$

which implies that

$$c = \alpha(x-y) + {}^x c^y \text{ where } {}^x c^y \in P_{y,x} \text{ and } \alpha \in k.$$

If  $x = y$  we get

$$c = {}^x c^x \in P_{x,x}$$

and hence, by 1) of Lemma 14.14 we know that  $\varepsilon(c) = 0$ . If  $x \neq y$ , by 2) of Lemma 14.17 we know that  ${}^x C^y = ({}^x C^y)^+$ . Thus, in both case we have that  ${}^x c^y \in ({}^x C^y)^+$ . "  $\supseteq$  " By Lemma 14.14, we have that  $k(y-x) \in P_{y,x}$ .

Let now  $c \in C_1 \cap ({}^x C^y)^+$ . Then, in view of 1),

$$\Delta(c) = c \otimes y + x \otimes c + t \text{ where } t \in C_0 \otimes C_0$$

i.e.

$$\Delta(c) = c \otimes y + x \otimes c + \sum_{g,h \in G} \alpha_{g,h} g \otimes h \text{ where } \alpha_{g,h} \in k \text{ and they are almost all zero.}$$

Since, by formula (14.6),  $c = \sum_{g,h \in G} ({}^g c^h)$  and since  $c \in {}^x C^y$ , we get that

$$c = e_y \cdot c \cdot e_x = {}^x c^y.$$

Therefore

$$\begin{aligned} \Delta(c) &= \Delta({}^x c^y) \stackrel{\text{form(14.8)}}{=} \sum_{z \in G} {}^x (c_1)^z \otimes {}^z (c_2)^y \\ &= \sum_{z \in G} {}^x (c)^z \otimes {}^z (y)^y + {}^x (x)^z \otimes {}^z (c)^y + \sum_{g,h \in G} \alpha_{g,h} {}^x (g)^z \otimes {}^z (h)^y \\ &= {}^x (c)^y \otimes {}^y (y)^y + {}^x (x)^x \otimes {}^x (c)^y + \sum_{g,h \in G} \alpha_{g,h} \sum_{z \in G} \delta_{g,x,z} g \otimes \delta_{h,z,y} h \\ &= c \otimes y + x \otimes c + \delta_{x,y} \alpha_{x,y} x \otimes y. \end{aligned}$$

Since  $\varepsilon(c) = 0$ , by applying  $(I \otimes \varepsilon)$  we obtain

$$c = c + \delta_{x,y} \alpha_{x,y} y$$

and hence  $\delta_{x,y} \alpha_{x,y} = 0$ . Thus we get

$$\Delta(c) = c \otimes y + x \otimes c$$

i.e.  $c \in P_{y,x}$ .

Thus  $P_{y,x}(C) = k(y-x) + ({}^x C_1)^{y+}$  and hence formula (14.16) is proved.

Since  $P_{y,x}(C) \stackrel{(14.16)}{=} k(y-x) \oplus ({}^x C_1)^{y+}$ , we have

$$(14.17) \quad C_0 \oplus ({}^x C_1^y)^+ = C_0 + P_{y,x}(C)$$

$$\begin{aligned} C_1 &\stackrel{(14.9)}{=} C_0 \oplus (C_1 \cap I) = C_0 \oplus I_1 = \\ &\stackrel{(14.11)}{=} C_0 \oplus \left[ \bigoplus_{x,y \in G} (C_1 \cap ({}^x C^y)^+) \right] = C_0 \oplus \left[ \bigoplus_{x,y \in G} ({}^x C_1^y)^+ \right] \\ &\stackrel{(14.17)}{=} C_0 + \sum_{x,y \in G} P_{y,x}(C). \end{aligned}$$

Since

$$P_{g,h}(C) = k(g-h) \oplus P'_{g,h}(C)$$

and since  $k(g-h) \in C_0$ , we get that

$$C_1 = C_0 + \sum_{g,h \in G} [k(g-h) + P'_{g,h}(C)] = C_0 + \sum_{g,h \in G} P'_{g,h}(C).$$

Let us prove that the sum

$$C_0 + \sum_{g,h \in G} P'_{g,h}(C)$$

is direct. Assume that

$$c + \sum_{g,h \in G} d_{g,h} = 0 \text{ where } c \in C_0 \text{ and, for every } g, h \in G, d_{g,h} \in P'_{g,h}(C).$$

Now, for every  $g, h \in G$ , we have

$$P'_{g,h}(C) \subseteq P_{g,h}(C) \stackrel{(14.16)}{=} k(g-h) \oplus (C_1 \cap ({}^g C^h)^+)$$

and hence we can write

$$d_{g,h} = \alpha_{g,h}(g-h) + b_{g,h} \text{ where } \alpha_{g,h} \in k \text{ and } b_{g,h} \in C_1 \cap ({}^g C^h)^+.$$

Therefore we get that

$$c + \sum_{g,h \in G} \alpha_{g,h}(g-h) + \sum_{g,h \in G} b_{g,h} = 0$$

i.e.

$$c + \sum_{g,h \in G} \alpha_{g,h}(g-h) = - \sum_{g,h \in G} b_{g,h} \in C_0 \cap \left( \sum_{g,h \in G} (C_1 \cap ({}^g C^h)^+) \right) \stackrel{(14.10)}{\subseteq} C_0 \cap I_1 \subseteq C_0 \cap I = \{0\}$$

and hence

$$c + \sum_{g,h \in G} \alpha_{g,h}(g-h) = - \sum_{g,h \in G} b_{g,h} = 0$$

Since  $\sum_{g,h \in G} b_{g,h} \in \sum_{g,h \in G} (C_1 \cap ({}^g C^h)^+) = \bigoplus_{x,y \in G} (C_1 \cap ({}^x C^y)^+)$  we deduce that  $b_{g,h} = 0$  for every  $g, h \in G$  so that

$$d_{g,h} = \alpha_{g,h}(g-h) \in k(g-h) \cap P'_{g,h} = \{0\} \text{ for every } g, h \in G$$

and hence

$$c = - \sum_{g,h \in G} d_{g,h} = 0.$$

□

**Corollary 14.20.** *Let  $f : C \rightarrow D$  be a  $k$ -coalgebra morphism. If  $C$  is pointed and  $f|_{P_{g,h}(C)}$  is injective for every  $g, h \in G$ , then  $f$  is injective.*

*Proof.* We can assume w.l.o.g. that  $f$  is surjective. Then, by Corollary 11.8 also  $D$  is pointed. Now, in view of Theorem 14.12, it is enough to show that  $f$  is injective on  $C_1$ . Let  $g, h \in G = G(C)$ ,  $g \neq h$ . Then, by Lemma 14.14, we have that  $0 \neq g - h \in P_{g,h}(C)$  and hence, in view of our assumptions, we get  $0 \neq f(g - h) = f(g) - f(h)$ , which implies that  $f$  is injective on  $G$  so that the family

$$(f(g))_{g \in G}$$

is a family of distinct grouplike elements of  $G(D)$  and hence, by Theorem 1.54, these elements are linearly independent. Let  $w \in C_0 = kG$ ,  $w = \sum_{g \in G} \lambda_g g$  and assume that  $f(w) = 0$ . Then from  $\sum_{g \in G} \lambda_g f(g) = 0$  we deduce that  $\lambda_g = 0$  for every  $g \in G$  and hence  $w = 0$ . Thus  $f$  is injective on  $C_0 = kG$ . Let  $c \in P_{g,h}(C)$ , i.e.  $\Delta(c) = c \otimes g + h \otimes c$ . Then we get

$$\begin{aligned} \Delta(f(c)) &= (f \otimes f)\Delta(c) = (f \otimes f)(c \otimes g + h \otimes c) \\ &= f(c) \otimes f(g) + f(h) \otimes f(c) \in P_{f(g),f(h)}(D) \end{aligned}$$

and hence we obtain that  $f(P_{g,h}(C)) \subseteq P_{f(g),f(h)}(D)$ . Let  $P'_{g,h}(C)$  be a complement subspace of  $k(g - h)$  in  $P_{g,h}(C)$ . Then

$$P'_{g,h}(C) \cap k(g - h) = \{0\}$$

and since  $f$  is injective on  $C_1$  we get

$$f(P'_{g,h}(C)) \cap f(k(g - h)) = \{0\}$$

Hence we can choose a complement subspace  $P'_{f(g),f(h)}(D)$  of  $k(f(g) - f(h))$  in  $P_{f(g),f(h)}(D)$  containing  $f(P'_{g,h}(C))$ . Since both  $C$  and  $D$  are pointed, by Taft-Wilson Theorem 14.19, we have that  $C_1 = kG \oplus (\bigoplus_{g,h \in G} P'_{g,h}(C))$  and  $D_1 = kG(D) \oplus (\bigoplus_{a,b \in G(D)} P'_{a,b}(D))$ . In particular we get that

$$\begin{aligned} f(kG) \cap \left[ \left( \sum_{g,h \in G} f[P'_{g,h}(C)] \right) \right] &\subseteq kG(D) \cap \sum_{a,b \in G(D)} P'_{a,b}(D) = \\ &= kG(D) \cap \bigoplus_{a,b \in G(D)} P'_{a,b}(D) = \{0\}. \end{aligned}$$

Let  $c \in C_1$  and let us write

$$c = w + \sum t_{g,h} \text{ where } w \in kG \text{ and } t_{g,h} \in P'_{g,h}(C) \text{ for every } g, h \in G.$$

Assume that  $f(c) = 0$ . Then we obtain

$$f(w) = - \sum f(t_{g,h}) \in f(kG) \cap \sum_{g,h \in G} f(P'_{g,h}(C)) = \{0\}$$

Since  $w \in kG$  and  $f$  is injective on  $C_0 = kG$  we deduce that  $w = 0$ . Moreover since  $\sum_{a,b \in G(D)} P'_{a,b}(D) = \bigoplus_{a,b \in G(D)} P'_{a,b}(D)$  and,  $f(P'_{g,h}(C)) \subseteq P'_{f(g),f(h)}(D)$  where  $f(g), f(h) \in G(D)$ , we get that  $\sum_{g,h \in G} f(P'_{g,h}(C)) = \bigoplus_{g,h \in G} f(P'_{g,h}(C))$  so that, from  $\sum f(t_{g,h}) = 0$  we infer that  $f(t_{g,h}) = 0$  for every  $g, h \in G$  and hence, since  $t_{g,h} \in P'_{g,h}(C) \subseteq C_1$  and  $f$  is injective on  $C_1$ , that  $t_{g,h} = 0$ . Therefore we obtain that  $c = 0$ .  $\square$

**Remark 14.21.** Let  $n \in \mathbb{N}, n \geq 2$  and let  $U = U_n$  be the  $k$ -algebra of the  $n \times n$  upper triangular matrices over the field  $k$ . Then a basis of  $U$  over  $k$  is given by  $\{e_{i,j} \mid 1 \leq i \leq j \leq n\}$  where  $e_{i,j}$  is defined by setting  $(e_{i,j})_{a,b} = \delta_{i,a}\delta_{j,b}$ . Fix an  $i, 1 \leq i \leq n$  and let

$$P_i = \sum_{\substack{1 \leq a \leq b \leq n \\ (a,b) \neq (i,i)}} ke_{a,b}.$$

$P_i$  is a left ideal of  $U$ . In fact let  $1 \leq s \leq t \leq n$  and  $1 \leq a \leq b \leq n$  with  $(a,b) \neq (i,i)$ . Then

$$e_{s,t}e_{a,b} = \delta_{t,a}e_{s,b}$$

Assume  $t = a$  and  $(s,b) = (i,i)$ . Then we would get  $i = s \leq t = a \leq b = i$  and hence  $(a,b) = (i,i)$ . Contradiction. Clearly we have

$$U/P_i \simeq ke_{i,i}$$

and hence  $P_i$  is a left maximal ideal of  $U$ . Conversely let  $P$  be a left maximal ideal of  $U$ . Since  $1_U = \sum_{a=1}^n e_{a,a}$  there exists an  $i$  such that  $e_{i,i} \notin P$ . Since

$$P + Ue_{i,i} = U$$

for every  $(a,b) \neq (i,i)$  there exists a  $p \in P$  and an  $u \in U$  such that

$$p + ue_{i,i} = e_{a,b}.$$

Write

$$p = \sum_{s \leq t} p_{s,t}e_{s,t} \text{ and } u = \sum_{s \leq t} u_{s,t}e_{s,t}, \text{ where } p_{s,t}, u_{s,t} \in k.$$

Then we have

$$ue_{i,i} = \sum_{s \leq t} u_{s,t}e_{s,t}e_{i,i} = \sum_{s \leq i} u_{s,i}e_{s,i}$$

and

$$P \ni e_{a,a}p = \sum_{s \leq t} p_{s,t}e_{a,a}e_{s,t} = \sum_{a \leq t} p_{a,t}e_{a,t} \text{ and}$$

$$e_{a,a}ue_{i,i} = \sum_{s \leq i} u_{s,i}e_{a,a}e_{s,i} = 0 \text{ unless } a \leq i \text{ in which case we get } e_{a,a}ue_{i,i} = u_{a,i}e_{a,i}.$$

Thus we obtain

$$e_{a,b} = e_{a,a}e_{a,b} = e_{a,a}(p + ue_{i,i}) = \sum_{a \leq t} p_{a,t}e_{a,t} + e_{a,a}ue_{i,i}$$

In the case  $i < a$  this means

$$P \ni e_{a,ap} = \sum_{a \leq t} p_{a,t} e_{a,t} = e_{a,b}$$

In the case  $a \leq i$  we get

$$\sum_{a \leq t} p_{a,t} e_{a,t} + u_{a,i} e_{a,i} = e_{a,b}.$$

Now if  $b \neq i$  this implies

$$P \ni e_{a,ap} = \sum_{a \leq t} p_{a,t} e_{a,t} = e_{a,b}.$$

Let us consider the case  $b = i$ . Then, since  $(a, b) \neq (i, i)$ , we have  $a < b = i$  and hence

$$\sum_{a \leq t} p_{a,t} e_{a,t} + u_{a,i} e_{a,i} = e_{a,i}.$$

If  $e_{a,i} \notin P$  we have

$$P + Ue_{a,i} = U$$

Hence there exists a  $q \in P$  and a  $w \in U$  such that

$$q + we_{a,i} = e_{i,i}$$

Write

$$q = \sum_{s \leq t} q_{s,t} e_{s,t} \text{ and } w = \sum_{s \leq t} w_{s,t} e_{s,t} \text{ where } q_{s,t}, w_{s,t} \in k.$$

Then we have

$$we_{a,i} = \sum_{s \leq t} w_{s,t} e_{s,t} e_{a,i} = \sum_{s \leq a} w_{s,a} e_{s,i}$$

and

$$P \ni e_{i,i}q = \sum_{s \leq t} q_{s,t} e_{i,i} e_{s,t} = \sum_{i \leq t} q_{i,t} e_{i,t}.$$

Now we have that

$$0 = e_{i,i}we_{a,i} = \sum_{s \leq a} w_{s,a} e_{i,i} e_{s,i}$$

In fact

$$e_{i,i}e_{s,i} \neq 0 \text{ and } s \leq a \text{ implies } i = s \leq a$$

and since  $a < i$ , this cannot happen. Therefore we obtain

$$P \ni e_{i,i}q = e_{i,i}q + e_{i,i}we_{a,i} = e_{i,i}e_{i,i} = e_{i,i}.$$

**Contradiction.** We deduce that  $P$  contains all  $e_{s,t}$  with  $(s, t) \neq (i, i)$  and hence  $P = P_i$ . Therefore for the Jacobson radical  $J(U)$  of  $U$  we have

$$J(U) = P_1 \cap \dots \cap P_n = \sum_{\substack{1 \leq a \leq b \leq n \\ (a,b) \neq (1,1)}} ke_{a,b} \cap \dots \cap \sum_{\substack{1 \leq a \leq b \leq n \\ (a,b) \neq (n,n)}} ke_{a,b} = \sum_{1 \leq a < b \leq n} ke_{a,b}$$



i.e.  $J(U)$  is the set of strictly upper triangular matrices. For every  $s \in \mathbb{N}, 1 \leq s \leq n$  we have

$$(J(U))^s = \sum_{\substack{1 \leq a \leq b \leq n \\ s \leq b-a}} ke_{a,b}.$$

In particular

$$(J(U))^n = \{0\}.$$

**Example 14.22.** Let  $C = M^C(n, k)$  the  $n \times n$  matrix  $k$ -coalgebra introduced in 1.12 2).  $C$  has a basis of  $n^2$  elements  $X_{ij}, 1 \leq i, j \leq n$ , and its coalgebra structure is defined by setting

$$\Delta(X_{ij}) = \sum_{h=1}^n X_{ih} \otimes X_{hj} \quad \text{and} \quad \varepsilon(X_{ij}) = \delta_{ij} \text{ for every } 1 \leq i, j \leq n.$$

Recall that  $C^* \cong M_n(k)$ , the  $n \times n$  matrix  $k$ -algebra which is a simple algebra. Thus  $C$  is a simple coalgebra i.e.  $C = C_0$ . Let  $I$  be the subspace of  $C$  spanned by  $\{X_{ij} : |1 \leq i, j \leq n, i > j\}$ . For every  $1 \leq i, j \leq n$  with  $i > j$ , we have that

$$\begin{aligned} \Delta(X_{ij}) &= \sum_{h=1}^n X_{ih} \otimes X_{hj} = \\ &= \sum_{i>h} X_{ih} \otimes X_{hj} + \sum_{h>i} X_{ih} \otimes X_{hj} + X_{ii} \otimes X_{ij} \in I \otimes C + C \otimes I \end{aligned}$$

and also that

$$\varepsilon(X_{ij}) = 0 \text{ for every } i > j.$$

Thus  $I$  is a coideal of  $C$  so that  $D = C/I$  is a coalgebra and  $\{\bar{X}_{i,j} = X_{ij} + I | i \leq j\}$  is a basis for  $D$ . Note that  $D^*$  is the subalgebra of  $C^*$  consisting of upper triangular matrices. Now, for every  $1 \leq i \leq j \leq n$  and  $1 \leq h \leq n$ , if  $h < i$  then  $X_{ih} \in I$  while if  $j < h$  we have that  $X_{hj} \in I$  so that

$$\Delta(\bar{X}_{i,j}) = \bar{X}_{i,i} \otimes \bar{X}_{i,j} + \bar{X}_{i,i+1} \otimes \bar{X}_{i+1,j} + \dots + \bar{X}_{i,j} \otimes \bar{X}_{j,j}.$$

Let  $J = \text{Jac}(D^*)$  be the Jacobson radical of  $D^*$ . By Remark 14.21, for every  $s \in \mathbb{N}, 1 \leq s \leq n$  we have

$$(J(U))^s = \sum_{\substack{1 \leq a \leq b \leq n \\ s \leq b-a}} ke_{a,b}.$$

where for each  $(a, b)$  with  $1 \leq a \leq b \leq n, e_{a,b} = (\bar{X}_{a,b})^*$  i.e.

$$e_{a,b}(\bar{X}_{i,j}) = \delta_{(a,b)(i,j)} = 0 \text{ unless } (a, b) = (i, j) \text{ in which case it is } 1.$$

Now, by Proposition 11.9 for every  $s \in \mathbb{N}, 0 \leq s \leq n-1$  we have

$$D_s = (J^{s+1})^\perp = \left( \sum_{\substack{1 \leq a \leq b \leq n \\ s+1 \leq b-a}} k e_{a,b} \right)^\perp = \bigcap_{\substack{1 \leq a \leq b \leq n \\ s+1 \leq b-a}} (k e_{a,b})^\perp = \sum_{\substack{1 \leq i \leq j \leq n \\ j-i \leq s}} k \bar{X}_{i,j}.$$

Thus

$$D_0 = J^\perp = \sum_{\substack{1 \leq i \leq j \leq n \\ j-i \leq 1}} k \bar{X}_{i,j} = \sum_{i=1}^n k \bar{X}_{i,i} = kG(D),$$

and in general for every  $s \in \mathbb{N}, 0 \leq s \leq n-1$

$$D_s = \sum_{\substack{1 \leq i \leq j \leq n \\ j-i \leq s}} k \bar{X}_{i,j} = \sum_{\substack{1 \leq i \leq j \leq n \\ j-i \leq s-1}} k \bar{X}_{i,j} + \sum_{\substack{1 \leq i \leq j \leq n \\ j-i=s}} k \bar{X}_{i,j} = D_{s-1} + \sum_{i=1}^{n-s} k \bar{X}_{i,i+s}$$

and

$$D_n = D.$$

Now, for every  $1 \leq i, s \leq n$  and  $0 \leq s \leq n$  with  $1 \leq i+s \leq n$  we have

$$\begin{aligned} \Delta(\bar{X}_{i,i+s}) &= \bar{X}_{i,i} \otimes \bar{X}_{i,i+s} + \bar{X}_{i,i+1} \otimes \bar{X}_{i+1,i+s} + \dots + \bar{X}_{i,i+s} \otimes \bar{X}_{i+s,i+s} \\ &= \bar{X}_{i,i} \otimes \bar{X}_{i,i+s} + \bar{X}_{i,i+s} \otimes \bar{X}_{i+s,i+s} + w \end{aligned}$$

where  $\bar{X}_{i,i}$  and  $\bar{X}_{i,i+s}$  are in  $G(D)$  and

$$w = \bar{X}_{i,i+1} \otimes \bar{X}_{i+1,i+s} + \dots + \bar{X}_{i,i+s-1} \otimes \bar{X}_{i+s-1,i+s-1} \in D_{s-1} \otimes D_{s-1}.$$

Thus the elements  $\bar{X}_{i,i+s} \in D_s$  have the form described in Taft-Wilson Theorem 14.19. Let  $\pi : C \rightarrow D$  be the canonical projection. Then we have

$$D_0 \subsetneq \pi(C_0) = \pi(C) = D.$$

Therefore Corollary 11.7, in general, cannot be improved and the coradical filtration is not preserved in homomorphic images.

# Chapter 15

## Some Useful Results

**Lemma 15.1.** *Let  $k$  be a field and let  $f : V \rightarrow W$  and  $f' : V' \rightarrow W'$  be  $k$ -linear maps. Then*

$$\text{Ker}(f \otimes f') = \text{Ker}(f) \otimes V' + V \otimes \text{Ker}(f').$$

*Proof.* Let  $X$  be a basis of  $\text{Ker}(f)$  which we complete to a basis  $Y$  of  $V$ . Let  $X'$  be a basis of  $\text{Ker}(f')$  which we complete to a basis  $Y'$  of  $V'$ . Let  $a \in \text{Ker}(f \otimes f')$  and write

$$a = \sum_{x \in X, x' \in X'} \lambda_{x,x'} x \otimes x' + \sum_{y \in Y \setminus X, x' \in X'} \lambda_{y,x'} y \otimes x' + \sum_{x \in X, y' \in Y' \setminus X'} \lambda_{x,y'} x \otimes y' + \sum_{y \in Y \setminus X, y' \in Y' \setminus X'} \lambda_{y,y'} y \otimes y'.$$

Then we get

$$\begin{aligned} 0 &= \sum_{x \in X, x' \in X'} \lambda_{x,x'} f(x) \otimes f'(x') + \sum_{y \in Y \setminus X, x' \in X'} \lambda_{y,x'} f(y) \otimes f'(x') + \sum_{x \in X, y' \in Y' \setminus X'} \lambda_{x,y'} f(x) \otimes f'(y') \\ &\quad + \sum_{y \in Y \setminus X, y' \in Y' \setminus X'} \lambda_{y,y'} f(y) \otimes f'(y') \\ &= \sum_{y \in Y \setminus X, y' \in Y' \setminus X'} \lambda_{y,y'} f(y) \otimes f'(y') \end{aligned}$$

so that, we get

$$(15.1) \quad \sum_{y \in Y \setminus X, y' \in Y' \setminus X'} \lambda_{y,y'} f(y) \otimes f'(y') = 0$$

Now  $f(Y \setminus X)$  is a linear independent subset of  $W$ . In fact, from

$$\sum_{y \in Y \setminus X} \lambda_y f(y) = 0$$

we get, for  $Z$  the subspace spanned by  $Y \setminus X$

$$\sum_{y \in Y \setminus X} \lambda_y y \in \text{Ker}(f) \cap Z = \{0\}$$

and hence,  $\lambda_y = 0$  for every  $y \in Y \setminus X$ . The same holds for  $f'(Y' \setminus X')$ . Hence, from (15.1) we deduce that  $\lambda_{y,y'} = 0$  for every  $y \in Y \setminus X, y' \in Y' \setminus X'$ . Hence, we obtain that  $a \in \text{Ker}(f) \otimes V' + V \otimes \text{Ker}(f')$ . The other inclusion is trivial.  $\square$

**15.2.** Let  $V$  be a vector space over a field  $k$  and let  $V^* = \text{Hom}_k(V, k)$  be its dual. Given a subvector space  $W$  of  $V$  we set:

$$W^\perp = \{f \in V^* \mid f(W) = 0\};$$

and for every subspace  $X$  of  $V^*$  we set

$$X^\perp = \{v \in V \mid \xi(v) = 0 \text{ for every } \xi \in X\} = \bigcap_{\xi \in X} \text{Ker}(\xi).$$

Note that  $W^{\perp\perp} = W$  while  $X = X^{\perp\perp}$  whenever  $V$  is finite dimensional.

**Lemma 15.3.** Let  $V_1$  and  $V_2$  be vector spaces over a field  $k$  and let  $X_1 \leq V_1^*$  and  $X_2 \leq V_2^*$ . Then we have

$$(X_1 \otimes X_2)^\perp = V_1 \otimes (X_2)^\perp + (X_1)^\perp \otimes V_2 \text{ in } V_1 \otimes V_2.$$

*Proof.* Clearly we have

$$\bigcap_{\xi \in X_1 \otimes X_2} \text{Ker}(\xi) \subseteq \bigcap_{\xi_1 \in X_1, \xi_2 \in X_2} \text{Ker}(\xi_1 \otimes \xi_2)$$

Let  $\xi \in X_1 \otimes X_2$ . Then  $\xi = \sum_{i=1}^n \xi_1^i \otimes \xi_2^i$  where  $n \in \mathbb{N}, n \geq 1, \xi_1^i \in X_1$  and  $\xi_2^i \in X_2$  for every  $i = 1, \dots, n$ . Then

$$\bigcap_{i=1}^n \text{Ker}(\xi_1^i \otimes \xi_2^i) \subseteq \text{Ker}(\xi)$$

so that we have

$$\bigcap_{\xi_1 \in X_1, \xi_2 \in X_2} \text{Ker}(\xi_1 \otimes \xi_2) \subseteq \bigcap_{\xi \in X_1 \otimes X_2} \text{Ker}(\xi)$$

and we deduce that

$$(15.2) \quad (X_1 \otimes X_2)^\perp = \bigcap_{\xi \in X_1 \otimes X_2} \text{Ker}(\xi) = \bigcap_{\xi_1 \in X_1, \xi_2 \in X_2} \text{Ker}(\xi_1 \otimes \xi_2)$$

Recall that, by Proposition 1.38, for every  $\xi_1^* \in X_1^*, \xi_2^* \in X_2^*$ , the assignment  $\xi_1 \otimes \xi_2 \mapsto \xi_1^*(\xi_1) \xi_2^*(\xi_2)$  defines a  $k$ -linear map  $\Lambda_{\xi_1^*, \xi_2^*} : X_1 \otimes X_2 \rightarrow k$ . Moreover the assignment  $\xi_1^* \otimes \xi_2^* \mapsto \Lambda_{\xi_1^*, \xi_2^*}$  defines an injective  $k$ -linear map

$$\Lambda = \Lambda_{X_1, X_2} : X_1^* \otimes X_2^* \rightarrow (X_1 \otimes X_2)^*.$$

For every  $i = 1, 2$ , let  $\Gamma_i : V_i \rightarrow X_i^*$  be the map defined by setting

$$\Gamma_i(v_i) = \tilde{v}_i|_{X_i} \text{ where } \tilde{v}_i : V_i \rightarrow k \text{ is the evaluation map.}$$

Let  $\pi_i : V_i \rightarrow V_i \otimes V_i / X_i^\perp$  be the canonical projection. Since  $\text{Ker}(\Gamma_i) = X_i^\perp$ , there exists an injective map  $\bar{\Gamma}_i : V_i / X_i^\perp \rightarrow X_i^*$  such that  $\bar{\Gamma}_i \circ \pi_i = \Gamma_i$ . Let

$$T = \Lambda \circ (\bar{\Gamma}_1 \otimes \bar{\Gamma}_2) \circ (\pi_1 \otimes \pi_2) : V_1 \otimes V_2 \rightarrow (X_1 \otimes X_2)^*$$

For every  $i = 1, 2$ , let  $\xi_i \in X_i$  and  $v_i \in V_i$ . We compute

$$\begin{aligned} [T(v_1 \otimes v_2)](\xi_1 \otimes \xi_2) & \{ [\Lambda \circ (\bar{\Gamma}_1 \otimes \bar{\Gamma}_2) \circ (\pi_1 \otimes \pi_2)](v_1 \otimes v_2) \}(\xi_1 \otimes \xi_2) = \\ & = [(\Lambda \circ \Gamma_i)(v_1 \otimes v_2)](\xi_1 \otimes \xi_2) = \Lambda(\tilde{v}_{1|X_1} \otimes \tilde{v}_{2|X_2})(\xi_1 \otimes \xi_2) = \xi_1(v_1) \otimes \xi_2(v_2). \end{aligned}$$

Then, by using (15.2), we deduce that

$$\text{Ker}(T) = \bigcap_{\xi \in X_1 \otimes X_2} \text{Ker}(\xi) = \bigcap_{\xi_1 \in X_1, \xi_2 \in X_2} \text{Ker}(\xi_1 \otimes \xi_2) = (X_1 \otimes X_2)^\perp$$

On the other hand, since  $\Lambda \circ (\bar{\Gamma}_1 \otimes \bar{\Gamma}_2)$  is injective, we get

$$\text{Ker}(T) = \text{Ker}(\pi_1 \otimes \pi_2) = V_1 \otimes X_2^\perp + X_1^\perp \otimes V_2$$

□

**Lemma 15.4.** *Let  $V$  be a vector space over a field  $k$  and let*

$$\{0\} = V_0 \subseteq V_1 \subseteq V_2 \subseteq \dots$$

*be an ascending chain of subspaces of  $V$ . Then*

$$\bigcap_{i=0}^n (V \otimes V_{n-i} + V_i \otimes V) = \sum_{i=1}^n V_i \otimes V_{n+1-i}.$$

*Proof.* We have

$$\begin{aligned} (V \otimes V_n + V_0 \otimes V) \cap (V \otimes V_0 + V_n \otimes V) & = \\ = (V \otimes V_n + \{0\} \otimes V) \cap (V \otimes \{0\} + V_n \otimes V) & = \\ = (V \otimes V_n) \cap (V_n \otimes V) & = \\ = V_n \otimes V_n. & \end{aligned}$$

Therefore we get

$$\begin{aligned} \bigcap_{i=0}^n (V \otimes V_{n-i} + V_i \otimes V) & = (V \otimes V_n + V_0 \otimes V) \cap \left( \bigcap_{i=1}^{n-1} (V \otimes V_{n-i} + V_i \otimes V) \right) \cap (V \otimes V_0 + V_n \otimes V) \\ & = (V_n \otimes V_n) \cap \left( \bigcap_{i=1}^{n-1} (V \otimes V_{n-i} + V_i \otimes V) \right) \\ & = \bigcap_{i=1}^{n-1} (V_n \otimes V_{n-i} + V_i \otimes V_n). \end{aligned}$$

Thus we may assume

$$V = V_n = \bigcup_{i \leq n} V_i.$$

and we have to prove that

$$\bigcap_{i=1}^{n-1} (V_n \otimes V_{n-i} + V_i \otimes V_n) = \sum_{i=1}^n V_i \otimes V_{n+1-i}.$$

Now for  $i = 1, \dots, n$ , let  $W_i \subseteq V_i$  be such that

$$V_i = V_{i-1} \oplus W_i.$$

Then

$$V_i = \bigoplus_{a=1}^i W_a$$

so that

$$\begin{aligned} V_n \otimes V_{n-i} + V_i \otimes V_n &= \left( \bigoplus_{a=1}^n W_a \right) \otimes \left( \bigoplus_{b=1}^{n-i} W_b \right) + \left( \bigoplus_{a=1}^i W_a \right) \otimes \left( \bigoplus_{b=1}^n W_b \right) \\ &= \bigoplus_{a=1}^n \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=1}^i \bigoplus_{b=1}^n (W_a \otimes W_b) = \\ &= \bigoplus_{a=1}^i \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=i}^n \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) \\ &\quad + \bigoplus_{a=1}^i \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=1}^i \bigoplus_{b=i}^n (W_a \otimes W_b) \\ &= \bigoplus_{a=1}^i \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=i}^n \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=1}^i \bigoplus_{b=i}^n (W_a \otimes W_b) \\ &= \bigoplus_{a=1}^n \bigoplus_{b=1}^{n-i} (W_a \otimes W_b) + \bigoplus_{a=1}^i \bigoplus_{b=i}^n (W_a \otimes W_b) \\ &= \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} W_a \otimes W_b \end{aligned}$$

i.e.

$$(15.3) \quad V_n \otimes V_{n-i} + V_i \otimes V_n = \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} W_a \otimes W_b$$

Now

$$(15.4) \quad \bigcap_{i=0}^n \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} (W_a \otimes W_b) = \bigoplus_{a+b \leq n+1} (W_a \otimes W_b)$$

In fact it is clear that

$$\bigoplus_{a+b \leq n+1} (W_a \otimes W_b) \subseteq \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} (W_a \otimes W_b) \text{ for every } i = 0, \dots, n$$

Conversely let  $x \in \bigcap_{i=0}^n \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} (W_a \otimes W_b)$ . Since  $x \in V_n \otimes V_n$  and  $V_n = \bigoplus_{t=1}^n W_t$  we can

write

$$x = \sum_{t,s=1}^n x_t \otimes y_s \text{ where } x_t \in W_t \text{ and } y_s \in W_s.$$

Assume that  $x \notin \bigoplus_{a+b \leq n+1} (W_a \otimes W_b)$ . Then there exist  $t$  and  $s$  such that  $1 \leq t, s \leq n$ ,  $t + s > n + 1$  and  $x_t \otimes y_s \neq 0$ .

Let  $k \in \mathbb{N}$  such that either  $n = 2k$  or  $n = 2k + 1$ .

**Assume that  $t \leq k$ .** Then if  $s \leq n - k$  we would get  $t + s \leq n$ . Therefore  $n - k < s$ . If  $k = n - (n - k) \leq s$  then we would get  $n = n - k + k < s$ . Therefore  $n - k < s$  implies  $s < k$  and hence  $t + s < 2k \leq n$ . Contradiction.

**Assume that  $k < t$ .** Since

$$x \in \bigoplus_{\substack{a \leq k \\ \text{or} \\ b \leq n-k}} (W_a \otimes W_b)$$

we deduce that  $s \leq n - k$ . Now if  $n - k \leq t$  we would get  $n = n - k + k < t$ . Thus  $t < n - k$  and hence  $t + s < n - k + n - k = n + n - 2k \leq n + 1$ . Contradiction. Therefore (15.4) is proved. Let us show that

$$(15.5) \quad \bigoplus_{a+b \leq n+1} (W_a \otimes W_b) = \sum_{i=1}^n V_i \otimes V_{n+1-i}.$$

In fact if  $a + b \leq n + 1$ , then  $W_b \subseteq V_{n+1-a}$  and hence

$$W_a \otimes W_b \subseteq V_a \otimes V_{n+1-a}.$$

On the other hand

$$V_i \otimes V_{n+1-i} = \left( \bigoplus_{a=1}^i W_a \right) \otimes \left( \bigoplus_{b=1}^{n+1-i} W_b \right) = \bigoplus_{a=1}^i \bigoplus_{b=1}^{n+1-i} (W_a \otimes W_b) \subseteq \bigoplus_{a+b \leq n+1} (W_a \otimes W_b).$$

Therefore we get

$$\bigcap_{i=0}^n (V \otimes V_{n-i} + V_i \otimes V) \stackrel{(15.3)}{=} \bigcap_{i=0}^n \bigoplus_{\substack{a \leq i \\ \text{or} \\ b \leq n-i}} (W_a \otimes W_b) \stackrel{(15.4)}{=} \bigoplus_{a+b \leq n+1} (W_a \otimes W_b) \stackrel{(15.5)}{=} \sum_{i=1}^n V_i \otimes V_{n+1-i}.$$

□

**Lemma 15.5.** *Let  $D$  be a subspace of a vector space  $C$  over a field  $k$  and let  $I, J, X$  and  $Y$  be subspaces of  $D$ . Then we have:*

$$(I \otimes D + D \otimes J) \cap (X \otimes Y) = (I \cap X) \otimes Y + X \otimes (J \cap Y)$$

In particular for  $D = C$  and  $X = Y = E$  we get

$$(I \otimes C + C \otimes J) \cap (E \otimes E) = (I \cap E) \otimes E + E \otimes (J \cap E).$$

*Proof.* Let  $p_I : D \rightarrow D/I$  and  $p_J : D \rightarrow D/J$  be the canonical projections. Then we have

$$\begin{aligned} (I \otimes D + D \otimes J) \cap (X \otimes Y) &= \text{Ker}(p_I \otimes p_J) \cap (X \otimes Y) = \text{Ker}(p_I \otimes p_J)|_{X \otimes Y} = \\ &= \text{Ker}(p_{I|X} \otimes p_{J|Y}) = \text{Ker}(p_{I|X}) \otimes Y + X \otimes \text{Ker}(p_{J|Y}) = \\ &= (I \cap X) \otimes Y + X \otimes (J \cap Y). \end{aligned}$$

□

**Lemma 15.6.** *Let  $(W_i^1)_{i \in I}$  be a finite family of subspaces of a vector space  $V_1$  and let  $(W_i^2)_{i \in I}$  be a finite family of subspaces of a vector space  $V_2$ . Then*

$$\bigcap_{j \in J, i \in I} (V_1 \otimes W_j^2 + W_i^1 \otimes V_2) = V_1 \otimes \left( \bigcap_{j \in J} W_j^2 \right) + \left( \bigcap_{i \in I} W_i^1 \right) \otimes V_2.$$

*Proof.* For every  $i \in I$  and  $j \in J$ , let  $p_i^1 : V_1 \rightarrow V_1/W_i^1$  and  $p_j^2 : V_2 \rightarrow V_2/W_j^2$  be the canonical projection. Then

$$V_1 \otimes W_j^2 + W_i^1 \otimes V_2 = \text{Ker}(p_i^1 \otimes p_j^2)$$

so that

$$\bigcap_{j \in J, i \in I} (V_1 \otimes W_j^2 + W_i^1 \otimes V_2) = \bigcap_{i \in I, j \in J} \text{Ker}(p_i^1 \otimes p_j^2) = \text{Ker}(\Delta)$$

where

$$\Delta : V_1 \otimes V_2 \rightarrow \prod_{i \in I, j \in J} V_1/W_i^1 \otimes V_2/W_j^2$$



is the diagonal morphism of the family  $(p_i^1 \otimes p_j^2)_{i \in I, j \in J}$ . Let  $\Delta_1 : V_1 \rightarrow \prod_{i \in I} V_1/W_i^1$  be the diagonal morphism of the family  $(p_i^1)_{i \in I}$  and let  $\Delta_2 : V_2 \rightarrow \prod_{j \in J} V_2/W_j^2$  be the diagonal morphism of the family  $(p_j^2)_{j \in J}$ . Let

$$\Phi : \prod_{i \in I, j \in J} V_1/W_i^1 \otimes V_2/W_j^2 \rightarrow \prod_{i \in I} V_1/W_i^1 \otimes \prod_{j \in J} V_2/W_j^2$$

be the canonical isomorphism. Then

$$\text{Ker}(\Delta) = \text{Ker}(\Phi \circ \Delta) = \text{Ker}(\Delta_1 \otimes \Delta_2)$$

Therefore we obtain

$$\begin{aligned} \bigcap_{j \in J, i \in I} (V_1 \otimes W_j^2 + W_i^1 \otimes V_2) &= \text{Ker}(\Delta_1 \otimes \Delta_2) = V_1 \otimes \text{Ker}(\Delta_2) + \text{Ker}(\Delta_1) \otimes V_2 = \\ &= V_1 \otimes \left( \bigcap_{j \in J} W_j^2 \right) + \left( \bigcap_{i \in I} W_i^1 \right) \otimes V_2. \end{aligned}$$

□

# Bibliography

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